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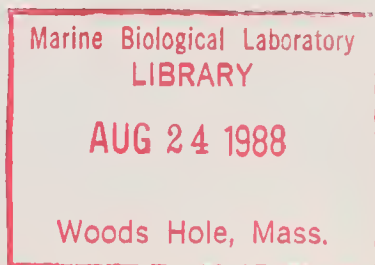
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AUGUST, 1988

Printed and Issued by  
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PRINCE & LEMON STS.  
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# THE BIOLOGICAL BULLETIN

THE BIOLOGICAL BULLETIN is published six times a year by the Marine Biological Laboratory, MBL Street, Woods Hole, Massachusetts 02543.

Subscriptions and similar matter should be addressed to THE BIOLOGICAL BULLETIN, Marine Biological Laboratory, Woods Hole, Massachusetts. Single numbers, \$20.00. Subscription per volume (three issues), \$55.00 (\$110.00 per year for six issues).

Communications relative to manuscripts should be sent to Dr. Charles B. Metz, Editor, or Pamela Clapp, Associate Editor, at the Marine Biological Laboratory, Woods Hole, Massachusetts 02543.

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POSTMASTER: Send address changes to THE BIOLOGICAL BULLETIN, Marine Biological Laboratory, Woods Hole, MA 02543.

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ISSN 0006-3185

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| Kivy, Dr. and Mrs. Peter<br>Koller, Dr. Lewis R.<br>Korgen, Dr. Ben J.<br>Kuffler, Mrs. Stephen W.<br>Laderman, Mr. and Mrs.<br>Ezra<br>Lafferty, Miss Nancy<br>Larmon, Mr. Jay<br>Laster, Dr. and Mrs.<br>Leonard<br>Laufer, Dr. and Mrs.<br>Hans<br>Laufer, Jessica, and<br>Weiss, Malcolm<br>LaVigne, Mrs.<br>Richard J.<br>Lawrence, Mr.<br>Frederick V.<br>Lawrence, Mr. and Mrs.<br>William<br>Leatherbee, Mrs. John<br>H.<br>LeBlond, Mr. and Mrs.<br>Arthur<br>Leeson, Mr. and Mrs. A.<br>Dix<br>LeFevre, Dr. Marian E.<br>Lehman, Miss Robin<br>Lemann, Mrs. Lucy B.<br>Lenher, Dr. and Mrs.<br>Samuel<br>Leprohon, Mr. Joseph<br>Levine, Mr. Joseph<br>Levine, Dr. and Mrs.<br>Rachmiel<br>Levitz, Dr. Mortimer<br>Levy, Mr. Stephen R.<br>Lindner, Mr. Timothy P.<br>Little, Mrs. Elbert<br>Livingstone, Mr. and<br>Mrs. Robert<br>Loeb, Mrs. Robert F.<br>Lovell, Mr. and Mrs.<br>Hollis R.<br>Lovering, Mr.<br>Richard C.<br>Low, Miss Doris<br>Lowe, Dr. and Mrs.<br>Charles V.<br>Lowengard, Mrs. Joseph<br>Mackey, Mr. and Mrs.<br>William K.<br>MacLeish, Mrs.<br>Margaret | MacNary, Mr. and Mrs.<br>B. Glenn<br>MacNichol, Dr. and<br>Mrs. Edward F., Jr.<br>Maher, Miss Anne<br>Camille<br>Mahler, Mrs. Henry<br>Mahler, Mrs. Suzanne<br>Mansworth, Miss Marie<br>Marsh, Dr. and Mrs.<br>Julian<br>Martyna, Mr. and Mrs.<br>Joseph C.<br>Mason, Mr. Appleton<br>Mastroianni, Dr. and<br>Mrs. Luigi, Jr.<br>Mather, Mr. and Mrs.<br>Frank J., III<br>Matherly, Mr. and Mrs.<br>Walter<br>Matthiessen, Dr. and<br>Mrs. G. C.<br>McCusker, Mr. and Mrs.<br>Paul T.<br>McElroy, Mrs. Nella W.<br>McIlwain, Dr. Susan G.<br>Meigs, Mr. and Mrs.<br>Arthur<br>Meigs, Dr. and Mrs. J.<br>Wister<br>Melillo, Dr. and Mrs.<br>Jerry M.<br>Mellon, Richard King,<br>Trust<br>Mellon, Mr. and Mrs.<br>Richard P.<br>Mendelson, Dr. Martin<br>Metz, Dr. and Mrs.<br>Charles B.<br>Meyers, Mr. and Mrs.<br>Richard<br>Milbury, Mr. Edward<br>Van R.<br>Miller, Dr. Daniel A.<br>Miller, Mr. and Mrs.<br>Paul<br>Mixer, Mr. and Mrs.<br>William J., Jr.<br>Mizell, Dr. and Mrs.<br>Merle<br>Monroy, Mrs. Alberto<br>Montgomery, Dr. and<br>Mrs. Charles H. | Montgomery, Dr. and<br>Mrs. Raymond B.<br>Moore, Drs. John and<br>Betty<br>Morgan, Miss Amy<br>Morse, Mrs. Charles<br>L., Jr.<br>Morse, Dr. M. Patricia<br>Moul, Dr. and Mrs.<br>Edwin T.<br>Mountain, Dr. Isabel M.<br>Murray, Dr. David M.<br>Myles-Tochko, Dr.<br>Christina J.<br>Nace, Dr. and Mrs. Paul<br>Nace, Mr. Paul F., Jr.<br>Neall, Mr. William G.<br>Nelson, Dr. and Mrs.<br>Leonard<br>Nelson, Dr. Pamela<br>Newton, Mr. William F.<br>Nickerson, Mr. and Mrs.<br>Frank L.<br>Norman, Mr. and Mrs.<br>Andrew E.<br>Norman Foundation<br>Norris, Mr. and Mrs.<br>Barry<br>Norris, Mr. and Mrs.<br>John A.<br>Norris, Mr. William<br>O'Herron, Mr. and Mrs.<br>Jonathan<br>Olszowka, Miss Janice S.<br>O'Neil, Mr. and Mrs.<br>Barry T.<br>O'Rand, Mr. and Mrs.<br>Michael<br>Ortins, Mr. and Mrs.<br>Armand<br>O'Sullivan, Dr. Renee<br>Bennett<br>Pappas, Dr. and Mrs.<br>George D.<br>Park, Mrs. Franklin A.<br>Park, Mr. and Mrs.<br>Malcolm S.<br>Parmenter, Dr. Charles<br>Parmenter, Miss<br>Carolyn L.<br>Peltz, Mr. and Mrs.<br>William L.<br>Pendergast, Mrs. Claudia | Pendleton, Dr. and Mrs.<br>Murray E.<br>Perkins, Mr. and Mrs.<br>Courtland D.<br>Person, Dr. and Mrs.<br>Philip<br>Peterson, Mr. and Mrs.<br>E. Gunnar<br>Peterson, Mr. and Mrs.<br>E. Joel<br>Peterson, Mr.<br>Raymond W.<br>Petty, Mr. Richard F.<br>Petty, Mr. William<br>Pfeiffer, Mr. and Mrs.<br>John<br>Plough, Mr. and Mrs.<br>George H.<br>Plough, Mrs. Harold H.<br>Pointe, Mr. Albert<br>Pointe, Mr. Charles<br>Porter, Dr. and Mrs.<br>Keith R.<br>Pothier, Dr. and Mrs.<br>Aubrey<br>Press, Drs. Frank and<br>Billie<br>Proskauer, Mr.<br>Joseph H.<br>Proskauer, Mr. Richard<br>Prosser, Dr. and Mrs. C.<br>Ladd<br>Psaledakis, Mr. Nicholas<br>Psychoyos, Dr.<br>Alexandre<br>Putnam, Mr. Allan Ray<br>Putnam, Mr. and Mrs.<br>William A., III<br>Raymond, Dr. and Mrs.<br>Samuel<br>Reese, Miss Bonnie<br>Reingold, Mr.<br>Stephen C.<br>Reynolds, Dr. and Mrs.<br>George<br>Reynolds, Mr.<br>Robert M.<br>Reznikoff, Mrs. Paul<br>Ricca, Dr. and Mrs.<br>Renato A.<br>Righter, Mr. Harold<br>Riina, Mr. and Mrs.<br>John R.<br>Robb, Mrs. Alison A. |
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Roberts, Miss Jean  
 Roberts, Mrs. Mervin F.  
 Robertson, Mrs. C. W.  
 Robinson, Dr. Denis M.  
 Root, Mrs. Walter S.  
 Rosenthal, Miss Hilde  
 Roslansky, Drs. John  
   and Priscilla  
 Ross, Dr. and Mrs.  
   Donald  
 Ross, Dr. Robert  
 Ross, Dr. Virginia  
 Roth, Dr. and Mrs.  
   Stephen  
 Rowe, Mr. Don  
 Rowe, Mr. and Mrs.  
   William S.  
 Rubin, Dr. Joseph  
 Rugh, Mrs. Roberts  
 Ryder, Mr. and Mrs.  
   Francis C.  
 Sager, Dr. Ruth  
 Sardinha, Mr. George H.  
 Saunders, Dr. and Mrs.  
   John W.  
 Saunders, Mrs.  
   Lawrence  
 Saunders, Lawrence,  
   Fund  
 Sawyer, Mr. and Mrs.  
   John E.  
 Saz, Mrs. Ruth L.  
 Schlesinger, Dr. and  
   Mrs. R. Walter  
 Scott, Mrs. George T.  
 Scott, Mr. and Mrs.  
   Norman E.  
 Sears, Mr. Clayton C.  
 Sears, Mr. and Mrs.  
   Harold B.  
 Sears, Mr. Harold H.  
 Seaver, Mr. George  
 Segal, Dr. and Mrs.  
   Sheldon J.  
 Senft, Dr. and Mrs.  
   Alfred  
 Shapiro, Mr. and Mrs.  
   Howard  
 Shapley, Dr. Robert  
 Shemin, Dr. and Mrs.  
   David  
 Shepro, Dr. and Mrs.  
   David

Siegel, Mr. and Mrs.  
   Alvin  
 Simmons, Mr. Tim  
 Singer, Mr. and Mrs.  
   Daniel M.  
 Smith, Drs. Frederick E.  
   and Marguerite A.  
 Smith, Mrs. Homer P.  
 Smith, Mr. Van Dorn C.  
 Snyder, Mr. Robert M.  
 Solomon, Dr. and Mrs.  
   A. K.  
 Speck, Dr. William T.  
 Specht, Mr. and Mrs.  
   Heinz  
 Spiegel, Dr. and Mrs.  
   Melvin  
 Spotte, Mr. Stephen  
 Steele, Mrs. John H.  
 Stein, Mr. Ronald  
 Steinbach, Mrs. H. Burr  
 Stetson, Mrs. Thomas J.  
 Stetten, Dr. Gail  
 Stetten, Dr. and Mrs. H.  
   DeWitt, Jr.  
 Stewart, Mr. and Mrs.  
   Peter  
 Strehler, Dr. and Mrs.  
   Bernard  
 Stunkard, Dr. Horace  
 Sudduth, Dr. William  
 Swanson, Dr. and Mrs.  
   Carl P.  
 Swope, Mrs. Gerard, Jr.  
 Swope, Mr. and Mrs.  
   Gerard L.  
 Szent-Györgyi, Dr.  
   Andrew  
 Tabor, Mr. George H.  
 Taylor, Mr. James K.  
 Taylor, Dr. and Mrs. W.  
   Randolph  
 Tietje, Mr. and Mrs.  
   Emil D., Jr.  
 Timmins, Mrs. William  
 Todd, Mr. and Mrs.  
   Gordon F.  
 Tolkan, Mr. and Mrs.  
   Norman N.  
 Trager, Mrs. William  
 Trigg, Mr. and Mrs. D.  
   Thomas  
 Troll, Dr. and Mrs.  
   Walter

Tucker, Miss Ruth  
 Tully, Mr. and Mrs.  
   Gordon F.  
 Ulbrich, Mr. and Mrs.  
   Volker  
 Valois, Mr. and Mrs.  
   John  
 Van Buren, Mrs. Harold  
 Van Holde, Mrs.  
   Kensal E.  
 Veeder, Mrs. Ronald A.  
 Vincent, Mr. and Mrs.  
   Samuel W.  
 Vincent, Dr. Walter S.  
 Wagner, Mr. Mark  
 Waksman, Dr. and Mrs.  
   Byron H.  
 Ward, Dr. Robert T.  
 Ware, Mr. and Mrs. J.  
   Lindsay  
 Warren, Dr. Henry B.  
 Warren, Dr. and Mrs.  
   Leonard  
 Watt, Mr. and Mrs.  
   John B.  
 Weeks, Mr. and Mrs.  
   John T.  
 Weinstein, Miss  
   Nancy B.

Weisberg, Mr. and Mrs.  
   Alfred M.  
 Wheeler, Dr. and Mrs.  
   Paul S.  
 Whitehead, Mr. and  
   Mrs. Fred  
 Whitney, Mr. and Mrs.  
   Geoffrey G., Jr.  
 Wichterman, Dr. and  
   Mrs. Ralph  
 Wickersham, Mr. and  
   Mrs. A. A. Tilney  
 Wiese, Dr. Konrad  
 Wilhelm, Dr. Hazel S.  
 Wilson, Mr. and Mrs. T.  
   Hastings  
 Winn, Dr. William M.  
 Winsten, Dr. Jay A.  
 Witting, Miss Joyce  
 Wofinsohn, Mrs. Wolfe  
 Woodwell, Dr. and Mrs.  
   George M.  
 Yntema, Mrs. Chester L.  
 Young-Wallace, Miss  
   Nina L.  
 Zinn, Dr. and Mrs.  
   Donald J.  
 Zipf, Dr. Elizabeth

### III. Certificate of Organization

(On File in the Office of the Secretary of the Commonwealth)

No. 3170

We, Alpheus Hyatt, President, William Stanford Stevens, Treasurer, and William T. Sedgwick, Edward G. Gardiner, Susan Mims and Charles Sedgwick Minot being a majority of the Trustees of the Marine Biological Laboratory in compliance with the requirements of the fourth section of chapter one hundred and fifteen of the Public Statutes do hereby certify that the following is a true copy of the agreement of association to constitute said Corporation, with the names of the subscribers thereto:

We, whose names are hereto subscribed, do, by this agreement, associate ourselves with the intention to constitute a Corporation according to the provisions of the one hundred and fifteenth chapter of the Public Statutes of the Commonwealth of Massachusetts, and the Acts in amendment thereof and in addition thereto.

The name by which the Corporation shall be known is THE MARINE BIOLOGICAL LABORATORY.

The purpose for which the Corporation is constituted is to establish and maintain a laboratory or station for scientific study and investigations, and a school for instruction in biology and natural history.

The place within which the Corporation is established or located is the city of Boston within said Commonwealth.

The amount of its capital stock is none.

*In Witness Whereof*, we have hereunto set our hands, this twenty seventh day of February in the year eighteen hundred and eighty-eight, Alpheus Hyatt, Samuel Mills, William T. Sedgwick, Edward G. Gardiner, Charles Sedgwick Minot, William G. Farlow, William Stanford Stevens, Anna D. Phillips, Susan Mims, B. H. Van Vleck.

That the first meeting of the subscribers to said agreement was held on the thirteenth day of March in the year eighteen hundred and eighty-eight.

*In Witness Whereof*, we have hereunto signed our names, this thirteenth day of March in the year eighteen hundred and eighty-eight, Alpheus Hyatt, President, William Stanford Stevens, Treasurer, Edward G. Gardiner, William T. Sedgwick, Susan Mims, Charles Sedgwick Minot.

(Approved on March 20, 1988 as follows:

*I hereby certify* that it appears upon an examination of the within written certificate and the records of the corporation duly submitted to my inspection, that the requirements of sections one, two and three of chapter one hundred and fifteen, and sections eighteen, twenty and twenty-one of chapter one hundred and six, of the Public Statutes, have been complied with and I hereby approve said certificate this twentieth day of March A.D. eighteen hundred and eighty-eight.

Charles Endicott  
*Commissioner of Corporations*)

#### IV. Articles of Amendment

(On File in the Office of the Secretary of the Commonwealth)

We, James D. Ebert, President, and David Shepro, Clerk of the Marine Biological Laboratory, located at Woods Hole, Massachusetts 02543, do hereby certify that the following amendment to the Articles of Organization of the Corporation was duly adopted at a meeting held on August 15, 1975, as adjourned to August 29, 1975, by vote of 444 members, being at least two-thirds of its members legally qualified to vote in the meeting of the corporation:

**Voted:** That the Certificate of Organization of this corporation be and it hereby is amended by the addition of the following provisions:

"No Officer, Trustee or Corporate Member of the corporation shall be personally liable for the payment or satisfaction of any obligation or liabilities incurred as a result of, or otherwise in connection with, any commitments, agreements, activities or affairs of the corporation.

"Except as otherwise specifically provided by the Bylaws of the corporation, meetings of the Corporate Members of the corporation may be held anywhere in the United States.

"The Trustees of the corporation may make, amend or repeal the Bylaws of the corporation in whole or in part, except with respect to any provisions thereof which shall by law, this Certificate or the bylaws of the corporation, require action by the Corporate Members."

The foregoing amendment will become effective when these articles of amendment are filed in accordance with Chapter 180, Section 7 of the General Laws unless these articles specify, in accordance with the vote adopting the amendment, a later effective date not more than thirty days after such filing, in which event the amendment will become effective on such later date.

*In Witness whereof and Under the Penalties of Perjury*, we have hereto signed our names this 2nd day of September, in the year 1975, James D. Ebert, President; David Shepro, Clerk.

(Approved on October 24, 1975, as follows:

I hereby approve the within articles of amendment and, the filing fee in the amount of \$10 having been paid, said articles are deemed to have been filed with me this 24th day of October, 1975.

Paul Guzzi  
*Secretary of the Commonwealth*)

#### V. Bylaws of the Corporation of the Marine Biological Laboratory

(Revised August 16, 1985)

I. (A) The name of the Corporation shall be The Marine Biological Laboratory. The Corporation's purpose shall be to establish and maintain a laboratory or station for scientific study and investigation, and a school for instruction in biology and natural history.

(B) Marine Biological Laboratory admits students without regard to race, color, sex, national and ethnic origin to all the rights, privileges, programs and activities generally accorded or made available to students in its courses. It does not discriminate on the basis of race, color, sex, national and ethnic origin in employment, administration or its educational policies, admissions policies, scholarship and other programs.



II. (A) The members of the Corporation ("Members") shall consist of persons elected by the Board of Trustees, upon such terms and conditions and in accordance with such procedures, not inconsistent with law or these Bylaws, as may be determined by said Board of Trustees. Except as provided below, any Member may vote at any meeting either in person or by proxy executed no more than six months prior to the date of such meeting. Members shall serve until their death or resignation unless earlier removed with or without cause by the affirmative vote of two-thirds of the Trustees then in office. Any member who has attained the age of seventy years or has retired from his home institution shall automatically be designated a Life Member provided he signifies his wish to retain his membership. Life Members shall not have the right to vote and shall not be assessed for dues.

(B) The Associates of the Marine Biological Laboratory shall be an unincorporated group of persons (including associations and corporations) interested in the Laboratory and shall be organized and operated under the general supervision and authority of the Trustees.

III. The officers of the Corporation shall consist of a Chairman of the Board of Trustees, President, Director, Treasurer and Clerk, elected or appointed by the Trustees as set forth in Article IX.

IV. The Annual Meeting of the Members shall be held on the Friday following the Second Tuesday in August in each year at the Laboratory in Woods Hole, Massachusetts, at 9:30 a.m. Subject to the provisions of Article VIII(2), at such meeting the Members shall choose by ballot six Trustees to serve four years, and shall transact such other business as may properly come before the meeting. Special meetings of the Members may be called by the Chairman or Trustees to be held at such time and place as may be designated.

V. Twenty five Members shall constitute a quorum at any meeting. Except as otherwise required by law or these Bylaws, the affirmative vote of a majority of the Members voting in person or by proxy at a meeting attended by a quorum (present in person or by proxy) shall constitute action on behalf of the Members.

VI. (A) Inasmuch as the time and place of the Annual Meeting of Members are fixed by these Bylaws, no notice of the Annual Meeting need be given. Notice of any special meeting of Members, however, shall be given by the Clerk by mailing notice of the time and place and purpose of such meeting, at least 15 days before such meeting, to each Member at his or her address as shown on the records of the Corporation.

(B) Any meeting of the Members may be adjourned to any other time and place by the vote of a majority of those Members present or represented at the meeting, whether or not such Members constitute a quorum. It shall not be necessary to notify any Members of any adjournment.

VII. The Annual Meeting of the Trustees shall be held promptly after the Annual Meeting of the Corporation at the

Laboratory in Woods Hole, Massachusetts. Special meetings of the Trustees shall be called by the Chairman, the President, or by any seven Trustees, to be held at such time and place as may be designated. Notice of Trustees' meetings may be given orally, by telephone, telegraph or in writing; and notice given in time to enable the Trustees to attend, or in any case notice sent by mail or telegraph to a Trustee's usual or last known place of residence, at least one week before the meeting shall be sufficient. Notice of a meeting need not be given to any Trustee if a written waiver of notice, executed by him before or after the meeting is filed with the records of the meeting, or if he shall attend the meeting without protesting prior thereto or at its commencement the lack of notice to him.

VIII. (A) There shall be four groups of Trustees:

(1) Trustees (the "Corporate Trustees") elected by the Members according to such procedures, not inconsistent with these Bylaws, as the Trustees shall have determined. Except as provided below, such Trustees shall be divided into four classes of six, one class to be elected each year to serve for a term of four years. Such classes shall be designated by the year of expiration of their respective terms.

(2) Trustees ("Trustees-at-large") approved by members according to such procedures, not inconsistent with these Bylaws, as the Trustees shall have determined. Except as provided below, such Trustees-at-large shall be divided into four classes of four, one class to be elected each year to serve for a term of four years. Such classes shall be designated by the year of expiration of their respective terms. It is contemplated that, unless otherwise determined by the Trustees for good reason, Trustees-at-large, shall be individuals who have not been considered for election as Corporate Trustees.

(3) Trustees ex officio, who shall be the Chairman, the President, the Director, the Treasurer, and the Clerk.

(4) Trustees emeriti, who shall include any Member who has attained the age of seventy years (or the age of sixty-five and has retired from his home institution) and who has served a full elected term as a regular Trustee, provided he signifies his wish to serve the Laboratory in that capacity. Any Trustee who qualifies for emeritus status shall continue to serve as a regular Trustee until the next Annual Meeting whereupon his office as regular Trustee shall become vacant and be filled by election by the Members or by the Board, as the case may be. The Trustees ex officio and emeriti shall have all the rights of the Trustees, except that Trustees emeriti shall not have the right to vote.

(B) The aggregate number of Corporate Trustees and Trustees-at-large elected in any year (excluding Trustees elected to fill vacancies which do not result from expiration of a term) shall not exceed ten. The number of Trustees-at-large so elected shall not exceed four and unless otherwise determined by vote of the Trustees, the number of Corporate Trustees so elected shall not exceed six. Corporate Trustees shall always constitute a majority on the Board of those elected or approved by the Corporation.

(C) The Trustees and Officers shall hold their respective offices until their successors are chosen in their stead.

(D) Any Trustee may be removed from office at any time with or without cause, by vote of a majority of the Members



entitled to vote in the election of Trustees; or for cause, by vote of two-thirds of the Trustees then in office. A Trustee may be removed for cause only if notice of such action shall have been given to all of the Trustees or Members entitled to vote, as the case may be, prior to the meeting at which such action is to be taken and if the Trustee so to be removed shall have been given reasonable notice and opportunity to be heard before the body proposing to remove him.

(E) Any vacancy in the number of Trustees, however arising, may be filled by the Trustees then in office unless and until filled by the Members at the next Annual Meeting.

(F) A Corporate Trustee or a Trustee-at-large who has served an initial term of at least two years duration shall be eligible for re-election to a second term, but shall be ineligible for re-election to any subsequent term until two years have elapsed after he last served as Trustee.

IX. (A) The Trustees shall have the control and management of the affairs of the Corporation. They shall elect a Chairman of the Board of Trustees who shall be elected annually and shall serve until his successor is selected and qualified and who shall also preside at meetings of the Corporation. They shall elect a President of the Corporation who shall also be the Vice Chairman of the Board of Trustees and Vice Chairman of meetings of the Corporation, and who shall be elected annually and shall serve until his successor is selected and qualified. They shall annually elect a Treasurer who shall serve until his successor is selected and qualified. They shall elect a Clerk (a resident of Massachusetts) who shall serve for a term of four years. Eligibility for re-election shall be in accordance with the content of Article VIII(F) as applied to corporate or Board Trustees. They shall elect Board Trustees as described in Article VIII(B). They shall appoint a Director of the Laboratory for a term not to exceed five years, provided the term shall not exceed one year if the candidate has attained the age of 65 years prior to the date of the appointment. They may choose such other officers and agents as they may think best. They may fix the compensation and define the duties of all the officers and agents of the Corporation and may remove them at any time. They may fill vacancies occurring in any of the offices. The Board of Trustees shall have the power to choose an Executive Committee from their own number as provided in Article X, and to delegate to such Committee such of their own number as provided in Article X, and to delegate to such Committee such of their own powers as they may deem expedient in addition to those powers conferred by Article X. They shall from time to time elect Members to the Corporation upon such terms and conditions as they shall have determined, not inconsistent with law or these Bylaws.

(B) The Board of Trustees shall also have the power, by vote of a majority of the Trustees then in Office, to elect an Investment Committee and any other committee and, by like vote, to delegate thereto some or all of their powers except those which by law, the Articles of Organization or these Bylaws they are prohibited from delegating. The members of any such committee shall have tenure and duties as the Trustees shall determine; provided that the Investment Committee, which shall oversee the management of the Corporation's endowment

funds and marketable securities, shall include the Chairman of the Board of Trustees, the Treasurer of the Corporation, and the Chairman of the Corporation's Budget Committee, as ex officio members, together with such Trustees as may be required for not less than two-thirds of the Investment Committee to consist of Trustees. Except as otherwise provided by these Bylaws or determined by the Trustees, any such committee may make rules for the conduct of its business; but, unless otherwise provided by the Trustees or in such rules, its business shall be conducted as nearly as possible in the same manner as is provided by these Bylaws for the Trustees.

X. (A) The Executive Committee is hereby designated to consist of not more than ten members, including the ex officio Members (Chairman of the Board of Trustees, President, Director and Treasurer); and six additional Trustees, two of whom shall be elected by the Board of Trustees each year, to serve for a three-year term. Beginning with the members elected for terms ending in 1990, one of the Trustees elected to serve on the Executive Committee should be a Trustee-at-large. This procedure will be repeated in the class of 1991, and henceforth the Trustees will elect to the Executive Committee Trustees to ensure that the composition of the Committee is four Corporate Trustees and two Trustees-at-large.

(B) The Chairman of the Board of Trustees shall act as Chairman of the Executive Committee, and the President as Vice Chairman. A majority of the members of the Executive Committee shall constitute a quorum and the affirmative vote of a majority of those voting at any meeting at which a quorum is present shall constitute action on behalf of the Executive Committee. The Executive Committee shall meet at such times and places and upon such notice and appoint such subcommittees as the Committee shall determine.

(C) The Executive Committee shall have and may exercise all the powers of the Board during the intervals between meetings of the Board of Trustees except those powers specifically withheld from time to time by vote of the Board or by law. The Executive Committee may also appoint such committees, including persons who are not Trustees, as it may from time to time approve to make recommendations with respect to matters to be acted upon by the Executive Committee or the Board of Trustees.

(D) The Executive Committee shall keep appropriate minutes of its meetings and its action shall be reported to the Board of Trustees.

(E) The elected Members of the Executive Committee shall constitute a standing "Committee for the Nomination of Officers," responsible for making nominations, at each Annual Meeting of the Corporation, and of the Board of Trustees, for candidates to fill each office as the respective terms of office expire (Chairman of the Board, President, Director, Treasurer, and Clerk).

XI. A majority of the Trustees, the Executive Committee, or any other committee elected by the Trustees shall constitute a quorum; and a lesser number than a quorum may adjourn any meeting from time to time without further notice. At any meeting of the Trustees, the Executive Committee, or any other

committee elected by the Trustees, the vote of a majority of those present, or such different vote as may be specified by law, the Articles of Organization or these Bylaws, shall be sufficient to take any action.

XII. Any action required or permitted to be taken at any meeting of the Trustees, the Executive Committee or any other committee elected by the Trustees as referred to under Article IX may be taken without a meeting if all of the Trustees or members of such committee, as the case may be, consent to the action in writing and such written consents are filed with the records of meetings. The Trustees or members of the Executive Committee or any other committee appointed by the Trustees may also participate in meeting by means of conference telephone, or otherwise take action in such a manner as may from time to time be permitted by law.

XIII. The consent of every Trustee shall be necessary to dissolution of the Marine Biological Laboratory. In case of dissolution, the property shall be disposed of in such a manner and upon such terms as shall be determined by the affirmative vote of two-thirds of the Board of Trustees then in office.

XIV. These Bylaws may be amended by the affirmative vote of the Members at any meeting, provided that notice of the substance of the proposed amendment is stated in the notice of such meeting. As authorized by the Articles of Organization, the Trustees, by a majority of their number then in office, may also make, amend, or repeal these Bylaws, in whole or in part, except with respect to (a) the provisions of these Bylaws governing (i) the removal of Trustees and (ii) the amendment of these Bylaws and (b) any provisions of these Bylaws which by law, the Articles of Organization or these Bylaws, requires action by the Members.

No later than the time of giving notice of the meeting of Members next following the making, amending or repealing by the Trustees of any Bylaw, notice thereof stating the substance of such change shall be given to all Corporation Members entitled to vote on amending the Bylaws.

Any Bylaw adopted by the Trustees may be amended or repealed by the Members entitled to vote on amending the Bylaws.

XV. The account of the Treasurer shall be audited annually by a certified public accountant.

XVI. Except as otherwise provided below, the Corporation shall, to the extent legally permissible, indemnify each person who is, or shall have been, a Trustee, director or officer of the Corporation or who is serving, or shall have served, at the request of the Corporation as a Trustee, director or officer of another organization in which the Corporation directly or indirectly has any interest, as a shareholder, creditor or otherwise, against all liabilities and expenses (including judgments, fines, penalties and reasonable attorneys' fees and all amounts paid, other than to the Corporation or such other organization, in compromise or settlement) imposed upon or incurred by any

such person in connection with, or arising out of, the defense or disposition of any action, suit or other proceeding, whether civil or criminal, in which he or she may be a defendant or with which he or she may be threatened or otherwise involved, directly or indirectly, by reason of his or her being or having been such a Trustee, director or officer.

The Corporation shall provide no indemnification with respect to any matter as to which any such Trustee, director or officer shall be finally adjudicated in such action, suit or proceeding not to have acted in good faith in the reasonable belief that his or her action was in the best interests of the Corporation. The Corporation shall provide no indemnification with respect to any matter settled or compromised, pursuant to a consent decree or otherwise, unless such settlement or compromise shall have been approved as in the best interests of the Corporation, after notice that indemnification is involved, by (i) a disinterested majority of the Board of Trustees or of the Executive Committee or, (ii) a majority of the Corporation's Members.

Indemnification may include payment by the Corporation of expenses in defending a civil or criminal action or proceeding in advance of the final disposition of such action or proceeding upon receipt of an undertaking by the person indemnified to repay such payment if it is ultimately determined that such person is not entitled to indemnification under the provisions of this Article XVI, or under any applicable law.

As used in this Article, the terms "Trustee," "director" and "officer" include their respective heirs, executors, administrators and legal representatives, and an "interested" Trustee, director or officer is one against whom in such capacity the proceeding in question or another proceeding on the same or similar grounds is then pending.

To assure indemnification under this Article of all persons who are determined by the Corporation or otherwise to be or to have been "fiduciaries" of any employee benefit plan of the Corporation which may exist from time to time, this Article shall be interpreted as follows: (i) "another organization" shall be deemed to include such an employee benefit plan, including without limitation, any plan of the Corporation which is governed by the Act of Congress entitled "Employee Retirement Income Security Act of 1974," as amended from time to time ("ERISA"); (ii) "Trustee" shall be deemed to include any person requested by the Corporation to serve as such for an employee benefit plan where the performance by such person of his or her duties to the Corporation also imposes duties on, or otherwise involves services by, such person to the plan or participants or beneficiaries of the plan; (iii) "fines" shall be deemed to include any excise taxes assessed on a person with respect to an employee benefit plan pursuant to ERISA; and (iv) actions taken or omitted by a person with respect to an employee benefit plan in the performance of such person's duties for a purpose reasonably believed by such person to be in the interest of the participants and beneficiaries of the plan shall be deemed to be for a purpose which is in the best interests of the Corporation.

The right of indemnification provided in this Article shall not be exclusive of or affect any other rights to which any Trustee, director or officer may be entitled under any agreement, statute, vote of members or otherwise. The Corpora-



tion's obligation to provide indemnification under this Article shall be offset to the extent of any other source of indemnification or any otherwise applicable insurance coverage under a policy maintained by the Corporation or any other person. Nothing contained in this Article shall affect any rights to which employees and corporate personnel other than Trustees, directors or officers may be entitled by contract, by vote of the Board of Trustees or of the Executive Committee or otherwise.

## VI. Report of the Director

### Standing the Test of Experience

*As the development of an organism can only be understood from the standpoint of genetic continuity, . . . so the development of the Laboratory, if it is to be fairly understood, must be examined, not in the light of what may transpire in a week or even a whole session, but in the light of its continuous history. Each session may be regarded as a developmental phase, as the promise of the germinal inception unfolded to the extent determined by all the cooperating factors. But each phase or stage is the fulfilment of all that have gone before and the prophecy of all that are to follow.*

*In order to know whether we have fulfilled well or ill, we must go back to the germ in its first stage, and see what promise it contained and what general policy and means were proposed for the fulfilment. If we have pursued one purpose and one method from the beginning, we ought now to be in a position to see whether theory has stood the test of experience.*

—C. O. Whitman, Eighth Annual Report, 1895, p. 18

The centennial anniversary of an institution is an appropriate occasion for looking back—and an equally appropriate occasion for looking around and looking forward.

In the years leading up to the Marine Biological Laboratory's centennial, historians have examined this embryonic organism—its origins and its early developmental phases. Historians and philosophers, within the corporation and without, have asked what has happened at the MBL, and what role the laboratory has played in spurring the remarkable growth of American biology. (Some of the best of those studies are available in a special issue of *The Biological Bulletin*, vol 168, no 3.)

While the historians have been looking back, other members of the MBL community have been taking a careful look around, asking what the laboratory is today, and forward, asking what role it will play in the next decades of American biology—and enterprise several orders of magnitude larger and vastly more complex than it was in C. O. Whitman's time. An *ad hoc* Committee on Long Range Goals spent two years taking a hard look forward, and submitted its report on the laboratory's future to the corporation in June of 1987.

In the second half of the laboratory's one-hundredth

year, we have been working to draw together into one workable plan all the ideas inspired by the approach of the centennial year. Well-acquainted now with the laboratory's illustrious and unusual history, we are confident that the experiences of the past 100 years show us the way forward. Thus, although the centennial celebration has just begun, the centennial self-examination has already shown us where the laboratory must go in the next decade—if it is to continue to make important contributions to the national biological research effort.

Clearly, the MBL's unparalleled summer programs of teaching and research must remain the laboratory's *raison d'être*. Just as clearly, we must redouble our efforts to apply the most modern biological approaches in our summer courses and in our research programs.

We must continue to provide tutorial laboratory courses at the cutting edge of science. For nearly a century now, MBL summer courses have attracted faculty and students from the best institutions in the world. Taken together, the MBL summer courses represent a collection of scientific talent that cannot be duplicated at any one university. To ensure the continued health of these one-of-a-kind courses, we must seek over the next decade an educational endowment fund that will cover course expenses not covered by tuition or grants.

To sustain and improve our present research technologies and to provide a stable base for summer programs, we will have to encourage new year-round research programs in areas of traditional MBL strength. The new programs will supplement the important existing programs and create a critical mass of year-round investigators in neurobiology, cell biology, and developmental biology. We should build strong year-round programs in microbiology and in molecular genetics, molecular evolution, and other areas of research that employ the tools and techniques of modern molecular biology. And of course we must maintain the strong and still-expanding year-round Ecosystems Center.

We must continue to capitalize on marine organisms as models for the study of human diseases. As we've known for some time now, we will have to develop new facilities and expertise for cultivating, rearing, and studying the many marine animals that are so critical to biomedical research. And to complement modern molecular approaches, we will need an updated and modernized facility for warm-blooded animals.

While we look to expand our year-round programs and modernize our research facilities, we must continue to nourish our traditional programs and resources. We will maintain our relationship with the Boston University Marine Program, an association that supports traditional areas of MBL research, provides us with another window on environmental science, and ensures a continuous tie to academia. And having completed a \$2.5 mil-



lion library endowment campaign in 1987, we've already begun to look for ways to modernize and strengthen this renowned biological science resource.

The demands of modern biological research and teaching are great—and accelerating. To meet those demands, to take the laboratory where it must go in the exciting decade of biology that lies immediately ahead, we have accelerated greatly our development efforts in 1987. We have asked those who have supported us in the past to maintain their support and to increase it where possible, and we have worked very hard to identify sources of support beyond the core of foundations and friends who have historically been so generous and loyal to the MBL.

To support the new development efforts, we have made an effort to improve communications—with the trustees who run the laboratory, with the individuals and foundations who support the laboratory, and with the many individuals and institutions who are potential supporters. The emphasis on communications has inspired two new publications: a monthly newsletter (*MBL Update*) from the director to the trustees and an annual development report (this year titled *MBL 87*) to acknowledge and thank everyone who has supported the laboratory in the past year.

While we have tried to improve communications with our far-flung network of friends, we have tried simultaneously to improve routine communications within the laboratory. Associate Director Ray Epstein has instituted weekly meetings of the top management staff and monthly meetings of other staff members. The year-round scientists have begun meeting monthly to identify common problems and to search for solutions; those meetings, now formalized as the Forum for Year-Round Scientists, provide a way for the scientific staff to bring their concerns directly to the administrative staff who are responsible for the area of operation that needs attention. Also within the laboratory, the Employee Relations Committee has begun to publish a staff newsletter (*ERC News*).

If the years leading up to the Centennial have been a valuable period of self-examination for the MBL community, the centennial year of 1988 brings the opportunity to share our history and our aspirations with the world at large. Mindful of the many ways the centennial can contribute to the health of the laboratory, we have tried to shape a centennial that will be both celebratory and useful. We have encouraged activities that contribute to one or more of our centennial goals: to search our past for guidance about our future; to bring the story of our past and of our future before a new audience; to give our neighbors, on the Cape and across the country, a glimpse into a modern research laboratory; to raise public understanding of science by fostering sophisticated

yet accessible discussions of science, science education, science communication, and science and public policy; and to mount scientific symposia and other scientific events that make an immediate contribution to the central activities of the laboratory—teaching and research in basic biology.

Having noted some of the directions the laboratory must move in the near future, I'd like to close this 100th director's report with an observation about continuity.

We know the MBL as the summer home of neurobiology, embryology, and cell biology; as the place where ideas are brought together, fertilized, and allowed to develop (with occasional interesting mutations); as an institution where whole areas of inquiry can take root quickly; as the training ground of the next generation of American biologists; and as the only major biological institution that recreates itself each year. These fond visions of the laboratory are reconfirmed every year by the scientists, students, scholars, writers, and others who come to the laboratory for the first time. But until you look closely at the historical record, (until you've viewed the laboratory in light of its continuous history, as Whitman suggested it must be viewed) you're apt to miss one of the outstanding features of this institution: throughout its one hundred seasons, through twelve directorships and many more institutional changes, the MBL has remained true to the course set by its original director, Charles Otis Whitman.

In the First Annual Report of 1888, Whitman outlined the essential design of the MBL in a few brief paragraphs:

*The new Laboratory at Wood's Holl is nothing more, and, I trust, nothing less, than a first step towards the establishment of an ideal biological station, organized on a basis broad enough to represent all important features of the several types of laboratories hitherto known in Europe and America . . .*

*The research department should furnish just the elements required for the organization of a thoroughly efficient department of instruction. Other things being equal, the investigator is always the best instructor. The highest grade of instruction in any science can only be furnished by one who is thoroughly imbued with the scientific spirit, and who is actually engaged in original work. Hence the propriety—and, I may say, the necessity—of linking the function of instruction with that of investigation . . . To limit the work of the Laboratory to teaching would be a most serious mistake; and to exclude teaching would shut out the possibilities of the highest development. The combination of the two functions in mutually stimulating relations is a feature of the Laboratory to be strongly commended.*

—C. O. Whitman, First Annual Report, 1888, pp. 16–17

Reflecting on this statement seven years later, Whitman wrote, "Here [in the 1888 Report] we see sketched

the elemental basis of our germ-organization . . . The *aim* was a permanent biological station; the *function* was to be instruction and investigation; the *formative principle* relied upon was co-operation." (Eighth Annual Report, 1895, p. 19)

Taken together, these two passages provide a nearly complete index of features and functions (in the double sense of rules and roles) that shaped the early development of the laboratory, still guide its growth today, and will continue to do so into the future.

The essential principles of the laboratory are:

1. The MBL is equally devoted to investigation and instruction; and these are interdependent functions of the institution.
2. The MBL is independent, democratic, and national in character.
3. The MBL is cooperative in spirit and design.
4. The MBL is an institution for teaching and research in basic biology.
5. The MBL is unrestricted in biological scope.
6. The MBL fosters collaboration, interaction, and the exchange of ideas.
7. The MBL is equally dedicated to attracting top-flight investigators and training young scientists.
8. The organization and administration of the MBL is flexible and responsive to the need for change.

Throughout its first century, the laboratory has managed—sometimes against great odds—to remain true to this ambitious set of principles.

Our plans for the first decade of the laboratory's second century grow out of the recommendations of the forward-looking Committee on Long Range Goals. At the same time, our plans are perfectly in keeping with the principles that have served the MBL—and American biology—so well for 100 years.

The close of our first century finds us in a fortunate position. We have a proud and successful history. We have a good base on which to build. And we can look forward with a vision that was elucidated clearly in the laboratory's first decade and adhered to steadfastly ever after. I'm confident that over the next few years that vision will guide us into a future that is relentlessly bright.

## VII. Report of the Treasurer

I want first to call your attention to the strength of the Laboratory's balance sheet at the end of 1987. Current assets exceeded current liabilities by almost \$2,000,000 compared to about \$760,000 at the end of 1986. This increase is due mainly to the generous five-year grant of \$750,000 by the MacArthur Foundation in support of the Parasitology course, and a multi-year grant by the Mellon Foundation to the Ecosystems Center. Such

long-term funding considerably improves the financial stability of the MBL and allows the Director to plan and optimize the scientific program of the Laboratory.

Another factor contributing to the increase in net current assets is the net growth of the repairs and replacement reserve by \$61,401, even after acquisition of fixed assets and repayment of debt principal of \$173,572. We are continuing to make progress towards our goal of funding depreciation expense from current operations. The need for such reserves is real, and it is underscored by the fact that in 1988 we have expended the balance available for housing repairs and replacements on renovations.

Endowed fund balances increased by approximately \$475,000 in 1987 while quasi-endowment balances were down slightly. The former is due principally to the generous support of the library endowment by the Bay Foundation, which enabled us to meet the challenge of the Mellon Match Grant. In this roller-coaster year in the financial markets we have reason to be satisfied with our portfolio management. Net realized and unrealized losses amounted to approximately \$60,000 on portfolios in excess of \$10,000,000. We had lightened up on equities in advance of the crash, but I will admit to some concern about such a market decline in the year following my recommendation that we show investments at market value on the balance sheet. I hope you will agree that it is better to see clearly on the balance sheet "how we did" than having to dive into the footnotes to find out.

The statement of Support, Revenue, Expenses and Changes in Fund Balances shows how the Laboratory's operations fared this year. The Total Current Unrestricted Funds (Housing Enterprises) has an excess of revenue over expenses of \$290,894, which is gratifying. I reiterate my statement of last year that this cannot be considered a "surplus" because it is before taking account of depreciation expenses. We were only able to contribute \$32,274 to Repairs and Replacements from the Housing Enterprise Fund, which is of concern to the Executive Committee. A program is in place to restore the Housing budget to more robust financial health. We were able to set aside \$145,701 for the Repairs and Replacement Reserve this year out of the current unrestricted fund, a great improvement over 1986.

The overall operating results of the Laboratory in 1987 were quite good, but there are some trends that merit attention and concern. For the third straight year total expenditures on research declined—from \$4,048,000 in 1985 to \$3,864,000 in 1987. That actually understates the amount by which year-round research at the Laboratory has decreased since a significant portion of the expenses of the departing NINCDS program have not been administered by the Laboratory and are therefore not in our accounts. The major financial effect of this shrinking

of the research base is to cause an increase in our overhead rates. While our proposed rate for 1988 is not high in comparison to other research institutions, the size of the increase in one year has placed a potential burden on our year-round scientists.

Recovery of indirect costs for the summer program declined slightly, from \$525,000 in 1986 to \$521,000 in 1987. While this is not a major amount, and is still significantly above 1985's total of \$468,000, any decrease in revenues from the core of the Laboratory's program should alert us for causes and to look carefully at future prospects.

Operating expenses of support activities were relatively stable in 1987, but this stability masks the fact that during the transition between full-time directors some positions were not staffed, and under the new administration, some necessary positions have been added.

In 1988 we expect expenses to rise more rapidly than revenues, and your Executive Committee has approved a budget for 1988 with a deficit of revenues to expenses

of \$88,000. This budget received the most careful review by the Executive Committee—a substantial portion of its meetings from September through January were devoted to it. The decision to incur a deficit was based on the following factors: the need to maintain excellent support of science at the MBL, the soundness of the Laboratory's financial condition, and reasonable prospects of improved grant funding in the near term. We also felt that the longer term financial prospects of the Laboratory were bright and that any major retrenchment of expenditures might balance the budget in the short term but jeopardize the resources on which future growth depends.

The Executive Committee's deliberations on the budget were an exemplary exercise in financial review. Out of them came a commitment to continued funding of excellence in science and a renewed awareness that that is a difficult challenge. All of us enter this Second Century dedicated to the greatness of the Laboratory and committed to the effort of sustaining that distinction.



Coopers  
& Lybrand

certified public accountants

To the Trustees of  
Marine Biological Laboratory  
Woods Hole, Massachusetts

We have examined the balance sheet of Marine Biological Laboratory as of December 31, 1987 and the related statement of support, revenues, expenses and changes in fund balances for the year then ended. Our examination was made in accordance with generally accepted auditing standards and, accordingly, included such tests of the accounting records and such other auditing procedures as we considered necessary in the circumstances. We previously examined and reported upon the financial statements of the Laboratory for the year ended December 31, 1986, which condensed statements are presented for comparative purposes only.

In our opinion, the financial statements referred to above present fairly the financial position of Marine Biological Laboratory at December 31, 1987 and its support, revenues, expenses and changes in fund balances for the year then ended, in conformity with generally accepted accounting principles applied on a basis consistent with that of the preceding year.

Coopers & Lybrand

Boston, Massachusetts  
April 15, 1988

## MARINE BIOLOGICAL LABORATORY

## BALANCE SHEETS

December 31, 1987 and 1986

| <i>Assets</i>                                                    | <i>1987</i>  | <i>1986</i>  | <i>Liabilities and fund balances</i>  | <i>1987</i>  | <i>1986</i>  |
|------------------------------------------------------------------|--------------|--------------|---------------------------------------|--------------|--------------|
| Cash and savings deposits                                        | \$ 531,705   | \$ 238,120   | Accounts payable and accrued expenses | \$ 449,140   | \$ 333,859   |
| Money market securities (Notes B and H)                          | 850,000      | 50,000       | Deferred income                       | 92,800       | 117,282      |
| Accounts receivable, net of allowance for uncollectible accounts | 586,776      | 425,840      | Current portion of long-term debt     | 78,654       | 73,001       |
| Receivables due for costs incurred on grants and contracts       | 595,925      | 562,375      | Total current liabilities             | 620,594      | 524,142      |
| Other assets                                                     | 19,199       | 8,663        | Mortgage and note payable (Note G)    | 1,185,315    | 1,249,182    |
| Total current assets                                             | 2,583,605    | 1,284,998    | Current unrestricted fund balances:   |              |              |
| Investments, at market (Notes B and H)                           | 10,268,985   | 10,093,443   | Current unrestricted funds            | 84,572       | 55,890       |
| Land, buildings and equipment (Notes B and C)                    | 19,304,410   | 19,193,896   | Housing enterprise funds              | —            | —            |
| Less accumulated depreciation                                    | (7,707,689)  | (7,143,565)  |                                       | 84,572       | 55,890       |
| Total assets                                                     | 11,596,721   | 12,050,331   | Current restricted fund balances:     |              |              |
|                                                                  | \$24,449,311 | \$23,428,772 | Unexpended grants                     | 459,179      | 201,556      |
|                                                                  |              |              | Unexpended gifts                      | 1,113,768    | 457,699      |
|                                                                  |              |              | Unexpended income of endowment funds  | 80,387       | 86,137       |
|                                                                  |              |              |                                       | 1,653,334    | 745,392      |
|                                                                  |              |              | Endowment fund balances:              |              |              |
|                                                                  |              |              | Income for unrestricted purposes      | 2,671,519    | 2,658,967    |
|                                                                  |              |              | Income for restricted purposes        | 3,053,692    | 2,592,671    |
|                                                                  |              |              |                                       | 5,725,211    | 5,251,638    |
|                                                                  |              |              | Quasi-endowment fund balances:        |              |              |
|                                                                  |              |              | Unrestricted                          | 792,538      | 826,455      |
|                                                                  |              |              | Restricted                            | 3,766,195    | 3,835,526    |
|                                                                  |              |              |                                       | 4,558,733    | 4,661,981    |
|                                                                  |              |              | Plant fund balances:                  |              |              |
|                                                                  |              |              | Unrestricted                          | 10,375,536   | 10,770,932   |
|                                                                  |              |              | Repairs and replacement reserve       | 231,016      | 169,615      |
|                                                                  |              |              | Restricted                            | 15,000       | —            |
|                                                                  |              |              |                                       | 10,621,552   | 10,940,547   |
|                                                                  |              |              | Total liabilities and fund balances   | \$24,449,311 | \$23,428,772 |

The accompanying notes are an integral part of the financial statements.





| Expenses                                               |           |          |           |             |             |           |              |              |              |
|--------------------------------------------------------|-----------|----------|-----------|-------------|-------------|-----------|--------------|--------------|--------------|
| Instruction                                            | 43,426    | 587,432  | 43,426    | 1,263,867   |             |           |              | 1,263,867    | 960,722      |
| Research                                               |           |          |           | 3,821,113   |             |           |              | 3,864,539    | 3,960,219    |
| Scholarships and stipends                              |           |          | 43,426    | 200,067     |             |           |              | 200,067      | 194,397      |
| Support activities:                                    |           |          |           |             |             |           |              |              |              |
| Dormitory                                              |           | 587,432  |           |             |             |           |              | 587,432      | 491,551      |
| Dining hall                                            | 654,722   |          |           |             |             |           |              | 654,722      | 439,994      |
| Library                                                | 509,577   |          |           |             |             |           |              | 509,577      | 528,103      |
| Biological Bulletin                                    | 139,795   |          |           |             |             |           |              | 139,795      | 150,551      |
| Research services                                      | 632,882   |          |           |             |             |           |              | 632,882      | 565,513      |
| Marine resources                                       | 365,900   |          |           |             |             |           |              | 365,900      | 363,496      |
| Administration                                         | 1,112,519 | 79,275   |           |             |             |           |              | 1,191,794    | 1,111,622    |
| Sponsored projects                                     |           |          |           |             |             |           |              |              |              |
| administration                                         | 333,630   |          | 333,630   |             |             |           |              | 333,630      | 291,624      |
| Plant operations                                       | 1,074,135 |          | 1,074,135 |             |             |           |              | 1,085,751    | 1,116,940    |
| Depreciation                                           |           |          |           |             |             |           | \$           | 564,124      | 563,911      |
| Disposal                                               |           |          |           |             |             |           |              | 4,844        | 63,519       |
| Other                                                  |           |          |           | 215,994     |             |           |              | 219,920      | 180,765      |
| Total expenses                                         | 4,866,586 | 666,707  | 5,533,293 |             |             |           |              | 11,618,844   | 10,982,933   |
| Excess (deficit) of support and revenues over expenses |           |          |           |             |             |           |              |              |              |
|                                                        | 191,706   | 99,188   | 290,894   | 872,433     | 446,032     | (926)     | (568,968)    | 15,000       | (95,075)     |
| Transfers among funds:                                 |           |          |           |             |             |           |              |              |              |
| Acquisition of fixed assets                            |           |          |           |             |             |           |              |              |              |
| Repayment of debt                                      |           | (68,614) | (68,614)  |             |             |           | 104,958      | (104,958)    | —            |
| Mellon match transfer                                  |           |          |           | (10,000)    |             |           | 68,614       | —            | —            |
| Repairs and replacement                                | (145,701) | (32,274) | (177,975) |             |             |           |              | —            | —            |
| To support ecosystems operations                       |           |          |           |             |             |           |              | 177,975      | —            |
| Other transfers                                        | (17,323)  | 1,700    | (15,623)  |             |             |           | (29,886)     | —            | —            |
| Total transfers among funds                            | (163,024) | (99,188) | (262,212) | 35,509      |             |           |              | —            | —            |
| Realized gains on investments                          |           |          |           |             | 10,000      |           |              | 73,017       | —            |
| Unrealized gains (loss) on investment                  |           |          |           | \$ 187,328  | 108,668     | \$ 30,536 |              | 650,787      | 527,606      |
| Total gain (loss) on investments                       |           |          |           | (174,776)   | (103,679)   | (64,453)  |              | (705,682)    | 335,815      |
| Net change in fund balances                            | 28,682    |          | 28,682    | 907,942     |             |           |              |              | 863,421      |
| Fund balances, beginning of year                       | 55,890    |          | 55,890    | 745,392     | 2,658,967   | 826,455   | 10,770,932   | 21,655,448   | 20,887,102   |
| Fund balances, end of year                             | \$ 84,572 |          | \$ 84,572 | \$1,653,334 | \$2,671,519 | \$792,538 | \$10,375,536 | \$22,643,402 | \$21,655,448 |

The accompanying notes are an integral part of the financial statements.

## Notes to Financial Statements

### A. Purpose of the Laboratory

The purpose of Marine Biological Laboratory (the "Laboratory") is to establish and maintain a laboratory or station for scientific study and investigations, and a school for instruction in biology and nature history.

### B. Significant Accounting Policies

#### Basis of Presentation—Fund Accounting

In order to ensure observance of limitations and restrictions placed on the use of resources available to the Laboratory, the accounts of the Laboratory are maintained in accordance with the principles of "fund accounting." This is the procedure by which resources are classified into separate funds in accordance with specified activities or objectives.

Externally restricted funds may only be utilized in accordance with the purposes established by the donor or grantor of such funds. However, the Laboratory retains full control over the utilization of unrestricted funds. Restricted gifts, grants, and other restricted resources are accounted for in the appropriate restricted funds. Restricted current funds are reported as revenue when received and as related costs are incurred.

Endowment funds are subject to restrictions requiring that the principal be invested with income available for use for restricted or unrestricted purposes by the Laboratory. Quasi-endowment funds have been established by the Laboratory for the same purposes as endowment funds; however, the principal of these funds may be expended for various restricted and unrestricted purposes.

#### Fixed Assets

Fixed assets are recorded at cost. Depreciation is computed using the straight-line method over estimated useful lives of fixed assets.

#### Reclassifications

The financial statements for 1987 reflect certain changes in classification of revenue and expenses. Similar reclassifications have been made to amounts previously reported in order to provide consistency of the financial statements.

#### Contracts and Grants

Revenues associated with contracts and grants are recognized in the statement of support, revenues, expenses and changes in fund balances when received and as related costs are incurred. The Laboratory reimbursement of indirect costs relating to government contracts and grants is based on negotiated indirect cost rates with adjustments for actual indirect costs in future years. Any over or underrecovery of indirect costs is recognized through future adjustments of indirect cost rates.

#### Investments

Investments purchased by the Laboratory are carried at market value. Money market securities are carried at cost which approximates market value. Investments donated to the Laboratory are carried at fair market value at the date of the gift. For determination of gain or loss upon disposal of investments, cost is determined based on the average cost method.

The Laboratory is the beneficiary of certain endowment investments which are held in trust by others. These investments are reflected in the financial statements. Every ten years the Laboratory's status as beneficiary is reviewed to determine that the Laboratory's use of these funds is in accordance with the intent of the funds. The market value of these investments are \$3,334,500 and \$3,333,054 at December 31, 1987 and 1986.

#### Investment Income and Distribution

The Laboratory follows the accrual basis of accounting except that investment income is recorded on a cash basis. The difference between such basis and the accrual basis does not have a material effect on the determination of investment income earned on a year-to-year basis. Investment income includes income from the investments of specific funds and from the pooled investment account. Income from the pooled investment account is distributed to the participating funds on the basis of their proportionate share at market value adjusted for any addition or disposals to pooled funds.

### C. Land, Buildings, and Equipment:

The following is a summary of the unrestricted plant fund assets:

|                               | 1987                | 1986                |
|-------------------------------|---------------------|---------------------|
| Land                          | \$ 689,660          | \$ 689,660          |
| Buildings                     | 16,385,099          | 16,333,358          |
| Equipment                     | 2,229,651           | 2,170,878           |
|                               | <u>19,304,410</u>   | <u>19,193,896</u>   |
| Less accumulated depreciation | (7,707,689)         | (7,143,565)         |
|                               | <u>\$11,596,721</u> | <u>\$12,050,331</u> |

### D. Retirement Fund

The Laboratory has a noncontributory defined benefit pension plan for substantially all employees. Contributions are intended to provide for benefits attributed to the service date, but also those expected to be earned in the future.

|                                                                                                                          |                     |
|--------------------------------------------------------------------------------------------------------------------------|---------------------|
| Actuarial present value of accumulated benefit obligation including vested benefits of \$1,514,493 as of January 1, 1987 | \$1,566,992         |
| Projected benefit obligation                                                                                             | 2,328,190           |
| Plan assets at fair value                                                                                                | <u>2,371,245</u>    |
| Projected benefit obligation less than plan assets                                                                       | 43,055              |
| Unrecognized net (gain) or loss                                                                                          | 68,948              |
| Prior service cost not yet recognized in net periodic pension cost                                                       | —                   |
| Unrecognized net obligation at December 31, 1987                                                                         | <u>(293,525)</u>    |
| Prepaid pension cost (pension liability) recognized in the statement of financial position                               | <u>\$ (181,522)</u> |
| Net pension cost for fiscal year ending December 31, 1987:                                                               |                     |
| Service cost—benefits earned during the period                                                                           | 172,293             |
| Interest cost on projected benefit obligation                                                                            | 157,845             |
| Actual return on plan assets                                                                                             | 600                 |
| Net amortization and deferral                                                                                            | <u>(231,898)</u>    |
| Net periodic pension cost                                                                                                | <u>\$ 98,840</u>    |

The actuarial present value of the projected benefit obligation was determined using a discount rate of 6.5% and rates of increase in compensation levels of 6%. The expected long-term rate of return on assets was 8%.

In addition, the Laboratory participates in the defined contribution pension program of the Teachers Insurance and Annuity Association. Expenses amounted to \$103,386 in 1987 and \$106,535 in 1986.

#### E. Pledges and Grants:

As of December 31, 1987 and 1986, the following amounts remain to be received on gifts and grants for specific research and instruction programs, and are expected to be received as follows:

|      | <i>December 31, 1987</i> |                    | <i>December 31, 1986</i> |                   |
|------|--------------------------|--------------------|--------------------------|-------------------|
|      | <i>Unrestricted</i>      | <i>Restricted</i>  | <i>Unrestricted</i>      | <i>Restricted</i> |
| 1988 | \$10,000                 | \$ 951,400         | \$10,000                 | \$40,764          |
| 1989 | —                        | 596,000            | —                        | 5,764             |
| 1990 | —                        | 250,000            | —                        | —                 |
|      | <u>\$10,000</u>          | <u>\$1,797,400</u> | <u>\$10,000</u>          | <u>\$46,528</u>   |

#### F. Interfund Borrowings.

Interfund balances at December 31 are as follows:

|                                         | <i>Current Funds</i> | <i>1987</i>        | <i>1986</i>         |
|-----------------------------------------|----------------------|--------------------|---------------------|
| Due from plant funds                    |                      | \$ 3,240           | \$ 76,275           |
| Due to restricted endowment fund        |                      | (120,875)          | (115,909)           |
| Due to restricted quasi-endowment funds |                      | (3,000)            | (200,750)           |
| Due from current restricted fund        |                      | <u>64,318</u>      | <u>64,318</u>       |
|                                         |                      | <u>\$ (56,317)</u> | <u>\$ (176,066)</u> |

#### G. Mortgages and Notes Payable.

The mortgage note payable with a term of 26 years is in the amount of \$1.3 million bearing interest based on the bank's prime rate plus three quarters percent (.75%) on a floating basis for the initial five year period with a floor of 7.50% and a ceiling of 13.00%. The interest rate at December 31, 1987 was 9.75%. The mortgage loan is collateralized by a first mortgage on the land and properties known as Memorial Circle, with recourse in the event of default limited to this land and property and the related revenue. Monthly principal and interest payments of \$15,000 commenced January 19, 1987.

Other notes payable consist of the following:

|                                                                                                |                 |
|------------------------------------------------------------------------------------------------|-----------------|
| Unsecured note with interest at 7.90% with monthly principal and interest payments of \$221.20 | \$ 7,048        |
| Unsecured note with interest at 6.90% with monthly principal and interest payments of \$394.71 | <u>8,901</u>    |
|                                                                                                | <u>\$15,949</u> |

At December 31, 1987, these mortgages and notes payable had aggregate future annual principal payments as follows:

|                      | <i>Amount</i>      |
|----------------------|--------------------|
| 1988                 | \$ 78,654          |
| 1989                 | 83,899             |
| 1990                 | 86,492             |
| 1991                 | 94,127             |
| 1992                 | 102,957            |
| Thereafter           | <u>817,840</u>     |
|                      | 1,263,969          |
| Less current portion | <u>78,654</u>      |
|                      | <u>\$1,185,315</u> |



*H. Investments.*

The following is a summary of the cost and market value of investments at December 31, 1987 and 1986 and the related investment and distribution of investment income for the years ended December 31, 1987 and 1986.

|                                      | <i>Cost</i>        |                    | <i>Market</i>       |                     | <i>Investment Income</i> |                  |
|--------------------------------------|--------------------|--------------------|---------------------|---------------------|--------------------------|------------------|
|                                      | <i>1987</i>        | <i>1986</i>        | <i>1987</i>         | <i>1986</i>         | <i>1987</i>              | <i>1986</i>      |
| <i>Endowment and Quasi-endowment</i> |                    |                    |                     |                     |                          |                  |
| U.S. Government securities           | \$1,299,763        | \$2,278,843        | \$1,270,857         | \$ 2,384,842        | \$218,919                | \$240,056        |
| Corporate fixed income               | 2,136,500          | 947,287            | 2,134,363           | 933,749             | 104,583                  | 85,871           |
| Common stocks                        | 4,088,042          | 4,069,893          | 5,545,523           | 6,101,973           | 204,776                  | 178,837          |
| Preferred stock                      | 9,611              | 9,611              | 14,973              | 17,975              | 1,019                    | 3,214            |
| Money market securities              | 1,292,097          | 639,155            | 1,287,520           | 639,155             | 42,911                   | 29,667           |
| Real estate                          | 15,749             | 15,749             | 15,749              | 15,749              | —                        | —                |
| Total                                | 8,841,762          | 7,960,538          | 10,268,985          | 10,093,443          | 572,208                  | 537,645          |
| Less custodian fees                  |                    |                    |                     |                     | (54,290)                 | (51,646)         |
|                                      |                    |                    |                     |                     | 517,918                  | 485,999          |
| <i>Unrestricted Current Fund</i>     |                    |                    |                     |                     |                          |                  |
| Money market securities              | 850,000            | 50,000             | 850,000             | 50,000              | 40,360                   | 35,824           |
| Total investments                    | <u>\$9,691,762</u> | <u>\$8,010,538</u> | <u>\$11,118,985</u> | <u>\$10,143,443</u> | <u>\$558,278</u>         | <u>\$521,823</u> |

*I. Gift Support for Instructions:*

Unrestricted Gifts includes \$314,309 of gifts for the support of the Laboratory's instruction program available for indirect costs attributable to the instruction program.

### VIII. Report of the Librarian

"A man will turn over half a library to write one book"—if so, scientists throughout the world, including 34 Nobel Prize winners, have "turned over" the MBL Library an amazing number of times in the past 100 years. When established in 1888, Dr. Whitman, the laboratory's first Director, stated in his first report:

*A library is a necessity in such a laboratory. Boston libraries are near enough to be of great service, but we cannot depend on them alone. In addition to textbooks and standard works, we MUST have, at a minimum to begin with, all the important journals now printed in the four principal languages. It is most earnestly to be hoped that adequate means may be found to meet this all-important requisite.*

Today, one hundred years later, the library has 5000 separate titles of scientific journals, printed in 30 languages, bound in 165,000 volumes. Somehow, over the years "adequate means" have been found to expand the collection to its present size and to keep it available to the scientific community on a 24-hour basis. In 1888 an "invaluable addition" of a \$1,000 gift gave the journal collection its beginning ("owing to Mrs. Glendower Evans liberality"); in 1988 the completion of an endowment gift of \$2.5 million ensures its future.

Until 1902 the library depended entirely on donations for books and subscription costs. The 1902 treasurer's report lists the first library expense—\$9.29. The Laboratory did not commit real funds until 1905 when Cornelia Clapp served as librarian during the summer months. In 1913 it was recommended that a trained assistant be hired to carry on throughout the year "a systematic campaign to develop the library since the peculiarly free methods used in this library with free access to the shelves demand constant study to take account of losses, repairs and disarrangements."

In 1919 Priscilla B. Montgomery was hired as a year-round Assistant Librarian. The following year the Dewey Decimal Classification system was introduced "after careful consideration of the various schemes in general use—it seems to be the one most familiar to biologists."

The main part of the Lillie building was completed in 1924, and a major portion of it was devoted to the Library, which consisted of five floors of stacks and a large reading room with serial racks along the walls. Three hundred and seventy-eight titles were received at the time. Deborah Lawrence was hired as secretary, and the three staff salaries totaled \$5,650 for the year.

In 1929 The General Education Fund gave \$50,000 for the purchase of back sets, serials, and books, and the following year the Director of the newly founded Woods Hole Oceanographic Institution donated \$5,000 for the purchase of oceanographic books and journals. Ten years later a gift from the Rockefeller Foundation of \$110,000 made the addition of the library wing possible.

MBL was asked to raise from other sources an additional \$25,000 to fill gaps in the journal collection (back sets). The addition cost less than planned, and the extra money was spent "to rebuild the Eel Pond wall, install a book-lift in the stacks, pave the parking lot, and re-grade the lawn around Lillie." The basement floor of the new library wing would house "temporarily, apparatus for sterilizing glassware and distilling water."

A \$25,000 gift was received in 1941 from Carnegie for purchase of foreign journals whenever the market opened again in Europe. Library purchases were limited to the United States and England throughout the war years, although subscriptions were continued for German, French, and Russian publications. They were stored in a Scandinavian country, and by 1947 most had been received by the MBL Library.

Mrs. Montgomery retired in 1947, and Deborah Lawrence was named Librarian. In 1952 WHOI contributed \$1,200 annually for their books and journals. In 1956 the reprint collection passed the 200,000 mark, and the first Xerox machine appeared in 1963. The first major physical changes since 1940 were made in 1964, the year Jane Fessenden was named librarian. Tile flooring was placed on all five stacks, and fluorescent lighting was added in the stacks, reading rooms, and offices. Reserve desks received locked cabinets and new lights, and four labs were renovated into library offices. A xerox room and a Rare Book Room were also added to the office area. In 1966 the book collection was recataloged from the Dewey system to Library of Congress. Fifteen thousand books changed numbers and positions on the shelves, confusing most of the users. Twelve private carrels were added on the third floor.

By 1970, WHOI was contributing \$15,000 annually and in 1971 the reprints were moved to the basement stack and further collection of reprints ended. The collection numbered 250,000 when moved. At the same time, the entire journal collection was moved to cover four stacks instead of three and, we changed the arrangement of titles by dropping the articles "the, les, des," etc.

The book collection expanded in 1971 when the MBL Associates gave their annual gift to the Library—\$10,500. The following year they donated another \$6,000 which was also added to the growth of the book collection. At the same time WHOI added \$25,000 specifically for books. Space was not available in the existing book section, therefore Room 306 on the third floor (the equivalent of three laboratories) was renovated for library stacks. Books on ocean engineering, physics, mathematics, and marine policy were shelved there.

In 1975 the first annual meeting of the East Coast Marine Science Librarians was held in Woods Hole; 49 librarians representing 25 institutions met to discuss areas of mutual cooperation. Today membership numbers 140, representing 120 institutions. That same year H. M.

Hirohito, Emperor of Japan, came to Woods Hole and spent an hour in the library catalog room. All offices, reading rooms, and hallways were painted for the occasion.

The library budget was increasing rapidly, and a number of proposals were made to further involve the Woods Hole Oceanographic. One proposal, submitted to the members of the Corporation in 1976, recommended the creation of a separate corporation for the library, supported by both MBL and WHOI and governed by a separate Board of Trustees. This met with such opposition from the members that the annual meeting was reconvened three times before final adjournment. Not until 1979 was an agreement finally reached. It was a cost sharing plan in which both institutions share certain activities of the library on a 50–50 basis. That agreement is still in effect today. That same year the R. K. Mellon Foundation gave \$450,000 for the renovation of the Lillie building. The library was included in that renovation. Demolition and construction began by 1982. The library now covers about one-half of the Lillie building; eleven laboratories were torn down and an area of the third floor, over the present library space, was converted to stack space for the entire book collection. All five floors of existing stacks now house the journal collection, and the Rare Books and Archives occupy three rooms on the first floor.

In 1983 we initiated a User Survey. This involved recording the use of every journal for a nine month period. We found, in brief, that 53% of the 4765 titles were used during this period and 76% involved the years 1980–1983. In 1985, after years of discussions, the National Marine Fisheries Service in Woods Hole placed their library collection in the MBL Library, thus making the MBL Library the main library for all four institutions in Woods Hole. The scientists at the Fisheries have been using the library since the founding of the laboratory. The minutes of 1889 record that the Fisheries contributed both books and pamphlets to the original collection compiled that first summer of 1888.

The uniqueness of this library lies in the fact that for 100 years scientists—members of the MBL Corporation—have owned it. It has always been run by, and for, the scientists. Hopefully, it always will be. Stephen Jay Gould, in the preface of his book, "Ontogeny and Phylogeny" referred to the library as:

*"... an institution that has its own humanity and seems to me more an organism than a place—the Library of the Marine Biological Laboratory at Woods Hole. Where else would an idiosyncratic worker like me find a library open all the time, free from the rules and bureaucracy that stifle scholarship and 'protect' books only by guarding them from use. It is an anomaly in a suspicious and anonymous age. May it survive as it is, despite all the improbabilities."*

## IX. Educational Programs

### Summer

#### BIOLOGY OF PARASITISM

##### *Course directors*

**Englund, Paul T.**, Johns Hopkins University School of Medicine

**Sher, Alan**, NIAID/NIH

##### *Other faculty, staff, and lecturers*

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**Bloom, Barry**, Albert Einstein College of Medicine of Yashiva University

**Boothroyd, John**, Stanford University Medical School

**Burakoff, Steven J.**, Harvard University School of Medicine

**Burns, James M., Jr.**, Hahnemann Medical College & Hospital

**Butterworth, Anthony**, University of Cambridge, UK

**Cerami, Anthony**, Rockefeller University

**Coffman, Robert**, DNAX, Research Institute of Molecular & Cellular Biology

**Doering, Tamara L.**, Johns Hopkins University School of Medicine

**Donelson, John**, University of Iowa

**Dvorak, James**, NIAID/NIH

**Dwyer, Dennis**, NIAID/NIH

**Farley, Patrick J.**, Hamilton College

**Germain, Ronald**, NIAID/NIH

**Glaven, Judy**, George Washington University

**Hart, Gerald W.**, Johns Hopkins University School of Medicine

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**Hereld, Dale**, Johns Hopkins University School of Medicine

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**Modi, Govind**, Yale University School of Public Health

**Nelson, George**, Liverpool School of Tropical Medicine, UK

**Nussenzweig, Victor**, New York University Medical Center

**Nutman, Thomas**, NIAID/NIH

**Pearce, Edward J.**, NIAID/NIH

**Pedersen, Peter**, Johns Hopkins University School of Medicine



**Pereira, Miercio**, Tufts University School of Medicine  
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**Quinn, Thomas**, NIH/Johns Hopkins University  
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**Scott, Phillip**, NIAID/NIH  
**Shapiro, Terry**, Johns Hopkins University School of Medicine  
**Shevach, Ethan**, NIAID/NIH  
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**Sollner-Webb, Barbara**, Johns Hopkins University School of Medicine  
**Spielman, Andrew**, Harvard School of Public Health  
**Turner, Mervyn J.**, Merck, Sharp & Dohme Research Laboratory  
**Walters, Laurel**, Yale University School of Public Health  
**Wang, Ching C.**, University of California, School of Pharmacy, San Francisco  
**Wang, Charlotte**, University of California, Berkeley  
**Ward, Samuel**, Carnegie Institution of Washington  
**Warren, Kenneth S.**, The Rockefeller Foundation  
**Wassom, Donald L.**, University of Wisconsin School of Veterinary Medicine  
**Wellems, Thomas E.**, NIAID/NIH  
**Young, Richard**, Massachusetts Institute of Technology

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**Aslund, Lena A.**, Uppsala University, Sweden  
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**Cerami, Carla J.**, Columbia University  
**Gordon, Dalia L.**, University of Washington  
**Herwaldt, Barbara L.**, Washington University School of Medicine  
**Karam, Marc V.**, Onchocerciasis Control Programme in W. Africa, W. Africa  
**Klinkert, Mo Q.**, University of Heidelberg, FRG  
**Mani, Sridhar**, City College, CUNY  
**Prioli, Reginaldo P.**, Tufts University School of Medicine  
**Rossi, Cesare**, California Institute of Technology  
**Sherman, David R.**, Vanderbilt University  
**Sjolander, Anders J.**, University of Stockholm, Sweden  
**Slatter, Andrew F. G.**, Oxford University, UK  
**Tendler, Miriam**, Oswaldo Cruz Institute, Brazil

### **EMBRYOLOGY: A COURSE IN MODERN DEVELOPMENTAL BIOLOGY**

#### *Course directors*

**Brandhorst, Bruce**, McGill University, Canada  
**Jeffery, William**, University of Texas, Austin

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**Assman, Sally**, University of Connecticut  
**Bates, William R.**, Kyoto University, Japan  
**Beach, Rebecca**, University of Texas, Austin  
**Bloom, Theodora**, Cambridge University, UK  
**Burdsal, Carol**, Duke University  
**Chambers, Edward L.**, University of Miami  
**Cheng, Andrew**, NIAID/NIH  
**Colman, Alan**, University of Warwick, UK  
**Crowther, Robert**, Marine Biological Laboratory  
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**Etkin, Larry, M. D.** Anderson Hospital and Tumor Institute  
**Ford, Christopher**, Sussex University, UK  
**Gimlich, Robert, M. D.** Anderson Hospital and Tumor Institute  
**Grainger, Robert**, University of Virginia  
**Gurdon, John**, Cambridge University, UK  
**Humphreys, Tom**, University of Hawaii  
**Hunt, Tim**, Cambridge University, UK  
**Iwao, Yasuhiro**, Yamaguchi University, Japan  
**Jaffe, Laurinda**, University of Connecticut Health Center  
**Jaffe, Lionel**, Marine Biological Laboratory  
**Kado, Raymond T.**, C. N. R. S., France  
**Kitajima, Takashi**, University of California, Berkeley  
**Kline, Douglas**, University of Connecticut Health Center  
**Koenig, Gerd**, Max Planck Institute, FRG  
**Krieg, Paul**, University of Adelaide, Australia  
**Longo, Frank**, University of Iowa  
**Lorraine, Anne**, University of Texas, Austin  
**Maller, James**, University of Colorado  
**Masui, Yoshio**, University of Toronto, Canada  
**Maxson, Robert E.**, University of Southern California School of Medicine  
**Melton, Douglas**, Harvard University  
**Olins, Joshua**, Earlham College  
**Ouellette, Francis**, McGill University, Canada  
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**Poccia, Dominic**, Amherst College  
**Raff, Rudolf A.**, Indiana University  
**Ruderman, Joan**, Duke University  
**Saavedra, Carol**, McGill University, Canada  
**Sargent, Thomas**, NIH  
**Satoh, Noriyuki**, Kyoto University, Japan  
**Shibuya, Ellen**, University of Toronto, Canada  
**Sluder, Greenfield**, Worcester Foundation for Experimental Biology  
**Solursh, Michael**, University of Iowa  
**Specksnyder, Johanna**, University of Utrecht, Netherlands  
**Stephens, Laurie**, University of California, Berkeley

Swalla, Billie J., University of Texas, Austin  
 Trinkaus, John Philip, Yale University  
 Turner, Paul, University of California, Berkeley  
 Vacquier, Victor, University of California, San Diego  
 Velleca, Mark A., Washington University School of  
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 Venuti, Judy, University of Texas, Austin  
 Waugh, Larry, Brandeis University  
 White, Mary E., University of Texas, Austin  
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### MARINE ECOLOGY

#### *Course director*

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\*\* Advanced Research Training Program Participants

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 Freadman, Marvin, BUMP/Marine Biological  
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 Porter Karen, University of Georgia  
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 Rhoads, Donald C., Science Applications International  
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 University of Maryland  
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 Sarda, Raphael, BUMP/Marine Biological Laboratory  
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**Dell'Arciprete, Olga Patricia**, Instituto Nacional de Investigacion y Desarrollo, Argentina  
**Fernandez, Miriam E.**, Instituto de Biologica Marina y Pesquera "A. Storni," Argentina  
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**Sadovsky, Sebastian**, Universidade Federal do Espirito, Brazil  
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### **MICROBIOLOGY: MOLECULAR ASPECTS OF CELLULAR DIVERSITY**

#### *Course directors*

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#### *Other faculty, staff, and lecturers*

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**Dore, Joel**, University of Illinois  
**Dworkin, Martin**, University of Minnesota  
**Frankel, Richard**, Massachusetts Institute of Technology  
**Gertman, Eva**, Queen's University, Canada  
**Gest, Howard**, Indiana University  
**Gibson, Jane**, Cornell University  
**Kashket, Eva**, Boston University School of Medicine  
**Konisky, Jordan**, University of Illinois  
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**Krulwich, Terry**, Mt. Sinai School of Medicine  
**Marrs, Barry, E. I.**, DuPont De Nemours & Co.  
**Michel, Tomas A.**, University of California, Davis  
**Mulligan, Martin E.**, University of Chicago  
**Olson, Karl**, University of Illinois  
**Saulnier, Michelle**, Queen's University, Canada  
**Scolnick, Pablo, E. I.**, DuPont De Nemours & Co.  
**Shapiro, Lucy**, Columbia University  
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**Garcia-Pichel, Ferran**, University of Oregon  
**Henry, Elizabeth A.**, Harvard University  
**Holden, Eric G.**, University of Massachusetts, Amherst  
**Hughes, Robert E.**, Yale University  
**Kolibachuk, Dana**, Cornell University  
**Leisinger, Thomas**, Mikrobiologisches Institut, Switzerland  
**Mack, E. Erin**, University of Puget Sound  
**Moran, Mary Ann**, University of Georgia  
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**Roberts, A. Lynn**, Massachusetts Institute of Technology  
**Robins, Jeff P.**, University of Massachusetts  
**Rood, Brian E.**, University of Florida  
**Schauder, Rolf**, University of Ulm, FRG  
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**Teiser, Markolf L. O.**, University of Oregon

### **NEURAL SYSTEMS AND BEHAVIOR**

#### *Course directors*

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**Kelley, Darcy**, Columbia University

#### *Other faculty, staff, and lecturers*

**Bate, Michael**, University of Cambridge, UK  
**Borst, Axel**, Max-Planck Institute, FRG  
**Bottjer, Sarah**, University of Southern California, Los Angeles  
**Byrne, John H.**, University of Texas Medical School  
**Calabrese, Ronald**, Emory University  
**Cleary, Leonard**, University of Texas Medical School  
**Constantine-Paton, M.**, Yale University  
**Dodd, Frank**, Cornell University  
**Eisner, Thomas**, Cornell University  
**Fernald, Russell D.**, University of Oregon  
**Gorlick, Dennis L.**, Columbia University  
**Hoskins, Sally**, Columbia University  
**Jacobs, Gwen**, University of California, Berkeley  
**Kent, Karla**, University of Arizona  
**Lasansky, Richard**, Hebron Academy  
**Levine, Richard B.**, University of Arizona  
**Macagno, Eduardo**, Columbia University  
**Marcus, Emilie**, Yale University  
**Menzel, Randolph**, University of Berlin, FRG  
**Nusbaum, Michael**, Brandeis University  
**Smith, Brian H.**, University of California, Berkeley  
**Stevens, Charles F.**, Yale University School of Medicine  
**Streichert, Laura**, University of California, Riverside  
**Tompkins, Laurie**, Temple University  
**Van Essen, David C.**, California Institute of Technology  
**Walsh, John P.**, University of California, Los Angeles  
**Weeks, Janis C.**, University of California, Berkeley



Wenning-Erxleben, Angela, University of Konstanz, FRG  
 Williams, Heather, Rockefeller University  
 Wyman, Robert J., Yale University

### *Students*

Born, Richard L., Harvard Medical School  
 Brainard, Michael S., Stanford University  
 Braun, Gotz, Institut für Tierphysiologie, FRG  
 Casagrand, Janet L., Case Western Reserve University  
 Comfort, Nathaniel, Cornell University  
 Corfas, Gabriel, Weizmann Institute of Science, Israel  
 Evans, Bruce D., Emory University  
 Gallman, Eve A., University of North Carolina  
 Gilbert, Cole, Indiana University  
 Gruner, Wendy, SUNY, Stony Brook  
 Harty, T. Patrick, University of Pittsburgh  
 Ito, Minami, Osaka University, Japan  
 Liberstat, Frederic, The Hebrew University of Jerusalem, Israel  
 Lubischer, Jane L., University of California, Los Angeles  
 Matsumoto, Rae R., Brown University  
 Mendonca, Mary T., University of Texas, Austin  
 Orchinik, Miles, Oregon State University  
 Rinaman, Linda M., University of Pennsylvania  
 Wright, William G., Yale University  
 Wyttenbach, Robert Alan, Cornell University.

## NEUROBIOLOGY

### *Course director*

Karlin, Arthur, Columbia University

### *Other faculty, staff, and lecturers*

Andrews, S. B., NINCDS, NIH  
 Armstrong, Katie, Rice University  
 Catterall, William, University of Washington School of Medicine  
 Cepko, Connie, Harvard University  
 Chark, Amitabh, Columbia University  
 Cheng, Toni, NINCDS/NIH  
 Clapham, David, Brigham and Women's Hospital  
 Claudio, Toni, Yale University  
 Correia, Frederick F., Albert Einstein College of Medicine of Yeshiva University  
 Czajkowski, Cynthia, Columbia University  
 Dale, Nicholas, Columbia University  
 Ehrlich, Barbara, University of Connecticut  
 Fischbach, Gerald D., Washington University School of Medicine  
 Frank, Eric, University of Pittsburgh  
 Gadsby, David C., Rockefeller University  
 Hall, Linda M., Albert Einstein College of Medicine of Yeshiva University  
 Hess, Peter, Harvard University

Inoue, Tomo, McGill University, Canada  
 Jessell, Thomas, Columbia University  
 Jones, Stephen W., Case Western Reserve University  
 Kacsmarek, L., Yale University  
 Kao, Peter, Columbia University  
 Landis, Dennis, Case Western Reserve University  
 Landis, Story, Case Western Reserve University  
 Llinas, Rudolfo, New York University Medical Center  
 Mac Kinnon, Roderick, Brandeis University  
 Majerus, Phil, Washington University School of Medicine  
 Mandel, Gail, Tufts University School of Medicine  
 Marder, Eve, Brandeis University  
 Matsumoto, Steven, Harvard University  
 Maue, Robert Alan, Tufts University School of Medicine  
 Mc Nab, Robert M., Yale University  
 Miller, Christopher, Brandeis University  
 Moosekar, Mark S., Yale University  
 Raviola, Elio, Harvard University  
 Reese, Thomas S., NINCDS/NIH, MBL  
 Rosen, Ora, Memorial Sloan Kettering Cancer Center  
 Schnapp, Bruce, NINCDS/NIH, MBL  
 Schuetze, Stephen M., Columbia University  
 Sheetz, Michael, Washington University  
 Siegel, Ruth E., Case Western Reserve University  
 Siegelbaum, Steven A., Columbia University  
 Silman, Israel, Weizmann Institute of Science, Israel  
 Smith, Carolyn, University of Pittsburgh  
 Smith, Steve, Yale University  
 Spudich, John, Albert Einstein College of Medicine of Yeshiva University  
 Sternweis, Paul C., University of Texas Health Science Center  
 Teyler, T., Northeastern Ohio University  
 Vallee, Richard, Worcester Foundation for Experimental Biology  
 Van Wagoner, David R., Case Western Reserve University  
 Vicini, Stefano, Georgetown University

### *Students*

Aoki, Chiye, Cornell University Medical College  
 Banin, Eyal, Hebrew University of Jerusalem, Israel  
 Freed, Michael, NINCDS/NIH  
 Gifford, Andrew N., St. Andrews University, Scotland  
 Hsu, Hsiao-Lan, Johns Hopkins University  
 Kernan, Maurice J., University of Wisconsin  
 Larmet, Yves, Centre National de la Recherche Scientifique, France  
 Merzdorf, Christa S., Harvard University  
 Muly III, Emil C., Duke University Medical Center  
 Sands, Steven B., University of California, Irvine  
 Van Vactor, David L., Jr., University of California, Los Angeles  
 Vogel, Steven S., Columbia University

## PHYSIOLOGY: CELL AND MOLECULAR BIOLOGY

### *Course director*

**Goldman, Robert**, Northwestern University Medical School

### *Other faculty, staff, and lecturers*

**Albrecht-Buehler, Guenter**, Northwestern University Medical School

**Asai, David J.**, Purdue University

**Bartles, James**, Northwestern University Medical School

**Bloom, Kerry**, University of North Carolina

**Broschat, Kay O.**, University of Miami School of Medicine

**Burgess, David**, University of Miami School of Medicine

**Chisholm, Rex L.**, Northwestern University Medical School

**Chou, Ying-Hao**, Northwestern University Medical School

**Collins, Christine**, Worcester Foundation for Experimental Biology

**Dahl, Stephen**, Wesleyan University

**Desrosier, David**, Brandeis University

**Dessev, George N.**, Northwestern University Medical School

**Earnshaw, William**, Johns Hopkins University

**Foltz, Kathy**, Purdue University

**Fuchs, Elaine**, University of Chicago

**Fukui, Yoshio**, Northwestern University Medical School

**Giroux, Craig**, NIEMS

**Goldman, Anne**, Northwestern University Medical School

**Hammarback, James**, Worcester Foundation for Experimental Biology

**Han, Peter S.**, Earlham College

**Helfman, David**, Cold Spring Harbor Laboratories

**Hinds, Kristin**, Showa University Research Institute

**Hinds, Lael**, Colorado College

**Hughes-Fulford, Millie**, NASA, University of California Medical Center, S. F.

**Jamieson, James**, Yale University

**Kenna, Margaret**, University of North Carolina

**Lindberg, Uno**, University of Stockholm, Sweden

**Litman, Gary W.**, Showa University Research Institute

**Mayrand, Sandra**, Worcester Foundation for Experimental Biology

**Mc Knight, Steven**, Carnegie Institution of Washington

**Morris, N. Ronald**, Rutgers University

**Obar, Robert**, Worcester Foundation for Experimental Biology

**Pederson, Thoru**, Worcester Foundation for Experimental Biology

**Penman, Sheldon**, Massachusetts Institute of Technology

**Petes, Tom**, University of Chicago

**Pollard, Thomas**, Johns Hopkins University Medical School

**Reinisch, Carol**, Tufts University School of Veterinary Medicine

**Rich, Alexander**, Massachusetts Institute of Technology

**Ruderman, Joan**, Duke University

**Shamblott, Mike J.**, Showa University Research Institute

**Sloboda, Roger D.**, Dartmouth College

**Sluder, Greenfield**, Worcester Foundation for Experimental Biology

**Smith, Allison M.**, University of Strathclyde, Scotland

**Spudich, James**, Stanford University

**Steinert, Peter**, National Cancer Institute

**Steinhardt, Richard**, University of California, Berkeley

**Tlsty, Thea**, University of North Carolina

**Vallee, Richard**, Worcester Foundation for Experimental Biology

**Vikstrom, Karen L.**, Northwestern University

**Wieben, Eric D.**, Mayo Clinic, Rochester, Minnesota

**Wilson, Darcy B.**, Medical Biological Institute

**Yeh, Elaine**, University of North Carolina

**Yin, Helen**, Harvard Medical School

**Zeev, Avri Ben**, Weizmann Institute of Science, Israel

### *Students*

**Barton, Nelson, R.**, University of Miami School of Medicine

**Berryman, Mark A.**, University of Virginia

**Carlos, Ruben**, University of Hawaii

**Cowles, Elizabeth A.**, Michigan State University

**Curry, Alice M.**, Yale University

**Cyr, Janet L.**, University of Texas Health Science Center, Dallas

**Dohrmann, Cord E.**, Duke University

**Dolan, Liam**, University of Pennsylvania

**Dong, Feng**, Oregon State University

**Feng, Sunlian**, Wesleyan University

**Ferber, Daniel M.**, Johns Hopkins University

**Hagstrom, James E.**, Mayo Graduate School of Medicine

**Harding, Fiona**, University of Rochester

**Harding, Susan M.**, University of Alabama

**Healy, Aileen M.**, Tufts University

**Hughes-Fulford, Millie**, NASA/University of California Medical Center

**Kronidou, Nafsika**, Dartmouth College

**Kuppe, Andreas**, University of Oregon

**Lee, Youngsook**, University of Connecticut

**Liang, Bruce T.**, Harvard Medical School

**Mackey, Harris M.**, Columbia Medical School

**Miller, Rita K.**, Northwestern University

Plopper, George E., Harvard University  
 Racoosin, Esther L., Harvard Graduate School of Arts  
 and Sciences  
 Roberts, Denise M., University of Virginia  
 Roy, Linda M., Medical University of South Carolina  
 Ryan, Maureen C., Rush University  
 Sandor, Laurie Wright, Oklahoma University  
 Saunders, Kim B., Harvard Medical School  
 Sawin, Kenneth Eric, Stanford University  
 Strong, Theresa V., University of Alabama  
 Sympton, Carolyn J., University of Louisville School of  
 Medicine  
 Thomas, Linda A., University of California, San  
 Francisco  
 Turner, Christopher E., University of North Carolina  
 Walker, Richard A., University of North Carolina  
 Yutzey, Katherine E., Purdue University

### Spring

## ANALYTICAL AND QUANTITATIVE LIGHT MICROSCOPY IN BIOLOGY, MEDICINE AND MATERIALS SCIENCE

May 14–20, 1987

### Course director

Inoué, Shinya, Marine Biological Laboratory

### Other faculty, staff, lecturers

Ellis, Gordon W., University of Pennsylvania  
 Lanni, Frederick, Carnegie-Mellon University  
 Luby-Phelps, Katherine, Carnegie-Mellon University  
 Lutz, Douglas A., Harvard University  
 Salmon, Edward D., University of North Carolina  
 Taylor, D. Lansing, Carnegie-Mellon University

### Commercial faculty

Aikens, Richard, Photometrics, Ltd.  
 Brenner, Mel, Nikon, Inc.  
 Chaisson, Richard, Olympus Corporation of America  
 Claypool, David J., Atlantex & Zieler Instrument Corp.  
 Cohen, David, Universal Imaging Corporation  
 Esser, Hermann J., Optical Elements Corp.  
 Goldberg, Michael, Research Imaging Systems, Inc.  
 Hannaway, Wyndham, G. W. Hannaway Associates  
 Hinsch, Jan, E. Leitz, Inc.  
 Howard, Michael, Quanta Systems, Inc.  
 Keller, Ernst, Carl Zeiss, Inc.  
 Klotsche, Richard, COHU, Inc.  
 Korkidis, Katherine, Spex Industries, Inc.  
 Mengers, Paul, Quantex Corporation  
 Olwell, Patricia, E. Leitz, Inc.  
 Ota, Bob, Trident Electronics  
 Presley, Phillip H., Carl Zeiss, Inc.  
 Taylor, Richard, Colorado Video  
 Thomas, Paul, DAGE-MTI  
 Wick, Robert, Photonic Microscopy, Inc.

### Students

Blumenfeld, Hal, Howard Hughes Medical Institute  
 Chen, Nong-Ruay, Cornell University  
 Cheng, Toni, Marine Biological Laboratory/NIH  
 Collin, Carlos E., NINCDS/NIH, Marine Biological  
 Laboratory  
 Dissing, Steen, University of Copenhagen, Denmark  
 Faltermeier, Bernd, Carl Zeiss, Inc.  
 Fink, Rachel D., Mount Holyoke College  
 Frostig, Ron D., Rockefeller University  
 Gibson, Sarah Frisken, Carnegie-Mellon University  
 Holmes, Tim, University of Missouri  
 Hutchison, Nancy J., Fred Hutchinson Cancer  
 Research Center  
 Jamieson, James D., Yale University School of  
 Medicine  
 Lechleiter, James D., Tufts University School of  
 Medicine  
 Lowy, Robert Joel, National Institutes of Health  
 Martin, James C., University of Alabama  
 Pratt, Melanie, M., University of Miami School of  
 Medicine  
 Salzman, Gary C., Los Alamos National Laboratory  
 Sardet, Christian, Station Zoologique, France  
 Stump, Robert F., University of New Mexico School of  
 Medicine  
 Telzer, Bruce R., Pomona College  
 Teragawa, Carolyn K., University of California, Irvine  
 Weiss, Dieter G., Technische Universitat Munchen,  
 FRG

### Short Courses

## CELL AND MOLECULAR BIOLOGY OF PLANTS

August 3–15, 1987

### Directors

Dure, Leon S., University of Georgia  
 Key, Joe L., University of Georgia

### Lecturers

Binns, Andrew, University of Pennsylvania  
 Chua, Nam-Hai, Rockefeller University  
 Crouch, Martha, Indiana University  
 Darvill, Alan, University of Georgia  
 Fraley, Rob, Monsanto Company  
 Guilfoyle, Tom, University of Missouri  
 Hallick, Richard, University of Arizona  
 Haselkorn, Robert, University of Chicago  
 Levings III, C. S., North Carolina State University  
 Long, Sharon, Stanford University  
 Meagher, Richard, University of Georgia  
 Meyerowitz, Elliott, California Institute of Technology  
 Quail, Peter, University of Wisconsin  
 Ryan, Clarence A., Washington State University  
 Silflow, Carolyn, University of Minnesota  
 Timberlake, William, University of Georgia



**Verma, Desh Pal S.**, McGill University  
**Wessler, Susan**, University of Georgia  
**Yoder, Olin**, Cornell University

#### *Students*

**App, Alva, A.**, Rockefeller Foundation  
**Armbrust, Ginger**, WHOI/MIT  
**Basson, Bruce R.**, University of North Carolina  
**Baumgarten, Miriam**, Columbia University  
**Becker, David W.**, Pomona College  
**Brady, Kevin P.**, Indiana University  
**Bruemmer, Joseph H.**, USDA  
**Couch, Jennifer**, Pennsylvania State University  
**Diebold, Ronald**, Marquette University  
**Heeyong, Tai**, Pennsylvania State University  
**Jayne, Susan M.**, Ciba-Geigy Corp.  
**Lahners, Kristine**, Ciba-Geigy Corp.  
**Palenik, Brian**, WHOI/MIT  
**Robertson, Borre**, University of Tromsø, Norway  
**Rusnak, Suzanne**, Parma City School, OH  
**Sasavage, Nancy**, Bethesda Research Laboratories  
**Toenniessen, Gary H.**, Rockefeller Foundation  
**Waddle, James A.**, University of California  
**Ward, Michael R.**, University of California

### **MOLECULAR AND CELLULAR IMMUNOLOGY**

**August 3–15, 1987**

#### *Course directors*

**Reinisch, Carol**, Tufts University School of Veterinary Medicine  
**Wilson, Darcy**, Medical Biological Institute

#### *Other faculty, staff and lecturers*

**Bevan, Michael J.**, Scripps Clinic and Research Foundation  
**Broedeur, Peter**, Tufts University  
**Hogg, Nancy**, Imperial Cancer Research Fund, England  
**Janeway, Charles A., Jr.**, Yale University School of Medicine  
**Kabat, Elvin A.**, Columbia University  
**Leskowitz, Sidney**, Tufts University School of Medicine  
**Morse, Herbert C., III**, NIH  
**Mosier, Donald E.**, Medical Biological Institute  
**Prendergast, Robert A.**, Johns Hopkins Hospital  
**Rosenwasser, Larry J.**, Tufts New England Medical Center  
**Springer, Timothy**, Dana-Farber Cancer Institute  
**Strominger, J.**, Harvard University  
**Sunshine, Geoffrey**, Tufts University School of Veterinary Medicine  
**Valentine, Fred T.**, New York University Medical Center  
**Weissmann, Gerald**, New York University Medical Center  
**Winchester, Robert**, New York, NY  
**Wortis, Henry H.**, Tufts University School of Medicine

#### *Students*

**Allen, Suzanne T.**, Worcester Memorial Hospital  
**Carlson, David L.**, University of California, Davis  
**Chang, Yueh-jong**, Indiana State University  
**Fitzgerald, Kathleen A.**, Bristol-Myers  
**Harshan, K. V.**, All India Institute of Medical Sciences, India  
**Hayflick, Joel S.**, Oregon Health Sciences University  
**Marx, James J., Jr.**, Marshfield Medical Research Foundation  
**Miller, Lynn**, Hampshire College  
**Pender, Daniel J.**, Columbia University  
**Petty, Richard F.**, Brick Township School, Brielle, NJ  
**Read, Dorothy L.**, Southeastern Massachusetts University  
**Saugstad, Julie A.**, University of Oklahoma  
**Tomlinson, Gail E.**, Children's Hospital National Medical Center  
**Zarogian, Gerald E.**, United States Environmental Protection Agency

### **CELLULAR NEUROBIOLOGY IN THE LEECH**

**August 5–25, 1987**

#### *Course director*

**Nicholls, John G.**, University of Basel, Switzerland

#### *Other faculty, staff, and lecturers*

**Blackshaw, Susanna**, University of Glasgow, Scotland  
**Calabrese, Ronald**, Harvard University  
**Cohen, Lawrence G.**, Yale University School of Medicine  
**Friesen, W. Otto**, University of Virginia  
**Kristan, William B., Jr.**, University of California, San Diego  
**Macagno, Eduardo**, Columbia University  
**Muller, Kenneth J.**, University of Miami School of Medicine  
**Payton, Brian W.**, Memorial University of Newfoundland, Canada  
**Ross, William**, New York Medical College  
**Salzberg, Brian M.**, University of Pennsylvania  
**Stent, Gunther S.**, University of California, Berkeley  
**Weisblat, David**, University of California, Berkeley  
**Zipser, Birgit**, Michigan State University

#### *Students*

**Clarke, William P.**, Mount Sinai School of Medicine  
**Gleizer, Lidia**, University of California, Berkeley  
**Gu, Xiao-nan**, University of Miami School of Medicine  
**Karrer, Tracy A.**, Yale University  
**Nakagawa, Liria**, University of Basel, Switzerland  
**Passani, M. Beatrice**, Columbia University  
**Szczupak de Rodgers**, Instituto Nacional de Investigacion, Argentina  
**Venable, Nancy L.**, University of Basel, Switzerland

Wallace, Mark T., Temple University  
 Wedeen, Cathy J., University of California, Berkeley  
 Wittenberg, George F., University of California, San Diego  
 Xiao, Chun, Yale University School of Medicine

## HISTORY OF BIOLOGY: HEREDITY AND DEVELOPMENT

August 2–15, 1987

### *Course directors*

Garland, Allen E., Washington University  
 Fantini, Bernardino, University of Rome, Italy  
 Maienschein, Jane, Arizona State University

### *Other faculty, staff, and lecturers*

Churchill, Frederick, Indiana University  
 Gilbert, Scott, Swarthmore College  
 Groeben, Christiane, Naples Zoological Station, Italy  
 Grmek, Mirko, L'Ecole des Hautes Etudes, Le Sorbonne, France  
 Olby, Robert, University of Leeds, UK  
 Roe, Shirley A., Harvard University

### *Students*

Ascutto, James, Mahwah High School, NJ  
 Bogin, Mary, Cornell University  
 Burian, Richard M., Virginia Polytechnic Institute and State University  
 Cadwallader, Joyce V., Saint Mary-of-the-Woods College  
 Cronin, Eunice A., Belmont Abbey College  
 De Jonghe-Murphy, Viviane, Stamford, CT  
 Doering, Grant R., College of the Academy of the New Church  
 Fausto-Sterling, Anne, Brown University  
 Garipey, Thomas P., Stonehill College  
 Hammonds, Evelyn M., Harvard University  
 Howard, Heidi, Harvard University  
 Jungck, John R., Beloit College  
 Karustis, Marlene, Mt. St. Mary's Academy  
 Leach, Berton J., Rockville, MD  
 Lewin, Susan O., Shodair Children's Hospital  
 Lyons, Sherrie L., University of Chicago  
 Miles, Sara Joan, Wheaton College  
 Mylott, Anne, Indiana University  
 Opitz, John M., Montana State University  
 Paracer, Surindar, Worcester State College  
 Richardson, Robert C., University of Cincinnati  
 Sawin, Clark T., Tufts University  
 Shaver, John R., University of Puerto Rico  
 Sloan, Jan Butin, Kansas City Art Institute  
 Swetlitz, Marc, University of Chicago  
 Walley, Willis Wayne, Delta State University  
 Weidman, Nadine M., Bryn Mawr College  
 Wimsatt, William, University of Chicago

## X. Research and Training Programs

### Summer

#### Principal Investigators

Alford, Simon, St. Georges Hospital Medical School, U. K.  
 Anderson, Winston A., Howard University  
 Angstadt, James D., Emory University  
 Armstrong, Clay M., University of Pennsylvania  
 Armstrong, Peter B., University of California, Davis  
 Arnold, John, University of Hawaii  
 Atwood, Kimball, Woods Hole, MA  
 Augustine, George, University of Southern California  
 Barlow, Robert B., Jr., Syracuse University  
 Barry, Daniel, University of Michigan  
 Barry, Michael A., Albert Einstein College of Medicine of Yeshiva University  
 Barry, Susan R., University of Michigan  
 Bass, Andrew, Cornell University  
 Beauge, Luis A., Instituto de Investigacion Medica, Argentina  
 Begenisich, Ted, University of Rochester  
 Bennett, Michael V. L., Albert Einstein College of Medicine of Yeshiva University  
 Bezanilla, Francisco, University of California, Los Angeles  
 Bloom, George S., University of Texas Health Science Center, Dallas  
 Bodznick, David, Wesleyan University  
 Borgese, Thomas A., Lehman College  
 Boron, Walter F., Yale University School of Medicine  
 Borst, David W., Illinois State University  
 Brady, Scott T., University of Texas Health Science Center, Dallas  
 Brehm, Paul, Tufts University School of Medicine  
 Brezina, Vladimir, University of California, Los Angeles  
 Brown, Joel E., Washington University School of Medicine  
 Burdick, Carolyn J., Brooklyn College  
 Burger, Max M., University of Basel, Switzerland  
 Burgos, Mario, University of Cuyo, Mendoza, Argentina  
 Butt, Arthur M., East Carolina University School of Medicine  
 Chang, Donald C., Baylor College of Medicine  
 Chappell, Richard L., Hunter College  
 Charlton, Milton P., University of Toronto, Canada  
 Cinelli, Angel R., University of Pennsylvania School of Dental Medicine  
 Clark, John M., University of Massachusetts, Amherst  
 Cohen, Avis H., Cornell University  
 Cohen, Lawrence B., Yale University School of Medicine  
 Cohen, William D., Hunter College  
 Cooperstein, Sherwin J., University of Connecticut Health Center

- De Weer, Paul**, Washington University School of Medicine
- Delaney, Kerry**, Princeton University
- Dunlap, Kathleen**, Tufts University School of Medicine
- Eckberg, William E.**, Howard University
- Ehrlich, Barbara E.**, University of Connecticut Health Center
- Feinman, Richard D.**, SUNY Health Science Center, Brooklyn
- Fishman, Harvey M.**, University of Texas Medical Branch
- Gadsby, David C.**, Rockefeller University
- Gainer, Harold**, NINCDS/NIH
- Gilbert, Daniel L.**, NINCDS/NIH
- Gilbert, Susan P.**, Pennsylvania State University
- Giuditta, Antonio**, University of Naples, Italy
- Gonzalez-Serratos, Hugo**, University of Maryland School of Medicine
- Gould, Robert M.**, New York State Institute for Basic Research
- Govind, C. K.**, University of Toronto, Canada
- Graf, Werner**, Rockefeller University
- Greengard, Paul**, Rockefeller University
- Haimo, Leah**, University of California, Riverside
- Halvorson, Harlyn O.**, Brandeis University
- Hess, Stephen D.**, University of Southern California, Los Angeles
- Highstein, Stephen M.**, Washington University School of Medicine
- Hill, Susan D.**, Michigan State University
- Hoskin, Francis C. G.**, Illinois Institute of Technology
- Humphreys, Tom**, University of Hawaii
- Ingolia, Nicholas**, New Jersey Medical School
- Josephson, Robert K.**, University of California, Irvine
- Kaminer, Benjamin**, Boston University School of Medicine
- Keynan, Alexander**, Hebrew University of Jerusalem, Israel
- Kornberg, Hans**, University of Cambridge, UK
- Kriebel, Mahlon E.**, SUNY Health Science Center, Syracuse
- Landowne, David**, University of Miami School of Medicine
- Langford, George M.**, University of North Carolina School of Medicine
- Lasek, Raymond J.**, Case Western Reserve University
- Laufer, Hans**, University of Connecticut
- Lechleiter, James D.**, Tufts University School of Medicine
- Levin, Jack**, University of California School of Medicine
- Levis, Richard A.**, Rush Medical Center
- Lewbart, Gregory A.**, University of Pennsylvania Veterinary School
- Lichtman, Jeff W.**, Washington University School of Medicine
- Lipicky, Raymond J.**, Food and Drug Administration
- Lisman, John**, Brandeis University
- Llinas, Rudolfo**, New York University Medical Center
- Loewenstein, Werner R.**, University of Miami School of Medicine
- Lorand, Laszlo**, Northwestern University
- Malbon, Craig C.**, SUNY, Stony Brook
- Marcum, James**, Harvard Medical School
- Matsumura, Fumio**, Michigan State University
- Matteson, Donald R.**, University of Maryland School of Medicine
- McMahon, Douglas G.**, Harvard University
- Mendelson, Bruce**, University of Pittsburgh School of Medicine
- Metuzals, J.**, University of Ottawa, Canada
- Moore, John W.**, Duke University Medical Center
- Mullins, Lorin J.**, University of Maryland School of Medicine
- Narahashi Toshio**, Northwestern University Medical School
- Nelson, Leonard**, Medical College of Ohio
- Noe, Bryan D.**, Emory University School of Medicine
- Pappas, George D.**, University of Illinois College of Medicine
- Pierson, Beverly K.**, University of Puget Sound
- Pumpkin, David W.**, University of Maryland School of Medicine
- Purves, Dale**, Washington University School of Medicine
- Quigley, James P.**, SUNY Health Science Center, Brooklyn
- Rakowski, Robert F.**, University of Health Sciences/The Chicago Medical School
- Rebhun, Lionel I.**, University of Virginia
- Rickles, Frederick R.**, University of Connecticut Health Center
- Ripps, Harris**, University of Illinois College of Medicine
- Rose, Birgit**, University of Miami School of Medicine
- Ruderman, Joan**, Duke University
- Russell, John M.**, University of Texas Medical Branch, Galveston
- Saez, Juan C.**, Albert Einstein College of Medicine of Yeshiva University
- Salzberg, Brian M.**, University of Pennsylvania
- Sanger, Jean M.**, University of Pennsylvania School of Medicine
- Sanger, Joseph W.**, University of Pennsylvania School of Medicine
- Segal, Sheldon J.**, Rockefeller Foundation
- Silver, Robert B.**, University of Wisconsin
- Sloboda, Roger D.**, Dartmouth College
- Smith, Stephen J.**, Yale University School of Medicine
- Speck, William T.**, Case Western Reserve University/University Hospitals of Cleveland
- Steinacker, Antoinette**, Washington University Medical School



Stuart, Ann E., University of North Carolina  
 Suprenant, Kathy A., University of Kansas  
 Tablin, Fern, University of California, Davis  
 Telzer, Bruce R., Pomona College  
 Tilney, Lewis G., University of Pennsylvania  
 Treisman, Steven N., Worcester Foundation for  
 Experimental Biology  
 Trinkaus, John Philip, Yale University  
 Troll, Walter, New York University Medical Center  
 Tucker, Edward B., Baruch College  
 Tytell, Michael, Wake Forest University, Bowman  
 Gray School of Medicine  
 Vincent, Walter, University of Delaware  
 Weiss, Dieter G., Technical University of Munich at  
 Garching, FRG  
 Weissmann, Gerald, New York University Medical  
 Center  
 Wiens, T. J., University of Manitoba, Canada  
 Wu, Jian-young, Yale University School of Medicine  
 Yeh, Jay Z., Northwestern University  
 Zigman, Seymour, University of Rochester Medical  
 Center  
 Zukin, R. Suzanne, Albert Einstein College of Medicine  
 of Yeshiva University

#### Other Research Personnel

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 Adler, Elizabeth, University of Toronto, Canada  
 Adra, Chaker N., University of Ottawa, Canada  
 Alberghina, Mario, University of Catania, Italy  
 Albrecht, Kenneth, University of Connecticut  
 Altamirano, Anibal, University of Texas Medical  
 Branch, Galveston  
 Armstrong, Margaret, University of California, Davis  
 Baccetti, Baccio, Howard University  
 Baker, Margaret, Cornell University  
 Baker, Robert G., New York University Medical Center  
 Bamrungphol, Wattana, University of Pennsylvania  
 Berry, Dwight, Howard University  
 Blumer, Jeffrey L., Case Western Reserve University  
 Breitwieser, Gerdna E., University of Texas Medical  
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 Brewton, Kevin, Howard University  
 Brosius, Denton, Albert Einstein College of Medicine of  
 Yeshiva University  
 Brown, Anthony, Case Western Reserve University  
 Browne, Carol, Wake Forest University  
 Brozen, Reed, Yale University  
 Buchanan, JoAnn, Yale University School of Medicine  
 Callaway, Joseph Charles, University of Washington  
 Caputo, Carlo, Instituto Venezolano de Investigaciones  
 Cientificas, Venezuela  
 Cariello, Lucio, Zoological Station, Italy  
 Chen, Eric, Northwestern University Medical School  
 Chow, Robert H., University of Pennsylvania

Cohen, Avrum, University of Chicago  
 Cohen, Sarah R., Yale University  
 Cole, Neil M., University of Michigan  
 Contanche, Douglas A., Medical University of South  
 Carolina  
 Cota-Pennelias, Gabriel, University of Pennsylvania  
 Couch, Ernest F., Texas Christian University  
 Cruise, Enid, Howard University  
 Dailey, Jessica, Northwestern University  
 Davidson, Sarah, New York University Medical Center  
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 DiFranco, Marino, Central University of Venezuela  
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 Dome, Jeffrey S., University of Pennsylvania School of  
 Medicine  
 Dowling, John E., Harvard University  
 Duffy, Steven, University of Toronto, Canada  
 Duran, Carlos L., Lehman College  
 Eatock, Ruth Anne, University of Rochester  
 Ferkow, Michael, Michigan State University  
 Fink, Rachel D., Mount Holyoke College  
 Flacker, Jonathan, University of Chicago School of  
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 Flores, Roberto, University of Pennsylvania School of  
 Dental Medicine  
 Forman, Robin, Medical College of Virginia  
 Fox, Geoffrey Q., Max Planck Institut fur  
 Biophysikalische Chemie, FRG  
 Frank, Dorothy M., Case Western Reserve University  
 School of Medicine  
 Frederick, Judith L., University of Puget Sound  
 Freepong-Buodo, Anthony, Howard University  
 Gao, Pei-qing, Baylor College of Medicine  
 Garcia, Richard, Baruch College  
 George, Edwin B., Case Western Reserve University  
 Gershfeld, Norman, NIAMS/NIH  
 Gill-Kumar, Pritam, Food and Drug Administration  
 Grassi, Daniel, Ft. Lauderdale  
 Graubard, Catherine, Albert Einstein College of  
 Medicine of Yeshiva University  
 Greif, Peter C., Food and Drug Administration  
 Greiner, Francine, Emory University School of  
 Medicine  
 Grob, Marianne, Friedrich Miescher-Institut,  
 Switzerland  
 Gruner, John A., New York University Medical Center  
 Hamosh, Leora Y., University of Michigan  
 Haneji, Tatsuji, Population Council, Rockefeller  
 University  
 Hernandez, Michael R., University of Texas Medical  
 Branch, Galveston  
 Herring, Alex McNeely, University of North Carolina  
 Hill, Christine, Mount Holyoke College  
 Hines, Michael, Duke University Medical Center

- Hogan, Emilia, Yale University School of Medicine  
 Holmstrom, Diane, University of Puget Sound  
 Homola, Ellen, University of Connecticut  
 Hopp, Hans, Yale University School of Medicine  
 Hollis, Vincent W., Jr., Howard University  
 Hunt, John R., Baylor College of Medicine  
 Jumblatt, James, Tufts University  
 Kadam, Arjun L., Population Council, Rockefeller University  
 Kaplan, Ehud, Rockefeller University  
 Keller, Franz, Technical University of Munich at Garching, FRG  
 Kissee, Linda, Illinois State University  
 Khier, J., Albert Einstein College of Medicine of Yeshiva University  
 Knudsen, Knud, Food and Drug Administration  
 Koide, Samuel S., Population Council, Rockefeller University  
 Kosik, Kenneth S., Brigham & Women's Hospital  
 Landau, Matthew, University of Connecticut  
 Lehman, Herman K., Syracuse University  
 Leidigh, Christopher, Brown University  
 Leopold, Philip L., University of Texas Health Science Center, Dallas  
 Leuchtag, H. Richard, Texas Southern University  
 Levitan, Herbert, W/L. Cohen  
 Li, Hui, Illinois State University  
 Lindgren, Clark A., Duke University Medical Center  
 Llinas, Rafael, Washington University School of Medicine  
 Lowe, Kris, New College of University of South Florida  
 Luca, Frank, Duke University  
 Luthi, Theres, University of Basel, Switzerland  
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 Matsumura, Fumihiko, Michigan State University  
 Mauney, Donald, University of North Carolina School of Medicine  
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 Palazzo, Robert E., University of Virginia  
 Pant, Harish, NINDCDS/NIH  
 Parsons, Thomas D., University of Pennsylvania  
 Pearce, Joanne, University of Toronto, Canada  
 Perozo, Eduardo, University of California, Los Angeles  
 Porter, Charles W., Rockefeller University  
 Powers, Maureen K., Vanderbilt University  
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 Repucci, Anthony, Case Western Reserve University  
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 Riesen, William, Yale University  
 Robinson, Phyllis R., Brandeis University  
 Robitaille, Yves, Montreal Neurological Institute, Canada  
 Rodriguez, Richard, Baruch College  
 Rooks, Arthur, University of North Carolina School of Medicine  
 Rudolph, Rebecca E., University of Puget Sound  
 Salvati, Serafina, N. Y. S. U. for Basic Research in Developmental Disabilities  
 Sanchez, Ivelisse, Hunter College  
 Sands, Peter J., New York University Medical Center  
 Sanger, Jean M., University of Pennsylvania School of Medicine  
 Schiminovich, David, Yale University  
 Schneider, Eric J., Wesleyan University  
 Schneider, Melissa R., Hamilton College  
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 Spires, Sherrill, University of Rochester  
 Spray, David C., Albert Einstein College of Medicine of Yeshiva University  
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 Stokes, Darrell R., Emory University  
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**Verselis, Vytautas**, Albert Einstein College of Medicine of Yeshiva University  
**Vilijn, Marie-Helene**, Albert Einstein College of Medicine of Yeshiva University  
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**Zavilowitz, Joseph**, Albert Einstein College of Medicine of Yeshiva University  
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**Zhang, Lan**, Syracuse University

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**Dodge, Frederick A.**, IBM Research  
**Duncan, Thomas**, Nichols College  
**Ebert, James**, Carnegie Institute of Washington

**Eder, Howard A.**, Albert Einstein College of Medicine  
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**Farmanfarmaian, A.**, Rutgers University  
**Feingold, David S.**, New England Medical Center  
**Fisher, Saul H.**, NYU Medical Center  
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**Haubrich, Robert**, Denison University  
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**Hill, Richard W.**, Michigan State University  
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**Hostetler, Karl Y.**, University of California  
**Hughes-Fulford, Millie**, Johnson Space Center  
**Ilan, Joseph**, Case Western Reserve University  
**Ilan, Judith**, Case Western Reserve University  
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**Karush, Fred**, University of Pennsylvania  
**Kelly, Robert**, University of Illinois, College of Medicine  
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**King, Kenneth, Jr.**, Children's Hospital  
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**Kravitz, Edward A.**, Harvard Medical School  
**Laderman, Aimlee**, MBL  
**Lazarow, Normand H.**, Mayo Clinic  
**Leach, Berton J.**  
**Lee, John J.**, City College NY  
**Leighton, Joseph**, Medical College of Pennsylvania  
**Levine, Rachmiel**, City of Hope Medical Center  
**Levitz, Mortimer**, New York University Medical Center  
**Lewis, Larry**, Millersville University



Luckenbill-Edds, Louise, Ohio University  
 Maienschein, Jane, Arizona State University  
 Marine Research Inc., Marine Research Inc.  
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 Medicine  
 McCann-Collier, Marjorie, Saint Peter's College  
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 State University  
 Mecurio, Arthur M., Harvard Medical School  
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 Center  
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 Ott, Karen J., University of Evansville  
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 Person, Philip, VA Medical Center, Brooklyn, NY  
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 Pollen, Don, University of Massachusetts Medical  
 Center  
 Prusch, Robert D., Gonzaga University  
 Reiner, John M., Albany Medical College  
 Reynolds, George T., Princeton University  
 Ringer, Steven, Childrens Hospital  
 Rosenkranz, Herbert S., Case Western Reserve  
 University  
 Roth, Jay S., University of Connecticut  
 Rowland, Lewis P., Neurological Institute  
 Rudmann, Daniel G., Kenyon College  
 Russell-Hunter, W. D., Syracuse University  
 SMU Library, Southeastern Massachusetts University  
 Schippers, Jay, WAFRA Advisory  
 Seaver, George, Seaver Engineering  
 Shapley, Robert, Rockefeller University  
 Shemin, David, Northwestern University School of  
 Medicine  
 Shepard, Frank, Woods Hole Data Base  
 Shepro, David, Boston University  
 Shriftman, Mollie Starr, North Nassau Mental Health  
 Center  
 Sluder, Greenfield, Worcester Foundation for  
 Experimental Biology  
 Spector, Abraham, Columbia University  
 Spiegel, Evelyn, Dartmouth College  
 Spiegel, Melvin, Dartmouth College  
 Stephens, Philip J., Villanova University

Stephenson, William K., Earlham College  
 Stracher, Alfred, State University of New York  
 Szent-Gyorgyi, Andrew G., Brandeis University  
 Szentkiralyi, Eva M., Brandeis University  
 Tashiro, Jay Shiro, Kenyon College  
 Tellez, Alexander, Harvard University  
 Tessie, Richard, University of Montreal  
 Tilney, Lewis, University of Pennsylvania  
 Trager, William, The Rockefeller University  
 True, Merrill, MBL  
 Tweedell, Kenyon S., University of Notre Dame  
 Vaida, Akhil B., Hahneman University  
 Van Holde, Kensal E., Oregon State University  
 Wagenbach, Gary, Carleton College  
 Wainio, Walter, Rutgers University  
 Warren, Leonard, Wistar Institute  
 Webb, H. Marguerite, MBL  
 Weidner, Earl H., Louisiana State University  
 Wheeler, George, Brooklyn College  
 Whittaker, J. Richard, MBL  
 Wichterman, Ralph, MBL  
 Wilber, Charles G., Colorado State University  
 Williams, Wendy, Cape Cod Times  
 Wirth, Dyann, HSPH/TPH  
 Wittenberg, Jonathan, Albert Einstein College of  
 Medicine  
 Wittenberg, Beatrice, Albert Einstein College of  
 Medicine  
 Wolken, Jerome J., Carnegie Mellon University  
 Yow, F. W., Kenyon College  
 Zacks, Sumner I., The Miriam Hospital/Brown  
 University  
 Zigmond, Richard E., Harvard Medical School  
 Zottoli, Steven J., Williams College

#### Year-Round Programs

Adelman, William J., Jr., Director, and Chief, Section  
 on Neural Membranes, Laboratory of Biophysics, Ma-  
 rine Biological Laboratory, NINCDS/NIH

The Section on Neural Membranes concentrates on the bio-  
 physical mechanisms underlying the conduction of the nerve  
 impulse, synaptic transmission, and muscular transmission.  
 The primary research animal is the Woods Hole squid, *Loligo*  
*pealei*.

#### Staff

|                     |                  |
|---------------------|------------------|
| Clay, John          | Muller, Ruthanne |
| Fohlmeister, Jorgen | Rice, Robert     |
| Goldman, David      | Ross, Darci      |
| Hodge, Alan         | Vick, Sherry     |

Alkon, Daniel L., Chief, Section on Neural Systems,  
 Laboratory of Biophysics, Marine Biological Labora-  
 tory, NINCDS/NIH

The Section on Neural Systems studies the cellular basis of learning and memory. Investigators relate changes in behavior caused by associative learning to biochemical and biophysical transformation of specific nerve cells using a California nudibranch, *Hermisenda carassicornis*.

#### Staff

|                |                    |
|----------------|--------------------|
| Bank, Barry    | Kuzirian, Alan     |
| Chen, Chong    | Lederhendler, Izja |
| Collin, Carlos | Loturco, Joe       |
| Garner, Lisa   | Nelson, Tom        |
| Hopp, Hans     | Tyndal, Clyde      |
| Ikeno, Hide    |                    |

#### Boston University Marine Program

#### Staff<sup>1</sup>

Hahn, Dorothy, Administrative Secretary  
Sunley, Madeline, Administrative Consultant

#### Graduate students

|                  |                  |
|------------------|------------------|
| Alber, Merryl    | Hahn, Jill       |
| Banta, Gary      | Hersh, Douglas   |
| Barshaw, Diana   | Krieger, Yutta   |
| Borroni, Paola   | Lavalli, Kari    |
| Brooks, Cydney   | Mercurio, Kim    |
| Bryden, Cindy    | Merrill, Carl    |
| Chen, Chong      | Moore, Paul      |
| Corroto, Frank   | Mulsow, Sandor   |
| Costa, Joseph    | Scott, Marsha    |
| Coughlin, David  | Tamse, Armando   |
| Cowan, Diane     | Trager, Geoffrey |
| Ellis, Sarah     | Trott, Thomas    |
| Elskus, Adria    | Webb, Jacqueline |
| Foreman, Kenneth | White, David     |
| Gallager, Scott  | Wood, Susan      |
| Glick, Stephen   |                  |

#### Undergraduates

|                   |                   |
|-------------------|-------------------|
| Bower, Patricia   | Kaska, David      |
| Carlson, David    | Kelly, Jennifer   |
| Coburn, Cara      | Marsich, Victor   |
| Corker, Amy       | Mc Watters, Brian |
| Cromarty, Stuart  | Sammon, Leslie    |
| Dawson, Steffany  | Santa Ana, Jeff   |
| Dolan, Paula      | Schad, Andrea     |
| Gaspar, Kathy     | Souders, Donna    |
| Hill, Christine   | Taft, Natalie     |
| Howard, Katherine | Weisman, Kitty    |
| Hoffman, Jennifer | Zeller, Robert    |

#### Visiting investigators

D'Avanzo, Charlene, Hampshire College  
Poole, Alan, Boston University  
Rietsma, Carol, SUNY, New Paltz

<sup>1</sup> Note: All staff of Boston University unless otherwise indicated.

**Atema, Jelle**, Professor of Biology, Boston University

Organisms use chemical signals as their main channel of information about the environment. These signals are transported in the marine environment by turbulent currents, viscous flow, and molecular diffusion. Receptor cells extract signals through various filtering processes. Currently, the lobster with its exquisite sense of taste and smell is our major model to study the signal filtering capabilities of the whole animals and its narrowly tuned receptor cells. Research focuses on amino acids (food signals) and pheromones (courtship), neurophysiology of receptor cells, and computer modeling of odor plumes.

#### Staff

**Voigt, Rainer**, Research Associate

**Freadman, Marvin**, Visiting Assistant Professor of Biology, Boston University

Principal research interests: comparative animal physiology, respiratory and circulatory physiology, physiology and hydro-mechanics of animal locomotion. Current research: (1) mechanisms of thrust production in marine fishes, drag relations in swimming fishes; (2) influence of hydrodynamic phenomena on circulatory and respiratory function in fishes; (3) determinants of circulatory system function in horseshoe crab, *Limulus polyphemus*; (4) locomotor muscle function at varying temperatures and levels of performance.

**Humes, Arthur G.**, Professor of Biology Emeritus, Boston University

Research interests include systematics, development, host specificity, and geographical distribution of copepods associated with marine invertebrates. Current research is on taxonomic studies of copepods from invertebrates in the tropical Indo-Pacific area, and poecilostomatoid and siphonostomatoid copepods from deep-sea hydrothermal vents and cold seeps.

**Strickler, Rudi J.**, Professor of Biology, Boston University

Use high-speed cinematography and special laser light optical systems with target tracking devices to observe zooplankton-algae, carnivorous-herbivorous zooplankton, and fish-zooplankton interactions. Lab and field results show the degree to which abiotic forces influence the evolution of species, feeding guilds, and predator-prey interactions. Additional topics in the feeding ecology of crinoids, bryozoans and other suspension feeding invertebrates enhance perception of the first consumer level in the aquatic food chain.

#### Staff

**Costello, John**, Research Associate

**Tamm, Sidney L.**, Associate Professor of Biology, Boston University

We investigate the mechanism and control of diverse types of motility and behavior on the organismal, cellular, and sub-cellular levels. Techniques include electrophysiology, microinjection, laser microsurgery, cytochemistry and histochemistry, video-enhanced phase contrast and interference contrast mi-

croscopy, biochemistry, transmission and scanning EM, and freezer-etch EM. Other studies include the role of massive actin filament bundles, biology of prokaryotic-eukaryotic cell associations, fluid dynamics and biomechanical coordination of cilia, and predator-prey interactions of herbivorous-carnivorous macroplankton.

#### *Staff*

**Tamm, Signhild**, Research Associate

**Valiela, Ivan**, Professor of Biology, Boston University

Research emphasis is on structure and function of salt marsh ecosystems and coastal embayments, including the processes of predation, herbivory, decomposition, and nutrient cycles. A parallel line of work, with more applied aspects, is eutrophication in coastal marine communities and interactions between watersheds and coastal waters.

#### *Staff*

**Dzierzewsky, Michelle**, Research Assistant

**Lohmann, Denah**, Research Assistant

**Sarda, Rafael**, Research Associate

**Taylor, Margery**, Research Assistant

#### *Visiting Investigators*

**Woodward, Helen**, Undergraduate Researcher

**Copeland, D. Eugene**, Investigator, Marine Biological Laboratory

Electron microscopy of luminescent organs (photophores) in deep sea fish; gas secretion in swimbladders of deep sea fish; and osmoregulatory tissue in *Limulus*.

### **The Ecosystems Center**

The Center was established in 1975 to promote research and education in ecosystems ecology. Nine scientists study the terrestrial and aquatic ecology of a wide variety of ecosystems ranging from northern Europe (trace gas emission from acid-rain affected forests) to the Alaskan Arctic (long-term studies of the control tundra, lake, and stream biota) to Buzzards Bay (controls of anaerobic decomposition). Many projects, such as those dealing with sulfur transformations in lakes and nitrogen cycling in the forest floor, investigate the movements of nutrients and make use of the Center's mass spectrometry laboratory (directed by Brian Fry) to measure the stable isotopes of carbon, nitrogen, and sulfur. The research results are applied wherever possible to questions of the successful management of the natural resources of the earth. In addition, the ecological expertise of the staff is made available to public affairs groups and government agencies who deal with such problems as acid rain, ground water contamination, and possible carbon dioxide-caused climate change. There are opportunities for postdoctoral fellows.

#### *Administrative staff*

**Hobbie, John E.**, Director

**Helfrich, John V. K.**

**Semino, Suzanne**

**Griffin, Elisabeth A.**

#### *Scientific staff*

**Hobbie, John E.**, Senior Scientist

**Melillo, Jerry M.**, Associate Scientist

**Peterson, Bruce J.**, Associate Scientist

**Shaver, Gaius R.**, Associate Scientist

**Fry, Brian D.**, Assistant Scientist

**Giblin, Anne E.**, Assistant Scientist

**Nadelhoffer, Knute J.**, Assistant Scientist

**Rastetter, Edward B.**, Assistant Scientist

**Steudler, Paul A.**, Research Specialist

#### *Consultants*

**Bowles, Francis P.**

**Jordan, Marilyn J.**

#### *Education staff appointments*

**Bowden, Richard D.**, Postdoctoral Fellow

**Kling, George W.**, Postdoctoral Fellow

**Mc Ivor, Carole**, Postdoctoral Fellow

**Raich, James**, Postdoctoral Fellow

#### *Technical staff*

**Banta, Gary**, Research Assistant

**Dornblaser, Mark**, Research Assistant

**Downs, Margaret**, Research Assistant

**Hooper, David**, Research Assistant

**Kicklighter, David**, Research Assistant

**Laundre, Jim**, Research Assistant

**Mc Kerrow, Alexa**, Research Assistant

**Michener, Bob**, Research Assistant

**O'Brien, Margaret**, Research Assistant

**Regan, Kathleen**, Research Assistant

**Tucker, Jane**, Research Assistant

**Turner, Andrea R.**, Research Assistant

**White, David**, Research Assistant

**Fein, Alan**, Investigator, Laboratory of Sensory Physiology, Marine Biological Laboratory, and Department of Physiology, Boston University School of Medicine

Physiology and biochemistry of invertebrate photoreceptors. Research into the inositol polyphosphate pathway in transduction in *Limulus* ventral photoreceptors in response to light and squid photoreceptors. Recent work: studying oxygen consumption in *Limulus* ventral photoreceptors in response to light.

#### *Staff*

**Payne, Richard**, Assistant Scientist

**McBride, Jim**, Technician

**Wood, Susan**, Boston University Marine Program Graduate Student

**Grassle, Judith P.**, Senior Scientist, Marine Biological Laboratory

Studies on the population genetics and ecology of marine invertebrates living in disturbed environments, especially of sibling species in the genus *Capitella* (Polychaeta).

#### *Staff*

**Mills, Susan W.**, Research Assistant



**Halvorson, Harlyn O.**, President and Director, Marine Biological Laboratory

Research focuses on the regulation of phosphate metabolism in *Acinetobacter lwoffii* in particular, synthesis and utilization of polymerized inorganic phosphate (polyphosphate), and the process of spore germination in *Bacillus subtilis*.

#### Staff

**Chikarmane, Hemant**, Research Associate

**Pratt, Sara**, Research Assistant

#### Visiting investigators

**Atwood, Kim**, Columbia University

**Kornberg, Hans**, University of Cambridge

**Keynan, Alex**, Memorial Sloan Kettering Cancer Center

**Vincent, Walter**, University of Delaware

**Doig, Stephen**, Science Writing Fellow, *The Miami Herald*

**Harosi, Ferenc I.**, Investigator, Associate Scientist, Marine Biological Laboratory, Boston University School of Medicine

Native and analogue visual pigment studies in situ. The major technique is microspectrophotometry. Retinal photoreceptors are obtained from amphibian, lizard, fish and primate eyes.

#### Staff

**Zahajszky, Tibor**, Research Associate

#### Visiting investigators

**Cornwall, Carter**, Boston University School of Medicine

**Hawryshyn, Craig W.**, McMaster University, Hamilton, Ontario, Canada

**Petry, Heywood M.**, SUNY, Stony Brook

**Inoné, Shinya**, Distinguished Scientist, Marine Biological Laboratory, and the University of Pennsylvania

Mechanism of mitosis and related motility. Development of high resolution 3-D video microscope systems.

#### Staff

**Anniballi, Dyon**, Programming Engineer, Cornell University College of Engineering

**Boyd, Steven**, Programming Engineer, Cornell University College of Engineering

**Green, Daniel**, Programming Engineer, University of Illinois, School of Engineering

**Inoue, Theodore**, Programming Engineer, Cornell University College of Engineering

**Rubinow, Jerry**, Programming Engineer, Cornell University College of Engineering

**Shimomura, Sachi**, Research Assistant, Stanford University

**Taracka, Robert**, Research Assistant

**Woodward, Bertha M.**, Laboratory Manager

#### Visiting investigators

**Bajer, Andrew S.**, University of Oregon

**Burgos, Mario H.**, University of Cuyo, Mendoza, Argentina

**Fukui, Yoshio**, Northwestern University Medical School

**Kiehart, Daniel P.**, Harvard University

**Salmon, Edward D.**, University of North Carolina

**Sardet, Christian**, Biol. Cell. Marine, Ville France-Sur-Mer

**Silver, Robert B.**, University of Wisconsin

**Jaffe, Lionel**, Senior Scientist, Marine Biological Laboratory, and Director, National Vibrating Probe Facility

We are exploring the roles of ionic currents, gradients, and waves in controlling development. We focus on controls of pattern and by calcium ions.

#### Staff

**Kultreiber, Wiel**, Physiologist

**Shipley, Alan**, Technician

**Williams, Phillip C.**, Engineer

**Speksnijder, Annelies**, Postdoctoral Fellow, Royal Veterinary University, Denmark

#### Visiting investigators

**Asman, Sally**, Harvard University

**Baumann, Steve**, EPA, Research Triangle Park, NC

**Biggers, John**, Harvard Medical School

**Bowdan, Elizabeth**, University of Massachusetts

**Chen, Tsung-Hsien**, Academia Sinica, Taiwan

**Crawford, Karen**, Swarthmore College

**Cullander, Chris**, University of California, S. F.

**Devlin, Leah**, University of Rhode Island

**Dickey, Joe**, Clemson College

**Diehl-Jones, W. L.**, University of Manitoba, Canada

**Fink, Rachael**, Mount Holyoke College

**Holtug, Klars**, Royal Veterinary University, Denmark

**Kunkel, Joseph**, University of Massachusetts

**Mladenov, Phillip**, Mount Allison University, New Brunswick, Canada

**Payne, Richard**, University of Maryland

**Pethig, Ron**, University College of North Wales, U. K.

**Sardet, Christian**, Station Marine Villefranche sur mer, France

**Trinkaus, John**, Yale University

**Truxell, Cindy**, University of Colorado

**Ver Achteert, Barend**, University of Leuven, Belgium

**Wyman, Robert**, Yale University

**Leibovitz, Louis**, Director, Laboratory for Marine Animal Health, Marine Biological Laboratory, and Professor, Department of Avian & Aquatic Animal Medicine, New York State College of Veterinary Medicine

The laboratory provides diagnostic, consultative, research and educational services to the institutions and scientists of the Woods Hole community concerned with marine animal health. Diseases of wild, captive, and cultured animals are investigated.

#### Staff

**Abt, Donald A.**, Co-Investigator, University of Pennsylvania

**Bullis, Robert A.**, Senior Research Associate, Cornell University

**Hansen, Sandra B.**, Secretary, Cornell University

**McCafferty, Michelle**, Histological Technician, Cornell University

**Moniz, Priscilla C.**, Administrative Secretary

**Wadman, Elizabeth A.**, Microbiological Technician, Cornell University

*Visiting investigators*

**Koulish, Sasha**, College of Staten Island, CUNY  
**Lewbart, Gregory**, University of Pennsylvania

**Rabinowitz, Michael**, Investigator, Marine Biological Laboratory, and Instructor in Neurology, Harvard Medical School

Measurement of lead in baby teeth to see if lead exposure at different ages, recorded at different sites within teeth, are related to child development.

*Staff*

**Lewandowski, Ann**, Research Assistant, Harvard Medical School

**Reese, Thomas S.**, Chief, Laboratory of Neurobiology, Marine Biological Laboratory, NINCDS/NIH

The Laboratory of Neurobiology is concerned with the secretory mechanism underlying synaptic transmission, the mechanism of organelle movement underlying axoplasmic transport, and the organization of neural cytoplasm.

*Staff*

**Andrews, S. Brian**, Research Associate

**Bechtold-Imhof, Ruth**, Research Assistant

**Cheng, Toni**, Research Associate

**Chludzinski, John**, Research Technician

**Coyle, Jo-Anne**, Secretary

**Gallant, Paul**, Research Associate

**Garbus-Gooch, Cynthia**, Research Assistant

**Hammar, Katherine**, Research Assistant

**Khan, Shahid**, Visiting Research Associate

**Reese, Barbara F.**, Research Technician

**Sheetz, Michael P.**, Visiting Research Associate

**Schnapp, Bruce J.**, Research Associate

**Tatsuoka, Hozumi**, Research Associate

**Terasaki, Mark**, Research Associate

**Reinisch, Carol L.**, Investigator, Marine Biological Laboratory, and Chairperson, Department of Comparative Medicine, Tufts University School of Medicine

Our laboratory is studying hematopoietic neoplasia, a leukemia-like disease of soft shell clams. Monoclonal antibodies developed by this laboratory and techniques in molecular biology are used to investigate the differences between normal and leukemic cells and their ontogeny.

*Staff*

**Miosky, Donna**, Laboratory Technician

**Smolowitz, Roxanna**, Postdoctoral Fellow

**Shimomura, Osamu**, Senior Scientist, Marine Biological Laboratory, and Boston University School of Medicine

Biochemical studies of the various types of bioluminescent systems. Preparation of the improved forms of aequorin for measuring intracellular free calcium

*Staff*

**Shimomura, Akemi**, Research Assistant

*Visiting investigators*

**Musicki, Branislav**, Harvard University

**Nakamura, Hideshi**, Harvard University

**Stephens, Raymond E.**, Investigator, Marine Biological Laboratory, and Boston University School of Medicine

Biochemistry of microtubules in cilia, flagella, and the cytoplasm; mechanosensitivity and the control of ciliary movement.

*Staff*

**Good, Michael J.**, Research Assistant

**Oleszko-Szuts, Susan**, Research Associate

**Stommel, Elijah W.**, Research Associate, St. Elizabeth's Hospital

*Visiting investigator*

**Holz, George G.**, Tufts University School of Medicine

**Strumwasser, Felix**, Director, Laboratory of Neuroendocrinology, Boston University School of Medicine, and Marine Biological Laboratory

This laboratory studies the molecular and cellular bases of two neural programs that regulate different important behaviors in the model mollusc *Aplysia*. Research is conducted on the mechanisms of the neuronal circadian oscillators located in the eyes. These circadian oscillators drive the circadian activity rhythm of the animal, which is concerned with the daily timing of food gathering and of prolonged rest. Additional research is conducted on a group of neuroendocrine cells that produce a peptide, "egg-laying hormone," that initiates egg laying and associated behaviors. The laboratory is interested in how the three-dimensional shape of this peptide hormone allows a highly specific interaction with its receptor and the intracellular processes that are triggered by it.

*Staff*

**Eason, Barbara**, Laboratory Assistant

**Glick, David**, Senior Postdoctoral Fellow

**Hellmich, Mark**, Graduate Student

**Viele, Daniel P.**, Senior Research Assistant

**Sussman, Raquel**, Associate Scientist, Marine Biological Laboratory

Investigation of the molecular mechanism of DNA damage-inducible functions. Present studies deal with the structure-function relationships of  $\lambda$  repressor analyzed by immunological techniques.

*Staff*

**McLaughlin, Jane**, Research Assistant

**Cornuel, Catherine**, Research Assistant

**Szuts, Ete Z.**, Investigator/Assistant Scientist, Marine Biological Laboratory, Laboratory of Sensory Physiology, and Department of Physiology, Boston University School of Medicine

Biochemical reactions (phosphorylation, inositide metabolism) that mediate the light-induced response of retinal photo-

receptors in both vertebrates (frog and cattle) and invertebrates (squid and *Limulus*).

#### Staff

Trapp, Susan C., Research Assistant

#### Visiting investigators

Clay, John R., Laboratory of Biophysics, NINCDS/NIH

Eckberg, William R., Howard University

Wood, Susan F., Boston University Marine Program

Whittaker, J. Richard, Senior Scientist, Marine Biological Laboratory

This research group studies the early gene control of cellular differentiation pathways (cell lineage determination) in embryos of tunicates and other marine invertebrate species.

#### Staff

Crowther, Robert, Research Assistant

Loescher, Jane L., Research Assistant

Meedel, Thomas H., Assistant Scientist

#### Visiting investigators

Arnold, John M., University of Hawaii

Collier, J. R., Brooklyn College

Heady, Judith E., University of Michigan-Dearborn  
(Sabbatical year, 1987-88)

Johnson, Carl D., Cambridge NeuroScience Research

#### Intern (Undergraduate)

Zeller, Robert, Boston University

## XI. Honors

### Friday Evening Lectures

Pickett-Heaps, Jeremy, University of Colorado at Boulder, 26 June, "*Mitosis—Some Novel Prospects Concerning an Old Enigma*"

Dowling, John, Harvard University, 3 July, Lang Lecture, "*Neuromodulation: The Eyes Have It*"

Tilney, Lewis, University of Pennsylvania, 10 July, "*What Actin Tells Us If We Listen*"

Greengard, Paul, The Rockefeller University, 16, 17 July, Forbes Lectures, "*Neuronal Phosphoproteins as Mediators of Signal Transduction: Presynaptic Effects; Neuronal Phosphoproteins as Mediators of Signal Transduction: Postsynaptic Effects*"

Alkon, Daniel, NINCDS, NIH, and Marine Biological Laboratory, 24 July, NIH Centennial Lecture, "*Cellular Substrates of Associative Memory: What the Snail's Eye Tells the Rabbit's Brain*"

Davidson, Eric H., California Institute of Technology, 31 July, "*Spatial Patterns of Differential Gene Expression in the Early Sea Urchin Embryo*"

Swazey, Judith P., The Acadia Institute, 6 August, Hiroshima Day Lecture, "*But I Have Promises to Keep: Reflections on the Moral Life of Science*"

Raven, Peter, Missouri Botanical Garden, 7 August,

Monsanto Biotechnology Lecture, "Population, Poverty and Politics in the Tropics"

Randi, James, Conjuror, Lecturer and MacArthur Fellow, 14 August, "*Search for the Chimera*"

Capuzzo, Judith McDowell, Woods Hole Oceanographic Institution, 21 August, "*Cross Ecosystem Comparison of Waste Disposal Impacts: The Scientific Basis for Decision Making of Environmental Issues*"

Gerbi, Susan, Brown University, 28 August, "*Evolution of a Molecular Machine: The Ribosome*"

### Frank A. Brown, Jr. Memorial Readership

Hill, Richard W., Michigan State University

### Robert Day Allen Fellowship

—Suprenant, Kathy A., University of Kansas

### Frederik B. Bang Fellowship Fund

Lewbart, Gregory A., University of Pennsylvania Veterinary School

—Tablin, Fern, University of California School of Veterinary Medicine

### Stephen W. Kuffler Fellowships

—Barry, Susan R., University of Michigan

—Ehrlich, Barbara E., University of Connecticut Health Center

### H. B. Steinbach Fellowships

—Bass, Andrew H., Cornell University

—Gilbert, Susan P., Pennsylvania State University

### MBL Summer Fellowships

—Barry, Michael A., Albert Einstein College of Medicine

—Borst, David W., Illinois State University

—Marcum, James A., Harvard Medical School

—Pierson, Beverly K., University of Puget Sound

### Biology Club of New York

Roberts, Michael W., Wesleyan University

### Father Arsenius Boyer Scholarship Fund

Dell'Arciprete, Olga Patricia, Instituto Nacional de Investigacion, Argentina

### Gary N. Calkins Memorial Scholarship

Dell'Arciprete, Olga Patricia, Instituto Nacional de Investigacion, Argentina

### Frances S. Claff Memorial Scholarship

Roberts, Michael S., Wesleyan University

### Edwin Grant Conklin Memorial Scholarship

Bisbal, Gustavo A., Instituto Nacional de Investigacion, Argentina



**Lucretia Crocker Endowment Fund**

**Dell'Arciprete, Olga Patricia**, Instituto Nacional de Investigacion, Argentina  
**Krishnan, Thankavel**, Annamalai University, India

**Aline D. Gross Scholarship**

**Bloom, Theodora L.**, University of Cambridge, U. K.  
**Simoncini, Luciana**, University of Southern California

**Caswell Grave Scholarship**

**Fernandez, Miriam E.**, Instituto de Biologia Marina y Pesquera, Argentina  
**Krishnan, Thankavel**, Annamalai University, India

**Merkel H. Jacobs Scholarship**

**Sadovsky, Sebastian**, Universidade Federal do Espirito, Brazil

**Arthur Klorfein Fund Scholarship**

**Govind, Shubha**, Princeton University  
**Koenig, Gerd**, Max Planck Institut fur Entwicklungsbiologie, FRG  
**Monpetit, Isabelle**, McGill University, Canada  
**Sturm, Karin S.**, Linus Pauling Institute of Science & Medicine  
**Zhang, Wei WZ**, University of Texas Health Science Center

**Jacques Loeb Fellowship**

**Sadovsky, Sebastian**, Universidade Federal do Espirito, Brazil

**Lucille P. Markey Charitable Trust Scholarship**

**Banin, Eyal**, Hebrew University, Hadassah Medical School, Israel  
**Barton, Nelson R.**, University of Miami Medical School  
**Bisbal, Gustavo A.**, Instituto Nacional de Investigacion, Argentina  
**Byrne, Patricia P. B.**, University College, Ireland  
**Charrier Melillan, Maria Elena**, Universidad Nacional, Argentina  
**Cyr, Janet L.**, University of Texas Health Science Center  
**Dong, Feng**, Oregon State University  
**Drysdale, Thomas A.**, University of Toronto, Canada  
**Ferber, Daniel M.**, The Johns Hopkins University  
**Gifford, Andrew N.**, St. Andrews University, Scotland  
**Ito, Minami**, Osaka University, Japan  
**Jongejan-Zivkovic, Danica D.**, University of Utrecht, The Netherlands  
**Kelly, Gregory M.**, University of Manitoba, Canada  
**Kernan, Maurice J.**, University of Wisconsin  
**Kronidou, Nafsika**, Dartmouth College  
**Kuppe, Andreas**, University of Oregon

**Larmet, Yves**, Centre National de la Recherche Scientifique, France

**Libersat, Frederic**, The Hebrew University of Jerusalem, Israel

**Mackey, Harris M.**, Columbia Medical School

**Miller, Rita K.**, Northwestern University

**Plopper, George E.**, Harvard University

**Racoosin, Esther L.**, Harvard Graduate School of Arts and Sciences

**Saunders, Kim B.**, Harvard Medical School

**Sawin, Kenneth Eric**, Stanford University

**Sjolander, Anders J.**, University of Sweden, Stockholm

**Slatter, Andrew F. G.**, Oxford University, UK

**Tendler, Miriam**, Oswaldo Cruz Institute, Brazil

**Turner, Christopher, E.**, University of North Carolina

**Walker, Richard A.**, University of North Carolina

**Yutzey, Katherine E.**, Purdue University

**S. O. Mast Founders Scholarship**

**Sadovsky, Sebastian**, Universidade Federal do Espirito, Brazil

**Allen R. Memhard Scholarship**

**Sadovsky, Sebastian**, Universidade Federal do Espirito, Brazil  
**Roberts, Michael S.**, Wesleyan University

**Faith Miller Endowment**

**Byrne, Patricia P. B.**, University College, Ireland

**James S. Mountain Memorial Fund Scholarship**

**Ferber, Daniel M.**, The Johns Hopkins University  
**Miller, Rita K.**, Northwestern University  
**Plopper, George E.**, Harvard University  
**Racoosin, Esther L.**, Harvard Graduate School of Arts and Sciences  
**Saunders, Kim B.**, Harvard Medical School

**Planetary Biology Internship**

**Sment, Karen A.**, University of Illinois

**Society of General Physiologists**

**Gruner, Wendy**, SUNY, Stony Brook  
**Satterwhite, Lisa L.**, Johns Hopkins University  
**Saunders, Kim B.**, Harvard Medical School  
**Van Vactor, David L., Jr.**, University of California, Los Angeles

**Marjorie W. Stetten Scholarship Fund**

**Montpetit, Isabelle**, McGill University, Canada

**Surdna Foundation Scholarship**

**Braun, Gotz**, Institut fur Tierphysiologie, FRG  
**Corfas, Gabriel**, Weizmann Institute of Science, Israel  
**Govind, Shubha**, Princeton University

Han, Jin K., University of California, Davis  
 Sadvosky, Sebastian, Universidade Federal do Espirito,  
 Brazil

**William Morton Wheeler Family  
 Founders' Scholarship**

Roberts, Michael S., Wesleyan University

**III. Institutions Represented**

**U. S. A.**

Academy of Natural Sciences of Philadelphia  
 Alabama, University of  
 Albert Einstein College of Medicine of Yeshiva  
 University  
 American Bionetics, Inc.  
 Ames Division, Miles Laboratories  
 Amherst College  
 Arizona State University  
 Arizona, University of  
 Atlantex & Zeiler Instrument Corp.  
 Axon Instruments, Inc.  
 Baylor College of Medicine  
 Beckman Instruments, Inc.  
 Belco Glass, Inc.  
 Belmont Abbey College  
 Beloit College  
 Bethesda Research Laboratories  
 Bio-Rad  
 Bioanalytical Systems, Inc.  
 Biodyne Electronics  
 Boston University  
 Boston University School of Medicine  
 Brandeis University  
 Brick Township School, Brielle, N. J.  
 Brigham & Women's Hospital  
 Brinkman Instruments, Inc.  
 Brown University  
 Bryn Mawr College  
 California Institute of Technology  
 California, University of, School of Medicine, San  
 Francisco  
 California, University of, School of Pharmacy, San  
 Francisco  
 California, University of, School of Veterinary  
 Medicine  
 California, University of, Berkeley  
 California, University of, Davis  
 California, University of, Irvine  
 California, University of, Los Angeles  
 California, University of, Riverside  
 California, University of, San Diego  
 California, University of, San Francisco  
 Cambridge Instruments, Inc. (Reichert-Jung)  
 Cambridge Neuroscience Research  
 Cambridge Technology  
 Carnegie Institution of Washington

Carnegie-Melon University  
 Case Western Reserve University  
 Case Western Reserve University School of Medicine  
 Chicago, University of  
 Chicago, University of, School of Medicine  
 Children's Hospital National Medical Center  
 Ciba Corning Diagnostics Corporation  
 Ciba-Geigy Corp.  
 Cincinnati, University of  
 Cincinnati, University of, School of Medicine  
 Clemson College  
 COHU, Inc.  
 Cold Spring Harbor Laboratories  
 College of the Academy of the New Church  
 Colorado College  
 Colorado, University of  
 Colorado Video  
 Columbia University  
 Connecticut, University of  
 Connecticut, University of, Health Center  
 Cornell University  
 Cornell University Medical College  
 Cornell University College of Engineering  
 Crimson Camera  
 Dagan Corporation  
 Dage-MTI, Inc.  
 Dartmouth College  
 Dartmouth College Medical School  
 David Kopf Instruments  
 Delaware, University of  
 Delta State University  
 Donsanto Corporation  
 DuPont Company (Sorvall)  
 DuPont, E. I. DeNemours & Co.  
 Duke University  
 Duke University Medical Center  
 E-C Apparatus Corporation  
 E.G.&G.  
 Earlham College  
 East Carolina University School of Medicine  
 Eastern Airlines, Inc.  
 Eastern Illinois University  
 Emory University  
 Emory University School of Medicine  
 Florida, University of  
 Flow Laboratories, Inc.  
 Food and Drug Administration  
 Fred Hutchinson Cancer Research Center  
 G. W. Hannaway Associates  
 General Scanning  
 George Washington University  
 Georgetown University  
 Georgia, University of  
 Gilson Medical Electronics, Inc.  
 Grass Instrument Company

|                                                             |                                                                                |
|-------------------------------------------------------------|--------------------------------------------------------------------------------|
| Hacker Instruments, Inc.                                    | Mayo Clinic, Rochester, MN                                                     |
| Hahnemann Medical College & Hospital                        | Mayo Graduate School of Medicine                                               |
| Hamilton College                                            | Medical Biological Institute                                                   |
| Hampshire College                                           | Medical College of Ohio                                                        |
| Harvard Graduate School of Arts and Sciences                | Medical College of Virginia                                                    |
| Harvard Medical School                                      | Medical Systems Corporation                                                    |
| Harvard School of Public Health                             | Medical University of South Carolina                                           |
| Harvard University                                          | Memorial Sloan Kettering Cancer Center                                         |
| Harvard University School of Medicine                       | Merck, Sharp & Dohme Research Laboratory                                       |
| Hawaii, University of                                       | Miami, University of                                                           |
| Hebron Academy                                              | Miami, University of, School of Medicine                                       |
| Hoefer Scientific Instruments                               | Michigan State University                                                      |
| Houston Instruments                                         | Michigan, University of                                                        |
| Howard Hughes Medical Institute                             | Millipore Corporation                                                          |
| Howard University                                           | Minnesota, University of                                                       |
| ICN Biomedicals, Inc.                                       | Missouri, University of                                                        |
| Illinois Institute of Technology                            | Monsanto Company                                                               |
| Illinois State University                                   | Montana State University                                                       |
| Illinois, University of                                     | Mount Holyoke College                                                          |
| Illinois, University of, College of Medicine                | Mountfield Corporation                                                         |
| Indec Systems, Inc.                                         | Mt. St. Mary's Academy                                                         |
| Indiana University                                          | National Aeronautical & Space Administration                                   |
| Institute For Basic Research in Developmental Disabilities  | National Cancer Institute                                                      |
| International Biotechnologies, Inc.                         | National Institute on Alcohol Abuse and Alcoholism/NIH                         |
| Iowa, University of                                         | National Institute of Allergy and Infectious Diseases/NIH                      |
| Isco, Inc.                                                  | National Institute of Environmental Health Sciences/NIH                        |
| JEOL                                                        | National Institutes of Mental Health/NIH                                       |
| Jewish Hospital of St. Louis                                | National Institute of Neurological and Communicative Disorders and Strokes/NIH |
| Johns Hopkins University                                    | New Brunswick Scientific Co., Inc.                                             |
| Johns Hopkins University School of Medicine                 | New College of University of South Florida                                     |
| Johns Hopkins University School of Hygiene & Public Health  | New Hampshire, University of                                                   |
| Kansas City Art Institute                                   | New Jersey Medical School                                                      |
| Kansas, University of                                       | New Mexico, University of, School of Medicine                                  |
| Kip & Zonan                                                 | New York State Institute for Basic Research                                    |
| LKB Instruments, Inc.                                       | New York State Institute for Basic Research in Developmental Disabilities      |
| Lab-Line Instruments                                        | New York University Medical Center                                             |
| Leitz, E., Inc.                                             | New York, City University of, Bernard M. Baruch College                        |
| Linus Pauling Institute of Science & Medicine               | New York, City University of, Brooklyn College                                 |
| Los Alamos National Laboratory                              | New York, City University of, City College                                     |
| Louisville University of, School of Medicine                | New York, City University of, Hunter College                                   |
| Ludlum Measurements, Inc.                                   | New York, City University of, Lehman College                                   |
| M. D. Anderson Hospital                                     | New York, City University of, Mt. Sinai School of Medicine                     |
| Mahwah High School, N. J.                                   | New York, State University of, Downstate Medical Center                        |
| Marquette University                                        | New York, State University, Health Science Center at Stony Brook               |
| Mary Flagler Cary Arboretum, Institute of Ecosystem Studies | New York, State University, Upstate Medical Center                             |
| Maryland, University of                                     | New York, State University at Stony Brook                                      |
| Maryland, University of, School of Medicine                 |                                                                                |
| Maryland, University of, Chesapeake Biological Laboratory   |                                                                                |
| Massachusetts Institute of Technology                       |                                                                                |
| Massachusetts, University of, Amherst                       |                                                                                |
| Massachusetts, University of, Boston                        |                                                                                |



|                                                        |                                                         |
|--------------------------------------------------------|---------------------------------------------------------|
| NIEMS                                                  | Southern California, University of, School of Medicine  |
| Nikon, Inc.                                            | Southern Louisiana State University                     |
| North Carolina State University                        | Spex Industries, Inc.                                   |
| North Carolina, University of                          | Stanford University                                     |
| North Carolina, University of, School of Medicine      | Stanford University Medical School                      |
| Northeastern University                                | Stonehill College                                       |
| Northwestern University Medical School                 | Sutter Instrument Company                               |
| Northwestern University                                | Swarthmore College                                      |
| Oklahoma, University of                                | Syracuse University                                     |
| Olympus Corporation of America                         | Technical Products International, Inc.                  |
| Optical Elements Corp.                                 | Temple University                                       |
| Oregon State University                                | Texas Christian University                              |
| Oregon, University of                                  | Texas Southern University                               |
| Parma City School, OH                                  | Texas, University of, Austin                            |
| Pennsylvania State University                          | Texas, University of, Health Science Center, Dallas     |
| Pennsylvania, University of                            | Texas, University of, Health Science Center, Houston    |
| Pennsylvania, University of, School of Dental Medicine | Texas, University of, Medical Branch, Galveston         |
| Pennsylvania, University of, School of Medicine        | Texas, University of, Medical School                    |
| Pennsylvania, University of, Veterinary School         | Thomas Jefferson University                             |
| Pharmacia, Inc.                                        | Trident Electronics                                     |
| Photometrics, Ltd.                                     | Tufts New England Medical Center                        |
| Photonic Microscopy, Inc.                              | Tufts University                                        |
| Pittsburgh, University of, School of Medicine          | Tufts University School of Medicine                     |
| Pomona College                                         | Tufts University School of Veterinary Medicine          |
| Princeton University                                   | United States Environmental Protection Agency           |
| Puerto Rico, University of                             | United States Food and Drug Administration              |
| Puget Sound, University of                             | Universal Imaging Corp.                                 |
| Purdue University                                      | University Hospitals of Cleveland                       |
| Quanta Systems, Inc.                                   | Vanderbilt University                                   |
| Quantex Corporation                                    | Vassar College                                          |
| R & M Biometrics Corporation                           | Virginia Polytechnic Institute and State University     |
| R/C Electronics                                        | Virginia, University of                                 |
| RadioAnalytic, Inc.                                    | Wake Forest University, Bowman Gray School of Medicine  |
| Research Imaging Systems, Inc.                         | Washington State University                             |
| Research Institute of Molecular & Cellular Biology     | Washington University                                   |
| Rhode Island, University of                            | Washington University School of Medicine                |
| Rice University                                        | Washington, University of                               |
| Rochester, University of                               | Washington, University of, School of Medicine           |
| Rochester, University of, Medical Center               | Waters Associates                                       |
| Rockefeller Foundation                                 | Wesleyan University                                     |
| Rockefeller University                                 | Wheaton College                                         |
| Rush Medical Center                                    | Williams College                                        |
| Rush University                                        | Wisconsin, University of                                |
| Rutgers University                                     | Wisconsin, University of, School of Veterinary Medicine |
| Saint Mary-of-the-Woods College                        | Woods Hole Oceanographic Institution                    |
| Saint Elizabeth's Hospital, MA                         | Worcester Foundation for Experimental Biology           |
| Savant Instruments, Inc.                               | Worcester Memorial Hospital                             |
| Schleicher & Schuell, Inc.                             | Worcester State College                                 |
| Science Applications International Corp.               | World Precision Instruments                             |
| Shodair Children's Hospital                            | Yale University                                         |
| Showa University Research Institute                    | Yale University School of Medicine                      |
| South Carolina, University of                          | Yale University School of Public Health                 |
| South Florida, University of                           | Zeiss, Carl, Inc.                                       |
| Southern California, University of                     |                                                         |
| Southern California, University of, Los Angeles        |                                                         |

**Foreign Institutions**

Academia Sinica, Taiwan  
 Adelaide, University of, Australia  
 Aerolineas Argentinas  
 All India Institute of Medical Sciences, India  
 Annamalai University, India  
 Basel, University of, Switzerland  
 Bedford Institute of Oceanography, Canada  
 Berlin, University of, FRG  
 Biol. Cell. Marine, Ville France-Sur-Mer, France  
 Braunschweig University, FRG  
 Cambridge University, UK  
 Cambridge, University of, UK  
 Catania, University of, Italy  
 Central University of Venezuela  
 Centre National de la Recherche Scientifique, France  
 Centro National Patagonico, Argentina  
 Cuyo, University of, Mendoza, Argentina  
 Copenhagen, University of, Denmark  
 Ecole Normale Supérieure, France  
 Friedrich Miescher-Institut, Switzerland  
 Glasgow, University of, Scotland  
 Göteborg, University of, Sweden  
 Hadassah Medical School, Israel  
 Hebrew University of Jerusalem, Israel  
 Heidelberg, University of, FRG  
 Imperial College of Science and Technology, UK  
 Institut für Tierphysiologie, FRG  
 Institute of Biological Research, Yugoslavia  
 Instituto de Biología, Argentina  
 Instituto de Histología y Embriología, Argentina  
 Instituto de Investigación Médica, Argentina  
 Instituto di Biologia Cellulare, Italy  
 Instituto Internacional de Estudios Avanzados,  
 Venezuela  
 Instituto Nacional de Investigación, Argentina  
 Instituto Venezolano de Investigaciones Científicas,  
 Venezuela  
 International Lab. for Research on Animal Diseases,  
 Kenya  
 Japan, University of, Japan  
 Konstanz, University of, FRG  
 Kyoto University, Japan  
 L'Ecole des Hautes Etudes, Le Sorbonne, France  
 Leeds, University of, UK  
 Leuven, University of, Belgium  
 Liverpool School of Tropical Medicine, UK  
 Manitoba, University of, Canada  
 Max-Planck Institute, FRG  
 McGill University, Quebec, Canada  
 Memorial University of Newfoundland, Canada  
 Mikrobiologisches Institut, Switzerland  
 Montreal Neurological Institute, Canada  
 Mount Allison University, New Brunswick, Canada

Naples Zoological Station, Italy  
 Naples, University of, Italy  
 National Polytechnical Institute, Mexico  
 National University of Mexico, Mexico  
 Onchocerciasis Control Programme in W. Africa, W.  
 Africa  
 Osaka University, Japan  
 Oswaldo Cruz Institute, Brazil  
 Ottawa, University of, Canada  
 Oxford University, UK  
 Philipps Universität, FRG  
 Queen's University, Canada  
 Regensburg, University of, FRG  
 Rome, University of, Italy  
 Royal Veterinary University, Denmark  
 St. Andrews University, Scotland  
 St. Georges Hospital Medical School, UK  
 Station Zoologique, France  
 Stockholm, University of, Sweden  
 Strathclyde, University of, Scotland  
 Sussex University, UK  
 Sweden, University of, Stockholm  
 Technical University of Munich at Garching, FRG  
 Toronto, University of, Canada  
 Toyohashi University of Technology, Japan  
 Tromsø, University of, Norway  
 Ulm, University of, FRG  
 Universidad Nacional de Mar del Plata, Argentina  
 Universidade Federal do Espírito Santo, Brazil  
 Università degli Studi di Napoli, Italy  
 University College, Ireland  
 University College of North Wales, UK  
 Uppsala University, Sweden  
 Utrecht, University of, Netherlands  
 Warwick, University of, UK  
 Weizmann Institute of Science, Israel  
 Yamaguchi University, Japan  
 Zoological Station, Italy  
 Zoologisches Institut der Universität, Heidelberg, FRG

**XIII. Laboratory Support Staff****Including Persons Who Joined or Left  
the Staff During 1987**

|                              |                       |
|------------------------------|-----------------------|
| <i>Biological Bulletin</i>   | Anderson, Lewis B.    |
| Metz, Charles, B., Editor    | Baldic, David P.      |
| Bauer, Diane                 | Blunt, Hugh F.        |
| Clapp, Pamela L.             | Bourgoin, Lee E.      |
| Mountford, Rebecca J.        | Brunette, Clifford J. |
| <i>Buildings and Grounds</i> | Carini, Robert J.     |
| Cutler, Richard D.,          | Collins, Paul J.      |
| Services, Projects, and      | Conlin, Henry P.      |
| Facilities Manager           | Finegan, Timothy B.   |
| Lehy, Donald B.,             | Fuglister, Charles K. |
| Superintendent               | Gibbons, Roberto G.   |

Gonsalves, Walter W., Jr.  
 Illgen, Robert F.  
 Jennings, David A.  
 Lewis, Ralph H.  
 Lochhead, William M.  
 Lunn, Alan G.  
 MacLeod, John B.  
 McAdams, Herbert, III  
 Mills, Stephen A.  
 Rattacasa, Frank  
 Rossetti, Michael  
 Schoepf, Claude  
 Schwamb, Peter J.  
 Varao, John  
 deVeer, Robert L.  
 Ward, Frederick  
 Weeks, Gordon W.  
 Whittaker, William  
 Windle, Irvin

#### *Controller's Office*

Speer, John W.,  
 Controller  
 Binda, Ellen F.  
 Campbell, Ruth B.  
 Davis, Doris C.  
 Gilmore, Mary F.  
 Godin, Frances T.  
 Hobbs, Roger W., Jr.  
 Hough, Rose A.  
 Mahan, Joan M.  
 O'Brien-Sibson,  
 Patricia J.  
 Oliver, Elizabeth  
 Tollios, Constantine

#### *Copy Service Center*

Gibson, Caroline F.  
 Jackson, Jacquelyn F.  
 Mountford, Rebecca J.

#### *Development Office*

Ayers, Donald E.,  
 Director  
 Lyons, Elaine D.  
 Thimas, Lisa M.  
*Director's Office*  
 Halvorson, Harlyn O.  
 President/Director  
 Whittaker, J. Richard,  
 Acting Director  
 Berthel, Dorothy  
 Clark, Catharine T.  
 Epstein, Ray L.  
 Kinneally, Kathleen R.

#### *Gray Museum*

Armstrong, Ellen P.  
 Montiero, Eva

#### *Housing*

King, LouAnn D.,  
 Conference Center  
 and Housing Manager  
 Adolf, Bozena  
 Andrews, Loretta  
 Baptiste, Winnie  
 Chamberlain, Bonny  
 Eddy, Kristine A.  
 Ellsworth, Lynne M.  
 Hamilton, Heather A.  
 Heins, Christine  
 Johnson, Frances N.  
 Kuil, Elisabeth  
 Leach, Adele  
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## Development of Nerve Cells in Hydrozoan Planulae: II. Examination of Sensory Cell Differentiation Using Electron Microscopy and Immunocytochemistry

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**Abstract.** The development of sensory cells in hydrozoan planulae of *Halocordyle disticha* was examined using transmission electron microscopy and light immunocytochemistry. Sensory cells arise in the anterior end of the planula in the ectoderm at 24 hours postfertilization. These cells extend from the free surface of the planula to a ganglionic plexus located just above the mesoglea. The cytomorphosis of sensory cells is characterized by the appearance of a single apical cilium, microtubules, mitochondria, one to several Golgi complexes, electron-dense droplets, dense-cored vesicles, and neurites. The basal end of the sensory cell forms one to several processes (neurites) which contribute to the ganglionic plexus. Apical specialization of the sensory cell precedes basal differentiation. Sensory cells increase in number as planulae develop and many become organized into clusters of 3–6 cells distributed along the entire length of the planula. Within some of these clusters, two morphological types of sensory cells are discernible: light sensory cells and dark sensory cells. Light sensory cells outnumber the dark sensory cells and are the first sensory cells to appear at 24 hours postfertilization. Use of immunocytochemical techniques on whole mounts and paraffin-embedded sections of planulae demonstrates the presence of FMRFamide-like immunoactivity associated with some of the sensory cells. Such FMRFamide-like expression is first detected at 24 hours postfertilization in the anterior ectoderm of the planula. By 96 hours postfertilization, the spatial distribution of FMRFamide-like positive sensory cells is such that many are found in clusters along the entire anterior-posterior axis of the planula. There is, however, an abundance of FMRFamide-like positive cells in the anterior region of the planula just prior to metamorphosis. The apices and cell bodies of the

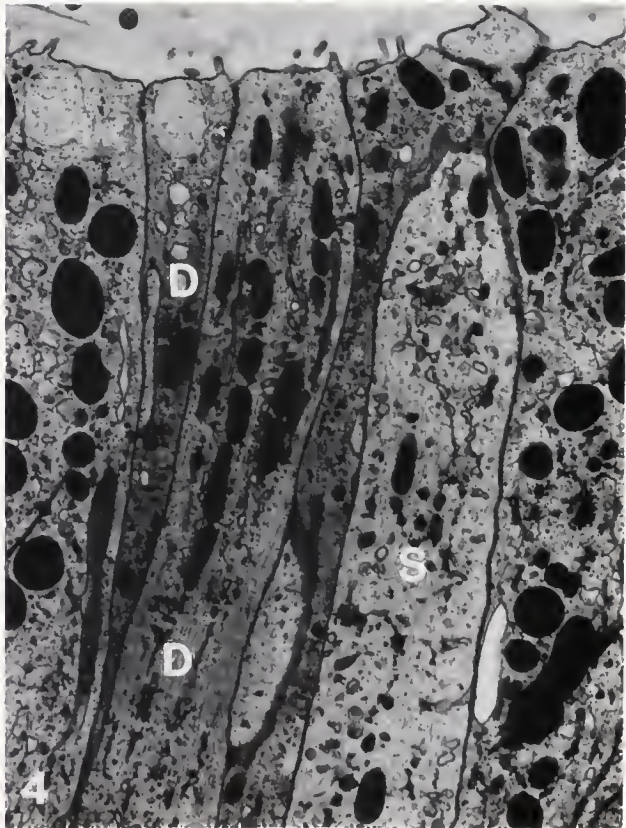
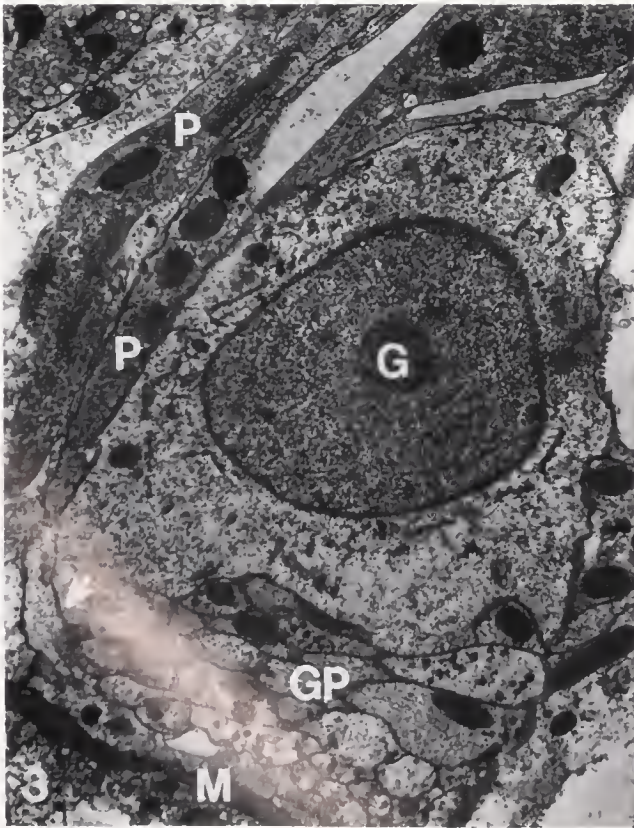
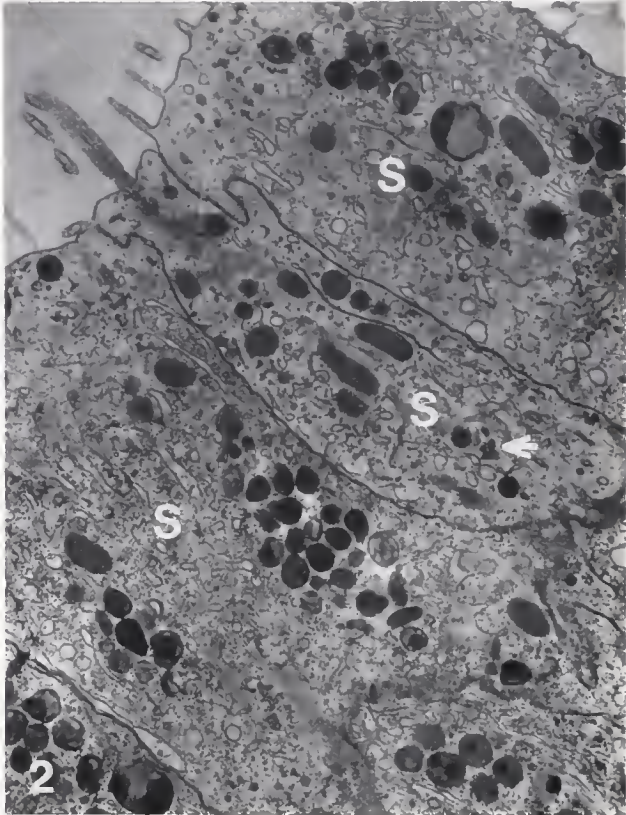
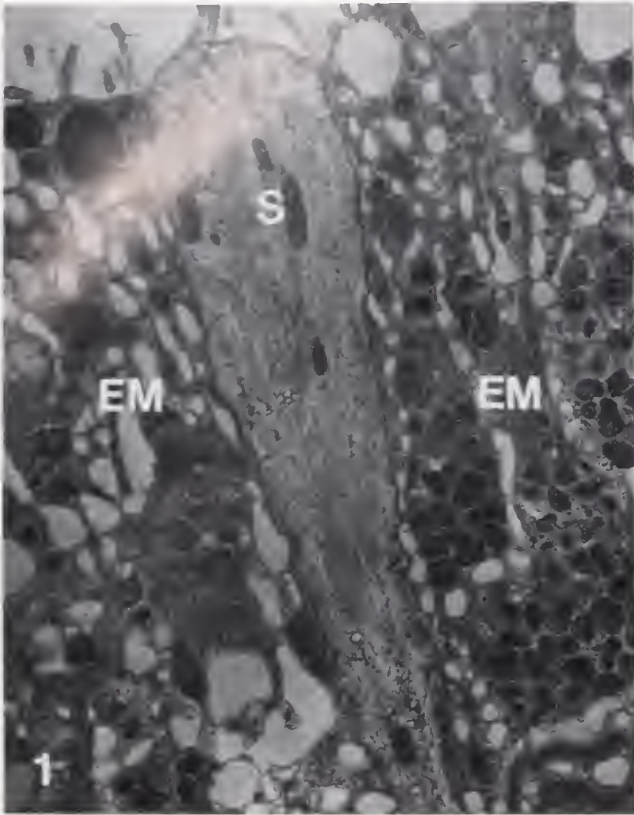
sensory cells exhibit intense immunostaining, whereas the basal processes stain faintly. This study identifies neuropeptide-like substances in nerve cells of cnidarian larvae and demonstrates a developmental correlation between the time of appearance of the synthetic machinery of sensory cells with the pattern of expression of the FMRFamide-like peptide.

### Introduction

Early light microscopists defined two types of nerve cells in cnidarians: sensory cells and ganglionic cells (Burnett and Diehl, 1964). Sensory cells are oriented perpendicular to the mesoglea with their apical ends contacting the outer free surface of the animal and their basal ends drawn out into processes. Ganglionic cells exhibit round perikarya and lie in the basal part of the ectoderm with their axes oriented parallel to the mesoglea. Furthermore, Westfall and associates have demonstrated that, in hydra, many types of sensory cells and ganglionic cells exist which can be classified as unipolar, bipolar, or multipolar depending on the number of processes extending from the perikaryon (Yu *et al.*, 1985).

Chemical synapses with electron-dense and dense-cored vesicles have been observed in the nervous systems of adult hydrozoans, scyphozoans, and anthozoans (Horridge and Mackay, 1962; Lentz and Barnett, 1965; Jha and Mackie, 1967; Davis *et al.*, 1968; Westfall, 1970, 1973; Westfall *et al.*, 1971; Stokes, 1974; Peteya, 1975; Yamasu and Yoshida, 1976; Singla, 1978; Spencer, 1979). However, only recently has a specific peptide been identified in adult cnidarians that might be acting as a neurotransmitter (Grimmelikhuijzen and Graff, 1986; Grimmelikhuijzen and Groeger, 1987). Electron-dense droplets and dense-cored vesicles also have been identified in planular nervous systems (Martin, 1988), and







Kolberg and Martin (1988) have demonstrated catecholamines in association with planular nerves. Furthermore, they provide evidence that such catecholamines may be functioning as neurotransmitters, neurohormones, or neuromodulators during embryogenesis (Kolberg and Martin, 1988).

A reagent (anti-FMRFamide) is available which will stain cells containing peptides ending in -Arg-Phe-NH<sub>2</sub>. The work of Grimmelikhuijzen and associates suggests that, when this antiserum is applied to cnidarians, the peptides bound to it are likely to be related to pGlu-Gly-Arg-Phe-amide (PQGRFa) which is present in large amounts in nervous systems of adult anthozoans and probably also in scyphozoans and hydrozoans (Grimmelikhuijzen and Graff, 1986; Grimmelikhuijzen and Groeger, 1987). The question is: how early in development, and in what cells, is the gene for this peptide (or peptide family) expressed? The planula larva is a good system in which to examine this problem because the number of cell types in the larva is small, their arrangement is simple, and neither the variety nor the arrangement are very far from those of the adult (Martin and Thomas, 1980; Martin *et al.*, 1983; Thomas *et al.*, 1987; Martin, 1988).

In this study, the development of the planula of the marine hydrozoan *Halocordyle disticha* was followed with transmission electron microscopy to determine when sensory nerve cells appeared and when the synthetic machinery of these cells appeared. Different aged planulae were exposed to FMRFamide antiserum, and the pattern of expression of the FMRFamide-like peptide was correlated with the electron microscopic findings.

### Materials and Methods

Mature colonies of *Halocordyle disticha* were collected from wharf pilings in Morehead City, North Carolina. Fronds from male and female colonies were placed

together in large finger bowls of filtered seawater. The bowls were placed in the dark at 6:00 pm, and at 9:00 pm, early cleavage embryos were collected, placed in small finger bowls of seawater, and reared at 23°C.

Eight-hour embryos, as well as 10-, 16-, 24-, 48-, 72-, 96-, and 120-hour planulae, were prepared for transmission electron microscopy. The animals were fixed for 1 hour in 2.5% glutaraldehyde, pH 7.4, in 0.2 M phosphate buffer. They were postfixed for 1 hour in 2% osmium tetroxide, pH 7.2, in 1.25% sodium bicarbonate. The specimens were dehydrated in an ethanol series, infiltrated, and embedded in Spurr's embedding medium. Serial thin-sections were cut with a Porter-Blum MT-2B ultramicrotome, placed on 150-mesh copper grids, and stained with 3.5% uranyl acetate in ethanol followed by lead hydroxide. The grids were examined and photographed with a Hitachi H-600 transmission electron microscope. Thick plastic sections were also cut, placed on subbed glass slides, and stained with methylene blue-azure II.

To better visualize the basal processes of sensory cells, early cleavage embryos were cultured in seawater containing 0.01 M hydroxyurea until they reached the mature planula stage (Martin, 1985, 1986). These treated larvae were then prepared for transmission electron microscopy. Embryos reared continuously in hydroxyurea contain reduced numbers of ganglionic cells and slightly fewer ganglionic neurites, yet possess the same number of sensory cells as do comparable controls (Martin, 1985, 1986, pers. obs.). The sensory cells of hydroxyurea-grown planulae are morphologically identical to those of comparable controls, and their basal processes are more easily traceable due to the reduced size of the ganglionic plexus (Martin, 1985, 1986, pers. obs.).

Planulae of eight different ages, whole mounts and paraffin sections, were tested for their ability to bind a rabbit antiserum raised to FMRFamide (Immuno Nuclear Corporation). The planular ages included 10-, 16-, 24-, 36-, 48-, 72-, 96-, and 120-hour planulae. To visualize

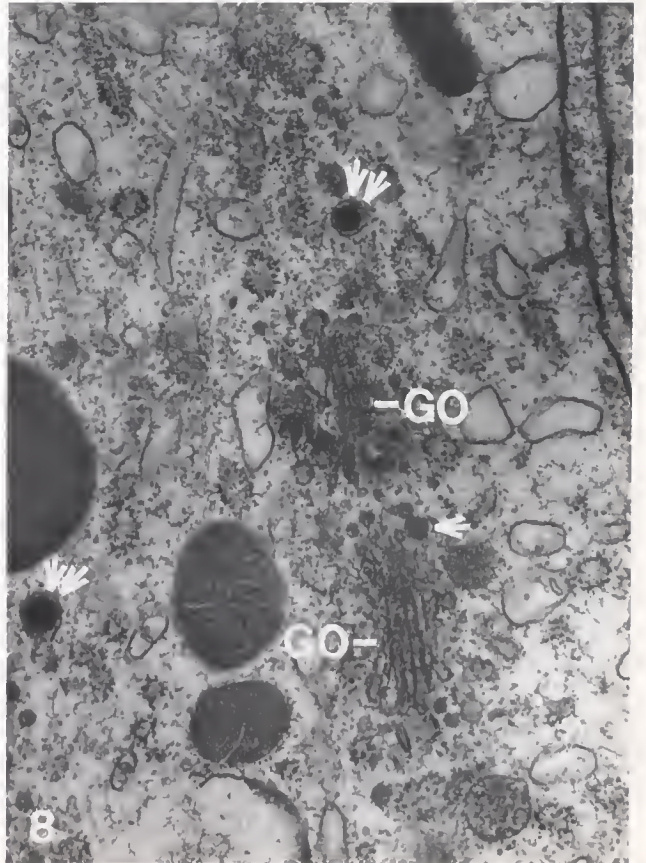
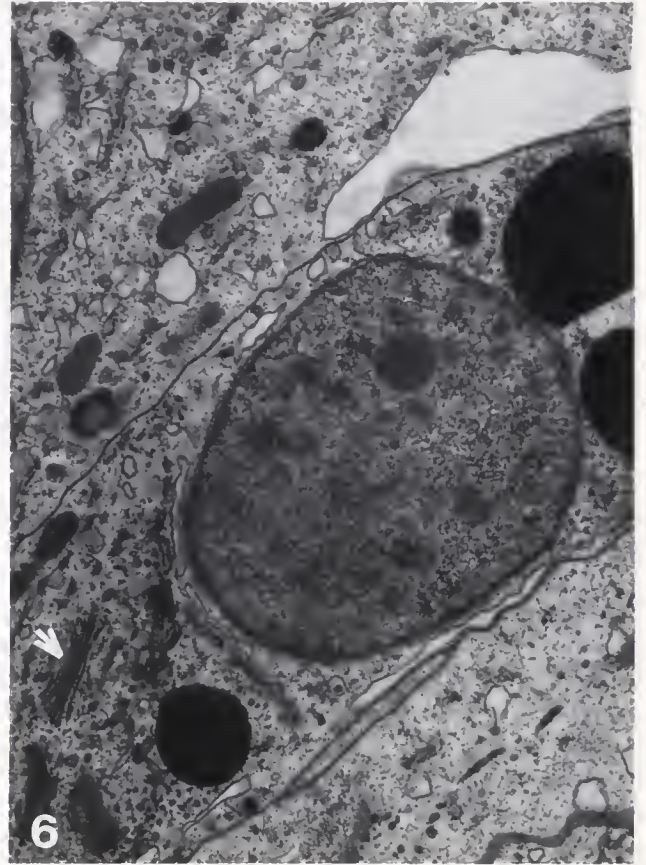
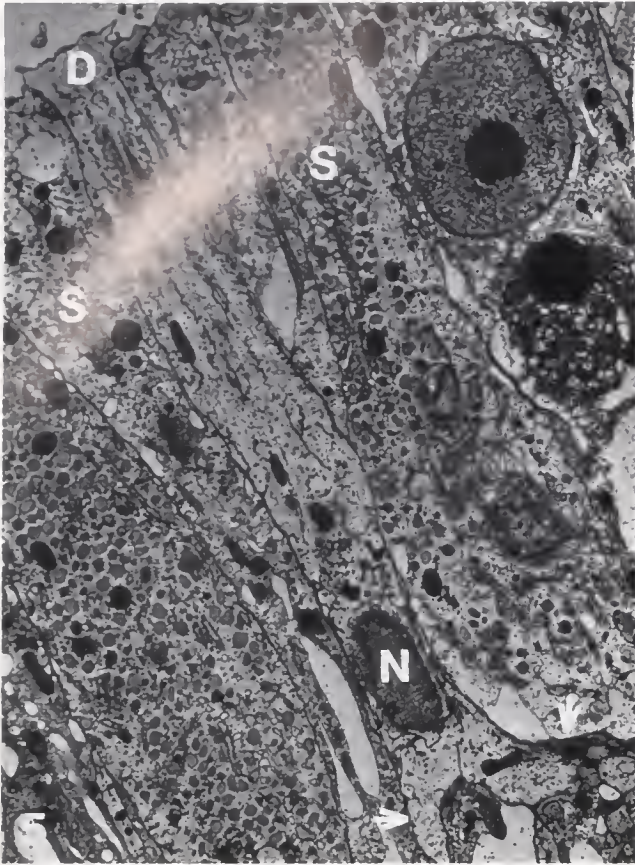
**Figure 1.** Single light sensory cell (S) in the anterior ectodermal region of a 24 hour planula. The cytoplasm is filled with microtubules, Golgi cisternae, some rough endoplasmic reticulum, and a few mitochondria. EM, epitheliomuscle cell.  $\times 8400$ .

**Figure 2.** Cluster of three light sensory cells (S) in the mid ectodermal region of a 72 hour planula. Each cell possesses an apical cilium and a cytoplasm rich in microtubules, Golgi cisternae, electron-dense droplets (arrow), and dense cored vesicles.  $\times 11,200$ .

**Figure 3.** Ganglionic nerve cell (G) and ganglionic plexus (GP) at the base of the ectoderm in a 72 hour planula. Basal neurite extensions (P) of light sensory cells (arrow) and dark sensory cells (not visible here) project into and help constitute the ganglionic plexus. Neurites of the plexus contain microtubules, mitochondria, electron-dense droplets, and dense-cored vesicles. M, mesoglea.  $\times 10,000$ .

**Figure 4.** Cluster of light (S) and dark (D) sensory cells in the ectoderm of a mature hydroxyurea-grown planula. From the early cleavage stage, animals were continuously cultured in 0.01 M hydroxyurea in seawater. All cells in treated planulae are morphologically identical to those in control planulae. Photographs of treated embryos (Figs. 4, 6, 9) were included because excellent planes of section illustrating clustering of sensory cells and sensory cell processes were obtained from these embryos.  $\times 7000$ .







the binding of FMRFamide antiserum on wholemounts of planulae, the procedure presented by Koizumi and Bode (1986) was followed with some modifications. Planulae were fixed for 1 hour in 10% formalin in seawater. After fixation, the animals were washed 3 times, for 15 minutes each, in 10 mM phosphate-buffered saline (PBS, pH 7.2). Incubation with the FMRFamide antiserum was for 18 hours, with the primary antibody diluted 1:200 with 10 mM PBS, pH 7.2, containing 2% neonatal calf serum (Irvine Scientific), 0.3% Triton X-100, and 0.1% sodium azide. The incubation was carried out with the planulae in lid-covered 96 well tissue culture plates that were resting on a rotating shaker platform set at 60 rpm. After the first incubation period, the primary antibody was pulled off with a pipette, and the animals were washed for three 15-minute changes in 10 mM PBS, pH 7.2. Incubation with the second antibody was for 1 hour in fluorescein isothiocyanate (FITC)-conjugated goat anti-rabbit immunoglobins (U. S. Biochemical Corporation) diluted 1:120 in 10 mM PBS, pH 7.2, containing 10% fetal calf serum, 0.3% Triton X-100, and 0.1% sodium azide. The second incubation was also in 96 well plates rotated at 60 rpm. After the second incubation, the animals were washed 3 times, for 15 minutes each, in fresh 10 mM PBS, pH 7.2. Wholemount preparations were examined for fluorescently labelled cells with a Zeiss microscope equipped with epifluorescence.

To visualize binding of FMRFamide antiserum to paraffin sections of planulae, the following procedure was followed. Samples fixed in formalin were dehydrated through an alcohol series, infiltrated and embedded in paraffin, and serially sectioned at 8  $\mu$ m. Approximately nine sections were mounted in the center of a single glass slide, three rows one above the other, and each row containing three sections. The slides were rehydrated to distilled water, and the sections were surrounded by an outer ring of vacuum grease (the grease ring was just to the outside of the sections). The grease was applied in a moist chamber to prevent the sections from drying.

The protocol for indirect immunofluorescence for paraffin sections was identical to that described for whole-

mounts. The FMRFamide antiserum was placed in the grease-created well thus immersing the sections. Such slides were placed in a lid-covered moist chamber and rotated at 40–60 rpm for 18 hours. PBS rinses and incubation in the second antibody were also carried out in the moist chamber. After incubation, the grease was carefully removed from the slides, and the sections were covered with mineral oil and examined for fluorescently labeled cells. Some of the paraffin sections were subsequently stained with azure B after their initial examination for immunofluorescence.

For wholemounts and paraffin sections, the binding specificity of the FMRFamide antiserum was determined by preincubating a 1:200 dilution of the antiserum with either 1 or 10  $\mu$ g/ml synthetic FMRFamide (Peninsula Lab) for 24 hours at 4°C before using it to stain the samples.

## Results

Sensory cells begin to arise in the ectoderm of the planula at 24 hours postfertilization (Fig. 1). They first appear as single cells scattered in the anterior region of the planula. As development progresses, these cells increase in number and become distributed along the entire length of the planula, many arranged in clusters of 3–6 cells (Fig. 2). Sensory cells are columnar and extend from the free surface of the planula to a ganglionic plexus located just above the mesoglea (Fig. 3). Sensory cells are characterized by an apical cilium, a medially to basally located nucleus, and small basal neurite extensions which project into and help constitute the ganglionic plexus.

Two morphological types of sensory cells are identifiable at the fine-structural level: a light sensory cell and a dark sensory cell (Fig. 4); the light sensory cell has a more electron-lucent cytoplasm than does the dark sensory cell. The light and dark sensory cells can be distinguished on the basis of their distribution and time of appearance, their cytology, and their neurite processes.

First, the light cells are in the vast majority, and they appear first in planulae that are only 24 hours old (Fig. 1). I have, as yet, only seen dark sensory cells in the most

**Figure 5.** A cluster of sensory cells containing one dark sensory cell (D) and several light sensory cells (S) in the anterior ectoderm of a 72 hour planula. The dark sensory cell has a cytoplasm rich in microtubules, mitochondria aligned in rows between the microtubules, electron-dense droplets, and dense-cored vesicles. The nucleus (N) of the dark sensory cell is mid to basally located, and the basal extension is bipolar (arrows).  $\times 5000$ .

**Figure 6.** Medially located nucleus of a dark sensory cell. A single Golgi complex (arrow) is found in close association with the nucleus, as are numerous granules and vesicles.  $\times 11,900$ .

**Figure 7.** Cytoplasm of a dark sensory cell in a maturing planula. Electron-dense droplets (single arrow) and dense-cored vesicles (double arrows) are abundant in the Golgi region (GO) of the cell.  $\times 41,000$ .

**Figure 8.** Cytoplasm of a light sensory cell in a mature planula. Multiple Golgi complexes (GO) appear throughout the apical cytoplasm, as do electron-dense droplets (arrow) and dense-cored vesicles (double arrows).  $\times 41,000$ .



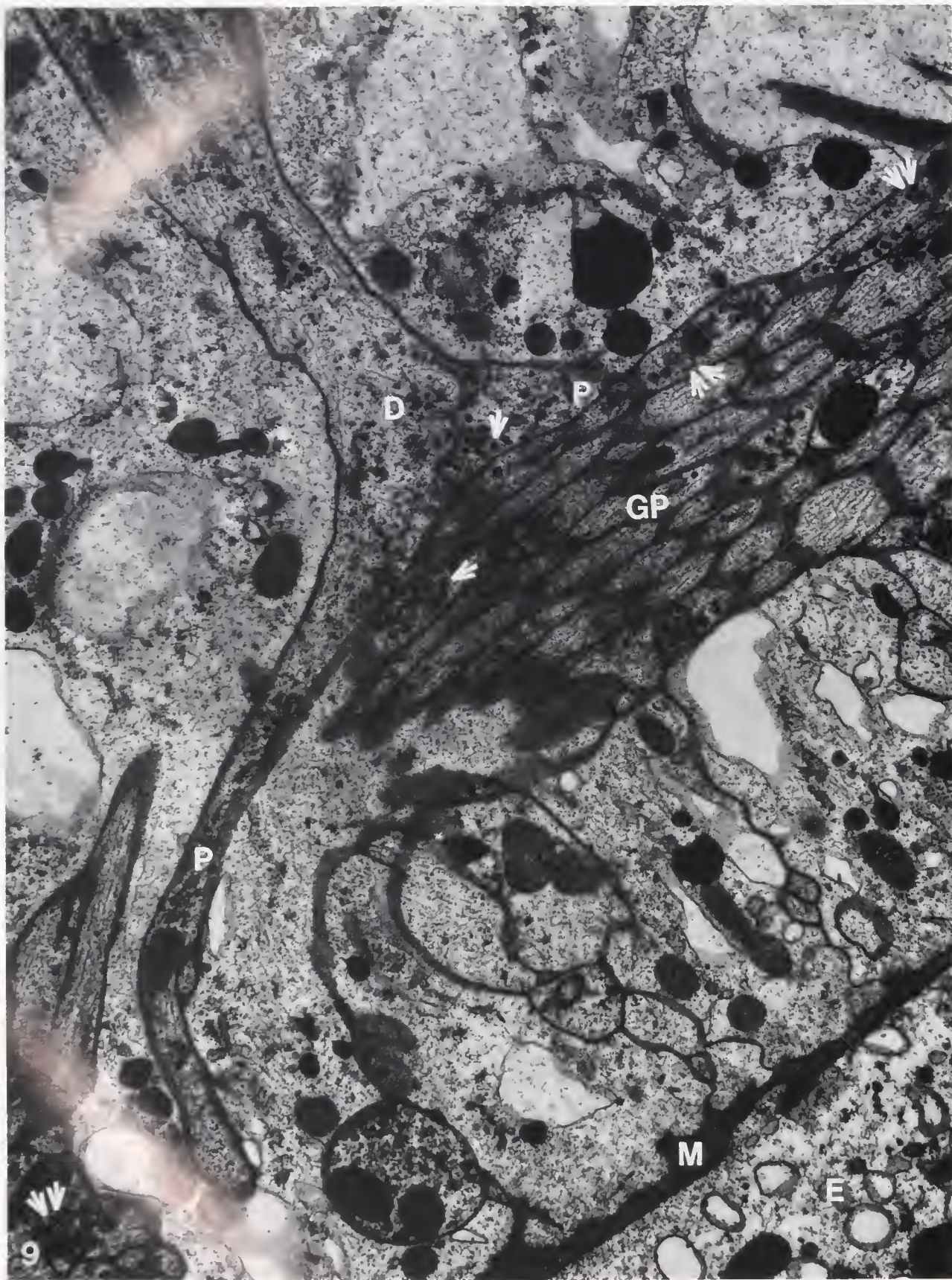
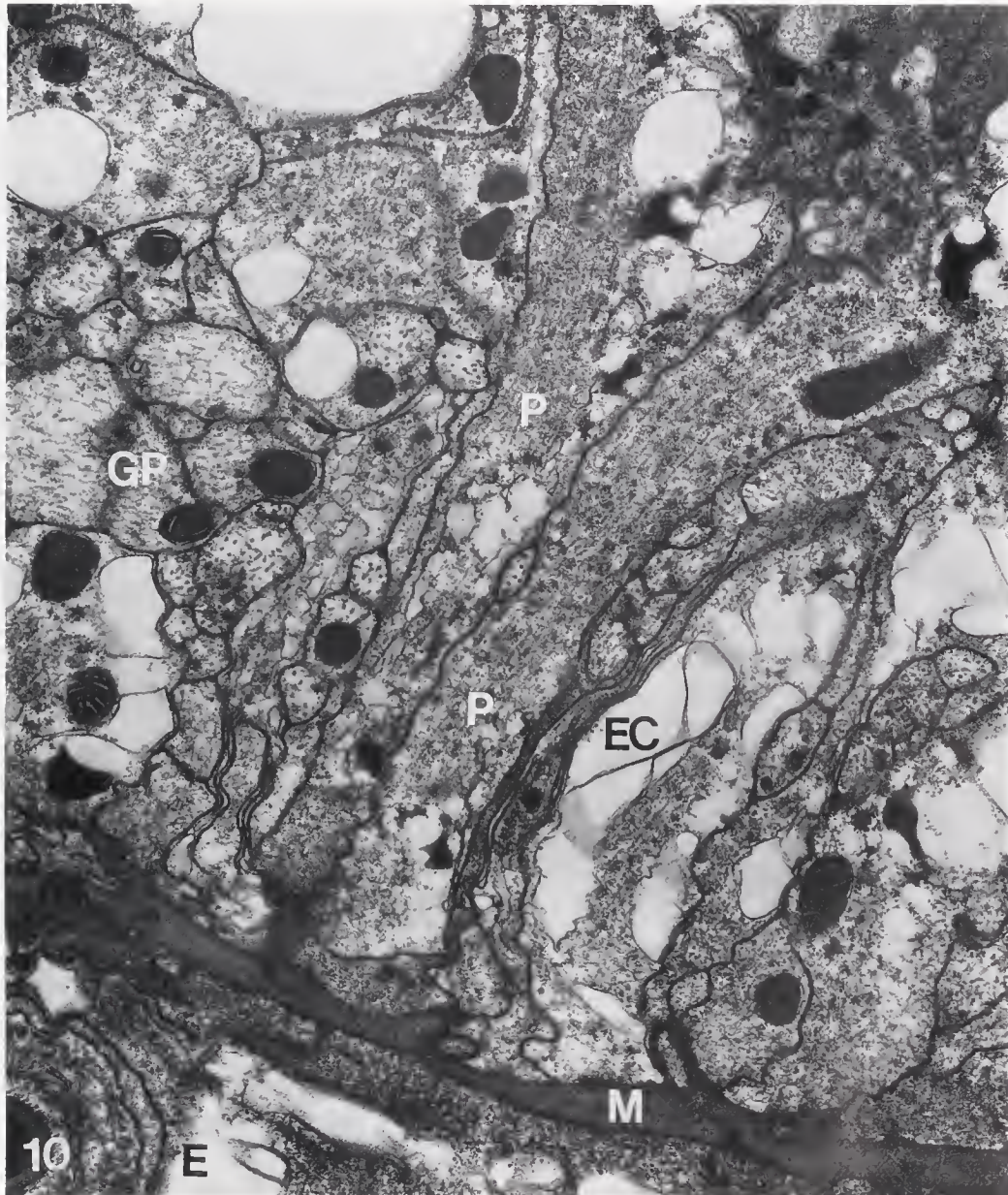


Figure 9. Basal region of a dark sensory cell (D) in a mature hydroxyurea-grown planula. The base of the cell forms two processes (P) which contribute to the ganglionic plexus (GP). Mitochondria, microtubules, electron-dense droplets (single arrow), and dense-cored vesicles (double arrows) fill the sensory neurites and are abundant in the other neurites of the ganglionic plexus. E, endoderm; M, mesoglea.  $\times 19,200$ .



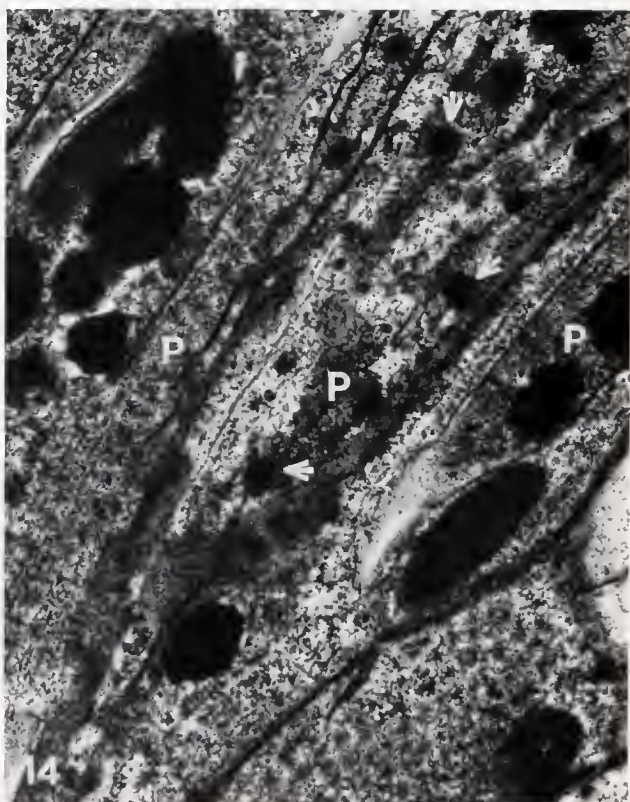
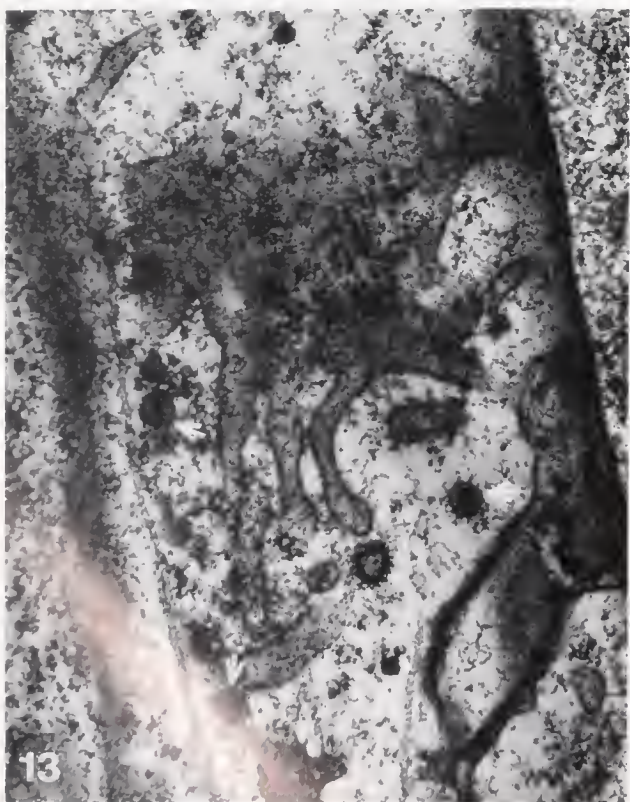
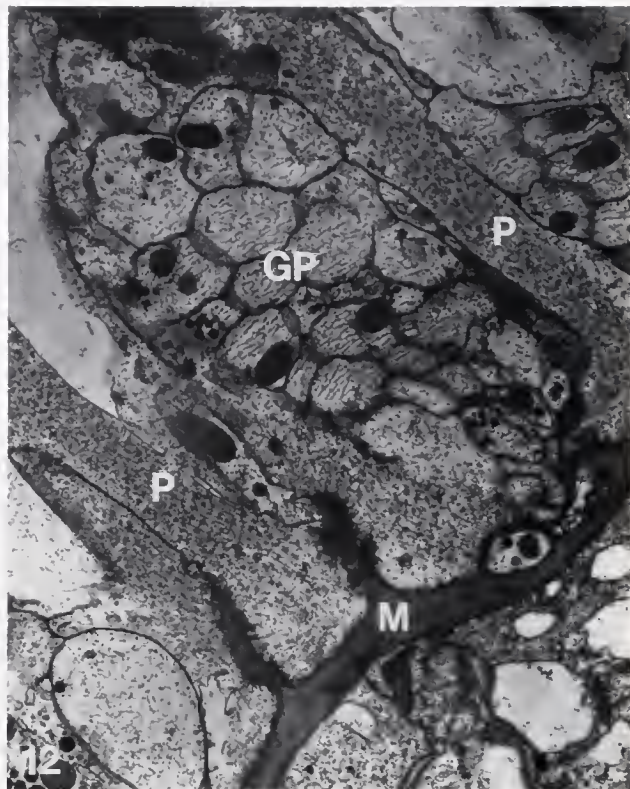
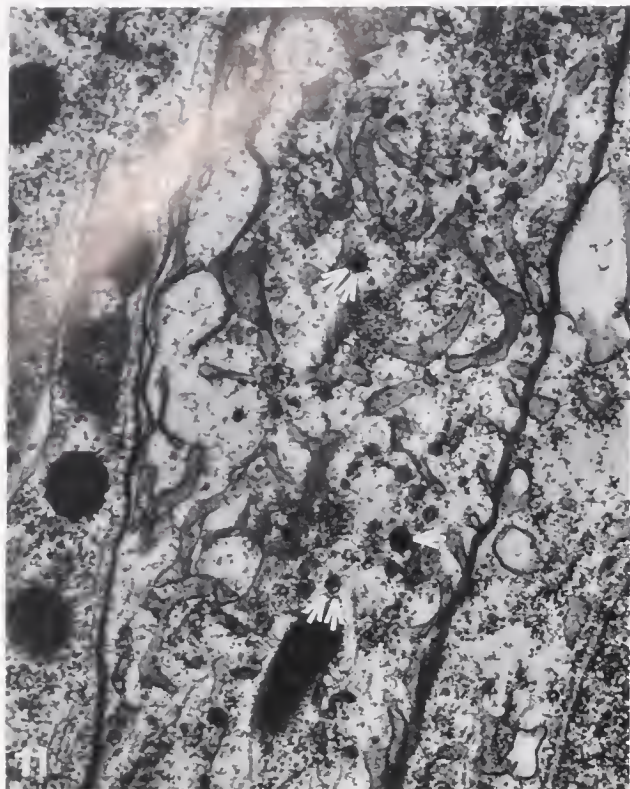


**Figure 10.** Basal regions of light sensory cells in a maturing planula. Basal processes (P) from two light sensory cells extend into the ganglionic plexus (GP). Numerous microtubules occupy the cytoplasm of these processes, however, electron-dense droplets and dense-cored vesicles have not yet appeared. The appearance of these droplets and vesicles in the basal extensions constitutes the last phase of sensory cell differentiation. E, endoderm; EC, ectoderm; M, mesoglea.  $\times 19,000$ .

mature planulae (36–96 hours postfertilization depending on temperature: just prior to attachment). Furthermore, the dark sensory cells do not appear in all sensory cell clusters (Fig. 2), and when they are present, they generally occur singly or in pairs (Fig. 5). Light sensory cells may occur singly along the length of the mature planula, but dark sensory cells have only been seen among the clusters.

The cytoplasm of the dark sensory cells contains numerous bundles of microtubules, rows of mitochondria dispersed in between the microtubule bundles, generally a single Golgi complex in close proximity to the nucleus, and electron-dense, non-membrane bound droplets and dense-cored, membrane-bound vesicles (Figs. 4–7). The cytoplasm of light sensory cells also contains numerous bundles of microtubules, many Golgi complexes in the







upper apical regions, and electron-dense, non-membrane bound droplets and dense-cored, membrane-bound vesicles (Figs. 1, 2, 4, and 8). However, there are fewer mitochondria than in dark sensory cells, and the mitochondria are not arranged in the distinct rows that characterize the dark cells (Fig. 4).

The basal extensions of dark sensory cells contain numerous mitochondria, microtubules, electron-dense droplets and dense-cored vesicles and often bifurcate to form two neurites that project into the ganglionic plexus (Fig. 9). In contrast, the basal processes of light sensory cells have not been seen to bifurcate, and they appear to contain fewer droplets and vesicles than do the processes of dark sensory cells (Fig. 10). Thus, the dark sensory cells appear to be bipolar, whereas the light cells may be considered as unipolar.

During the development of both types of sensory cells, the apical region of each cell becomes specialized before the basal region (Figs. 7, 8, 11–13). One or several Golgi complexes, depending on the type of sensory cell, form early in close association with the nucleus. Droplets and vesicles soon appear within the region of the Golgi (Figs. 7, 8, 13). Concurrent with the appearance of the Golgi, mitochondria and microtubules fill the apical cytoplasm. Next, the basal regions of the cells become specialized to form neurites. Mitochondria, microtubules, droplets, and vesicles appear within the forming basal neurites (Figs. 9, 14).

FMRFamide-like immunoactivity is observed in paraffin sections and wholemounts of planulae of *Halocordyle disticha* (Figs. 15, 17–26). Such immunoactivity is first detected in single cells in the ectoderm at 24 hours postfertilization in the anterior region of the planula (Fig. 15). Before 24 hours animals lack immunostaining (Fig. 16). As the planulae mature, the immunostaining increases and appears scattered along the planular anterior-posterior axis (Figs. 17–22). The appearance and distribution of the FMRFamide-like positive cells corresponds to the appearance and distribution of some of the sensory cells as viewed by transmission electron microscopy. As planulae age, some of the positively staining

cells appear in clusters (Figs. 18–22) and display the characteristic morphology of sensory cells: columnar cells in the ectoderm with tiny tortuous processes that project toward the mesoglea. An examination of the FMRFamide-like positive cells in paraffin sections confirms that they are sensory cells (Fig. 28). When such sections are subsequently stained with azure B, the immunopositive cells stain faintly as they lack apical granules. The only other columnar cells in the ectoderm, glandular and epitheliomuscle cells, possess numerous large apical granules; such granules stain darkly. Thus, epitheliomuscle cells and glandular cells stain darker with azure B than do the sensory cells. Furthermore, light azure B-staining sensory cells are first detected at 24 hours postfertilization, whereas dark azure B-staining cells are visible shortly after gastrulation (10–12 hours postfertilization). No distinction between dark and light sensory cells, as seen via transmission electron microscopy, is possible at the light microscopic level.

Examination of paraffin sections from different aged planulae and from different axial regions of the planula illustrates the abundance and distribution of immunopositive cells with respect to axial location and developmental time. All FMRFamide-like expression, with the exception of a few scattered fluorescent dots in the endoderm, is confined to the planular ectoderm (Figs. 15, 17–23). Developmental expression of the FMRFamide-like peptide by sensory cells is such that it is first detected in the upper apical two-thirds of the cell and last, if at all, in the basal region (Figs. 15, 17–22). The upper portion of the cell exhibits brilliant immunostaining while the basal processes stain weakly. Hence, the first immunopositive cells to appear at 24 hours exhibit intense fluorescence in their apical regions and little or no staining in their basal ends (Fig. 15). Examination of different axial regions of mature planulae indicates that FMRFamide-like immunopositive cells are found along the entire planular axis by 48–72 hours postfertilization (Figs. 18–22). However, there does appear to be more immunopositive cells at the anterior end of the planula than at the posterior end (Figs. 20–22). Just prior to metamor-

**Figure 11.** Apical region of a differentiating light sensory cell in a 72 hour planula. Apical differentiation of sensory cells precedes basal differentiation as Golgi complexes, microtubules, electron-dense droplets (single arrow), and dense-cored vesicles (double arrows) fill the apical cytoplasm. Electron-dense droplets, dense-cored vesicles, microtubules, and mitochondria form later in the basal processes.  $\times 32,000$ .

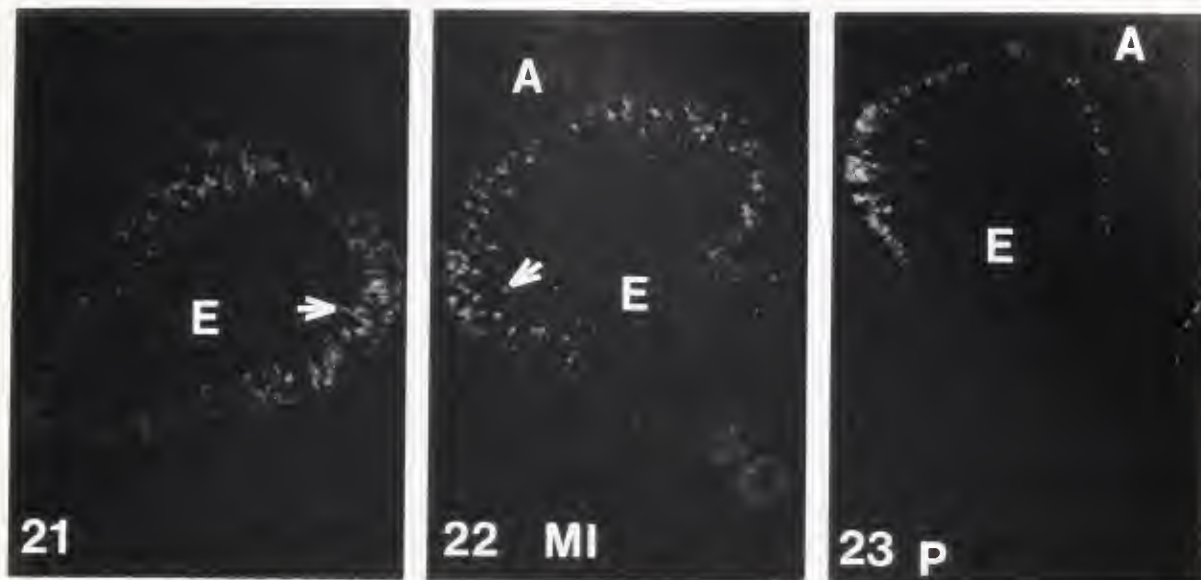
**Figure 12.** Immature basal extensions of light sensory cells. The processes (P) are not yet filled with electron-dense droplets, dense-cored vesicles, or mitochondria. These neurites extend into a well-formed ganglionic plexus (GP). M, mesoglea.  $\times 15,000$ .

**Figure 13.** Golgi region in the apex of a light sensory cell. Electron-dense droplets and dense-cored vesicles (arrows) are found in the area of the Golgi.  $\times 63,000$ .

**Figure 14.** Differentiating basal processes (P) of light sensory cells. These processes become filled with mitochondria, electron-dense droplets (arrows), and dense-cored vesicles (not visible). Compare this micrograph with Figure 12 illustrating immature basal processes of light sensory cells.  $\times 31,000$ .







**Figure 21.** Slightly oblique paraffin section through the anterior region of a young 96 hour planula. Basal processes (arrow) of some sensory cells exhibit weak FMRFamide-like activity. E, endoderm.  $\times 150$ .

**Figure 22.** Longitudinal paraffin section of a young 96 hour planula. Immunopositive cells are abundant in the anterior region (A) and a few are visible along the mid-sides (MI) of the animal. The arrow denotes the location of the mesoglea. E, endoderm.  $\times 220$ .

**Figure 23.** Longitudinal paraffin section of a mature 120 hour planula just prior to metamorphosis. Immunopositive cells are present in the anterior (A) region of the planula, however, they are absent from the more posterior (P) regions of the animal. E, endoderm.  $\times 100$ .

**Figure 15.** Paraffin section through the anterior region of a 24 hour planula. A few FMRFamide-positive cells first appear in the anterior region of the planula at this stage of development. These cells probably correspond to some of the first sensory cells seen via transmission electron microscopy. The FMRFamide-like peptide is first expressed in the apices of these cells (as indicated here) and only later in the mid to basal regions of the cells. Expression of the peptide-like material at this time is confined to a few ectodermal cells, as the endoderm (E) lacks immunostaining. The single arrow denotes the outer edge of the ectoderm, whereas the double arrows indicate the mesoglea.  $\times 230$ .

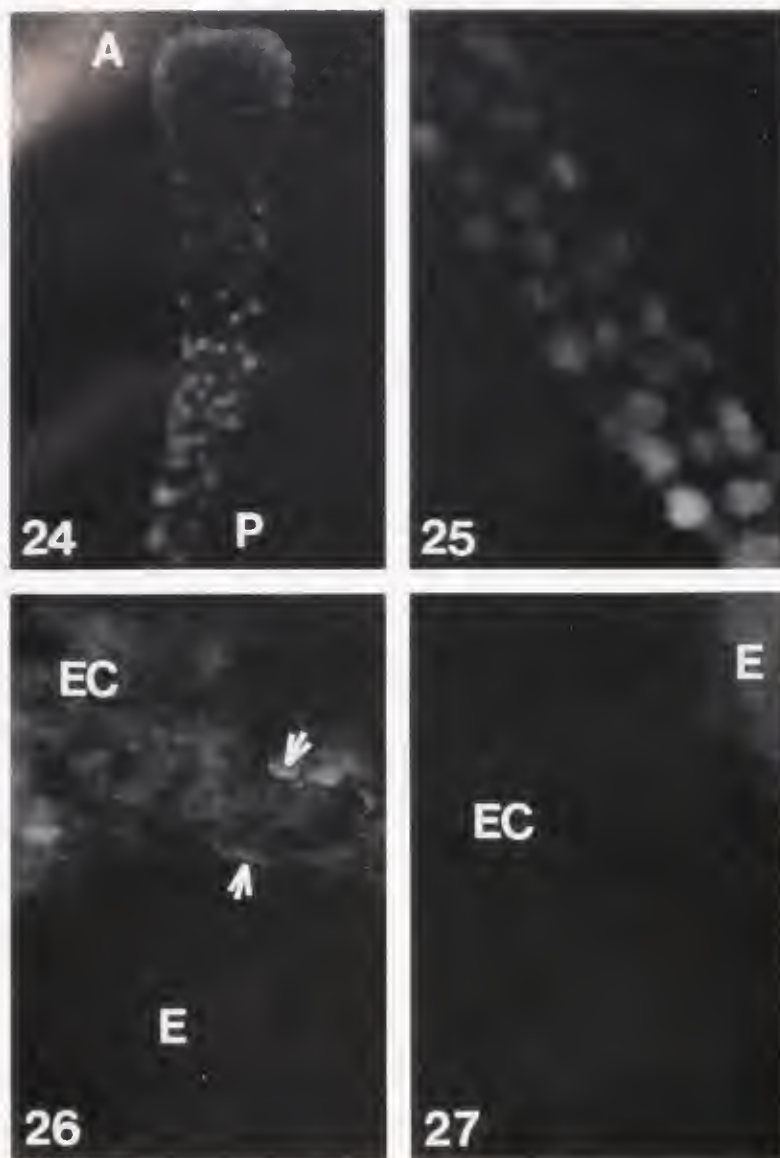
**Figure 16.** Paraffin section through the anterior region of a 16 hour planula. These embryos do not express the FMRFamide-like peptide, as indicated by their lack of immunostaining. The arrow indicates the outer margin of the ectoderm. E, endoderm.  $\times 250$ .

**Figure 17.** Longitudinal paraffin section of a 36 hour planula. As development proceeds, the number of cells expressing the FMRFamide-like peptide increases, as demonstrated by the larger number of positive-staining cells at 36 hours compared to 24 hours (Fig. 15). By 36 hours, immunopositive cells are visible in the anterior region (A) of the planula and also along the sides of the planula. For the most part, the immunostaining at this stage is strongest in the apical regions of cells. The arrow indicates the location of the mesoglea. E, endoderm; P, posterior.  $\times 150$ .

**Figure 18.** Paraffin cross section through the mid region of a 72 hour planula. The number of immunopositive cells has increased by 72 hours, and many of these cells associate to form intense immunopositive clusters (arrows) along the length of the planula. E, endoderm.  $\times 200$ .

**Figure 19.** Oblique paraffin section of a 72 hour planula. Single immunopositive cells and clusters of immunopositive cells are visible in the planular ectoderm. By 72 hours, in those cells that express the FMRFamide-like peptide, the immunostaining is not confined solely to the apices of the cells but has extended to include the mid regions of the cells, and in some cases the basal regions of the cells (arrow). Faint staining of basal processes is seen in the anterior region of the planula and decreases towards the posterior end of the planula. E, endoderm.  $\times 220$ .

**Figure 20.** Oblique paraffin section of a 72 hour planula. Immunopositive sensory cells are found in the ectoderm along the entire anterior-posterior axis of the planula. There appears to be more immunopositive cells in the anterior region (A) of the planula than in the more posterior regions (P). A few immunopositive small cells are visible in the anterior endoderm (E) at this stage, and these cells represent a subpopulation of interstitial cells differentiating along the ganglionic cell line.  $\times 200$ .



**Figure 24.** Distribution of clusters of immunopositive cells in a wholemount of a 96 hour planula. These cells are found in the anterior (A), middle, and posterior (P) regions of the planula.  $\times 80$ .

**Figure 25.** Wholemount of the mid to posterior region of a 96 hour planula showing the distribution of FMRFamide-like positive clusters of cells.  $\times 90$ .

**Figure 26.** Wholemount of a mature planula showing the anterior region. Processes (arrows) stain faintly and are located in the ectodermal region of the ganglionic plexus and also just above the plexus. E, endoderm; EC, ectoderm.  $\times 250$ .

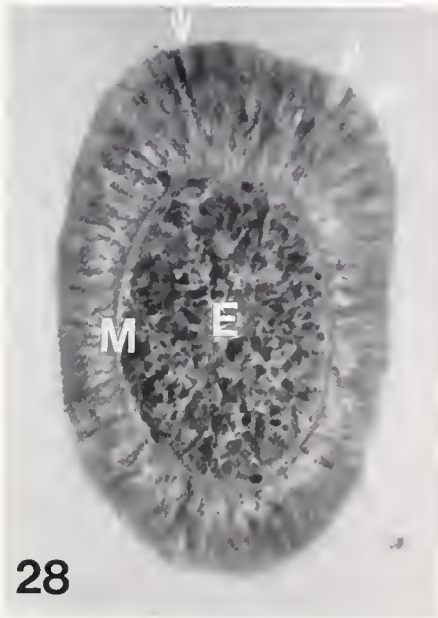
**Figure 27.** Wholemount of a mature planula showing the posterior region. There are no positive staining processes detected in this area. E, endoderm; EC, ectoderm.  $\times 250$ .

phosis, a large number of immunopositive cells are detected in the extreme anterior region of the planula (Fig. 23). Basal processes of sensory cells located in the anterior region of the planula stain more intensely than do those of sensory cells distributed in the mid to posterior region of the animal (Figs. 21–23).

The spatial distribution of cells expressing the FMRFamide-like peptide is easily visualized using

wholemounts of planulae (Figs. 24–27). In mature planulae (96 h) clusters of immunopositive cells are visible as large dots scattered along the length of the animal. A few of these FMRFamide-like positive clusters first appear in the anterior region of the late 24 hour planula and later in the mid to posterior regions of the mature planula. In wholemounts, the basal processes of the sensory cells are very difficult to visualize as they are tiny and stain only





**Figure 28.** Paraffin section through the anterior region of a maturing planula stained with azure B. Light staining sensory cells (arrows) are found among darker staining epitheliomuscle cells and glandular cells. Dark staining cells outnumber the light staining cells. When processed for immunocytochemistry the light cells exhibit a positive response for FMRFamide-like activity. E, endoderm; M, mesoglea.  $\times 250$ .

faintly (Fig. 26). Visualization of weak immunopositive basal processes is more obvious in paraffin sections (Fig. 21).

Wholemounts and paraffin sections of planulae stained with FMRFamide antiserum preabsorbed with  $10 \mu\text{g/ml}$  synthetic FMRFamide do not exhibit any immunopositive staining. Furthermore, treatment of samples with antiserum preabsorbed with  $1 \mu\text{g/ml}$  FMRFamide results in very dim staining of cells.

### Discussion

Using immunocytochemistry and radioimmunoassays, Grimmelikhuijzen and associates identified substances resembling vertebrate or invertebrate neuropeptides in the nervous systems of adult cnidarians (Grimmelikhuijzen and Graff, 1985, 1986; Grimmelikhuijzen and Groeger, 1987). The most common neuropeptides seen were those related to the molluscan neuropeptide Phe-Met-Arg-Phe-amide (FMRFamide). When anti-FMRFamide was applied to adult cnidarians, cells containing peptides ending in  $-\text{Arg-Phe-NH}_2$  bound the antiserum. Recently, Grimmelikhuijzen and co-workers isolated and sequenced a specific neuropeptide, pGlu-Gly-Arg-Phe-amide (antho-RFamide), from sea anemones and pennatulids (Grimmelikhuijzen and Graff, 1985, 1986; Grimmelikhuijzen and Groeger, 1987).

In this study hydrozoan planulae of different developmental ages were tested for their ability to bind a rabbit antiserum raised to FMRFamide to determine if peptides ending in  $-\text{Arg-Phe-NH}_2$  were present in larval cnidarians and, if so, to determine when in development the gene for such a peptide (or peptide family) was expressed. Planulae of *Halocordyle disticha* exhibited a positive staining response when exposed to anti-FMRFamide, indicating that peptides ending in  $-\text{Arg-Phe-NH}_2$  are present in cnidarian larvae. The expression of the FMRFamide-like peptide was first observed at 24 hours postfertilization in the ectoderm in association with some of the sensory cells. As planulae matured, the number of immunopositive sensory cells increased, and such cells were seen along the entire length of the planula. Just prior to metamorphosis a large number of FMRFamide-positive cells appeared in the anterior ectoderm (attachment end), suggesting the involvement of the FMRFamide-like peptide in planular attachment or metamorphosis.

The time of appearance of the synthetic machinery of planular sensory cells, and the pattern of appearance of FMRFamide-like immunofluorescence, appear to be correlated. Ultrastructural examination of planulae reveals that the planular nervous system begins to form in the ectoderm at 24 hours in development. A few sensory cells are found in the anterior end of the planula at this time, and as planulae age, the number of sensory cells increase. Furthermore, as sensory cells differentiate, their apical regions become specialized before their basal regions. One of the first differentiative events detected in the sensory cell is the formation of one to several Golgi complexes in close association with the nucleus. Shortly after the formation of the Golgi complexes, electron-dense droplets and dense-cored vesicles appear in the apical cytoplasm, and only later in the forming basal neurites. Individual immunopositive cells first appear at 24 hours in the anterior ectoderm of the planula and, most probably, correspond to the first sensory cells seen via transmission electron microscopy. As development progresses, many of these immunopositive cells become organized into clusters along the length of the planula. Furthermore, such immunopositive cells exhibit brilliant immunofluorescence first in their apical regions, and only later do they show a dim staining in their basal regions. Such a spatial and temporal staining response in the immunopositive cells is expected in view of the ultrastructural findings concerning time of appearance and location of the Golgi complexes, droplets, and vesicles.

The presence of peptides in the nervous systems of planulae suggest that: (1) peptides may play crucial roles in the development of these larvae; and (2) peptides may be important for metamorphosis. In either case, the sim-

ple nervous system of the planula provides an excellent system with which to analyze neuropeptide action on developmental processes. Also, because the planula can be easily visualized, maintained, and acquired throughout the entire metamorphic process, it offers a unique developmental model for examining the temporal appearance of new neuropeptides and for analyzing possible switches that may occur in neuropeptide phenotype (*i.e.*, plasticity of neuropeptide expression) as an animal passes from the embryonic condition to the adult state.

### Acknowledgments

This research was supported by National Science Foundation Grants DCB-8702212 and DCB-8711245.

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# The Dynamics of Oogenesis and the Annual Ovarian Cycle of *Stichopus californicus* (Echinodermata: Holothuroidea)

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**Abstract.** The major cytological stages of oogenesis in the holothurian *Stichopus californicus* are morphologically separated in distinct classes of tubules, the discrete anatomical units comprising the ovary. Primordial germ cells are in the connective tissue compartment of the gonad basis. Mitotic oogonia are in the smallest and most anterior set of primary ovarian tubules. Oocytes in the early prophase stages of meiosis I are in secondary tubules located more posteriorly. Diplotene oocytes occur in larger, more posterior secondary tubules. The most advanced oocytes are in the most posterior fecund tubules. *S. californicus* oocytes bear nuage, a subcellular marker common to many germ line cells. The striking axial polarization of these oocytes, evident as the egg axis, is indistinguishable from the apical basal axial polarization in epithelial germ line cells. These axes are congruent throughout the developmental history of the oocyte. I present a model for the annual cycle of the *S. californicus* ovary and assess its application to other holothurians. I infer function from the structure of oogenesis presented here; and contrast this information with what is known about oogenesis in the other echinoderm classes.

## Introduction

The only detailed histological investigation of holothurian oogenesis is that of Théel (1901) who reported annual cycling of the gonadal tubules in the synallactid aspidochirote *Mesothuria intestinalis*. Because *M. intestinalis* is hermaphroditic, a fine structural study of oo-

genesis in a gonochoric holothurian will be useful. It would also be of interest because many comparative anatomists consider holothurian gonads primitive (Hyman, 1955; Smiley, 1988b). The simplicity of the holothurian ovary makes it a promising model for all echinoderm gonads. Such a study will also shed light on the development of polarization within the holothurian oocyte. These oocytes bear an apical protuberance, making them among the most visibly polarized in the animal kingdom (Smiley and Cloney, 1985). The protuberance is the site of polar body formation during meiosis, and marks the animal pole of the egg axis (Smiley and Cloney, 1985). Analysis of the development of epithelial cellular polarization in the early germ line cells is also of interest because of its likely importance in the development of embryonic pattern.

In this paper, I present a detailed fine structural analysis of oogenesis in the aspidochirote holothurian *Stichopus californicus*. I also report on an analysis of the annual cycle of this ovary, from resorption of the spent fecund tubules, through oogenesis, culminating in the inception of vitellogenesis in oocytes that will be spawned in the next reproductive season.

## Materials and Methods

*Stichopus californicus* adults, collected by dredging or diving in nearby waters, were maintained in running seawater aquaria at the Friday Harbor Laboratories, University of Washington, from 1980 through 1985. Ovaries from more than 150 individual *S. californicus* were fixed in all months of the year except December. Dissection was done rapidly and all stages of fixation were done on ice using described methods (Smiley and Cloney, 1985).

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Tissues were dehydrated in acetone and embedded in epon. Semithin (1  $\mu$ m) sections were examined from each of several blocks made from each ovary fixed. Thin sections from more than 25 individuals were examined in a Philips 301 electron microscope.

*S. californicus* juveniles were raised from eggs fertilized *in vitro*, as described previously (Smiley, 1986, 1988a). After settlement, fingerbowls containing juveniles were barely submerged in aquaria. This allowed suspended food to flow into the bowls, which were cleaned weekly by rinsing. Maintained in this manner, juvenile *S. californicus* grow well their first fall and winter. Juveniles were fixed in January and February following fertilizations in May, June, and July. *Stichopus californicus*, Clark's (1901) generic redesignation of *Holothuria californica* Stimpson (1857) is also referred to as *Parastichopus californicus* Deichmann (1937).

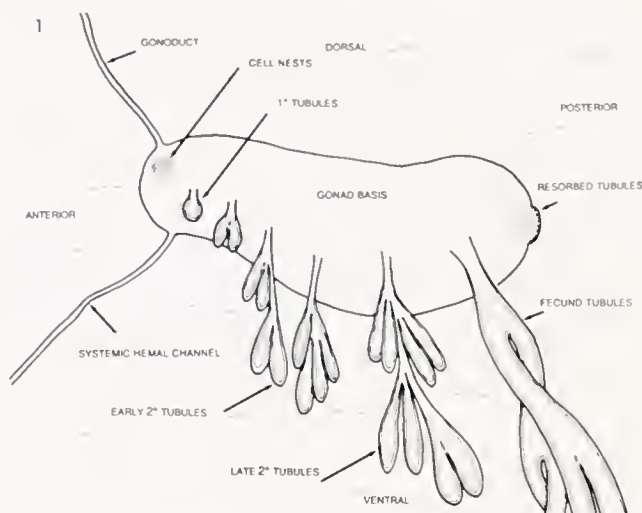
## Results

The results are organized into two parts. The first is a description of the anatomy and histology of the ovary, including the fecund tubules before and after spawning, and progressing anteriorly. This section gives the light microscopical level distinctions between classes of tubules. The second part covers the fine structural changes in the ovarian inner epithelium. This includes a detailed analysis of the dynamics of the somatic and germ line cells beginning with the cell nests of the gonad basis and culminating in vitellogenesis in the most posterior tubules.

### *Anatomy and histology of the ovary*

**Fecund tubules.** The ovary of *Stichopus californicus* consists of three classes of tubules attached to a central *gonad basis* (Fig. 1): fecund, secondary, and primary tubules. While the three size classes are distinguished by a number of criteria, they represent stages in a continuum, in which the fecund tubules are the most posterior and the largest. These attach to the most posterior regions of the basis and bifurcate many times along their length. They contain vitellogenic oocytes in the months prior to spawning, and post vitellogenic oocytes in the weeks immediately before.

The fine structural organization of fecund ovarian tubules in *S. californicus* was recently reported (Smiley and Cloney, 1985). Tubules are the structural unit in holothurian gonads, and each consists of three tissues. Outermost is a *complex peritoneum* composed of peritoneal epithelial cells, nerves, and muscle cells. Innermost is the *inner epithelium* composed of oocytes and somatic inner epithelial cells of two types. Lying between the basal laminae of the peritoneum and the inner epithelium is the *connective tissue compartment* composed of fibers, the



**Figure 1.** Diagrammatic depiction of a left lateral view of the *Stichopus californicus* ovary. This ovary is bilaterally symmetric about the dorsal mesentery.

mesenchymal cells, and the genital hemal sinus. The genital hemal sinus is not lined by cells but is a lacuna within the connective tissue compartment. The oocyte basal lamina (oolamina) limits direct connection of the oocyte and the jelly space with the fluid in the genital hemal sinus. No outer sac of tissues surrounds holothurian gonads.

**Spent tubules.** Spawning evacuates post-vitellogenic oocytes from the fecund tubules (Smiley and Cloney, 1985). Just after spawning, spent tubules are a maximum of 4 cm long, and their pigmentation has decreased markedly. A week later, they have shrunk and become a rust color owing to phagocytosis (Fig. 9). This phagocytic action reduces the ovarian inner epithelium to few identifiable structures; the most obvious are the oocyte oolaminae (Fig. 9). The densely staining material in peritoneal cells and coelomocytes are birefringent residual bodies, products of phagocytic activity. A month after spawning, only pigmented plaques on the posterior of the gonad basis remain, testifying to the former presence of spent fecund tubules (Fig. 1). Since these tubules are lost, subsequent generations of oocytes must be recruited from other ovarian tubules.

**Secondary tubules.** The secondary tubules are just anterior to fecund tubules on the gonad basis (Fig. 1). They average 2.5 cm in length and 0.35 mm in diameter. They branch once or twice along their length, and each branched portion is slightly elongate. Their histology is similar to the fecund tubules, but the peritoneal epithelial cells are more columnar in secondary tubules (Fig. 2), and the genital hemal sinus is less extensive, giving the connective tissue compartment a more fibrous appearance. Fibroblasts, morula cells, and especially petal-

oid amoebocytes are more common in the connective tissue compartment of secondary tubules. Secondary tubules can be divided into two categories. Late secondary tubules are more posterior on the basis; early ones are more anterior.

In late secondary tubules, coincident with the onset of vitellogenesis in the fall, somatic inner epithelial cells form the inner wall of the ovary, and surround the oocytes (Fig. 10). Together, the inner epithelium and connective tissue compartment of these tubules form stubby longitudinal folds (Fig. 2) that run short distances along the length of the tubule. These develop into the long deep longitudinal folds in fecund tubules (Smiley and Cloney, 1985). Some inner epithelial cells form a follicle around the oocytes; these adhere to the oocyte surface, and a jelly space is not present. The lumen of late secondary tubules is much less occluded by oocytes than that of fecund tubules. Sexes can be reliably identified from late secondary tubules, after oocytes have formed germinal vesicles.

The peritoneum of early secondary tubules is thinner than in late secondary tubules, but is otherwise similar. The inner epithelium in early secondary tubules is simple in spite of its stratified appearance (Fig. 3). Here, longitudinal folds are reduced in size compared to late secondary tubules. The monociliated somatic cells are smaller than oocytes and have nuclei with denser peripheral heterochromatin. Even by electron microscopy, it is not possible to distinguish between oocytes and spermatocytes in early secondary tubules, or between oogonia and spermatogonia in primary tubules. The ovarian lumen of early secondary tubules is not seriously occluded by oocytes or longitudinal folds (Fig. 2) and is more expansive than in late secondary tubules. Within it is weakly staining hemal fluid containing morula cells and petaloid amoebocytes.

**Primary tubules.** The primary tubules, the smallest and most anterior on the gonad basis, are often difficult to discern even with magnification (Fig. 1). The most anterior are less than 2 mm in length, 0.25 mm in diameter, and do not bifurcate along their length. Those primary tubules closest to secondary tubules are slightly larger than those more anterior, and they may branch, but the tips of their branches are globose rather than elongate. The distinction between primary and secondary tubules is made on size, position, and cytology. There are no longitudinal folds of the inner epithelium and the connective tissue compartment in primary tubules (Fig. 4).

The histological organization of primary tubules is similar to the other tubules (Smiley and Cloney, 1985), but the connective tissue compartment is substantially smaller, particularly the genital hemal sinus. The lumen of primary tubules also contains hemal fluid which appears to be identical with that found in the genital hemal sinus of late secondary and fecund tubules. In spite of the adhering junctions between cells of the inner epithelium,

fluids and mesenchymal cells within the lumen appear to mix freely with those in the genital hemal sinus. I conclude that the lumen of primary and early secondary tubules is in direct connection with, if not a part of, the ovarian connective tissue compartment.

**Gonad basis.** The gonad basis in *S. californicus* is a 5 to 7 mm long saddle-shaped thickening (Fig. 1) of the dorsal mesenteric connective tissue compartment (Smiley and Cloney, 1985; Cameron and Fankboner, 1986). It is totally enclosed by the dorsal mesenteric perivisceral peritonea (Fig. 6), which are structurally identical to, and continuous with, the investing peritonea of the ovarian tubules (Smiley and Cloney, 1985). The dorsal mesentery results from the lateral fusion of the left and right somatocoels during metamorphosis (Smiley, 1986), and the peritonea of the gonad basis and tubules have the same ontogeny.

The gonoduct inserts into the dorsal anterior aspect of the gonad basis and ascends within the connective tissue compartment of the dorsal mesentery to the gonopore located anteriorly in interambulacrum *CD* (Hyman, 1955). In all specimens sectioned (Fig. 8), the thinner wall of the gonoduct faces one side of the dorsal mesentery, and the long axis of its elliptical lumen is parallel with it. The duct is lined with a simple epithelium composed of columnar and exaggeratedly columnar monociliated cells. No *genital cord* (Théel, 1901; Haanen, 1914) is present adjacent to the gonoduct in *Stichopus californicus*, nor is there any genital rachis (Smiley, 1988b). Entering the basis at a ventral anterior aspect is a channel connecting the genital hemal sinus of the gonad with the dorsal hemal structures of the gut (Fig. 1).

The composition and structure of the connective tissue compartment in the gonad basis is complex (Figs. 6, 7). Within it, muscle cells run between the fibers of the connective tissue matrix. Lacunae are found in the connective tissue of the gonad basis, but based on evidence from serial semithin sections, neither the genital hemal sinus of late secondary and fecund tubules, nor the central lumina of primary and early secondary tubules are in direct connection with these lacunae. The gonad basis contains a reduced central lumen continuous with lumina from more advanced tubules (Fig. 6). Columnar somatic inner epithelial cells of the less advanced tubules partially occlude the opening near the point of their insertion onto the gonad basis. At the most anterior lateral aspect of the gonad basis, the connective tissue compartment contains small cell nests, about 50  $\mu$ m in diameter (Fig. 7), similar to those described for *Holothuria parvula* (Kille, 1942). In *S. californicus*, each cell nest has a reduced central lumen isolated from the lumen of the gonad basis. The nests are separated from the connective tissue compartment by a basal lamina (Fig. 13).

#### *Cytology of the inner epithelial cells*

**Primordial germ cells in the gonad basis.** The cells comprising the cell nests are easily differentiated into two



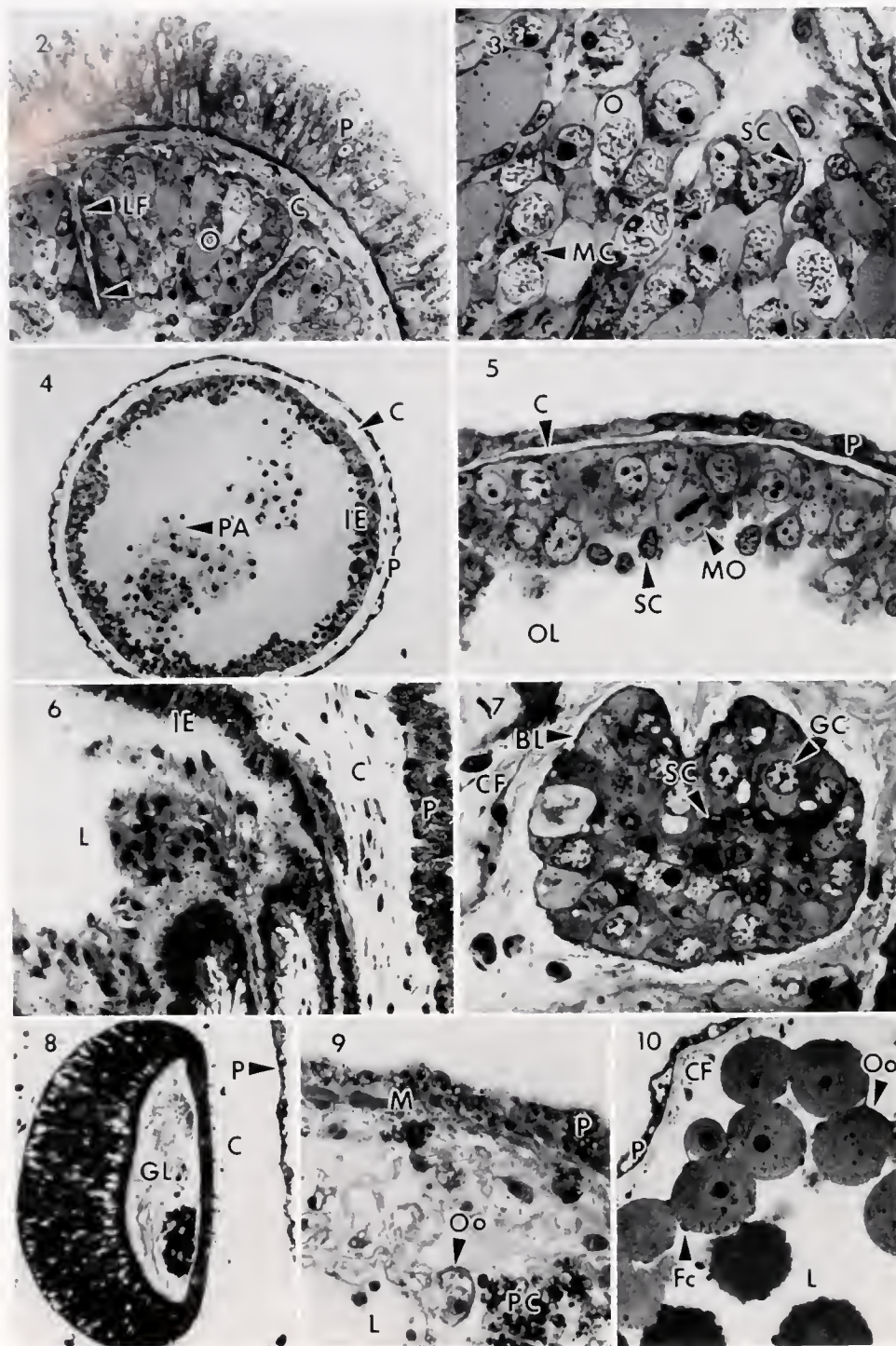


Figure 2. Light micrograph of a cross section of an early secondary tubule. C, connective tissue compartment, LF, incipient longitudinal folds, O, oocyte, P, peritoneum. The arrows demark a longitudinal fold. 480 $\times$ .

Figure 3. Light micrograph of the inner epithelium in an early secondary tubule. MC, mitochondrial cloud in a spreme stage oocyte, O, oocyte, SC, inner epithelial somatic cell. 910 $\times$ .

Figure 4. Light micrograph of a cross section of a primary tubule. C, connective tissue compartment, IE, inner epithelium, P, peritoneum, PA, petaloid amoebocytes within the lumen. 175 $\times$ .

Figure 5. Light micrograph of details on the inner epithelium of a primary tubule. C, connective tissue compartment, MO, mitotic oogonium, OL, ovarian lumen, P, peritoneum, SC, somatic cell of the inner epithelium. 1050 $\times$ .



types found in approximately equal abundance (Fig. 13). The smaller type, averaging about 6  $\mu\text{m}$  in diameter, have more electron-dense cytoplasm, nuclei with distinct peripheral heterochromatin, prominent centrioles and a cilium; these are interpreted as somatic cells. The larger cells (Fig. 13), averaging about 10  $\mu\text{m}$  in diameter, have more electron-lucent cytoplasm, nuclei with reduced peripheral heterochromatin, prominent centrioles (Fig. 18), and appear to bear a cilium. The cytoplasm in the larger cells (Fig. 13) contains aggregations of mitochondria and associated electron-dense bodies (Fig. 19), here called *type I nuage*. Nuage is germ line specific electron-dense granules, unbound by membranes, and has been described for germ line cells from other species (Eddy, 1975; Kessel, 1983). These criteria indicate that the larger cells (Figs. 7, 13) are *primordial germ cells (PGCs)*, the progenitors of the mitotic gonial cells, themselves precursors of spermatocytes or oocytes. PGCs lack yolk, are bound to neighboring cells with adhering junctions (Fig. 19), and appear attached to the thickened basal lamina (Fig. 13).

*Oogonial mitoses in primary tubules.* The inner epithelium of primary tubules contains two distinct cell types, somatic cells and oogonia (Fig. 5). The smaller cells, with more basophilic cytoplasm and nuclei with more distinct peripheral heterochromatin are somatic cells, homologous with the smaller cells in cell nests within the gonad basis. These monociliated cells are not organized into a simple epithelium typical of late secondary and fecund tubules. Their jumbled arrangement makes the organization difficult to classify (Fig. 5). TEM reveals that all cells have adhering junctions attaching them to their neighbors, and the basal most cells rest on a basal lamina, therefore the inner cells of primary tubules form a true epithelium.

Within primary tubules, germ line cells of the inner epithelium are less electron dense, and their nuclei show substantially less peripheral heterochromatin than somatic cells. They average about 10  $\mu\text{m}$  in diameter, lack yolk, and contain type I nuage. Like the somatic cells, these are bound to neighbors by adhering junctions, and appear attached to the thickened basal lamina. Germ line mitoses are frequently encountered, and are re-

stricted to the primary tubules (Fig. 5) in *S. californicus*. Mitoses indicate that these germ line cells are oogonia, which correspond to PGCs in cell nests. The criteria supporting this conclusion include: (1) they are part of the inner epithelium of the tubules, (2) they are larger and are morphologically similar to other germ line cells, (3) their staining pattern is more like germ cells than somatic cells, and (4) because TEM reveals that they contain type I nuage.

The frequency of mitotic figures among the oogonia of primary tubules is higher than that found in any other ovarian tissues of *S. californicus*. The plane of the division spindles in oogonia is parallel to the inner epithelium, preventing daughter cells from being pushed into the tubule lumen at mitosis. Oogonia occur throughout the length of the primary tubule; indicating there is no discrete zone of mitotic proliferation in this tissue. Peritoneal epithelial cells in primary tubules also divide, although not as frequently as oogonia.

*Prophase of meiosis I in early secondary tubules.* In the inner epithelium of secondary tubules, the somatic cells cannot be distinguished as parietal or follicular. These cells are bound to other inner epithelial cells, somatic and germ line, by adhering junctions; or they may be closely applied to the surface of adjacent cells but showing no junctions. The somatic cells are smaller than germ line cells and have nuclei with peripheral heterochromatin.

The germ line cells here are quite small relative to those in late secondary tubules, and their chromosomes are in configurations characteristic of early prophase stages of meiosis (compare Fig. 21). These cells average about 15  $\mu\text{m}$  in diameter, lack yolk, have adhering junctions with adjacent cells, contain nuage, and appear to be associated with a special basal lamina, the oolamina. Because of the small oocyte size, oolaminae in early secondary tubules extend over a greater area at the basal surface of the oocyte than in the fecund tubules (Smiley and Cloney, 1985), but it has the same staining properties and fine structure. The differences in relative size of the oolamina in secondary and fecund tubules are probably a result of oocyte growth.

An aggregation of densely staining particles lies adja-

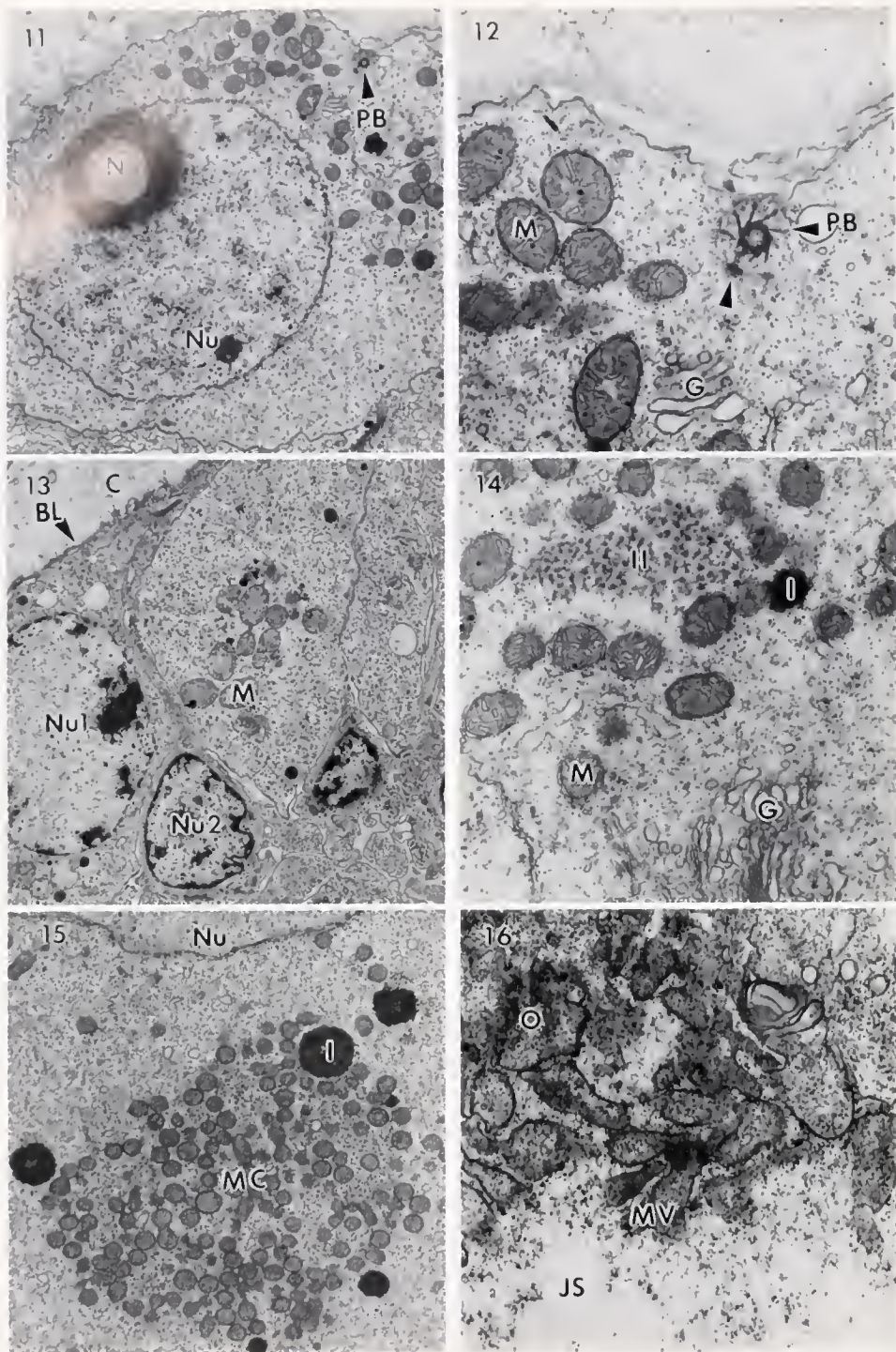
**Figure 6.** Light micrograph of the gonad basis. C. connective tissue compartment, IE. inner epithelium, L. lumen, P. peritoneum. 375 $\times$ .

**Figure 7.** Light micrograph of a cell nest within the connective tissue compartment of the gonad basis. BL. basal lamina limiting the nest, CF. connective tissue fibers, GC. germ line cell, SC. somatic cell. 1025 $\times$ .

**Figure 8.** Light micrograph of the gonoduct. C. connective tissue compartment of the dorsal mesentery, GL. gonoduct lumen, P. peritoneum. Note the absence of a genital cord. 255 $\times$ .

**Figure 9.** Light micrograph of a cross section of a resorbing spent tubule. M. muscle cells of the complex peritoneum, L. ovarian lumen, Oo. oolamina, P. peritoneum, PC. petaloid amoebocytes containing lipofuscin granules. 525 $\times$ .

**Figure 10.** Light micrograph of a cross section of a late secondary tubule. CF. connective tissue fibers, Fc. follicular inner epithelial cells, L. ovarian lumen, Oo. oolamina, P. peritoneum. 250 $\times$ .



**Figure 11.** TEM of a germ line cell from a late primary tubule. N, nucleolus, Nu, nucleus, PB, pericentriolar body (satellite structures). 6980 $\times$ .

**Figure 12.** TEM at higher magnification of the centriolar satellite structure in Figure 11. G, Golgi body, M, mitochondrion, PB, pericentriolar body (satellite structures). Arrowhead points to microtubules radiating from the centriole. 21,700 $\times$ .

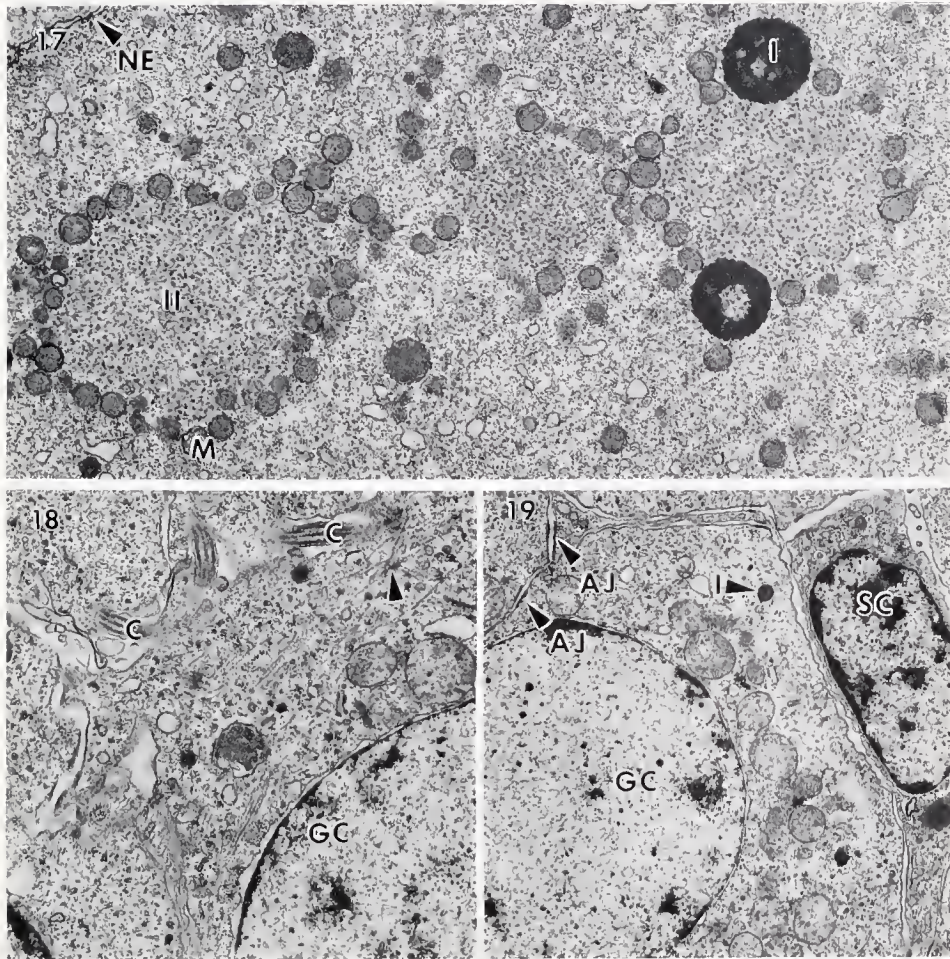
**Figure 13.** TEM of cell nest within the gonad basis. BL, basal lamina separating cell nest from, C, connective tissue compartment, M, mitochondria, Nu1, nucleus of a germ line cell, Nu2, nucleus of a somatic cell. 5770 $\times$ .

**Figure 14.** TEM showing nuage in a spireme stage oocyte from an early secondary tubule. G, Golgi body, M, mitochondrion, I, type I nuage, II, type II nuage. 13,330 $\times$ .

**Figure 15.** TEM showing mitochondrial cloud from a previtellogenic oocyte in a secondary tubule. MC, mitochondrial cloud, Nu, nucleus of oocyte, I, type I nuage. Type II nuage is located between the mitochondria of the cloud. 8200 $\times$ .

**Figure 16.** TEM vegetal microvilli in an oocyte from a late secondary tubule just beginning vitellogenesis. Many of the particulate densities are precipitated lead stain, an artifact. JS, jelly space, MV, microvilli, O, oocyte. 20,000 $\times$ .





**Figure 17.** TEM showing unusual spherical aggregations of mitochondria. M. spherical aggregations of mitochondria, NE. nuclear envelope, I. type I nuage, II. type II nuage. 13,060 $\times$ .

**Figure 18.** TEM of cilia found on somatic and germ line cells within the cell nests. C. cilium, GC. germ line cell nucleus. Arrowhead marks an apical centriole in this germ line cell. 11,150 $\times$ .

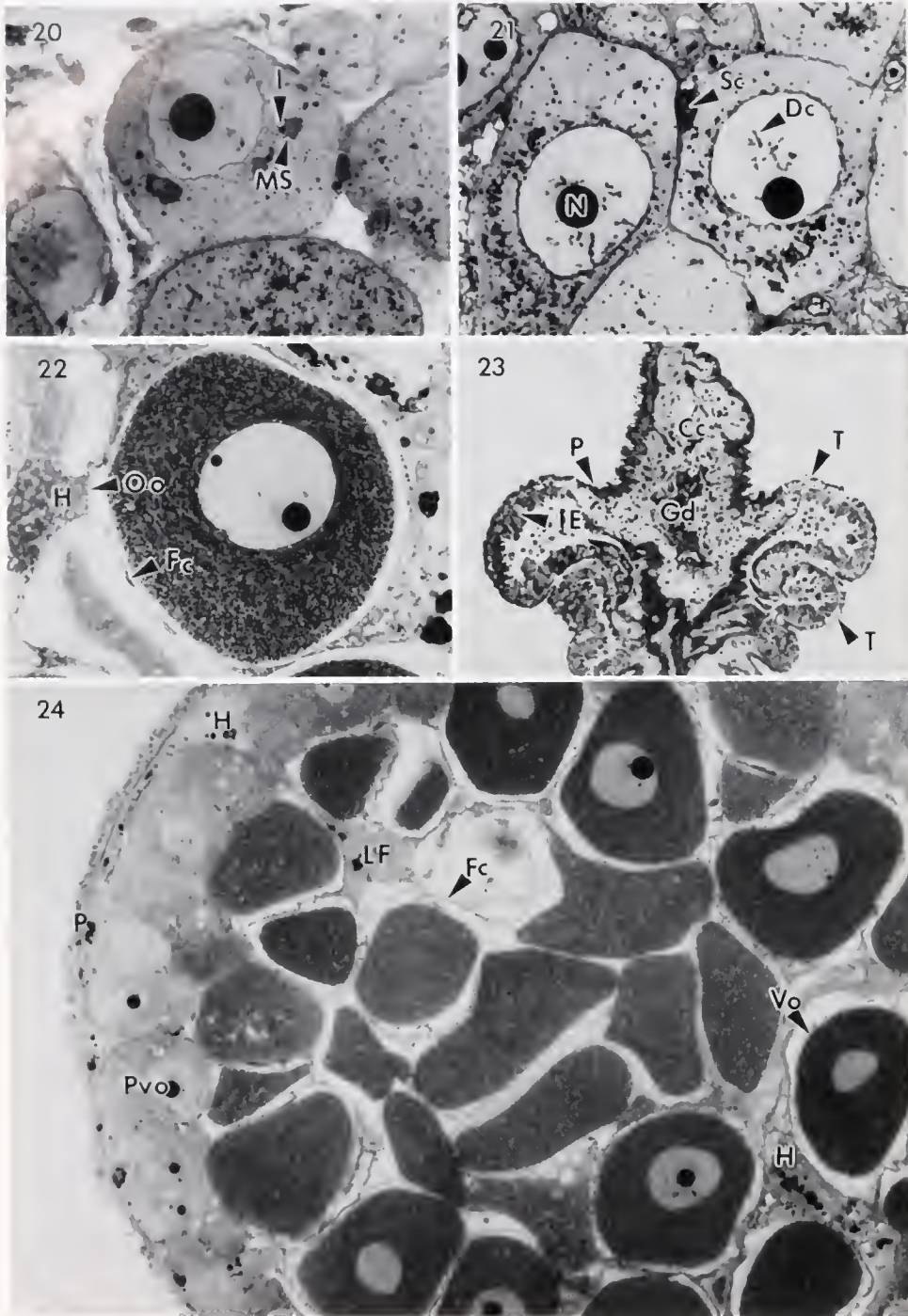
**Figure 19.** TEM showing intercellular junctions between adjacent inner epithelial cells in the cell nests. AJ. adhering junctions, GC. germ line cell, SC. somatic cell, I. type I nuage. 8360 $\times$ .

cent to the nucleus, in the vegetal region of prediplotene oocytes of the secondary tubules (Fig. 3). These are not yolk, but a cloud of mitochondria (Fig. 15). As oogenesis progresses these mitochondria become arranged in striking spherical aggregations which enclose granular electron-dense material (Figs. 14, 17), here called *type II nuage*, which is ultrastructurally indistinguishable from similar nuage found in other echinoderm oocytes (Milonig *et al.*, 1968; Eddy, 1975). Type I nuage (Fig. 14) is also present in these oocytes. Oocytes of secondary tubules have a centriole located in the peripheral cytoplasm (Figs. 11, 12). The centriole has a full complement of satellite structures (Fig. 12) as would be expected in a cilium producing centriole, yet holothurian oocytes are not known to bear a cilium and none were found in these sections. The centriole and the mitochondrial cloud define the future egg axis, judged by their relationship to

the oolamina. It is possible that the centriole acts to fashion the oocyte protuberance, which contains dense arrays of microtubules (Smiley and Cloney, 1985).

*Diplotene oocytes in late secondary tubules.* The term previtellogenesis is used here to include all phases of oocyte development beginning with the production of oocytes in the mitotic proliferation of oogonia, and extending to the inception of active vitellogenesis. Cytologically, the earlier portions of oocyte previtellogenesis can be referred to as spireme stages (Wilson, 1925), describing the characteristic chromosome morphologies. The spireme stages of leptotene, pachytene, and zygotene are evidently accomplished rapidly in *S. californicus* since they are only rarely encountered in sections. The chromosomes decondense at diplotene; the nucleus enlarges and assumes an expanded germinal vesicle configuration, giving the oocyte its most recognizable morphol-





**Figure 20.** Light micrograph of previtellogenic oocytes in a late secondary tubule. MS, spherical aggregations of mitochondria (see Fig. 17). I, type I nuage. 1130 $\times$ .

**Figure 21.** Light micrograph of diplotene configuration to chromosomes of secondary tubule oocytes. Dc, diplotene chromosomes, N, nucleolus, Sc, somatic cells. 940 $\times$ .

**Figure 22.** Light micrograph of early vitellogenic oocyte in the late secondary or early fecund tubule. Fc, follicle cells, H, genital hemal sinus of the connective tissue compartment, Oo, oolamina. 375 $\times$ .

**Figure 23.** Light micrograph of frontal section through the developing gonad of a juvenile *S. californicus*. Cc, connective tissue compartment, Gd, gonoduct, IE, inner epithelium, P, peritoneum, T, tubules. 75 $\times$ .

**Figure 24.** Light micrograph of a cross section of a vitellogenic tubule. Fc, follicle, H, genital hemal sinus, LF, longitudinal fold, P, peritoneum, Pvo, previtellogenic oocytes, Vo, vitellogenic oocytes. 180 $\times$ .

ogy. The diplotene stage extends into the vitellogenic period, and is terminated when the chromosomes enter diakinesis. Diplotene has the longest duration of any of these stages in *S. californicus* (Fig. 20) and most oocytes in late secondary tubules have their chromosomes in this configuration.

The morphology of oocytes and somatic cells in secondary tubules changes greatly during early oocyte growth and differentiation. When tubules have advanced to where their oocytes are in the diplotene stage, somatic inner epithelial cells adhere to the surface of the oocyte (Fig. 10). During the transition from early to late secondary tubules, these somatic cells form junctional complexes between themselves, creating a true follicle around the oocyte, but it is only in late secondary tubules that the parietal inner epithelium is clearly identifiable (Fig. 24). When a follicle is present around secondary tubule oocytes, the jelly space is absent. Oocytes adhere to the connective tissue layer of the ovary (Fig. 10) by their oolamina in all but the most advanced secondary tubules (Smiley and Cloney, 1985), and attempts to manually dislodge oocytes with fine needles were unsuccessful. Oocytes here average about 40  $\mu\text{m}$  in diameter, contain type I and II nuage, and for the most part lack yolk. The large diplotene germinal vesicle nucleus is probably active in synthesizing messages required for further development, but no specific information on this point is available.

*Vitellogenesis in smaller fecund tubules.* *Stichopus californicus* oocytes start to accumulate yolk late in the fall, beginning at about the end of October in the San Juan Archipelago population. The onset of vitellogenesis occurs only in late secondary tubules, and is heralded by a substantial increase in cellular debris found in the genital hemal sinus of these tubules (Fig. 22), during the resorptive period and torpor that follows spawning (Fankboner and Cameron, 1985; Cameron and Fankboner, 1986). Vitellogenesis initially proceeds at a leisurely pace, determined by the slow increase in dense basophilic granules in oocytes of animals fixed during November. By late November, there is a marked increase in the number of granules per oocyte section, indicating that the pace of vitellogenesis has increased. The pace continues to increase until mid January when it appears to level off. The accumulation of cellular debris within the genital hemal sinus is paralleled by elaboration of microvilli at the vegetal pole of the oocyte adjacent to the oolamina (Fig. 16).

TEM reveals that the majority of these granules are yolk platelets (Smiley and Cloney, 1985; Fig. 23), but some are mitochondria, and others type I nuage. During vitellogenesis the spherical aggregations of mitochondria that surrounded nuage in early secondary tubules break up. The mitochondria and nuage disperse within the ooplasm and are not localized to the perinuclear vegetal

region. Vitellogenesis is detectable earlier in more centrally located oocytes (Fig. 24). Oocytes near the periphery of tubules are the last to show an increase in size and in accumulation of the dense basophilic yolk granules diagnostic of vitellogenesis. The average diameter of oocytes increases during the late fall and through winter and early spring in *S. californicus*.

*The juvenile gonad.* In juvenile *Stichopus californicus*, the gonad has started to develop by three to four months after metamorphosis (Fig. 23). The initial structures to form include an expanded central connective tissue component of the gonad basis, a developing gonoduct, and two to four pairs of tubules, similar to the conditions reported for *Holothuria parvula* (Kille, 1942). The tubules are small and unbranched. The most posterior tubules are slightly larger than those more anterior, but the germ line cells within their inner epithelia are all in the proliferative oogonial stage, as determined by the presence of mitotic figures in serially sectioned gonads. Juveniles are difficult to raise, and consequently are rare. I took serial 1  $\mu\text{m}$  sections of the gonads from the four specimens I raised, and found no genital rachis (Hyman, 1955; Smiley, 1988b) present in any of these specimens.

## Discussion

### *Structural aspects of oogenesis in Stichopus californicus*

This data shows that the gametogenic holothurian ovary consists of three classes of ovarian tubules, which can be defined by their size, their location along the gonad basis, and the cytological stage of the germ line cells within them. These results are harmonious with and expand upon those of Théel (1901), Mitsukuri (1903), and Kille (1942) concerning the function of the smaller ovarian tubules anterior on the basis in other holothurians. However, my results are in conflict with the arguments of Delage and Hérouard (1903) who suggested that the function of the smaller tubules was to provide a fluid that augmented the spawn. The tubules represent a continuum between the smallest most anterior primary tubules, and the largest most posterior fecund tubules. This continuum is broken by the annual episodic cytological changes in the development of the oocytes. All primary tubules, whether larger or smaller, contain only oogonia. All secondary tubules, whether early or late, contain previtellogenic oocytes. The onset of vitellogenesis in secondary tubules during the fall is a convenient marker for determining the initial stage of the fecund tubules.

This description of the resorption of spent tubules, the progressive increase in size of the secondary tubules during autumn, and the localization of separate functions to particular classes of tubules supports the hypothesis that tubules are progressively recruited to a more posterior position along the flanks of the gonad basis as they develop. Direct testing of this hypothesis is not easy. Vital



dye markers disappear long before a year has passed, *S. californicus* eviscerates when tagged, and animals kept in aquaria for more than a few months shrink in size, and fail to develop oocytes properly (Smiley, pers. obs.). In the field, individuals range freely and readily change depth (Courtney, 1927). The most direct test of this hypothesis would be to mark mitotic oogonial cells with radioactive thymidine. A sizable number of animals would have to be tagged to insure significant recovery, and doses of the marker would have to be initially high to be detected reliably. Because the morphological evidence is so compelling, use of this much radioisotope is probably not warranted.

### *Oocyte polarity and attachment*

Holothurian oocytes are among the most visibly polarized in the animal kingdom (Smiley and Cloney, 1985). In fully formed oocytes, this polarization is referred to as the egg axis, which passes from the oocyte protuberance at the animal pole, through the eccentric germinal vesicle, to the oolamina at the vegetal pole. This axial polarization develops gradually as oocytes increase in size and is continuously congruent with an epithelial cell polarization of the germ line cells that is evident from the time they are first identifiable in the cell nests. This epithelial character of the polarization is defined by the presence of a luminal apical surface, the apical centriole, junctional complexes with adjacent cells, and a basal lamina.

The centriole of *S. californicus* oocytes is apical (animal quadrant), and similar in position to those in oocytes of the asteroid *Pisaster ochraceus* (Schroeder and Otto, 1984; Schroeder, 1985). This is in contrast to reports in *Xenopus* oocytes of a basal centriole (in the vegetal quadrant) which is associated with the mitochondrial cloud (Al-Mukhtar and Webb, 1971; Coggins, 1973), a position not homologous to that in any other epithelial cell. I contend that these workers have mistakenly assigned a basal position to this centriole because they assumed a vegetal location for the aggregated mitochondria of the Balbiani body. But, the terms 'Balbiani body' and 'yolk nucleus' actually mean any basophilic zone near the germinal vesicle (Nørrevang, 1968), and neither aggregated mitochondria nor Golgi bodies are restricted to the vegetal quadrant or to the mitochondrial cloud according to other investigators (Heasman *et al.*, 1984; Wylie *et al.*, 1985).

Wilson (1925) suggested promorphological homology for the vegetal location of the oocyte centrioles through his comparison of oocytes with spermatozoa which have a centriole basal to the nucleus. However, his depiction of spermatozoa is inverted compared to their true promorphological architecture. The trailing flagellum is actually an apical cilium; and the centrioles of spermatozoa are apical to the nucleus. In other words, sperm swim

backwards. Consequently, if the centriole is indeed vegetal in *Xenopus* oocytes, one would expect to identify centrioles in two different axial positions in premetamorphic tadpole gonocytes. Al-Mukhtar and Webb did not report this observation. Recent analyses using immunocytochemical methods did not identify a vegetally located centriole in *Xenopus* (Palacek *et al.*, 1985; Dent and Klymkowsky, 1988). Given these arguments, it seems prudent to reinvestigate the axial pattern in *Xenopus* pre-diplotene oocytes using these techniques.

In some previous descriptions of other holothurians (Inaba, 1930) and other echinoderms (Boveri, 1901), oocytes were often thought to be attached to the somatic ovary by their vegetal surfaces. Other investigators hold that oocytes are attached by the animal surface (Lindahl, 1932; Monné, 1946, Holland *et al.*, 1975). In *Stichopus californicus*, both views are correct depending on the stage of oogenesis. In early stages, oocytes are connected to the somatic ovary by the oolamina at their vegetal pole. In more advanced stages, the protuberance, an animal pole elaboration, connects oocytes to the cells of the somatic ovary. Sections through less fully developed ovaries might lead one to erroneously conclude that holothurian oocytes are always attached by their oolaminae. Information derived from thicker sections of poorly fixed and paraffin embedded specimens might also lead to erroneous conclusions.

### *Origin of the germ cells*

If we accept type I nuage as a more critical marker of germ line cells than either alkaline phosphatase or dense RNA accumulation (Eddy, 1975), then PGCs can be reliably identified only with TEM or immunocytochemistry (Strome and Wood, 1982, 1983). Between the somato-coels in newly metamorphosed *S. californicus* there is set of mesenchymal cells which contain dense RNA accumulations characteristic of germ line cells, but these do not have unambiguous type I nuage as determined by TEM (Smiley, 1986). In the present study, I show that when *Stichopus californicus* is six months old, gonadogenesis has begun, and unambiguous PGCs are located in the connective tissue compartment of the dorsal mesentery. The location and timing of the appearance of these germ line cells is consistent with previous reports of the onset of holothurian gonadogenesis (Cuénot, 1948; Wootton, 1949). These results support the views of Nieuwkoop and Sutasurya (1981) who concluded that echinoderm germ cells first become visible some months after settlement. However, primordial germ cells have been described in newly metamorphosed echinoids (Houk and Hinegardner, 1980).

These arguments should not be interpreted to mean either that germ line cells of holothurians or other echinoderms show an irrevokable lineage restriction, or that



there is an inviolable restriction barring other cell types from becoming germ line cells. The results of Kille (1942), on posterior half regenerates of *Holothuria parvula* following binary fission, suggest that at least peritoneal epithelial cells can transform into germ line cells under some conditions. Théel (1901) described cell aggregations lying against the ascending gonoduct in the dorsal mesentery of adult *Mesothuria intestinalis*, as a genital cord, which contained cells that he interpreted to be PGCs. The histological description of the genital cord in *M. intestinalis* does not match that of the cell nests in the gonad basis of *S. californicus*, but the location and description of *M. intestinalis* PGCs themselves are quite similar to those of *S. californicus* reported here. Presumptive PGCs occur in cell nests lodged within the dorsal mesentery of the aspidochirote *Holothuria parvula* (Kille, 1942). Until PGCs have been described in holothurians from different taxa, we must assume that the differences in location and structure of the germ line bearing tissues reflect intraordinal variations.

#### *Cytological aspects of oogenesis*

**Nuage.** This is the first specific identification of nuage in a holothurian oocyte. Nuage is electron-dense material, lacking a unit membrane, which is found in the cytoplasm of germ line cells where it commonly occurs in two forms (Eddy, 1975; Kessel, 1983). Type I nuage is about 1  $\mu\text{m}$  in diameter and is a granular electron-dense material (Fig. 17) forming rough spheres. Type II nuage consists of minute particulate electron-dense granules surrounded by a homogeneous matrix which is slightly more electron dense than ordinary cytoplasm and which excludes ribosomes (Figs. 14, 17). Both types of nuage are often associated with mitochondria, especially in female germ line cells (Fig. 17; and Millonig *et al.*, 1968). The relationship between nuage and the mitochondrial yolk cloud is likely to be a fundamental one judging from the association between these elements in the oocytes of species from numerous phyla (Eddy, 1975). To date there is no detailed explanation for this association.

In echinoderms, a number of different names have been applied to nuage including 'dense lumps' in oocytes of the crinoid *Nemaster rubignosa* (Holland, 1971) and probably the extruded nucleoli in oocytes of the holothurian *Thyone briareus* (Kessel, 1966). Type I and type II nuage have been reported in oocytes of adult echinoids (Millonig *et al.*, 1968). Houk and Hinegardner (1980) found structures similar to type I nuage, which they called goniosomes, in cells presumed to be PGCs in newly metamorphosed *Lytechinus pictus*, and types I and II nuage were found in oocytes of *Xenopus laevis* (Al-Mukhtar and Webb, 1971; Coggins, 1973). Available

reports suggest that all animals probably have some form of germ line specific granule, whether it be called nuage (Eddy, 1975), polar granules as in *Drosophila melanogaster*, or P granules as in *Caenorhabditis elegans* (Wolf *et al.*, 1983). Nuage appears to be germ line specific, because somatic cells have not been shown to contain it (Eddy, 1975). Determination of the origin of germ line cells in other echinoderms may be facilitated by using nuage as a marker. Nuage is distinct from the *heavy* (dense) *bodies*, large granular inclusions surrounded by annulate lamellae often encountered in a variety of echinoderm oocytes (Afzelius, 1957; Eddy, 1975; Kessel, 1966; see Smiley and Cloney, 1985, Fig. 22).

Nuage is no longer aggregated in *S. californicus* oocytes after the onset of vitellogenesis. The spherical aggregations of mitochondria which surround type II nuage disperse, presumably carrying nuage along with them. A similar dispersal was noted in echinoids (Millonig *et al.*, 1968). If nuage is a germ line determinant, then its dispersal may be expected in those animals, such as echinoderms, where regulative development is the rule. If the nuage were not to disperse it would be absent from isolated blastomeres which then would lack the germ line determinant, a condition incompatible with numerous surgical studies on echinoderm embryos (Hörstadius, 1973). In support of this assessment is a recent report by Wylie *et al.* (1985), which suggests that the mitochondrial cloud of *Xenopus laevis* disperses throughout the vegetal ooplasm when the oocyte becomes fully formed. In this case mitochondria and nuage remain somewhat localized to the vegetal quadrant.

**Mitochondrial cloud.** This structure (Fig. 15) has been referred to as the yolk nucleus, the Balbiani body, and the Balbiani vitelline body in other oocytes (Nørrevang, 1968; Guraya, 1979), as well as the mitochondrial cloud (Heasman *et al.*, 1984; Wylie *et al.*, 1985). The definitions for these terms appear to be capricious; they refer to a number of structures having only a juxtanuclear position and basophilic staining characteristics in common (Nørrevang, 1968; Guraya, 1979). The term 'mitochondrial cloud' is at least accurately descriptive and is used here.

In *S. californicus*, the mitochondrial cloud is always found in the vegetal hemisphere of developing oocytes, close to the nuclear envelope. In sections through secondary tubules (Figs. 2, 3), adjacent oocytes appear to have their mitochondrial clouds in opposing orientation. But the complex topology of the inner epithelium shows that this is not the case (Smiley and Cloney, 1985). In these oocytes, as well as in those of *Xenopus laevis*, the vegetal mitochondrial cloud appears to be the site for mitochondrial proliferation (Heasman *et al.*, 1984), judging by the frequency with which dumbbell-shaped mitochondria are encountered. However, the identity of the bio-

chemical pathways controlling this proliferation are not known. Among other echinoderms, a mitochondrial cloud is found in crinoid oocytes (Holland, 1976). The report that echinoid oocytes contain yolk nuclei (Verhey and Moyer, 1967) must be reinterpreted, because the micrographs these investigators present as documentation of their claim show only annulate lamellae (their Fig. 20).

**Vitellogenesis.** Intense vitellogenesis begins in the late secondary tubules after the autumnal resorption of the viscera in *Stichopus californicus*. At this time, the genital hemal sinus contains cellular debris and unidentifiable particulate matter, and the number of yolk granules in oocytes increases. Vitellogenesis begins in oocytes at the center of the *S. californicus* ovary, but an explanation for this is not readily apparent. It appears likely that oocyte growth constricts passage of hemal fluid through the genital hemal sinus toward the interior of the tubule (Smiley and Cloney, 1985). But rapid growth of central oocytes, occluding only the most central parts of the ovary, would still allow the peripheral oocytes access to an unrestricted hemal sinus.

Yolk proteins appear to be preferentially taken up at the vegetal pole of the *S. californicus* oocyte, based on fine structural information alone. Elongate microvilli are found at the vegetal surface of *S. californicus* oocytes during the post resorptive period of vitellogenesis (Fig. 16). Similar structures have been described at the presumptive vegetal pole of an asteroid oocyte (Beijnink *et al.*, 1984). Some elaspod holothurians have very large eggs, and uptake occurs over the entire egg surface (Eckelbarger, pers. comm.).

Recent studies of echinoid vitellogenesis indicate that cells within the echinoid ovary, either accessory cells or oocytes or both, may synthesize some of the yolk glycoproteins (Ozaki *et al.*, 1986; Shyu *et al.*, 1986). Cells explicitly homologous to the accessory cells do not occur either in any holothurian yet described (Smiley and Cloney, 1985) or in crinoids (Holland *et al.*, 1975). Consequently, these classes require a different mode of nutrient replenishment, which may be provided by the coelomocytes of the perivisceral coelomic fluid. The most abundant protein found in echinoid coelomic fluid shows immunocytochemical cross reactivity with the egg 23S yolk glycoprotein (Giga and Ikai, 1985a, b). Coelomocytes in the coelomic fluid may be the largest single source for all yolk glycoproteins, at least in *Dendraster excentricus* (Harrington and Ozaki, 1986), but ovary (Ozaki *et al.*, 1986) and gut (Shyu *et al.*, 1986) also produce yolk precursor proteins. Results from the experiments of Shyu *et al.* (1986), while documenting that the coelomic fluid contains large amounts of the yolk precursor proteins in *Strongylocentrotus purpuratus*, appear to contradict the conclusion that coelomocytes are a major source. Shyu *et al.* (1986) did not include a divalent cation chelator in

their coelomocyte culture medium, and they only labeled for about one quarter the time that Harrington and Ozaki did. In the absence of 10–50 mM EDTA, echinoid petaloid amoebocytes undergo an irreversible clotting reaction (Otto *et al.*, 1979; Edds, 1980). This suggests that the failure of Shyu *et al.* to record radiolabeled amino acid incorporation into vitellogenin in the coelomocytes might be due to differences in the duration of labeling or to the clotting response. Future research into holothurian vitellogenesis should include an examination of coelomocytes to see if they are a rich source of vitellogenins. If they prove to be, then the absence of a discrete nutrient storage organ in this class might be explained, and the pathway of nutrient replenishment proposed by Smiley and Cloney (1985) supported.

#### *The annual cycle of the S. californicus ovary*

The results of this study demonstrate that holothurian oogenesis follows the same cytological course that has been described for a number of other animals in different phyla (Wilson, 1925). In all non parthenogenetic animals, the PGCs, proliferating oogonia, spireme stages of meiosis I prophase, diplotene, and diakinesis sequence is followed in exact order. Repetition of this order, coupled with the localization of these clearly interpretable cytological stages into discrete and linearly ordered structures within the *S. californicus* ovary, offers a simple explanation of the annual ovarian cycle in holothurians.

The cytology of the germ line cells of cell nests, primary and secondary tubules, and the complete resorption of spent fecund tubules support the idea that more anterior tubules are progressively recruited to a more posterior position on the gonad basis with the same timing as that shown by changes in the cytological stages in the oocyte nuclei. This suggestion was also made in other aspidochirotes by Mitsukuri (1903) for *Stichopus japonicus*, Kille (1942) for *Holothuria parvula*, and Deichmann (1948) for *Neostichopus grammatus*, and for the dendrochirote *Thyone briareus* by Kille (1939). This notion of progressive recruitment is attractive in *S. californicus* because it is a large animal that doubtless lives for many more than six years, and probably spawns in all but the first three (Fankboner and Cameron, 1985; Cameron and Fankboner, 1986). If progressive recruitment of new tubules did not occur in *S. californicus*, then after its sixth year the animal would be without spawn.

#### *The Model*

My results provide the information necessary to propose a model of how the annual cycle of the *S. californicus* ovary progresses. The annual cycle begins with the resorption of spent tubules in year N, and can be summarized as follows. Some of the nutrients derived from



phagocytosis of the spent tubules are taken up into the genital hemal sinus of the secondary tubules, as indicated in Figure 22. Concurrent with the increase of material in the genital hemal sinus, vitellogenesis begins in these oocytes. Therefore, from late fall to early spring, secondary tubules become the fecund tubules of year  $N + 1$  and are located at the posterior of the gonad basis. Immediately after resorption of the spent tubules, the genital hemal sinus and lumina of primary tubules and hemal lacunae in the connective tissue compartment also become occluded with debris and nutrients. The primary tubules, having completed the oögonial proliferative divisions, become the secondary tubules of year  $N + 1$  and are now located further back on the basis. During the fall, winter, and early spring, oocytes in these new secondary tubules (year  $N + 1$ ) undergo the early prophase stages of meiosis I, culminating in diplotene. Nests of cells within the gonad basis emerge from the connective tissue compartment during the early fall. Surrounded by the perivisceral peritoneum, they become the primary tubules of year  $N + 1$  and are found in the anterior location characteristic of primary tubules.

#### *General applicability of the model*

Hyman (1955) suggested that resorption of spawned tubules would prove to be the rule among holothurians. If spent tubules are resorbed after spawning, it is likely that progressive recruitment of tubules also occurs, with anterior less advanced tubules moving to a more posterior location concomitant with advancement in the cytological development of the germ line cells. Progressive recruitment of ovarian tubules has been strongly suggested in several aspidochirotes; *Stichopus californicus* (this study), *Mesothuria intestinalis* (Théel, 1901), as well as in the dendrochirote *Thyone briareus* (Kille, 1939). However, there is too little comparative information on oögenesis in apodan, molpadian, dactylochirote, and elasipod holothurians to assess the applicability of this model to them. The best information supporting this model are the surgical experiments of Kille (1939) with the dendrochirote *Thyone briareus*. These showed that of all the ovarian tissues, the gonad basis alone can regenerate oocytes. When only fecund tubules were ablated, new oocyte-bearing tubules regenerated from the basis. When the entire gonad including the basis was removed, regeneration failed. Kille's (1942) study of the gonad in *Holothuria parvula*, an animal which also reproduces asexually through binary fission, provides additional support for this model.

The data and analysis presented in this paper, while considerably more precise in determining cell and tissue level changes, is limited by this precision, and cannot supplant population level surveys. Information derived

by the gonad index method may be applicable in assessing this model's generality. From the data presented here, it is clear that these gonad index studies measure vitellogenesis and not the process of oögenesis. A number of species have been investigated in this way, including *Stichopus californicus* (Cameron and Fankboner, 1986), *Stichopus japonicus* (Tanaka, 1958), *Thelenota ananas* and *Microthele nobilis* (Conand, 1983), and the data they present are entirely consistent with the pattern described here (see Smiley *et al.*, for a more complete review). Consistency, not confirmation or refutation, is all that can be expected from such studies, because gonad index assessments only measure average changes in populations. Analysis of reproductive cycle data derived from gonad indices of populations of many different holothurians shows that most species have the general features predicted by this model. This includes marked diminishment in gonad index after spawning, the subsequent further reduction in index corresponding to phagocytosis of spent tubules, the measurable lowest size corresponding to the basis and more anterior tubules, and the gradual build up in index as vitellogenesis for the next season commences (Smiley *et al.*, 1988).

This model may not account for the gonad index data of male holothurians of any order, nor of small and hermaphroditic holothurians, such as *Cucumaria curata* (Rutherford, 1973), or *Rhabdomolgus ruber* (Menker, 1970). Because many Arctic, Antarctic, and deep sea species are thought to produce eggs continuously (Feral and Magniez, 1985; Tyler *et al.*, 1985) they may also pose problems for this model.

#### *Comparisons with other echinoderm classes*

The anatomical and histological simplicity of this holothurian ovary offers unique information that is applicable to understanding the dynamics of oögenesis in other echinoderm ovaries (Smiley, 1984, 1986, 1988b; Smiley and Cloney, 1985; Smiley *et al.*, 1988). It is difficult to study microscopic morphological changes among a synchronously developing population of oocytes in other echinoderms. Primordial germ cells, mitotic oögonia, and early meiotic prophase staged oocytes are considerably smaller than previtellogenic or vitellogenic oocytes; they can be quite difficult to locate within the inner epithelium. This difficulty is reflected in the limited reports on the earliest stages of oögenesis in other echinoderms (Holland *et al.*, 1975; Walker, 1982). These same structural problems have prevented biochemical analysis of oögenesis in any echinoderm. Given the identifiable and discrete localization of the major cytological stages in oögenesis in the holothurian ovary, such biochemical analyses may now be possible.



### Unanswered questions

This study offers a number of important observations and analyses which require more detailed investigation. Among these are the possible signalling role of the resorptive phase and the onset of torpor in driving subsequent oogenesis. There is no clear description of the earliest stages of development in the PGCs of any holothurian, nor of their ultimate source. If cells other than those containing nuage are capable of transforming into PGCs (Kille, 1942), then the conditions under which this transformation can occur are important to know. This knowledge would allow a more detailed analysis of the mechanisms of coordinated control over the regulatory pathways for differentiation in an echinoderm, an elusive problem made more difficult by the extreme regulative development of these animals. The mechanisms of control over the proliferative divisions of the mitotic oögonia are not known, nor is the control of entry into the prophase stages of meiosis I or the biochemical details of these changes. Even if the onset of vitellogenesis is controlled by resorption of the viscera, a mechanism that could explain how the interior oocytes of late secondary tubules are directed to begin vitellogenesis prior to the peripheral oocytes is elusive. We have very little information on the relative contribution of the oocyte itself on yolk formation in any holothurian species. Finally, the reasons for the general resorption of the viscera of this holothurian each fall, even in those individuals that have not yet reached sexual maturity (Fankboner and Cameron, 1985), remain a mystery.

### Acknowledgments

Much of this work was done at the Friday Harbor Laboratories. I thank the administration, faculty, staff, visiting scientists, and graduate students for helping me in all phases of this work. I especially thank K. P. Irons for her substantial efforts that improved this paper. C. Chaffee, R. Cloney, K. Eckelbarger, R. Emlet, M. Hille, R. Langelan, J. Otto, J. Pearse, T. Pennington, T. Schroeder, R. Strathmann, C. Walker, and an anonymous reviewer all offered good suggestions and helpful criticisms. I gratefully acknowledge support from an NIH Developmental Biology Training Grant (HD-00266) and a Damon Runyon-Walter Winchell Cancer Fund Postdoctoral Fellowship (DRG-895) during the tenure of this study.

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# Assessment of Ciguatera Dinoflagellate Populations: Sample Variability and Algal Substrate Selection

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**Abstract.** Preliminary assessment is made of two key components in ciguatera ecology. First, we examined the numerical variability of *Gambierdiscus toxicus* as an epiphyte on the macroalgae, *Dictyota* and *Galaxaura*. Variability was examined by a statistical bootstrap technique to determine the minimum number of samples required to adequately estimate the abundance of *G. toxicus* at one station and to test for statistically significant differences between two stations. A minimum of 10 replicates were needed at the relatively low *G. toxicus* abundance found at our study site. Second, we demonstrated the feasibility of conducting controlled laboratory experiments to assess the short-term colonization behavior of *G. toxicus* on selected macroalgae offered in varying mass and surface area ratios. To assess *G. toxicus* abundance and distribution, the number of cells per unit of host alga must be standardized. We show that contradictory conclusions can be reached depending on whether the number of dinoflagellate cells is normalized to algal biomass or surface area.

## Introduction

The continuing enigma of ciguatera, namely the stochastic variability of fish toxicity in time and space, must have its basis in the ecology and population dynamics of the benthic dinoflagellates that produce ciguatoxin and maitotoxin. Several dinoflagellate species have been implicated as producing chemical compounds that likely result in fish toxicity (Yasumoto *et al.*, 1987). One of these *Gambierdiscus toxicus*, appears to be most significant in ciguatera ecology. Despite the identification over a decade ago of the most likely progenitors of the toxins

and numerous field and laboratory studies throughout the tropics (reviewed in Withers, 1982; Ragelis, 1984; Salvat, 1985; Anderson *et al.*, 1985; Anderson and Lobel, 1987), the state of knowledge about ciguatera and *G. toxicus* physiology and ecology remains "very primitive indeed" (Scheuer and Bagnis, 1985).

Clearly, one reason for this situation is the complex and gradual manner in which small quantities of the highly potent ciguatoxin and related toxins move through the food chain to the higher predators. We believe that a contributing factor has been the lack of a standardized, statistically rigorous methodology for examining the distribution and abundance of the ciguatera dinoflagellates in time and space. In this preliminary study, we addressed the question: "How many samples of a macroalgal species must be collected at one time and at one site and depth to adequately quantify the abundance of *G. toxicus*?" Strictly speaking, the results of our data analysis are valid only for one study site at one point in time and for the two macroalgal hosts we examined, but these data and methodologies document the large errors associated with inadequate sample size and provide a means to determine the minimum number of samples needed to test for statistically significant differences between stations. In addition, we tested the feasibility of conducting laboratory studies of the preference of *G. toxicus* for a particular macroalgal host. Analysis of these data demonstrate the misleading nature of dinoflagellate abundance expressed per gram of host alga and provide a good argument for yet another change to common enumeration methodologies—namely the normalization of dinoflagellate abundance to host surface area rather than biomass (Bomber *et al.*, 1985). Ciguatera is a complex ecological phenomenon that is poorly understood. It seems appropriate to re-evaluate commonly accepted



methodologies and assumptions and to test the feasibility of addressing certain fundamentally important behavioral and physiological issues in the laboratory.

## Materials and Methods

### Study site

This field study was conducted at St. Barthelemy (17°54'N, 62°50'W) in the Caribbean (French West Indies) from 8 to 20 August 1986. Extensive collections were made at the "Pointe de Negre" site located on the south side of the island, about 3 km from the port of Gustavia. Laboratory facilities and logistics on the island were provided by the New England Biolabs Foundation.

### Macroalgal collection and dinoflagellate enumeration

Two macroalgal species were selected for intensive study, *Dictyota* sp. (probably *D. bartayresii* and maybe mixed with *D. dichotoma* and *D. divaricata*) and *Galaxaura* sp. (probably *G. corneum*). Both species were epiphytized by *G. toxicus*. *Dictyota* is a brown alga and *Galaxaura* is a red articulated coralline alga. The growth form of each is a complex 3-D structure of branches. Both algae were growing abundantly in close proximity.

All specimens were collected between 5–6.5 m depth at the Pointe de Negre study site on 17 and 19 August 1986. Specimens were picked, placed in individual plastic bags that were sealed underwater, and transported to the laboratory in the dark at ambient water temperature. The bags were then vigorously shaken and kneaded to dislodge *G. toxicus*. The 20–250  $\mu\text{m}$  size fraction was collected by pouring the contents through Nitex sieves. The adequacy of this method was confirmed by successive shaking and washing, which produced few additional dinoflagellates.

The collected material was backwashed into a vial with approximately 10 ml of filtered seawater. *G. toxicus* individuals were enumerated with a compound microscope at 100 $\times$  total magnification. A minimum of one ml of the concentrated suspension was scanned for each sample. Macroalgae were blot-dried and weighed. Masses ranged between 1 and 20 grams. Dinoflagellate abundance was initially expressed as cells per gram blot dry weight of host algae. Subsequent measurements of algal surface area per gram blot dry weight allow the data to be normalized to surface area as well.

### Preference studies

Two laboratory experiments were conducted. One used equal biomass amounts of macroalgae and the other combined two algae in different proportions. In all treatments, algae were rinsed several times to remove most epiphytes (determined by visual inspection of algae

under a dissecting microscope) and placed in pairs in 125-ml beakers with filtered seawater. A known number of *G. toxicus* cells were then added (final concentration approximately 80  $\text{ml}^{-1}$ ). The samples were maintained for 24 hours in an incubator at 26°C on a 12:12 L:D cycle at approximately 50  $\mu\text{Einst m}^{-2} \text{s}^{-1}$ . Beakers were mildly swirled every 4 h (except overnight) to evenly distribute those dinoflagellates which had not yet settled. When the experiments were terminated, the number of *G. toxicus* cells on each alga and those remaining unattached in the beaker were counted separately. Because of the differences in algal morphology, the results are expressed as the percentage of all *G. toxicus* attached to the algae, as cells per unit mass and as cells per surface area. Two *G. toxicus* isolates were used—strain T3 isolated from Gambier Islands by R. Bagnis and strain SB01 isolated from Lorient, St. Barthelemy, by M. Durand-Clement in July 1986. They were grown in modified ES medium (Durand, 1984).

### Macroalgae measurements

Surface area, wet weight, and displacement volume were assessed for the macroalgae *Galaxaura* and *Dictyota*. Surface area is the most difficult measurement to obtain accurately. We used three methods for surface area calculations. One technique consisted of dipping a dried macroalgal sample in a detergent solution that is supposed to adhere to the algal surface in a layer of consistent thickness (Harrod and Hall, 1962). By knowing the weight of solution that coats a standard area of plastic sheeting, the surface area of an alga can be calculated. We encountered numerous problems with this method and did not obtain consistent or reliable data. Clearly, this concept has potential, and modifications made by Bomber (1985, pers. comm.) using wet specimens and full strength detergent might be necessary, but problems exist with how the solution coats and is adsorbed by different algae. Other techniques involved morphological measurements of surface area. Enlarged silhouettes of *Dictyota* were analyzed using a computer and a digitizer graphics unit since that species' shape is essentially two-dimensional. *Galaxaura* was measured in pieces under a microscope with a micrometer. *Galaxaura*'s shape is tubular, and painstaking measurements were made of all individual pieces in a sample. Surface area by these latter two methods provided consistent data.

## Results

### Algal mass measurement

The primary problem we encountered was the measurement of algal characteristics for valid interspecific comparison of host-alga selection by *G. toxicus*. The

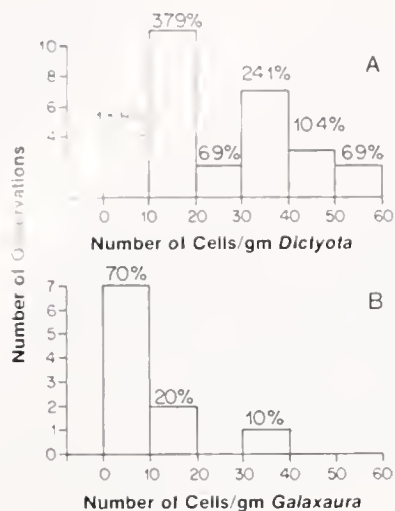


Figure 1. Frequency distribution of the number of *Gambierdiscus toxicus* cells on (A) *Dictyota* and (B) *Galaxaura*. Percentage relative frequency is specified above each column.

common measure of 'cells per gram blot-dry weight' is suitable for *intraspecific* comparison of *G. toxicus* on a host alga. This measurement had the advantage of being easy and rapid in the field. However, the best measurement for *interspecific* host algal comparisons is 'cells per surface area.'

The surface area of *Dictyota* was  $105 \pm 31$  (range 67–151)  $\text{cm}^2 \text{gm}^{-1}$  ( $n = 13$ ). The surface area of *Galaxaura* was  $31 \pm 8$  (range 25–42)  $\text{cm}^2 \text{gm}^{-1}$  ( $n = 4$ ). Conversion of the number of *G. toxicus* cells per gm alga to cells per  $\text{cm}^2$  was obtained by dividing by 105 for *Dictyota* and 31 for *Galaxaura*. The variance in surface areas is probably due in large part to measurement error, but the possibility of allometric variation in these algae also needs to be examined.

### Field abundance

*Gambierdiscus toxicus* was present at the study site, but in relatively low abundance. Every *Dictyota* sample ( $n = 29$ ) hosted at least 5 cells  $\text{g}^{-1}$  blot-dry weight. Eighty-six percent of the collection had greater than 10 cells  $\text{g}^{-1}$  (Fig. 1A). The mean ( $\pm$ S.D.) number of *G. toxicus* on *Dictyota* was  $24 \pm 14$  (range 5–56) cells  $\text{g}^{-1}$  or 23 cells per 100  $\text{cm}^2$ . Only half of the *Galaxaura* samples ( $n = 10$ ) were epiphytized by *G. toxicus* and another 20% had fewer than 10 cells  $\text{g}^{-1}$  (Fig. 1B). The mean ( $\pm$ S.D.) abundance of *G. toxicus* on *Galaxaura* was  $6 \pm 10$  (range 0–30) cells  $\text{g}^{-1}$  or 19 cells per 100  $\text{cm}^2$ . The number of *G. toxicus* per gram of host alga was not a function of the size of individual *Dictyota* (Fig. 2) or *Galaxaura* samples, based on linear, exponential, log, or power function regression tests.

### Sampling statistics

We collected many ( $n = 29$ ) specimens of *Dictyota* to evaluate the sample size necessary for statistical analysis of the distribution and abundance of *G. toxicus*. We also examined this for *Galaxaura* but with, as it turned out, too few samples.

The analysis used was a statistical "bootstrapping" routine (Diaconis and Efron, 1983). The graphs (Figs. 3, 4) show 25 random combinations (with replacement) from the sample set for each sample size. The sample size range for *Dictyota* was 2 to 29 and for *Galaxaura* was 2 to 10. Thus, for each incremental sample size to  $n = 25$ , calculations were made based on the total sample pool.

The analysis is presented in three plots each for *Dictyota* (Fig. 3) and *Galaxaura* (Fig. 4): (A) the mean number of *G. toxicus* cells per gram of alga, (B) standard error of these means, and (C) the percentage change in the standard error as sample size increases. The first plot shows the spread in possible averages among 25 sample sets for a given sample size. It illustrates the wide variability obtainable at small sample sizes, especially  $n < 10$  drawn from the same sample pool. The second plot quantifies this variability as the standard error of the means. It decreases substantially with increasing sample size. The third plot defines the degree to which results fluctuate as the percentage change in the standard error with the incremental addition of samples.

At small sample sizes the variability in the means is due more to random sample selection than actual differences in the density of *G. toxicus* at a site. This variability is greater for *Galaxaura*, since 50% of the samples lacked *G. toxicus* cells. Thus, fewer samples of *Dictyota* than *Galaxaura* would more accurately assess *G. toxicus* abundance. A sample size of  $n = 10$  to 15 *Dictyota* sam-

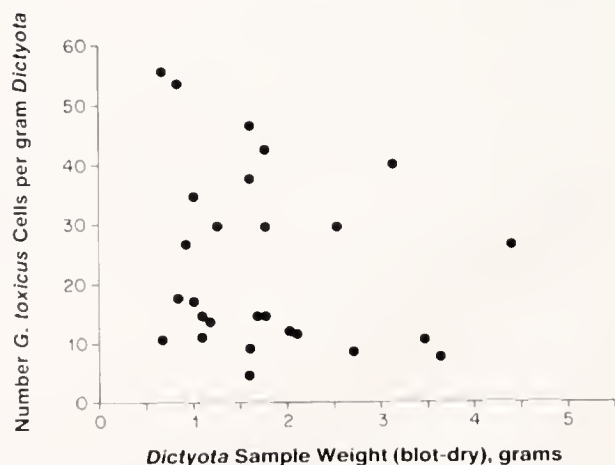
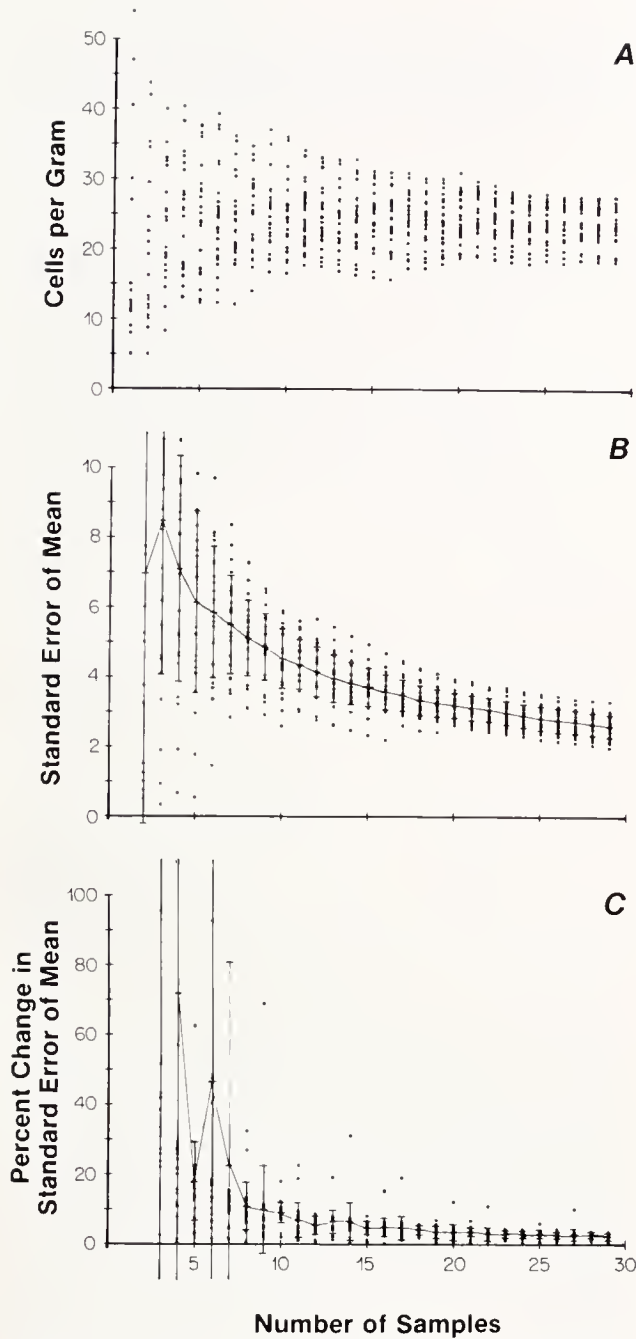
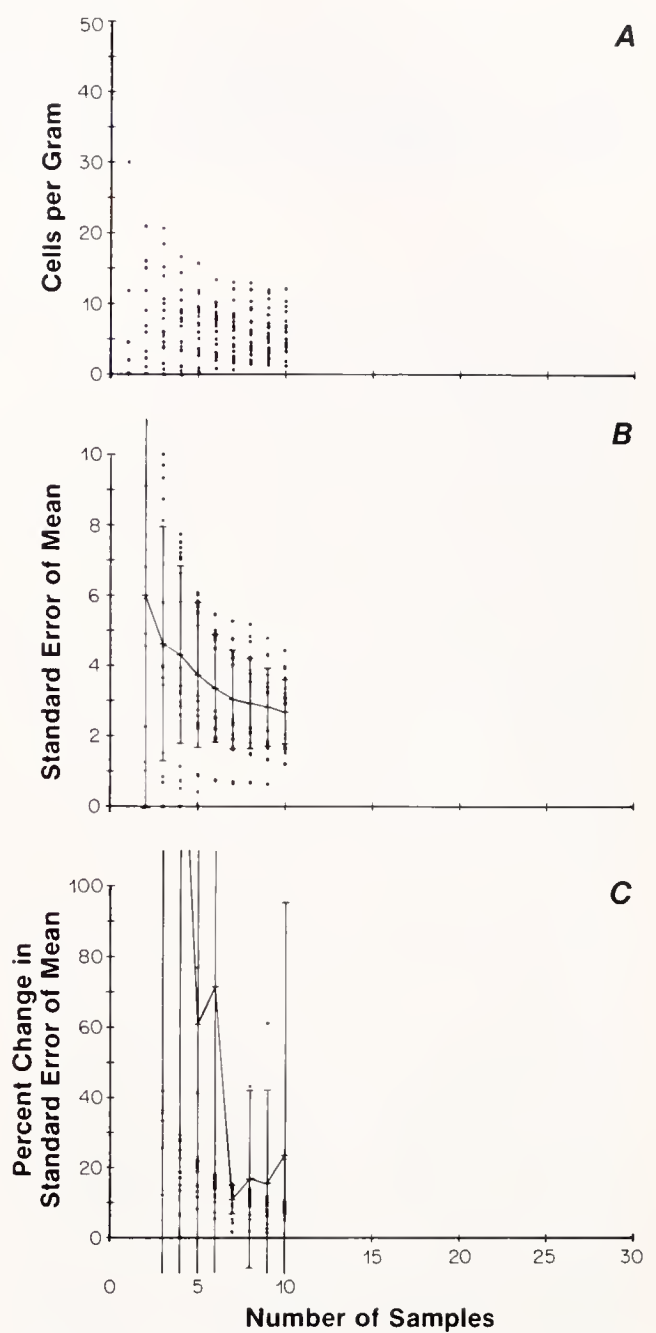


Figure 2. Relationship between the number of *Gambierdiscus toxicus* cells per gm of *Dictyota* as a function of the sample weight of individual *Dictyota* masses ( $n = 29$ ). No statistical correlation was found.





**Figure 3.** *Dictyota*. (A) Variations in means for given sample sizes drawn from the total pool of 29 samples calculated by the "bootstrap" method. The mean was calculated 25 times for each sample size. At sample sizes less than  $n = 5$ , some points exceed the limit of the ordinate. (B) Standard error of the means in Figure 5 calculated 25 times with the overall average connected by a solid line across all sample sizes. This indicates the variance associated with a mean calculated for a given sample size. As sample size increases, this variance decreases. (C) Percent change in the standard error of the means from  $n$  to  $n + 1$  calculated 25 times for each  $n$ . The overall average is connected by a solid line across all  $n$ 's. In this case, as sample size increased, the percentage change in possible means decreased substantially up to about  $n = 15$  after which additional samples did not significantly affect the mean.



**Figure 4.** *Galaxaura*. (A) Variations in means. (B) Standard error of the means calculated in A. (C) Percent change in the standard error of the means. In this case,  $n = 10$  was insufficient to determine the point at which additional samples would not significantly affect the results. See Figure 3 for details.

ples would be statistically adequate using parametric analyses to estimate the abundance of *G. toxicus* (Fig. 3). For comparison to other collections, these analyses can be used to determine the variance values that would be significantly different for specified sample sizes. Given the means and standard errors calculated above, the val-

ues of sample means, which would be significantly different at  $P < .05$  and  $P < .01$  assuming the same degree of standard error, can be simply specified by a  $t$ -test. It would be necessary to generate a new set of plots in other studies with different *G. toxicus* abundance and distribution.

### Substrate selection

Several experiments were designed to evaluate the feasibility of determining if the *G. toxicus* abundance on different macroalgae is the result of stochastic processes or a demonstration of active substrate preference and selection. Preference was evaluated by counting the number of dinoflagellate cells on each of the macroalgal choices (which were offered alone or in pairs in several different mass ratios) after 24 hours. Although in some cases the mass of the algal choices were the same, comparisons are complicated by the different morphologies. For example, *Dictyota* is flat and *Galaxaura* is round, but both have equivalent mass to volume ratios (i.e., 1.05 and 0.96, respectively), although *Dictyota* has more than triple the surface area compared to the same mass of *Galaxaura*. In control trials where only one macroalga was available, approximately the same fraction of the introduced *G. toxicus* population chose to settle on *Dictyota* and *Galaxaura* (55 and 51%, respectively) after 24 h.

A detailed breakdown of the *Dictyota:Galaxaura* selectivity is given in Table I, which shows the effects of differential availability of the competing host algae. The data are expressed in three ways. When the number of attached cells on each host species was expressed as a percentage of the total attached cells, the *Dictyota* portion varied systematically between 24 and 74% as the *Dictyota:Galaxaura* mass ratio changed from 0.25 to 2.0 between treatments. Stated differently, when *Dictyota* only represented 20% of the macroalgal biomass, 24% of the cells selected it as a host. At the other extreme, when *Dictyota* represented 67% of the biomass, 74% of the cells selected it. Alternatively, if the *G. toxicus* abundance was normalized to the mass of the host species, the number on *Dictyota* was between 1.3 and 2.0 times greater than that on *Galaxaura* for the four treatments.

Comparative analysis of the number of *G. toxicus* cells per unit surface area on different algae suggests a different picture. *Dictyota* has approximately three-times greater surface area per gram wet weight than does *Galaxaura* (ratio D:G  $\text{cm}^2/\text{gm}$  is 3.4). On a per unit surface area basis, the number of cells on *Dictyota* was between 1.7 and 2.7 times less than on *Galaxaura*. The number of *G. toxicus* per gram and per  $\text{cm}^2$  of *Dictyota* or *Galaxaura* remained remarkably consistent even when the ratio of the macroalgal masses varied eight-fold.

At Pointe de Negre, the number of *G. toxicus* cells per

gram of *Dictyota* was significantly greater (a ratio of 4:1) than on *Galaxaura* ( $t$ -test,  $t = 3.6449$ ,  $DF = 37$ ,  $P < 0.01$ ). The two macroalgal species were common and present in approximately equal abundance at the study site.

### Discussion

Numerous investigators world-wide have conducted field surveys to elucidate the *in situ* population biology of *G. toxicus* and the other ciguatera dinoflagellates. To date, reports on the distribution and abundance of these dinoflagellates have been uniformly based on small sample sizes ( $n < 10$ ), with the dinoflagellate numbers being variously described in terms of: (A) the maximum number of cells per gram of host algae (genera or species not always specified; Carlson *et al.*, 1984; Ballantine *et al.*, 1985; Carlson and Tindall, 1985; Taylor, 1985; Taylor and Gustavson, 1983); (B) cells per gram of a specified alga (Shimizu *et al.*, 1982; Bagnis *et al.*, 1985a; Ballantine *et al.*, 1985; Taylor, 1985); (C) cells per gram of multiple unspecified algae (Bagnis *et al.*, 1985b; Caire *et al.*, 1985; Gillespie *et al.*, 1985a, b; Taylor and Gustavson, 1983); and (D) cell counts per algal surface area (Bomber *et al.*, 1985). The purposes of these surveys varied, as did the macroalgal species distributions, but the lack of a coherent picture of *G. toxicus* ecology (Scheuer and Bagnis, 1985; Anderson and Lobel, 1987) nevertheless argues that a re-examination of commonly used methodologies and assumptions is warranted. A desirable initial goal should be the standardization of sampling and enumeration procedures.

One of the first questions facing any field survey is the number of macroalgal species to sample and the number of replicates of each species to include. The major logistical constraints of time, money, and distance to cover often have led researchers to sample multiple species of macroalgae, each collected with few if any replicates at a given site. The statistical analysis of our Pointe de Negre data makes it clear that small sample sizes are inherently misleading, and that only when the *Dictyota* sample size exceeded  $n = 10$  did the variance reach acceptable levels. Of course, a sample size of 10 is minimal for any parametric statistical test. Given the high variance that we observed for small sample sizes, non-parametric tests would have been of dubious merit. The issue of sample variance is further complicated by the level of absolute numerical abundance of cells per sample. Phytoplankton ecologists recognized early that the accuracy of a count varies as a function of the square root of the number counted (Lund *et al.*, 1958). To obtain twice the accuracy, four times the number of organisms must be counted. Consequently, there is a critical population level below which field survey data will not resolve substrate preference or biogeography with certainty.



Table I

Gambierdiscus toxicus substrate preference with differing amounts of macroalgal hosts

|                                    | <i>Dictyota</i><br>(=D) | <i>Galaxaura</i><br>(=G) | Ratio D:G<br># cells g <sup>-1</sup> | Ratio D:G<br># cells/cm <sup>2</sup> | Ratio G:D<br># cells/cm <sup>2</sup> |
|------------------------------------|-------------------------|--------------------------|--------------------------------------|--------------------------------------|--------------------------------------|
| Treatment 1: Mass ratio 0.25 D:G   |                         |                          |                                      |                                      |                                      |
| blot weight, g                     | 0.2                     | 0.8                      |                                      |                                      |                                      |
| % of total macroalgal biomass      | 20%                     | 80%                      |                                      |                                      |                                      |
| % of total macroalgal surface area | 46%                     | 54%                      |                                      |                                      |                                      |
| % attached cells*                  | 24%                     | 76%                      |                                      |                                      |                                      |
| # cells per g                      | 2750                    | 2167                     | 1.3                                  |                                      |                                      |
| # cells per cm <sup>2</sup>        | 26                      | 69                       |                                      | 0.25                                 | 2.7                                  |
| Treatment 2: Mass ratio 0.5 D:G    |                         |                          |                                      |                                      |                                      |
| blot weight, g                     | 0.4                     | 0.8                      |                                      |                                      |                                      |
| % total macroalgal biomass         | 33%                     | 67%                      |                                      |                                      |                                      |
| % of total macroalgal surface area | 63%                     | 37%                      |                                      |                                      |                                      |
| % attached cells*                  | 49%                     | 51%                      |                                      |                                      |                                      |
| # cells per gram                   | 3168                    | 1625                     | 2.0                                  |                                      |                                      |
| # cells per cm <sup>2</sup>        | 30                      | 52                       |                                      | 0.58                                 | 1.7                                  |
| Treatment 3: Mass ratio 1.0 D:G    |                         |                          |                                      |                                      |                                      |
| blot weight, g                     | 0.4                     | 0.4                      |                                      |                                      |                                      |
| % of total macroalgal biomass      | 50%                     | 50%                      |                                      |                                      |                                      |
| % of total macroalgal surface area | 75%                     | 25%                      |                                      |                                      |                                      |
| % attached cells*                  | 60%                     | 40%                      |                                      |                                      |                                      |
| # cells per gram                   | 3250                    | 2168                     | 1.5                                  |                                      |                                      |
| # cells per cm <sup>2</sup>        | 31                      | 70                       |                                      | 0.44                                 | 2.3                                  |
| Treatment 4: Mass ratio 2.0 D:G    |                         |                          |                                      |                                      |                                      |
| blot weight, g                     | 0.8                     | 0.4                      |                                      |                                      |                                      |
| % of total macroalgal biomass      | 67%                     | 33%                      |                                      |                                      |                                      |
| % of total macroalgal surface area | 87%                     | 13%                      |                                      |                                      |                                      |
| % attached cells*                  | 74%                     | 26%                      |                                      |                                      |                                      |
| # cells per g                      | 2188                    | 1500                     | 1.5                                  |                                      |                                      |
| # cells per cm <sup>2</sup>        | 21                      | 48                       |                                      | 0.44                                 | 2.3                                  |

\* Attached cells on each species as a percent of the total cells attached to macroalgae.

Another problem encountered in attempts to compare field distributional data for *G. toxicus* is that the species of host macroalgae collected for the various surveys differ so dramatically. This is not only a reflection of the difficulty in finding one or two macroalgal species distributed throughout all coastal marine habitats, but it also indicates that little is known about the differential abundance of *G. toxicus* and other benthic dinoflagellates on particular macroalgal species. If researchers could go to a site and know that they could obtain meaningful data on *G. toxicus* abundance by sampling only one or two algal species, sampling statistics could be improved and ecological issues more easily resolved. Given that it seems desirable to designate key macroalgae that are significantly associated with ciguatera dinoflagellates, we argue that an experimentally derived hierarchy of host species is needed. A laboratory procedure to determine this hierarchy is described here. Algae to be examined in the laboratory should include representatives of the flora found in each of the coastal marine habitats (e.g., tidepool, forereef, backreef, lagoon, etc.).

Adhering to our belief that more can be learned from

a statistically relevant number of replicates of one or two key host species rather than an equal number of samples split between the various macroalgae present in a study area, we focused our attention on *Dictyota* and *Galaxaura* during our field study. We chose these species because of their circumtropical distribution, because they are abundant at most shallow and deep reef environments, and because they have been cited as supporting high *G. toxicus* populations (especially *Dictyota*; Carlson, 1984; Carlson *et al.*, 1984; Ballantine *et al.*, 1985; Carlson and Tindall, 1985; Gillespie *et al.*, 1985a; Taylor, 1985; Taylor and Gustavson, 1983). Ballantine *et al.* (1985) studied the seasonal abundance of *G. toxicus* on *Dictyota* at Puerto Rico and found typical densities ranging between 100–300 cells g<sup>-1</sup> with a maximum of 8000. They recognized the huge variability in cell counts among samples collected close to one another, and noted that *Dictyota* appeared to be preferred as a substrate over the seagrass *Thalassia testudinum*. Elsewhere in the Caribbean, *G. toxicus* was also found to be significantly more abundant in association with *Dictyota* spp. than sympatric *Spyridaea filamentosa* and *Cladophora hetero-*

*nema* (Carlson, 1984; Carlson and Tindall, 1985). *Spyridaea* in turn was considered a preferred host for *G. toxicus* based on field distributions in Hawaii (Shimizu *et al.*, 1982).

These data are discussed here because they emphasize the value in using comparable macroalgal species (or an established hierarchy of species) as substrates to be collected in field surveys, but they are also examples of how preference has been inferred without suitable background information. The relatively obvious source of error that, with one exception (Bomber *et al.*, 1985), has largely been ignored in field studies to date is that cell counts normalized to mass are only comparable between macroalgal hosts if these hosts have the same surface area per unit mass. The value of this concept was first recognized by Bomber *et al.* (1985) who saw no correlation between the field abundance of another epiphytic dinoflagellate, *Prorocentrum lima*, and the mass of macroalgal species. The dinoflagellate distribution was best explained on the basis of available surface area. On first inspection, our laboratory data might be seen as evidence for active preference of *G. toxicus* for *Dictyota*, since the dinoflagellate abundance per gram of host alga was always higher on *Dictyota* than on *Galaxaura*. In fact, when the dinoflagellate abundance is normalized to host surface area, the opposite conclusion is reached—namely that the preference is for *Galaxaura*. As seen in Table 1, the percentage of all attached cells that selected *Galaxaura* was always higher than the percentage of available macroalgal surface area represented by *Galaxaura*, typically 1.5–2 times higher. If attachment were simply surface area dependent, a 1:1 correspondence would be expected. This apparent preference is also seen in the ratio of cells  $\text{cm}^{-2}$  on *Galaxaura* versus *Dictyota*, which varies between 1.7 and 2.7. A simple surface area dependence with no preference would again be evidenced by values closer to 1.0.

In this context, it is noteworthy that we typically saw four times as many *G. toxicus* cells (per gram) on *Dictyota* than on *Galaxaura* at Pointe de Negre (Figs. 3A, 4A). This corresponds to a nearly equal dinoflagellate abundance per  $\text{cm}^2$  (i.e., a ratio near 1.0 as discussed above), so active preference seems unlikely. However, these data might be the end result of an initial colonization based on preference, as seen in our short-term laboratory experiments, followed by differential growth or mortality of the dinoflagellate on each macroalga. The separation and quantification of these two processes clearly requires further study that is beyond the scope of this paper. Our intent is to emphasize the difficulties associated with comparisons between different macroalgal hosts and the ease with which incorrect interpretations can be made if data are expressed in commonly accepted units of cells  $\text{g}^{-1}$  of host algae. Bomber (1985) reports that macroalgal

species can be divided into three general groups on the basis of surface area  $\text{g}^{-1}$ , with differences spanning a factor of four between species. Until surface area  $\text{mass}^{-1}$  relationships are determined for other important macroalgae, we argue that *G. toxicus* abundance data cannot be interpreted either in terms of substrate preference or geographic distribution patterns. Only data for the same host species would be comparable, and then only if the number of replicate samples is sufficient.

Another fascinating and unexpected result from the preference experiments is that a relatively constant number of *G. toxicus* cells attached to each gram or  $\text{cm}^2$  of our host algae, even when each host species' fractional biomass varied eight-fold. One possible interpretation is that there is a "carrying capacity" for each species. Given reports of much higher numbers of *G. toxicus* per gram of *Dictyota* by Ballantine *et al.* (1985), it seems more likely that we are seeing colonization that was still in progress when the experiment was terminated after 24 h. This consistency is reassuring and argues that the studies of the dynamics of *G. toxicus* substrate attachment and preference are feasible in the laboratory. We have shown that valuable information can be obtained by comparing the short-term colonization behavior of *G. toxicus* when offered different macroalgal hosts. These results suggest that there is preference expressed in the early stages of colonization. Our next step is to extend these experiments in time so as to evaluate other factors that will affect the final abundance of dinoflagellates, namely host chemistry, dinoflagellate growth, water turbulence effects, light effects, and so forth.

In summary, we have initiated a ciguatera research program that we hope will generate field data that are not only statistically sound but that also will allow comparisons to be drawn with results from other researchers throughout the world. Central to this approach is a focus on one or two key macroalgal host species, as well as the collection of sufficient replicates for our abundance estimates to be a valid representation of the real *G. toxicus* distribution. Normalization of these data to host surface area would be more informative and less subject to misinterpretation than the more common units of cells  $\text{g}^{-1}$ . Finally, we have demonstrated the ease with which substrate preference studies can be conducted in the laboratory. We recognize that the natural abundance and distribution of *G. toxicus* in the field is a reflection of both substrate attachment and the resulting growth and mortality of the established dinoflagellate population. This complex phenomenon must first be separated into discrete components, however, each to be studied in isolation if we are ever to fully comprehend the spatial and temporal dynamics of ciguatera.

#### Acknowledgments

We gratefully acknowledge the assistance of J. Aubin, B. Keafer, D. Kulis, and the support of D. Combs and



M. Kellett. We thank D. Smith for the computer programming and J. Weinberg for discussion about statistical bootstrap methods. This research was supported in part by the New England Biolabs Foundation, by the Office of Sea Grant in the National Oceanic and Atmospheric Administration through grants NA84AA-D-00033 (R/B 56 and R/B 86 and NA86AA-D-SG090 (R-V76), and by the National Science Foundation (OCE-8614210). Contribution No. 6614 from Woods Hole Oceanographic Institution.

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# Ontogeny of Osmoregulation and Salinity Tolerance in Two Decapod Crustaceans: *Homarus americanus* and *Penaeus japonicus*

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**Abstract.** Osmoregulation and salinity tolerance were studied in larvae and post-larvae of two species of crustaceans, *Homarus americanus* and *Penaeus japonicus*, that have different types of embryonic development.

In both species, salinity tolerance decreased through the larval stages, was at a minimum at metamorphosis, and increased in post-larval stages. In *H. americanus*, the lethal salinity for 50% of the animals (24 h LS50) at 20°C was about 17‰ at metamorphosis, and about 10.5–12‰ in stages IV and V. In *P. japonicus*, the 24 h LS50 at 25°C was about 25‰ at metamorphosis, and about 7–10‰ from the sixth post-larval stage onwards.

In both species, larvae were hyper-osmoconformers and the osmoregulatory pattern changed after metamorphosis to the juvenile/adult type. In *H. americanus*, stages IV and V slightly hyper-osmoregulated in low salinities. In *P. japonicus*, post-larvae hyper-hypo-regulated, and their regulatory capacity increased up to the fifth post-larval stage.

In young stages of *H. americanus* and *P. japonicus*, osmoregulation and salinity tolerance appear correlated, and are modified at metamorphosis. These results are discussed with regard to their ecological and physiological implications and to previous studies on other species.

## Introduction

Most studies on crustacean osmoregulation deal with adult forms (review in Mantel and Farmer, 1983), and only a few data are available on larval and post-larval

osmoregulation. These are summarized in Table I. Depending on species, the osmoregulatory abilities can vary among the successive larval stages or remain unchanged. The adult type of regulation is also established at variable stages in different species. Numerous studies have been concerned with larval and post-larval salinity tolerance, but few have attempted to correlate the salinity tolerance of different developmental stages with their corresponding osmoregulatory capabilities in a given species.

The objective of this study conducted with the American lobster *Homarus americanus* H. Milne Edwards, 1837, and the shrimp *Penaeus japonicus* Bate, 1888, was to determine the salinity tolerance of larval and post-larval stages of these species, to define the ontogeny of their osmoregulation, and to attempt to correlate osmoregulatory abilities and salinity tolerance. *H. americanus* and *P. japonicus* are both economically important, and any knowledge of their larval and post-larval environmental tolerance and physiology can be valuable for their management and potential culture. Moreover, their patterns of post-embryonic development are different, offering the opportunity for comparisons of larval physiology.

In the genus *Homarus*, larval development comprises one prelarva and three zoea or mysis larvae—stages I to III—before a metamorphosis leading to post-larvae—stage IV or megalopa—and then to juvenile stages. In *H. americanus*, osmoregulation has been studied in adults (Dall, 1970) and juveniles (Charmantier *et al.*, 1981, 1984a); information on ionic regulation (Charmantier *et al.*, 1984b) and preliminary data on osmoregulation (Charmantier *et al.*, 1984c) are also available for the early post-embryonic stages of this species. Osmotic and ionic

Table I

Summary of studies on larval/post-larval crustacean osmoregulation

| Species                                                | Larval/<br>post-larval<br>development | Type(s) of osmoregulation during post-<br>embryonic development                                                                                                             | Type of osmoregulation<br>in adults           |
|--------------------------------------------------------|---------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------|
| <i>Rhithropanopeus harrisi</i> (1)                     | 4 zoeae                               | Hyper-osmoconform from 10 to 40 ‰<br>Slight hyper-regulation in lowest<br>salinities. Except osmoconform in<br>diecdysial stage V                                           | Hyper-hypo-regulation (2)                     |
| <i>Cardisoma guanhumi</i> (3)                          | 5 zoeae                               | Hyper-osmoconform <20 ‰<br>Tendency to hyper-regulate in 30–<br>40 ‰ in 3rd, 4th, 5th zoeae                                                                                 | Hyper-hypo-regulation (4)<br>(5)              |
| <i>Callinectes sapidus</i> (6)                         | 7 zoeae several<br>megalopae          | Hyper-isoregulation in 1st, 2nd zoeae<br>and late megalopa. Osmoconform in<br>7th zoea. Hyper-osmoconform in<br>other stages                                                | Hyper-hypo-regulation (7)                     |
| <i>Hepatus epheliticus</i> (6)                         | 3 zoeae                               | Hyper-isoregulation in 1st zoea.<br>Slightly hypo-regulate in 40 ‰ in<br>following stages. Larvae approaching<br>megalopa and settling crab stages<br>gradually osmoconform | Supposedly osmoconform<br>(6)                 |
| <i>Libinia emarginata</i> (6)                          | 2 zoeae<br>1 megalopa                 | 1st zoea and late megalopa<br>osmoconform. Slight hypo-<br>regulation in 40 ‰ in 2nd zoea and<br>early megalopa                                                             | Osmoconform (6) (8)                           |
| <i>Sesarma reticulatum</i> (9)                         | 3 zoeae<br>1 megalopa                 | Hyper-regulate from 10–35 ‰. Slight<br>hyper-regulation in 40 ‰                                                                                                             | Hyper-hypo-regulation (9)                     |
| <i>Clibanarius vittatus</i> (10)                       | 5 zoeae<br>1 megalopa                 | Hyper-osmoconform from 200 to 1200<br>mosm · kg <sup>-1</sup>                                                                                                               | Hyper-regulation<br>Isosmotic regulation (11) |
| <i>Macrobrachium petersi</i> (12)                      | 9 larval stages                       | Hyper-hypo-regulation<br>Stronger ability in 1st stage and in<br>post-larvae                                                                                                | Hyper-hypo-regulation<br>(12)                 |
| <i>Uca subcylindrica</i> (13)                          | 2 zoeae<br>1 megalopa                 | Slight hyper-regulation in zoeae I–II<br>Slight hyper-hypo-regulation in<br>megalopa                                                                                        | Hyper-hypo-regulation<br>(13)                 |
| <i>Callinassa jamaica</i><br><i>louisianensis</i> (14) | 2 zoeae                               | Slight hyper-regulation in media<br><800–900 mosm · kg <sup>-1</sup>                                                                                                        | Hyper-hypo-regulation<br>(15)                 |

- (1) Kalber and Costlow (1966)  
 (2) Smith (1967)  
 (3) Kalber and Costlow (1968)  
 (4) Pearse (1932)  
 (5) Quinn and Lane (1966)  
 (6) Kalber (1970)  
 (7) Ballard and Abbott (1969)  
 (8) Gilles (1970)

- (9) Foskett (1977)  
 (10) Young (1979a)  
 (11) Young (1979b)  
 (12) Read (1984)  
 (13) Rabalais and Cameron (1985)  
 (14) Felder *et al.* (1986)  
 (15) Felder (1978)

regulation of *H. gammarus* has been studied in juveniles (Charmantier *et al.*, 1984d).

In contrast to the simple post-embryonic development of homarid lobsters, the penaeid shrimps have numerous larval instars beginning with nauplii stages, which is an exception among decapod crustaceans. The post-embryonic development of *Penaeus japonicus* goes through six nauplius stages, three zoeae, and three mysis. The third mysis stage ends with a metamorphosis: the succeeding post-larval stages can be named either by their stage (*e.g.*, PL5, fifth post-larva) or by the time elapsed since metamorphosis (*e.g.*, P5, 5 days after metamorphosis). Although osmoregulation has been studied extensively in

penaeid shrimps (review in Charmantier, 1987b), only ionic regulation is known in *P. japonicus* (Exbrayat and Bourguet, 1982). Preliminary data have also been gathered on osmoregulation of their larvae (Charmantier, 1986).

### Materials and Methods

This study was conducted at two different locations: St. Andrews (New Brunswick, Canada) for *Homarus americanus* and Montpellier (Hérault, France) for *Penaeus japonicus*. Standardized methods were used for both species and two of us were members of both the



Canadian and French groups who performed the experiments.

### Animals

*Homarus americanus* larvae were obtained during the summer from lobsters captured in Passamaquoddy Bay and held in the lobster culture facility at the Biological Station at St. Andrews. After hatching, larvae were transferred to 40-l planktonkreisels (Hughes *et al.*, 1974) supplied with flow-through seawater at a salinity of 30–31‰, a temperature of 20°C under natural photoperiod. Larvae were fed three times a day with frozen adult *Artemia*. As each larval stage lasts several days, molting stages were obtained according to the time elapsed from the preceding molt, and three groups of animals, postmolt stage A, stage C and premolt stage D, were selected.

Larvae of *P. japonicus* were obtained in early spring from the Ifremer Station (Deva-Sud) at Palavas (Hérault). They were reared in semi-recirculated systems using a clear water technique at a salinity of 35–36‰, a temperature of 25–27°C and under an artificial photoperiod (12L/12D) (Aquacop, 1983; Laubier, 1986). Larvae were fed with algae or *Artemia* nauplii according to their stage. Each larval stage lasted 24 h or less, so it was not possible to select animals according to molting stages.

### Preparation of media

Dilute media were prepared by addition of tap water to seawater and high salinity media were prepared by adding "Instant Ocean Synthetic Sea Salts" (Aquarium Systems, Inc.) to seawater. All experiments were conducted at 20°C (*Homarus*) or 25°C (*Penaeus*). Salinities were expressed according to the osmotic pressure in mosm·kg<sup>-1</sup> and to the salt content of the medium in ‰. Osmotic pressure was measured on an Advanced Instruments 31 LA or Roebeling osmometer, and salinity on a YSI 33 salinometer. A value of 3.4 ‰ is equivalent to 100 mosm·kg<sup>-1</sup>.

### Survival bioassays

To determine salinity tolerance, acute static bioassays were conducted with animals held in test media ranging from fresh water (~10 mosm·kg<sup>-1</sup>) to seawater (~900–1100 mosm·kg<sup>-1</sup>) and differing by increments of 100 mosm·kg<sup>-1</sup> (= 3.4‰). Penaeid shrimp larvae were held communally in plastic containers holding 0.5 l of medium; due to agonistic behavior, lobster larvae were held in individual compartments partly immersed in 3.5-l containers. All media were aerated. Animals were not fed during the bioassays. The duration of lobster experiments was 48–96 h. The shrimp experiments lasted 24–96 h due to the shorter duration of the stages.

Each bioassay was run on a group of 10 animals and

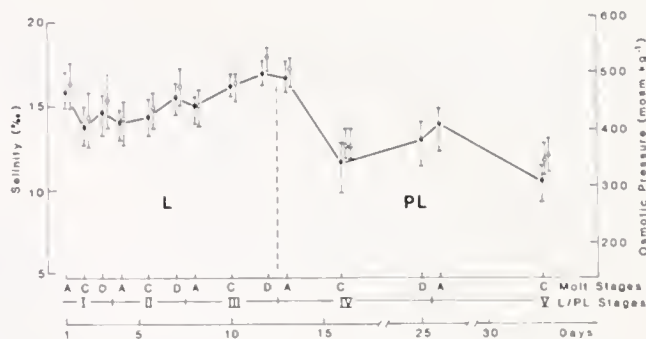


Figure 1. Salinity tolerance in larval (I, II, III) and post-larval (IV, V) *Homarus americanus* at 20°C. Variations in LS50 in ‰ and mosm·kg<sup>-1</sup> according to larval (L)/post-larval (PL) and molt stages and to days of development. Each point represents the mean value of at least two determinations from 10 animals, with 95% confidence interval. Closed circles: 24 h LS50; open circles: 48 h LS50; open triangles: 96 h LS50.

replicated. Animals were counted and dead animals removed at 0.5, 1, 2, 3, 6, 12, 24, and 48 or 96 h according to the prescriptions of Sprague (1969) in toxicity studies. The criteria for death were total lack of movement, immobility of the scaphognathite (lobster) and of the heart (both species), and lack of response after repeated touches with a probe. Median times to 50% mortality (LT50) and their 95% confidence limits were determined from a computer program (Lieberman, 1983) based on the probit technique of Lichtfield and Wilcoxon (1949) and Finney (1962). Median lethal salinities (LS50) and 95% confidence intervals were calculated by standard techniques of probit analysis (Finney, 1962; Davies, 1971) computerized on the Letcur program (Zitko, 1982). LS50 were calculated at 24 and 48 h, and at 96 h in some longer lasting post-larval stages.

### Osmoregulation

Animals were reared at different selected salinities in recirculated planktonkreisels (lobster) or 0.5-l plastic containers (shrimp). Individuals were dried on filter paper and hemolymph was sampled by inserting a micropipette into the heart. This operation was conducted under mineral oil in the smallest stages in order to avoid rapid evaporation and desiccation. In shrimp, reproducible data were obtained only from stage zoea 2, *i.e.*, more than 1.3 mm long. Osmotic pressure of hemolymph was measured on a Kalber-Clifton micro-osmometer requiring 30–50 nl, with reference to the osmotic pressure of the medium.

## Results

### *Homarus americanus*: salinity tolerance

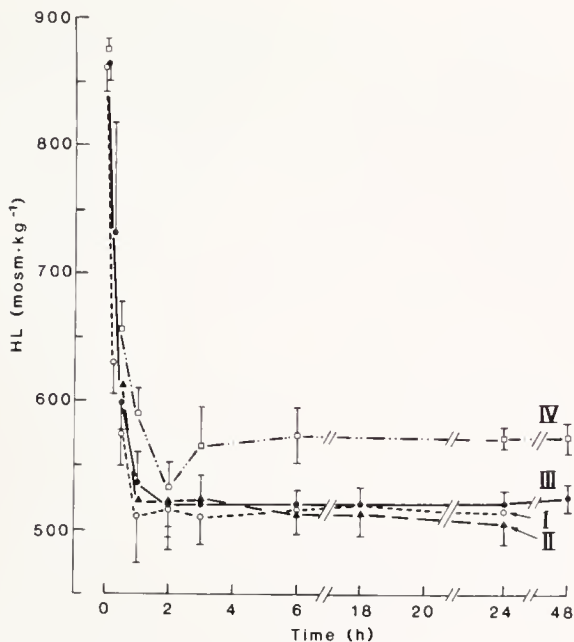
The ability of *H. americanus* to tolerate low salinities varied with post-embryonic development (Fig. 1). After

a slight decrease early in stage I, the 24 h LS50 increased through stages I, II, and III from about  $410 \text{ mosm} \cdot \text{kg}^{-1}$  (14‰) to a maximum (corresponding to a minimum tolerance) of  $500 \text{ mosm} \cdot \text{kg}^{-1}$  (17‰) in stages III D and IV A–B preceding and following metamorphosis. In post-larval stages IV and V (in molting stage C), salinity tolerance reached its maximum, with 24 h LS50 values down to  $340 \text{ mosm} \cdot \text{kg}^{-1}$  (11.6‰) and  $310 \text{ mosm} \cdot \text{kg}^{-1}$  (10.5‰); however, LS50 increased during the molt from stage IV to stage V. The 48-h LS50, although higher by 10–40  $\text{mosm} \cdot \text{kg}^{-1}$  (0.3–1.4‰), followed the same pattern of variation. Molting between larval stages was possible in respectively 0%, about 50%, and more than 80% of the larvae in media of  $\leq 400$ , 500–600 and  $\geq 700 \text{ mosm} \cdot \text{kg}^{-1}$  (13.6, 17–20.4, 23.8‰).

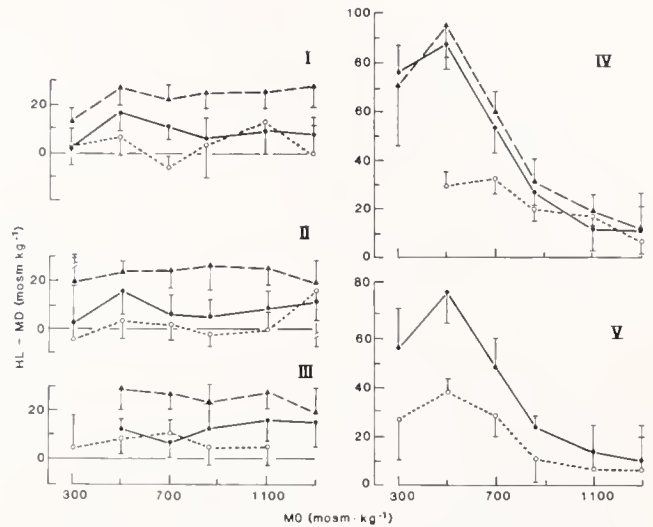
#### *H. americanus*: osmoregulatory ability

**Adaptation time.** After a rapid transfer from seawater at  $850 \text{ mosm} \cdot \text{kg}^{-1}$  (29‰) to a dilute medium of  $500 \text{ mosm} \cdot \text{kg}^{-1}$  (17‰), the hemolymph osmotic pressure stabilized within 1 h in stages I and II, 2 h in stage III and 3–6 h in stage IV (Fig. 2). Osmotic adaptation to concentrated media of 1100 and 1300  $\text{mosm} \cdot \text{kg}^{-1}$  (37 and 44‰) was completed in 1–3 h. In all subsequent experiments we kept the animals 6–24 h in each medium before sampling.

**Osmoregulation.** The types of osmoregulation were



**Figure 2.** Change in hemolymph osmotic pressure (HL) in stages I–IV of *Homarus americanus* after rapid transfer from seawater ( $850 \text{ mosm} \cdot \text{kg}^{-1}$ , 29‰) to a dilute medium ( $500 \text{ mosm} \cdot \text{kg}^{-1}$ , 17‰) at 20°C. Each point represents the mean value of determinations from 12–15 animals, with 95% confidence interval.



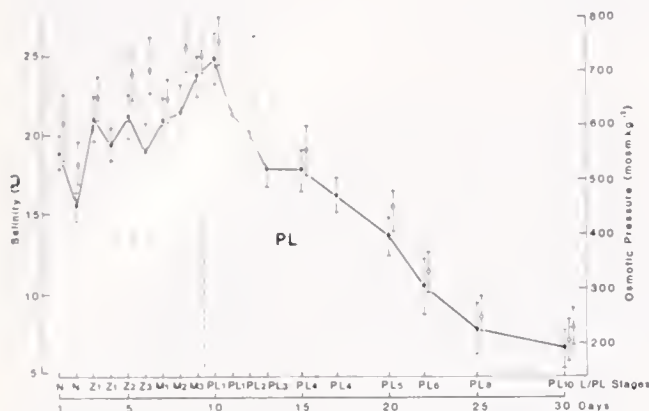
**Figure 3.** Variations in the difference between the osmotic pressures of hemolymph and medium (HL-MD) according to the osmotic pressure of the medium (MD) in stages I–V of *Homarus americanus* at 20°C. Each point represents the mean value of determinations from 12–20 animals (except in extreme salinities: 5–16 animals) with 95% confidence interval. ○ --- ○: post-molt; ● — ●: stage C; ▲ — — ▲: premolt.

similar in larval stages I, II, and III. In molting stage C, these larvae hyper-osmoconformed over the whole range of salinity, the osmotic pressures of hemolymph and medium differing by about 10–20  $\text{mosm} \cdot \text{kg}^{-1}$ . Their regulation was slightly more hyper-osmotic in premolt and nearly isosmotic in post-molt (Fig. 3).

The pattern of osmoregulation changed after metamorphosis. Stage IV and V post-larvae in molting stage C hyper-osmoconformed in high salinities and seawater and their regulation was slightly hyper-osmotic in dilute media (hemolymph-medium difference of about 80  $\text{mosm} \cdot \text{kg}^{-1}$  in a  $500 \text{ mosm} \cdot \text{kg}^{-1}$  or 17‰ medium). No significant difference was found in the regulation of pre-molt post-larvae, but the ability to hyper-regulate in dilute media significantly decreased in post-molt stages IV and V (hemolymph-medium difference of about 30–35  $\text{mosm} \cdot \text{kg}^{-1}$  in a  $500 \text{ mosm} \cdot \text{kg}^{-1}$  or 17‰ medium) (Fig. 3).

#### *Penaeus japonicus*: salinity tolerance

Tolerance of low salinities varied with the developmental stages of *P. japonicus* (Fig. 4). The 24 h LS50 increased during larval development from about 460–600  $\text{mosm} \cdot \text{kg}^{-1}$  (16–20‰) in nauplii and zoeae I up to 730  $\text{mosm} \cdot \text{kg}^{-1}$  (25‰) just prior to and after metamorphosis in mysis 3 and first post-larval stages (PL1). Salinity tolerance increased progressively thereafter up to stage PL6, P12, i.e., 12 days after metamorphosis (24 h LS50 about 300  $\text{mosm} \cdot \text{kg}^{-1}$  or 10‰) and more slowly up to stage



**Figure 4.** Salinity tolerance in larval (L) and post-larval (PL) *Penaeus japonicus* at 25°C. Variations in LS50 in ‰ and  $\text{mosm} \cdot \text{kg}^{-1}$  according to larval/post-larval stages and days of development. Each point represents the mean value of at least two determinations from 10 animals, with 95% confidence interval. N, nauplius; Z, zoea; M, mysis; PL, post-larval stage. Closed circles: 24 h LS50; open circles: 48 h LS50; open triangles: 96 h LS50.

PL10, P20 (24 h LS50 about  $200 \text{ mosm} \cdot \text{kg}^{-1}$  or  $7\text{‰}$ ). The 48 h LS50, higher than the 24 h LS50 by 20–150  $\text{mosm} \cdot \text{kg}^{-1}$  ( $0.7\text{--}5.1\text{‰}$ ), followed the same pattern of variation. Molting between larval stages was possible in 0%, about 50%, and 60–100% of the larvae in media of  $\leq 400$ , 600–700, and  $\geq 800 \text{ mosm} \cdot \text{kg}^{-1}$  (13.6, 20.4–23.8, 27.2‰), respectively.

#### *P. japonicus*: osmoregulatory ability

**Adaptation time.** After a rapid transfer from seawater ( $1050 \text{ mosm} \cdot \text{kg}^{-1}$  or  $35.5\text{‰}$ ) to a dilute medium ( $500 \text{ mosm} \cdot \text{kg}^{-1}$  or  $17\text{‰}$ ), the hemolymph osmotic pressure stabilized in 1 h in stage zoea 3, 3 h in fourth post-larval stage (PL4), and 6 h in stage PL10 (Fig. 5). In all subsequent experiments, we kept the animals 6–24 h in each medium before sampling.

**Osmoregulation.** Larval stages (zoea 2 and 3, mysis 1–3) hyper-osmoconformed over the whole range of tested salinities: the osmotic pressures of hemolymph and medium differed by about  $10\text{--}40 \text{ mosm} \cdot \text{kg}^{-1}$ . In stage mysis 3, which lasted 2 days, regulation was slightly more hyper-osmotic towards the end of the stage, *i.e.*, in premolt animals (Fig. 6). At the end of the larval period, most of the animals died in the dilute media and the few survivors tended to osmoconform.

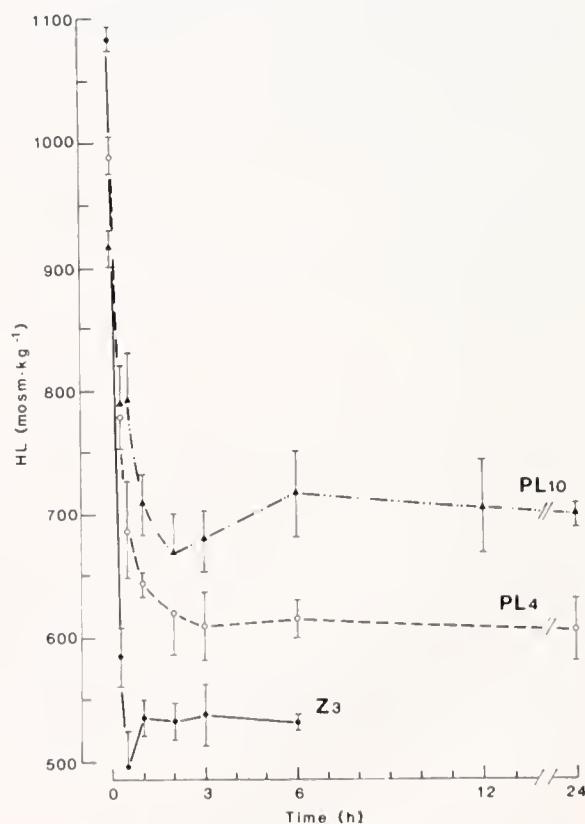
The pattern of osmoregulation changed after metamorphosis. Starting from the end of first post-larval stage (PL1) which lasted 2 days, the regulation shifted to slightly hyper-osmotic in dilute media and hypo-osmotic in seawater. Hyper- and hypo-osmoticity increased progressively thereafter up to PL5–PL6, P10–P12. From these stages onwards, the difference between the osmotic pressures of hemolymph and media reached about 300,

200, and  $-150 \text{ mosm} \cdot \text{kg}^{-1}$  in 300, 500, and  $1000 \text{ mosm} \cdot \text{kg}^{-1}$  media (corresponding salinities: 10, 17, 34‰), respectively. The isosmoticity medium changed progressively from  $900 \text{ mosm} \cdot \text{kg}^{-1}$  (30.5‰) in PL1 to  $800 \text{ mosm} \cdot \text{kg}^{-1}$  (27‰) in PL5–PL6 (Fig. 6).

## Discussion

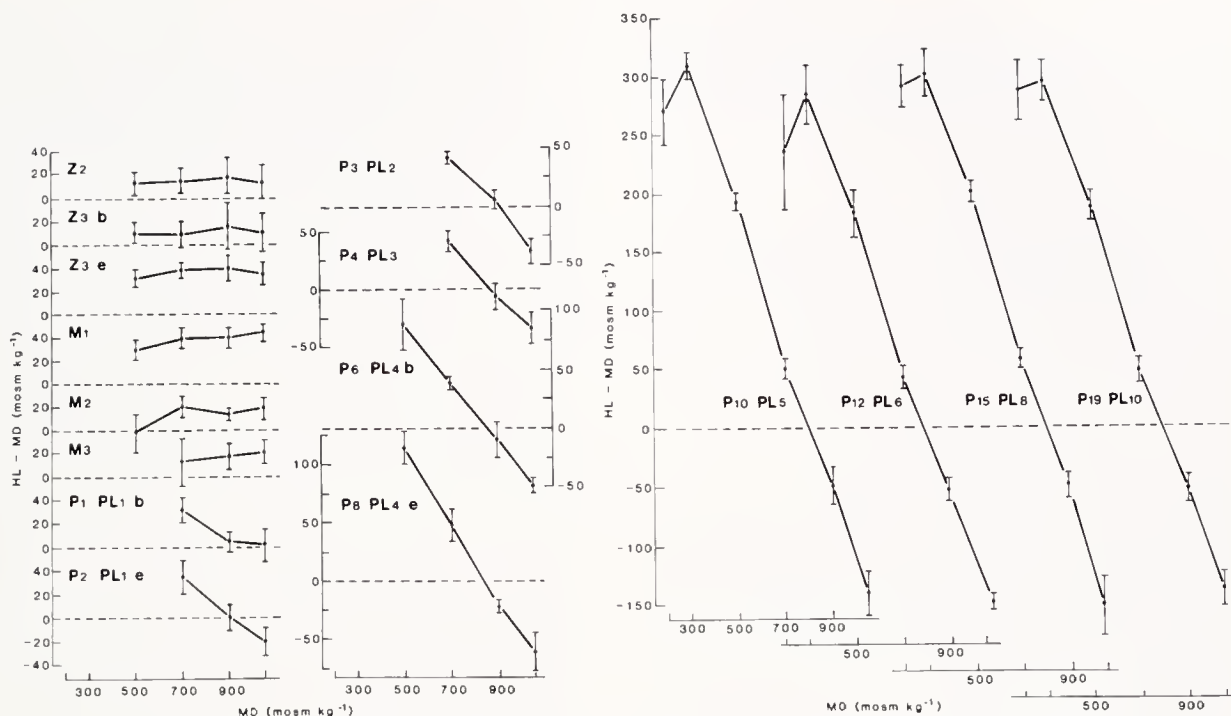
### Salinity tolerance

In *Homarus americanus*, salinity tolerance at 20°C expressed by the 24 h LS50 varies from 14–17‰ in larvae down to 10.5–12‰ in post-larvae and is minimum at metamorphosis—about 17‰. These results are in agreement with previous data: in *H. gammarus* and *H. americanus*, respectively, Gompel and Legendre (1927) and Templeman (1936) found that the larval period could progress to metamorphosis and stage IV only at 15–17.5°C in salinities above 17‰. Sastry and Vargo (1977) observed that larvae developed to stage V in salinities above 20‰ at 15°C and 15‰ at 20°C. Thus, from these studies, the minimum salinity compatible with larval development and metamorphosis can be estimated to be



**Figure 5.** Change in hemolymph osmotic pressure (HL) in stages zoea 3 and post-larvae 4 and 10 of *Penaeus japonicus* after rapid transfer from seawater ( $1050 \text{ mosm} \cdot \text{kg}^{-1}$ ,  $35.5\text{‰}$ ) to a dilute medium ( $500 \text{ mosm} \cdot \text{kg}^{-1}$ ,  $17\text{‰}$ ) at 25°C. Each point represents the mean value of determinations from 10–15 animals, with 95% confidence interval.





**Figure 6.** Variations in the difference between the osmotic pressures of hemolymph and medium (HL-MD) according to the osmotic pressure of the medium (MD) in larval and post-larval stages of *Penaeus japonicus* at 25°C. Each point represents the mean value of determinations from 10–15 animals (except in some low salinities, 5), with 95% confidence interval. Z, zoea; M, mysis; P, number of days after metamorphosis; PL, post-larval stage; b, beginning; e, end.

about 17‰ at 20°C. After metamorphosis, the values of LS50 found in post-larvae are similar to those observed in one-year-old juveniles (10‰: Charmantier, unpub. data) and compatible with the lethal limits known in adults (8‰: McLeese, 1956). The salinity tolerance of post-larvae decreases at the time of molt, which is a frequent observation among crustaceans.

In *P. japonicus*, the 24-h LS50 at 25°C varies from 16–20‰ to 25‰ in larval stages with a maximum value at metamorphosis. It decreases progressively to 10‰ from post-larval stage PL1 to PL6, and to 7‰ in stage PL10. In *P. japonicus* (Hudinaga, 1942), *P. duorarum* (Ewald, 1965), *P. marginatus* (Gopalakrishnan, 1976), and *Metapenaeus bennettiae* (Preston, 1985), zoea larvae are less resistant to low salinity than mysis, which is not the case in our study. There are two possible explanations of this. The different feeding conditions could interfere with the effect of salinity. Preston (1985) observed that “starvation was a more potent factor than the effects of temperature and salinity in determining survival through the protozoal larval stages.” On the other hand, most of these studies were conducted for long periods to complete the larval development, which is not the case in our stage-by-stage study. After metamorphosis, the values of LS50 from PL6–PL8 were similar to those found in juve-

niles of the same species (8‰: Dalla Via, 1986; 6‰: Thuet *et al.*, unpub. data).

In *H. americanus* and *P. japonicus*, the 48 or 96 h values of LS50 are higher than those at 24 h. The difference is highest in the stages that last less than 48 h (*Penaeus* larval stages, young *Homarus* in molting stage D). High mortality occurs in the lowest salinities when these animals attempt to molt.

### Adaptation time

In *H. americanus* and *P. japonicus* the time required for osmotic equilibration to a dilute medium is about 1–2 h in larvae, and 3–6 h in early post-larvae. It is between 12 and 24 h in juvenile lobster (Charmantier *et al.*, 1984a) and adult shrimp (Charmantier, unpub. data), and about 75 h in adult lobster (Dall, 1970). Therefore, adaptation time is size dependent. In larvae of other species, adaptation time is similar to that of young stages of *Homarus* and *Penaeus* (Kalber and Costlow, 1966, 1968; Kalber, 1970; Foskett, 1977; Felder *et al.*, 1986). Foskett (1977) stressed the physiological and ecological importance of rapid adaptation of hemolymph osmotic pressure to changes in the salinity of the medium. This may be particularly true in the case of larval *Homarus* and *Penaeus* which are planktonic and thus exposed to sud-

den changes in salinity following heavy rainfall. These rapid changes also require the existence of intracellular osmotic adaptation, especially in the hyper-osmoconforming larval stages of the two species.

### Osmoregulation

In *H. americanus*, larval stages I–III hyper-osmoconform and post-larval stages IV and V slightly hyper-regulate in dilute media. The adult type of osmoregulation (Dall, 1970), which is identical to juvenile regulation (Charmantier *et al.*, 1981, 1984a), is acquired at stage IV, following metamorphosis. We found the same pattern of changes in the osmotic regulation of larvae and post-larvae of *H. gammarus* (Thuet *et al.*, 1988), confirming the physiological likeness of the two species.

The molt cycle affects osmotic regulation in *H. americanus* in two ways. In premolt larvae in stages I–III, the osmotic pressure of the hemolymph increases in all media. Similar variations have been shown in the larvae of *Rhithropanopeus harrisii* (Kalber and Costlow, 1966) and *Cardisoma guanhumi* (Kalber and Costlow, 1968). These authors suggested that a hyperosmotic internal medium would favor uptake of water at molt. In *H. americanus*, the tendency toward increased hyper-regulation before ecdysis is lost after metamorphosis, but postmolt post-larvae demonstrate a lower ability to hyper-regulate than do stage C animals. The decrease in hemolymph osmotic pressure or ion concentration is well known in postmolt adult crustaceans, where it is related to the water intake at molt, as exemplified by different lobsters (Travis, 1955; Glynn, 1968). Thus the effect of molting on osmotic regulation is different before and after metamorphosis. This could be related to variations in the permeability of the cuticle in larvae and post-larvae and to changes in the mechanisms involved, as discussed later.

In *P. japonicus*, zoeal and mysis larval stages hyper-osmoconform and the type of osmoregulation changes to hyper-hypo-regulation after metamorphosis. In post-larvae, the ability to regulate increases progressively up to stages PL5–PL6. In *P. japonicus*, the adult type of regulation is hyper-hypo-osmotic as in most species of penaeid shrimps (see review in Charmantier, 1987b); thus this type of regulation appears soon after metamorphosis but its efficiency is only gradually established over 10–12 days at 25°C.

A few studies have addressed the evolution of osmoregulatory abilities during the post-embryonic development of decapod crustaceans (Table I). In several species larvae are hyper-osmoconformers, for example *Rhithropanopeus harrisii* (Kalber and Costlow, 1966), *Callinectes sapidus* (Kalber, 1970), *Sesarma reticulatum* (Foskett, 1977), *Clibanarius vittatus* (Young, 1979a). *H. americanus* and *P. japonicus* larvae exhibit a similar type of osmoregulation. In other species such as *Cardisoma*

*guanhumi* (Kalber and Costlow, 1968), *Hepatus epheliticus*, *Libinia emarginata* (Kalber, 1970), *Uca subcylindrica* (Rabalais and Cameron, 1985), *Callinassa jamaicensis louisianensis* (Felder *et al.*, 1986), *Macrobrachium petersi* (Read, 1984), some capability for hypo- or hyper-regulation exists in certain larval stages. Thus, most decapod larvae that have been studied are osmoconformers or weak regulators. However, in species confronted with very low salinities in their natural environment, like *M. petersi*, larvae can efficiently regulate the osmotic concentration of their hemolymph.

In several of these species, the osmotic response varies little throughout the larval and post-larval development. Thus Foskett (1977) noted "no clear trend toward development of adult osmoregulatory patterns toward the end of larval life." However, recent findings and our own results contradict this generalization. Larvae of *M. petersi*, except in stage I, are incapable of osmoregulating in fresh water. This capacity re-appears in post-larvae, whose regulation is similar to that of juveniles and adults (Read, 1984). In *U. subcylindrica*, the hyper-hypo-regulation pattern of adults appears in megalopae and in the crab I stage (Rabalais and Cameron, 1985). The adult type of regulation is also present from post-larvae onwards in *H. americanus* and *P. japonicus*. Thus it can be stated that metamorphosis marks a profound change in the osmoregulatory abilities of these species. More generally, metamorphosis can be considered a combination of morphological, ecological, behavioral, and physiological changes (Charmantier *et al.*, 1984b; Charmantier, 1987a). In *P. japonicus*, the morphological metamorphosis is spread over several post-larval stages, which could be related to the progressive increase in osmoregulatory ability from PL1 to PL5. In *H. americanus*, on the contrary, both osmoregulatory and morphological changes are rapidly established in stage IV.

$\text{Na}^+\text{-K}^+$  ATPase is known to be implicated in ion transport. In *H. gammarus* the activation of this enzyme in dilute media is significantly higher in stage IV than in the preceding larval stages (Thuet *et al.*, 1988) which seems directly related to the hyper-regulation appearing from this stage onwards.

Evidence for neuroendocrine control of larval osmoregulation was first given by Kalber and Costlow (1966) in zoeae of *R. harrisii*. By eyestalk ablation and implantation the existence of neuroendocrine control of sodium regulation in *H. americanus* (Charmantier *et al.*, 1984b) and of osmoregulation in *H. americanus* and *H. gammarus* (Charmantier, unpub. data) has also been demonstrated. In *Homarus*, developed and functional effector organs such as gills coexist from stage IV with the neuroendocrine control of hydromineral metabolism. This could explain the establishment of the adult type of osmoregulation after metamorphosis. Different studies are underway to examine these hypotheses.



### Relation between osmoregulation and salinity tolerance

Larvae of *H. americanus* and *P. japonicus* are weak regulators and their salinity tolerance is comparatively moderate or low. At metamorphosis, salinity tolerance is minimum while the pattern of osmoregulation changes. In post-larvae, the ability to osmoregulate increases either quickly (*Homarus*) or progressively (*Penaeus*) and so do their respective salinity tolerances. Thus, in both species, there is a strong correlation between increased ability to osmoregulate, in particular to hyper-regulate in low salinities, and improved salinity tolerance.

This implies a relationship between salinity-tolerant hyper-regulating stages on one hand and the probability that they will encounter low-salinity media on the other. This relation is not obvious in the case of *Homarus*, the different stages of which live in coastal waters where the salinity may fluctuate. Hyper-osmoconforming larvae could then rely on isosmotic intracellular regulation as they remain at the surface as planktonic stages, while, according to an hypothesis of Foskett (1977), the higher-density hyper-osmotic post-larval stages would be adapted to seek and settle on the bottom. The above mentioned relation is more evident in *P. japonicus*, in which sea-dwelling larvae hyper-osmoconform while, after their coast-bound migration, post-larvae living in coastal or estuarine environments and thus submitted to salinity fluctuations, strongly hyper-hypo-regulate and are widely tolerant of low salinities. As in the lobster, the stages during which hyper-regulation becomes fully established, *i.e.*, PL5/PL6, are also the stages of bottom settlement (Hudnaga, 1942), which enhances Foskett's hypothesis. Dall (1981) and Castille and Lawrence (1981) found in different species of penaeid shrimp that, compared to sea-living adults, coastal and estuarine juveniles displayed stronger hyper-regulation and better tolerance of low salinity. Such is also the case in *P. japonicus* (Charmantier *et al.*, unpub. data). Thus, throughout the development of some species of penaeid shrimp, osmoregulation and ecology are closely linked, although other factors such as bottom selection, food availability, and presence of predators may interfere with the choice of the biotope (Dall, 1981). In two other species in which the young stages encounter salinity extremes in their environment, *Macrobrachium petersi* (Read, 1984) and *Uca subcylindrica* (Rabalais and Cameron, 1985), a clear correlation has also been demonstrated between osmoregulatory ability and salinity tolerance.

In conclusion, the various studies conducted on young crustaceans reveal different patterns of ontogeny of osmoregulation. In one group of brachyuran species mentioned by Foskett (1977), osmoregulation varies little with developmental stage and no general trend can be found. In *M. petersi* (Read, 1984), which migrate between fresh and saline water for reproduction, the adult

type of regulation is established as early as the first larval stage. In a third group of species, like *U. subcylindrica* (Rabalais and Cameron, 1985), *H. americanus*, and *P. japonicus* (this study), metamorphosis marks the appearance of the adult type of regulation. Further studies on other species should complement these ontogenetical groups. Since survival in low salinities appears correlated with the ability of *H. americanus* and *P. japonicus* to hyper-regulate in young stages, additional evidence of a relationship between the osmoregulatory ability, salinity tolerance and ecology of young stages of crustaceans should come from future studies.

### Acknowledgments

A portion of this work was supported by the Ministère Français des Relations Extérieures and the National Research Council of Canada. The balance was supported by the Ifremer (Institut Français de Recherches sur la Mer).

We thank Susan Waddy, Wilfred Young-Lai, and Dr. Zitko of St. Andrews, Raymond Mounet-Guillaume of Montpellier, and Jean-Marie Ricard, Gilles Le Moullac, Olivier Avelle, and Lucien Mazara at the Deva-Sud, Ifremer Station in Palavas for their assistance.

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# Swimming Speed and Oxygen Consumption in the Bathypelagic Mysid *Gnathophausia ingens*

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**Abstract.** The energetic costs of swimming were determined for the bathypelagic mysid *Gnathophausia ingens*. Individuals over a large size range spontaneously swam at speeds from 5 to 6.5 cm/s. To maintain this speed, smaller animals swam at much higher relative swimming speeds than did larger animals. Routine rates of oxygen consumption were thus considerably higher in the smaller instars. The relationship between standard rates of oxygen consumption and animal size was slightly less than the standard log-log allometric slope of 0.75. Within the speed range of 0–8 cm/s, oxygen consumption appeared to increase as a linear function of speed. Cost of transport was very high at low speeds. At 5.5 cm/s, cost of transport was lower than that measured for other crustaceans, but higher than that of fish. Swimming efficiency increased with speed. While the lower cost of transport and higher swimming efficiency may contribute to *G. ingens*' reduced rates of oxygen consumption as compared to those of shallower-living crustaceans, the major factor appears to be *G. ingens*' lower level of swimming activity.

## Introduction

It is well known that deep-living pelagic fish and crustaceans have metabolic rates considerably lower than those of shallower-living pelagic species (Childress, 1969, 1971b, 1975, 1977; Smith and Hessler, 1974; Torres *et al.*, 1979; Smith, 1978; Smith and Laver, 1981; Cowles, 1987). This reduction is of an order of magnitude or more, and can be only partially accounted for by changes in temperature, pressure, and animal protein content with depth (Childress, 1975; Mickel and Childress, 1982). Lower metabolic rates at depth have generally been attributed to selection for energy conservation due

to food limitation at depth (Childress and Nygaard, 1973, 1974; Bailey and Robison, 1986). A more recent hypothesis contends that, for deeper-living animals such as fish and crustaceans which rely on vision for detection of predators or prey, the reduction in metabolic rate is related to a decrease in activity and the capacity for activity, which is allowed by the shorter reactive distances at depth and consequent relaxation of selection for capacities for rapid swimming (Childress *et al.*, 1980; Childress and Mickel, 1985).

For any active pelagic species, locomotory activity may be expected to play a prominent role in determining the overall metabolic rate. Activity may be critical for feeding, escape from predation, vertical migrations, finding a mate, and maintaining station in the water column. However, little is known of the normal activity levels of deep-living pelagic crustaceans nor of the amount of metabolic energy such activity requires. A few studies have been made on swimming speeds and rates of aerobic metabolism of shallow-living pelagic crustaceans. Torres *et al.* (1982) and Torres and Childress (1983) used an annular chamber to measure swimming activity and rate of oxygen consumption in the shallow-living *Euphausia pacifica*. Kils (1979a, b) measured mean rates of oxygen consumption and recorded average swimming speeds for the Antarctic krill, *Euphausia superba*. No comparable data, however, are available for deep-living crustaceans. Mickel and Childress (1978, 1982) and Quetin and Childress (1980) measured pleopod beat rates and oxygen consumption in the mysid *Gnathophausia ingens* strapped to a fixed underwater frame. It is not clear, however, what correlation exists between pleopod beat rates and swimming speeds in swimming crustaceans.

*Gnathophausia ingens* Dohrn (1870) is a large cosmopolitan bathypelagic mysid from the family Lophogastridae.



dae. The species is negatively buoyant in seawater and appears to be an active swimmer. Most of the population of this species off California live at depths of 400 to 900 meters (Childress, 1975). Since the species can be maintained in the laboratory for long periods of time, more has been learned about its physiology than that of nearly any other large pelagic crustacean (Childress, 1968, 1969, 1971b; Fuzessery and Childress, 1975; Belman and Childress, 1976; Childress and Price, 1978, 1983; Mickel and Childress, 1978, 1982; Quetin and Childress, 1980; Hiller-Adams and Childress, 1983a, b, c; Cowles, 1987). Like many deep-sea animals, its rate of aerobic metabolism is significantly lower than that of comparable epipelagic animals.

In this study we measured the relationship between routine swimming speeds and rates of oxygen consumption in *G. ingens*. These data were used to characterize energetic costs of swimming for this species. Swimming energetics of *G. ingens* were then compared to those of shallower-living crustaceans and of fish to evaluate *G. ingens*' relative swimming abilities and costs in comparison to those of other active pelagic swimmers.

### Materials and Methods

Seventy-two *Gnathophausia ingens* of instars 5–11 (Childress and Price, 1978, 1983) were obtained from depths of 450–750 meters from San Clemente, Catalina, and Santa Cruz basins off Southern California, using a 10-foot square Tucker Trawl fitted with a thermally insulated cod end (Childress *et al.*, 1978). The mysids were maintained in the laboratory in 5.5°C seawater in 1-liter plastic containers and were fed once a week to satiation with an alternating diet of salmon and shrimp. Individuals kept in the laboratory were starved at least twenty-four hours before being used for an experiment. Length of stay in the laboratory before use in an experiment ranged from one hour to six months, with the majority being used within twenty days.

Swimming speed and rate of oxygen consumption were measured in a recirculating swim tunnel similar to that described by Cowles *et al.* (1986). Modifications included an increase in diameter to 10.16 cm, the total enclosure of the tunnel for respiration measurements, and the connection of the tunnel to a computer-based data acquisition and control system for continuous data logging. Ultraviolet sterilized, 0.2  $\mu$ m filtered seawater containing 25 mg/l each of streptomycin and penicillin was used during oxygen consumption experiments to minimize background microbial respiration. A dark cover was placed over the chamber to minimize disturbance to the animal during the experiment.

Experimental animals were sealed individually in the

swim tunnel and allowed to swim at spontaneous speeds while speed and oxygen consumption were measured continuously. Individual experiments varied in length from four to thirty hours. An experiment was terminated when it had proceeded long enough so that at least several hours of steady oxygen consumption data had been obtained, preferably at a range of speeds. The animal was then removed, weighed wet, and returned to a holding tank of chilled seawater. Later the live, anesthetized animal's underwater weight was determined in 5.5°C seawater, and the animal was dried to determine dry mass.

Data obtained from the swim tunnel were used to calculate rate of oxygen consumption [ $\text{MO}_2$ , micromoles  $\text{O}_2/(\text{mg wet wt} \times \text{h})$ ] as a function of absolute ( $S_a$ , cm/s) and relative ( $S_r$ , lengths/s) for each mysid. The mean absolute ( $S_{\text{mar}}$ ) and relative ( $S_{\text{mrr}}$ ) swimming speeds and rate of oxygen consumption ( $\text{MO}_{2\text{mr}}$ ) for the entire session in the swim tunnel were calculated for each mysid, with the assumption that these speeds, swum spontaneously by the mysid, represent routine swimming speeds. In addition, the maximum swimming speed maintained for at least one minute, identified as the maximum short-term swimming speed, was noted for each individual.

Data for all animals from each instar were grouped together, and the mean  $S_{\text{mar}}$ ,  $S_{\text{mrr}}$ ,  $\text{MO}_{2\text{mr}}$ , and wet, dry, and underwater weights were calculated for each instar. Best fit mean-square linear and power regressions for the relationship between  $S_{\text{mar}}$ ,  $S_{\text{mrr}}$  and  $\text{MO}_{2\text{mr}}$  were calculated for each instar and for all the instars combined. Swimming speeds and rates of oxygen consumption for each instar were compared by analysis of variance and by a regression of these variables against carapace length. The best-fit equation relating rate of oxygen consumption ( $\text{MO}_2$ ) to swimming speed ( $S_a$ ) and body mass (grams wet weight) was determined by least squares multiple regression. All references to statistical significance in these experiments were based on the 95% confidence level. References to rates of oxygen consumption conform to the terminology conventions of Piiper *et al.* (1971).

### Results

#### *Swimming speeds and rates of oxygen consumption*

Upon first being placed in the swim tunnel, many of the animals swam rapidly and erratically for some time. Maximum short-term speeds were usually recorded during this early period (Table 1). Maximum short-term speeds varied from 10.3 to 18.2 centimeters per second (1 length per second for the larger instars, 2 lengths per second for the smaller).

After this initial acclimation period of one to three hours, most individuals swam at a characteristic speed that varied little throughout the rest of the experiment.



Table I

Swimming speeds and rates of oxygen consumption of *Gnathophausia ingens* maintained in the laboratory for less than 30 days, by instar

| Instar | n  | Mean weight (g) |       |             | Mean length |          | Swimming speed*       |      |                           |      |              |           | Rate of oxygen consumption |         |
|--------|----|-----------------|-------|-------------|-------------|----------|-----------------------|------|---------------------------|------|--------------|-----------|----------------------------|---------|
|        |    | Wet             | Dry   | Under water | Carapace mm | Total cm | Mean                  |      |                           |      | Maximum cm/s |           | MO <sub>2mr</sub> #        | s.d.    |
|        |    |                 |       |             |             |          | S <sub>mar</sub> cm/s | s.d. | S <sub>mrr</sub> length/s | s.d. | Short        | Sustained |                            |         |
| 5      | 7  | 0.718           | 0.114 | 0.015       | 15.9        | 5.2      | 3.5                   | 2.6  | 0.67                      | 0.49 | 10.3         | 7.5       | 0.0125                     | 0.00943 |
| 6      | 13 | 1.27            | 0.260 | 0.021       | 20.2        | 6.2      | 5.8                   | 1.7  | 0.93                      | 0.27 | 12.8         | 8.6       | 0.0116                     | 0.00811 |
| 7      | 14 | 2.22            | 0.382 | 0.030       | 24.3        | 7.2      | 6.2                   | 2.3  | 0.86                      | 0.32 | 15.4         | 10.3      | 0.00575                    | 0.00307 |
| 8      | 13 | 4.07            | 0.772 | 0.047       | 30.0        | 8.5      | 6.3                   | 2.2  | 0.74                      | 0.26 | 18.2         | 11.6      | 0.00453                    | 0.00229 |
| 9      | 10 | 7.12            | 1.321 | 0.086       | 35.9        | 9.9      | 5.1                   | 1.1  | 0.51                      | 0.10 | 18.2         | 12.2      | 0.00271                    | 0.00172 |
| 5-9    | 72 | 3.10            | 0.472 | 0.040       | 25.6        | 7.5      | 5.6                   | 2.1  | 0.76                      | 0.32 | 18.2         | 12.2      | 0.00725                    | 0.00641 |

\* Mean speeds are the average swimming speeds of all individuals of the instar combined. Maximum "short" speeds are the highest speeds maintained by any individual of the instar for 1 minute; maximum sustained speeds are the highest speeds sustained by any individual in the instar for at least 20 minutes.

# MO<sub>2</sub> units = micromoles O<sub>2</sub>/(mg wet wt × h).

No significant differences in swimming speed between day and night were observed. Swimming speed and the accompanying rates of oxygen consumption were significantly lower for animals that had been maintained in the laboratory for thirty days or longer ( $P < .005$  and  $.0002$ , respectively). Mean routine swimming speeds ( $S_{mar}$ , cm/s) and rates of oxygen consumption [ $MO_{2mr}$ , micromoles O<sub>2</sub>/(mg wet wt × h)] for each instar are summarized in Table I. This table contains data for only those animals that had been in the laboratory for less than thirty days. Except for the smallest individuals (instar 5), routine absolute swimming speed averaged around 5 to 6.5 cm/s for all animals, regardless of instar. Instar 5 animals swam significantly more slowly than the other instars ( $P < .05$ ), but there was no significant difference in absolute swimming speeds among instars 6 through 9 (Fig. 1A). The slope of a regression of  $S_{mar}$  versus animal length for instars 6 through 9 was not significantly different from zero ( $P = .52$ ).

Significant trends in mean relative routine swimming speeds ( $S_{mrr}$ ) were found among the instars. The relative routine swimming speeds of each of the instars 6 through 8 were significantly higher than those of all larger instars. A regression of  $S_{mrr}$  versus animal length for instars 6 through 9 had a highly significant downward trend ( $P < .001$ ), indicating the slower relative swimming speeds of the larger instars. Most instar 5 animals swam at a slower relative speed than predicted by this regression, but faster than the largest instars (Fig. 1B).

The body angle of the swimming mysids changed with swimming speed. At low speeds the body angled upward anteriorly, becoming more horizontal as speed increased. For any given absolute swimming speed, body angle was greater for the smaller instars. By 8 cm/s the

body was essentially horizontal. This trend is similar to that reported by Kils (1979b) for swimming *Euphausia superba*, and that reported by Cowles *et al.* (1986) for passive body movements of dead *G. ingens*.

In the animals for which pleopod beat rate was recorded, no significant relationship was found between pleopod beat rate and swimming speed within the narrow range of swimming speeds observed. The pleopods beat at around 150–230 strokes per minute, regardless of swimming speed. The relationship between pleopod beat rate, swimming speed, and body angle for one individual is shown in Figure 2.

Significant differences in rates of oxygen consumption were measured between instars. With the exception of instar 5, routine mass-specific metabolic rates ( $MO_{2mr}$ ) were significantly higher for the smaller instars than for larger ones (Fig. 1C).

Most individual animals swam at a typical speed for that individual, with little deviation throughout the experiment. The maximum sustained speed, defined as the highest swimming speed maintained for at least 20 minutes, recorded for any animal of a particular instar was typically less than twice the average swimming speed of individuals from that instar. Few animals swam at a large enough range of speeds to determine the relationship between swimming speed and rate of oxygen consumption. In those that did, the relationship was approximately linear (Fig. 3).

The best-fit equation relating rate of oxygen consumption ( $MO_2$ ) to swimming speed ( $S_a$ ) and body mass (g wet wt) was:

$$MO_2 = 0.00289 - 0.00216 \log_{10} g + 0.00156 S_a - 0.00167 (\log_{10} g) \times S_a \quad (1)$$

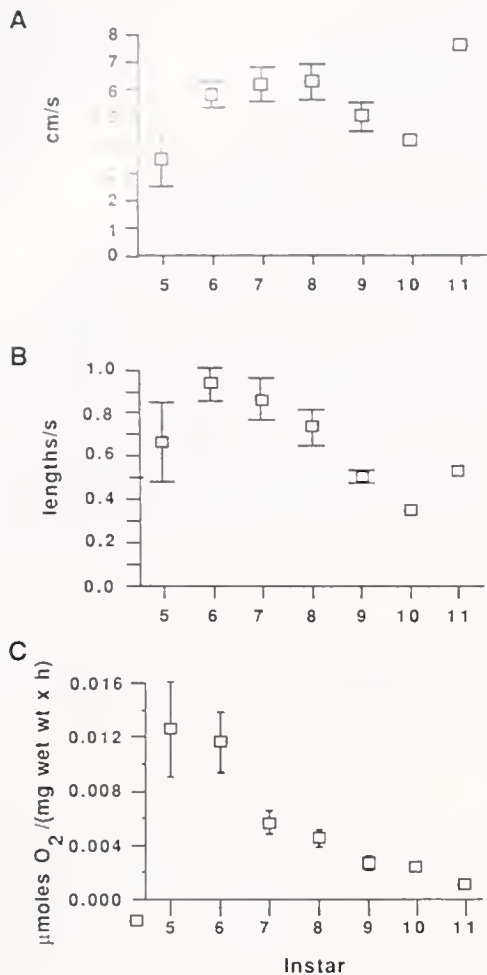


Figure 1. A. Mean swimming speeds, in centimeters per second, for each instar. B. Mean swimming speeds, in lengths per second, for each instar. C. Mass-specific routine oxygen consumption rates, in micromoles of oxygen per milligram wet mass per hour, for each instar in swim tunnel. Error bars are standard error.

( $R^2 = 0.45$ , Standard error of estimate 0.00456,  $P$  slope = 0  $\ll$  .001)

The increase in  $\text{MO}_2$  with increase in relative swimming speed ( $S_r$ ) was approximately the same for each instar, increasing by an amount equal to the standard rate of aerobic metabolism for each 0.1 to 0.25 length per second increase in speed.

#### Animal size and rates of oxygen consumption

For any given relative swimming speed,  $\text{MO}_{2\text{mr}}$  of the smaller instars was higher than that of the larger instars (Fig. 4). This effect was reduced but not eliminated by converting swimming speeds to lengths per second for each instar. The smaller animals thus had higher rates of oxygen consumption than did the larger animals at any given swimming speed.

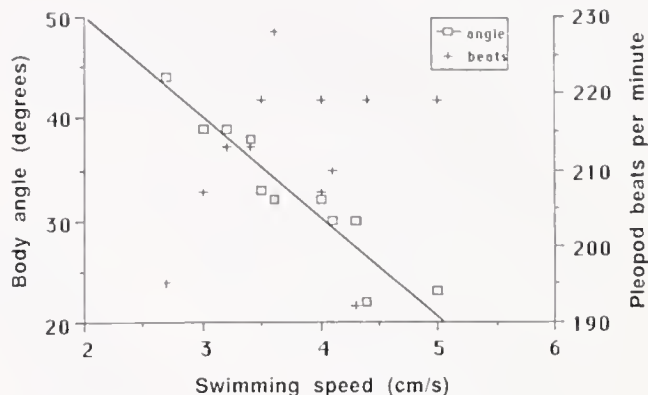


Figure 2. The relationship between swimming speed, body angle, and pleopod beat rate for one instar 6 *Gnathophausia ingens*. Body angle from horizontal (squares) decreases significantly with swimming speed ( $P < .001$ ). There is no significant correlation between pleopod beat rate (crosses) and swimming speed.

The best-fit allometric equation relating mean standard total rate of oxygen consumption ( $\text{MO}_{2\text{tms}}$ , micromoles  $\text{O}_2/\text{h}$ ), as calculated using equation 1, to animal wet mass (WWT, grams) was:

$$\text{MO}_{2\text{tms}} = 2.76 \text{ WWT}^{0.547 \pm 0.179} \quad (2)$$

The fact that the smaller instars spontaneously swam at higher relative speeds than did the larger instars led to an allometric relationship between wet mass and mean routine total rate of oxygen consumption ( $\text{MO}_{2\text{tmr}}$ ) which was significantly different from the relationship between wet mass and standard oxygen consumption. The best-fit equation for routine rates of oxygen consumption was:

$$\text{MO}_{2\text{tmr}} = 8.28 \text{ WWT}^{0.307 \pm 0.238} \quad (3)$$

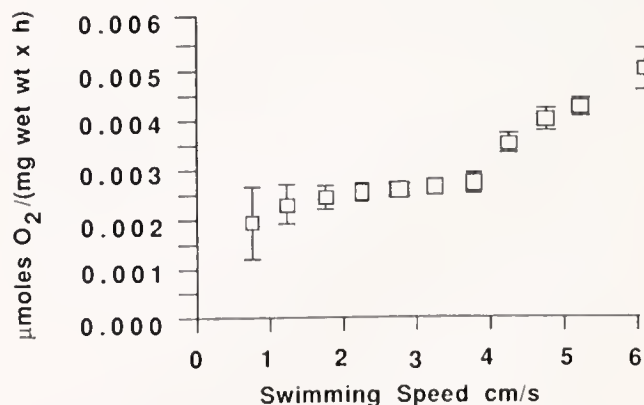
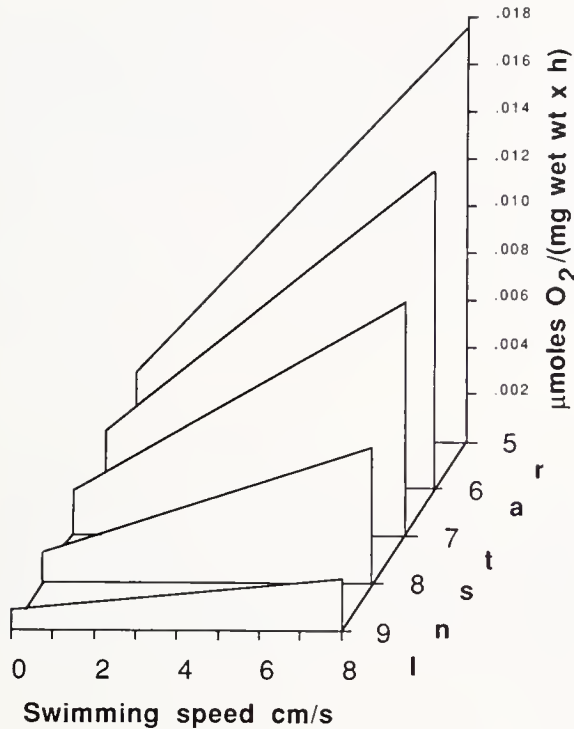


Figure 3. Relationship of swimming speed (X, cm/s) to rate of oxygen consumption (Y, micromoles  $\text{O}_2 / (\text{mg wet wt} \times \text{h})$ ) for one *Gnathophausia ingens*. Error bars are standard deviation.





**Figure 4.** A comparison of the best-fit linear regressions of mass-specific rate of oxygen consumption [ $\mu\text{moles O}_2 / (\text{mg wet wt} \times \text{h})$ ] as a function of absolute swimming speed (cm/s) for *Gnathophausia ingens* instars 5–9, based on equation 1.

## Discussion

### Swimming speeds

Comparison of *G. ingens*' routine swimming speed of 5 to 6.5 centimeters per second (0.5 to 1 body length per second) to that of other, shallower-living pelagic crustaceans is difficult, since few comparable measurements have been reported (Table II). All shallow-living species cited are smaller than the deep-living *G. ingens* but swim at faster relative speeds. *G. ingens* is also capable of swimming much faster than its routine speeds, as demonstrated by several animals that swam at over 18 cm/s

(over 2 lengths/s) for several minutes when first introduced to the swim chamber, and by the maximum sustained speeds of 7.5–12.2 centimeters per second (1–1.5 lengths/s) (Table I). However, these relative speeds for *G. ingens* are as little as one tenth that of the shallower-living species when the difference in body length is taken into account. If the highest speeds cited above for each species are taken as an estimate of the animals' burst speeds, *G. ingens*' burst speeds are also markedly lower than those of shallower-living pelagic crustaceans.

To attain the routine swimming speeds of 5 to 6.5 cm/s, the swimming speed of most *G. ingens* instars, the smaller instars had to swim at relative speeds of nearly twice as many body lengths per second as compared to the larger instars. The routine swimming speeds of the smaller instars thus approached more closely to their maximum sustained speeds (Table I). This may be why the absolute swimming speeds of instar 5 animals were somewhat lower than those of most of the larger instars. If instar 5 animals were to swim at 5 to 6.5 centimeters per second, as the larger instars did, they would be swimming at 1 to 1.25 lengths/s, or nearly the maximum sustained relative speed (1.4 lengths/s) attained by any instar.

### Swimming speeds and rates of aerobic metabolism

Due to the relatively restricted range of routine swimming speeds selected by *G. ingens* in this experiment, it was not possible to determine conclusively the shape of the relationship between swimming velocity and rate of oxygen consumption. However, several lines of evidence indicate that the relationship is linear over the limited range of speeds studied. In the few animals that swam at a wide range of speeds, the relationship between velocity and rate of oxygen consumption appeared to be approximately linear, as shown in Figure 3. When data from all individuals of a given instar were pooled, the best-fit relationship in each instar measured was linear over the range of speeds tested. Stepwise linear regression indicated that rate of oxygen consumption was related directly to swimming speed, and not to the logarithm nor

**Table II**

Comparison of crustacean swimming speeds reported in the literature

| Species                     | Length (cm) | cm/s    | Lengths/s | Reference                      |
|-----------------------------|-------------|---------|-----------|--------------------------------|
| <i>Gnathophausia ingens</i> | 5.2–9.9     | 5.0–6.5 | 0.5–1     | This paper                     |
| <i>Euphausia superba</i>    | 4–5         | 5.6     | 1.25      | Kils (1979a)                   |
| <i>Mysis relicta</i>        | 3           | 5–10    | 2–3       | Robertson <i>et al.</i> (1968) |
| <i>Neomysis americana</i>   | 11.4        | 6–8     | 5–7       | Hargreaves (1981)              |
|                             | 0.6–1.7     | 3–10    | 5–6       | Hargreaves (1981)              |
| <i>Acanthomysis</i> sp.     | 0.7         | 9–10    | 10–14     | Allen (1978)                   |
| <i>Mysidium columbiae</i>   |             |         | 20        | Steven (1961)                  |

to the square of speed. In addition, Cowles *et al.* (1986) showed that drag on a dead mysid's body increases linearly with velocity over the speed range at which these animals were swimming. Since thrust in a steadily swimming animal is equal to drag (Wu, 1977), thrust and metabolic energy consumption would also increase linearly with swimming speed in these animals if drag on a dead mysid is representative of drag on a live, swimming mysid.

A linear relationship between swimming velocity and rate of oxygen consumption has also been reported for several other crustacean species. Halcrow and Boyd, (1967) found a linear relationship for the amphipod *Gammarus oceanicus*, as did Torres and Childress, (1983) for *Euphausia pacifica*. A number of other crustaceans, however, have been found to have nonlinear relationships between swimming velocity and rate of oxygen consumption. The basis for these differences is not clear. However, it appears likely that at higher speeds the relationship between velocity and oxygen consumption in *G. ingens* would begin to conform more closely to an exponential relationship (Hargreaves, 1981; Webb, 1975a; Cowles *et al.*, 1986).

#### Size dependency of oxygen consumption

It has been shown for numerous organisms that the slope of the allometric equation of the logarithm of total oxygen consumed (Y) versus the logarithm of the animal's mass (X) generally falls in the range of 0.67 to 1, usually being about 0.75 (Kleiber, 1947; Wolvekamp and Waterman, 1960; Wu, 1977; Schmidt-Nielsen, 1979). This relationship holds for standard or basal metabolism (Winberg, 1956, 1961; Hemmingsen, 1960; Brett, 1965; Brett and Glass, 1973; Wilkie, 1977; Peters, 1983), for routine metabolism (Job, 1957), and for active metabolism (Brett, 1965; Brett and Glass, 1973; Taylor *et al.*, 1981; Prothero, 1979). Childress (1971a) and Hiller-Adams and Childress (1983c) found a similar relationship between animal size and routine oxygen consumption in *G. ingens*. The standard rates of aerobic metabolism determined in this study were generally lower than these rates (Fig. 5). The routine rates of oxygen consumption reported by Childress (1971a) and Hiller-Adams and Childress (1983c) were similar to rates associated with swimming speeds of 0.25 lengths/s in this study.

Though the slope of the allometric relationship between size and standard metabolic rate (equation 2) was lower than the 0.75 generally found for such relationships, the difference was barely significant. On the other hand, the slope of the allometric regression of the animals' routine metabolic rates versus wet mass was highly significantly less than 0.75 (equation 3), and for the

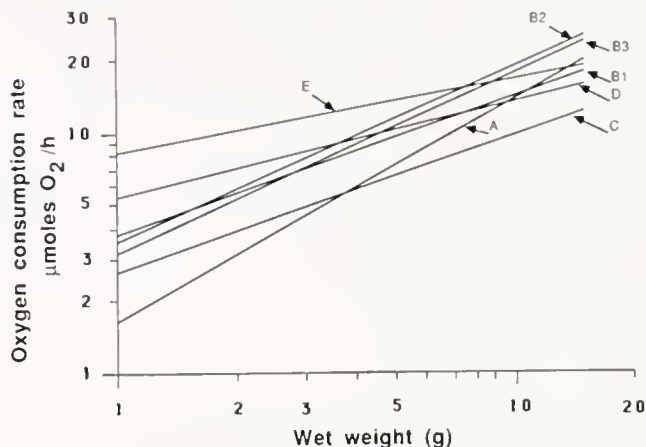


Figure 5. A comparison of the best-fit least-squares linear regressions of the allometric relationship between total rate of oxygen consumption (Y, micromoles  $O_2/h$ ) versus wet mass (X, grams), from several studies of *Gnathopausia ingens*' oxygen consumption rate. Lines: A: from Childress (1971). B1–B3: from Hiller-Adams and Childress (1983c). C to E: rates of oxygen consumption as measured in this study. C: standard rate (0 cm/s). D: oxygen consumption rate at 0.25 lengths per second swimming speed. E: routine oxygen consumption rates.

smaller instars the rates of oxygen consumption were significantly higher than those previously reported for *G. ingens* (Fig. 5). This trend reflects the higher relative swimming speeds and rates of oxygen consumption of the smaller instars under the conditions of the swim tunnel. In this experiment, the animals swam freely in the tunnel at a speed they set themselves, restrained only by connection to a movable force transducer. In previous reports (Childress, 1971a; Hiller-Adams and Childress, 1983c), oxygen consumption was measured within a small enclosed respiration chamber in which the animals lay, beating their pleopods. Since these animals were free to set their own pleopod beat rates within the confines of the chamber, it may be assumed that the rates of oxygen consumption measured under these conditions were routine rates. The fact that the slopes of the allometric relationships obtained under these conditions were similar to the expected slopes of 0.75 supports this assumption. However, in light of the data obtained in the present study, it appears that the definition of routine activity as applied to active, negatively buoyant crustaceans such as *G. ingens* needs to be refined. It appears that the animals in the enclosed chambers, which were not free to swim about, assumed a uniform level of activity that was similar in all instars and equivalent to a swimming speed of approximately 0.25 lengths per second. The present experiment shows that when free to swim through the water, however, the smaller instars assume a much higher level of spontaneous activity than the larger instars do. "Routine" activity levels are markedly different for the different instars if the animal is in a free-swim-



ming state, as in this experiment, but not if the animal is not free to swim about, as in the Childress (1971a) and Hiller-Adams and Childress (1983c) experiments. If one is interested in comparing rates of oxygen consumption at some standard level of activity, then the rates measured by Childress (1971a) and Hiller-Adams and Childress (1983c) will do. However, this study shows that if one is estimating actual energy expenditures as may occur under routine conditions in the field, one must account for the different levels of routine activity the different instars assume when left to swim freely.

### Cost of transport

The energy expenditure of an actively moving animal can be described in terms of cost of transport, or the amount of energy required to move a given distance through the medium. Cost of transport is influenced by a number of variables including speed, mode of transport, animal size and shape, and medium. For calculating cost of transport, the linear relationship between swimming speed and rate of oxygen consumption was recalculated in terms of energy expended per unit distance [calories/(g × km)]. A respiratory quotient (RQ) of 0.79 was used, reflecting metabolism of a mixture of carbohydrate, protein, and fat (Bartholomew, 1977). When this RQ is used, 1 micromole of oxygen is equivalent to 0.1075 calories. Instar 8 was selected as an average animal for cost of transport estimation. Instar 8 animals weighed an average of 4.07 grams, and the relationship between speed and rate of oxygen consumption is given in equation 1. Using these data, this animal's energy expenditure per unit distance (CT, calories per gram-kilometer) [cal/(g × km)] while swimming is:

$$CT = 1.63 \left( \frac{3.87}{S_a} + 1 \right) \quad (4)$$

This relationship is shown graphically in Figure 6. As can be seen, the energy required per unit distance is very high for low swimming speeds, dropping rapidly with increasing speed at low speeds and then much more gradually at speeds above 3 cm/s. This relationship makes it clear that, for *G. ingens*, lowest costs of transport per unit distance are incurred at speeds above 3 cm/s. This fits well with the empirical observation that these mysids swim at a characteristic speed of 5 to 6.5 cm/s. Slower swimming speeds would be energetically expensive, entailing a high cost per unit distance. On the other hand, equation 4 predicts that swimming faster than 5 or 6 cm/s would at best result in only minimal reduction in cost of transport. In reality, higher swimming speeds are likely to result in even higher costs than predicted, due to increasing turbulence and to the exponential increase in drag with speed predicted by hydrodynamic equations

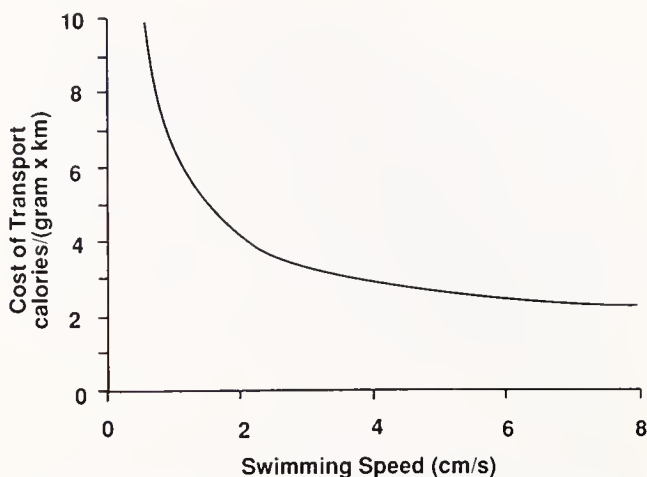


Figure 6. Cost of transport (CT, calories per gram-kilometer) as a function of swimming speed ( $S_a$ , cm/s) for *Gnathopausia ingens* of instar 8. Equation:  $CT = 1.63 [(3.87/S_a) + 1]$

(Webb, 1975a). Over the 0 to 8 cm/s range of swimming speeds measured in this experiment, change in body angle with speed appears to mask these effects, but at higher speeds they can be expected to become more prominent, resulting in an increase in cost of transport at higher speeds.

In terms of energy expenditure per unit time, higher swimming speeds also have higher costs due to the increase in metabolic rate with speed. *G. ingens*' routine swimming speeds thus appear to be intermediate between the very low speeds, with their high costs of transport per unit distance, and very high speeds, with their high metabolic costs per unit time and distance.

At a speed of 5.5 cm/s, a 4.07 gram *G. ingens* would have a cost of transport of 2.78 cal/(g × km), or 11.3 cal/km. This value is slightly higher than values estimated from the regression lines shown in Schmidt-Nielsen, (1972) Tucker, (1975), Beamish, (1978), and Hargreaves, (1981), all of which are for swimming fish. None of these authors state the equation for their regression lines; however, Schmidt-Nielsen's data are calculated from data given by Brett (1965) for swimming sockeye salmon of 3.38 to 1432 grams. A regression of Brett's data (for salmon in 15°C water), converted to the units of equation 4, is:

$$CT = 2.05 WWT^{-0.254 \pm 0.054} \quad (5)$$

A 4.07 gram *G. ingens* swimming at 5.5 cm/s would have a cost of transport of 2.78 cal/(g × km), while the above equation predicts that cost of transport for a fish of the same size would be 1.43 cal/(g × km).

Torres (1984), using data from Brett and Glass (1973) for a size range of sockeye salmon, calculated net cost of transport for swimming fish. Torres' equation is:



$$CT_n = 1.416 WWT^{-0.25} \quad (6)$$

( $CT_n$  in this equation is net cost of transport [cal/(g  $\times$  km)], therefore standard metabolic rate must be subtracted from the active metabolic rate before using this equation.) While this equation is based on salmon data, Torres has shown that the cost of transport of a number of other fish species falls near this line as well. For an instar 8 *G. ingens* swimming at 5.5 cm/s, the net cost of transport would be 1.82 cal/(g  $\times$  km), while the value Torres' equation predicts for a fish of similar size is 0.997. *G. ingens*' cost of transport thus appears to be twice as high as that of fish of similar size. This trend has been noted for other crustaceans as well. Torres (1984) compiled net cost of transport data for a number of crustacean species and calculated an equation for crustacean net cost of transport analogous to equation 6. For crustaceans Torres' best-fit regression is:

$$CT_n = 6.26 WWT^{-0.28} \quad (7)$$

This equation predicts a net cost of transport for a 4.07 gram crustacean swimming at 5.5 cm/s of 4.23 cal/(g  $\times$  km), twice as high as the 1.82 calculated for *G. ingens*. Thus, *Gnathophausia ingens*' net cost of transport appears to be relatively low for crustaceans, which use paddle propulsion, but is higher than that for fish, which use an undulatory propulsion mode.

### Swimming efficiency

Swimming efficiency, the ratio of the mechanical power required to overcome the drag an animal experiences while swimming to the metabolic power the animal uses for swimming, is a useful way to compare the efficiency of different propulsive mechanisms. Swimming efficiency has been determined for a number of fish, including salmon (Osborne, 1961; Webb, 1973, 1975a) and trout (Webb, 1971a, b), which swim in the subcarangiform mode, and *Cymatogaster aggregata* (Webb, 1975b) and goldfish (Smit, 1965, Smit *et al.*, 1971), which use pectoral fin propulsion. Calculated swimming efficiencies for the subcarangiform swimmers were low at low speeds, increasing to 15.8% at critical swimming speeds for trout and to 26% for salmon. Efficiency for *Cymatogaster aggregata* at critical swimming speed was 9.2 to 14%. Efficiency tends to increase with fish size and swimming speed (Webb, 1975a), and appears to be higher for the subcarangiform mode than for pectoral fin propulsion.

Previous studies of swimming efficiency in hard-bodied organisms such as crustaceans have mainly been estimates based on what is known about muscle efficiency and efficiency in fish. Klyashtorin and Yarzombek (1973) used various efficiencies cited in the literature for ATP conversion, muscle efficiency, and paddle propul-

sion efficiency to arrive at an estimate of 5% for crustacean swimming efficiency. Hargreaves (1981) used similar calculations, along with the fish swimming efficiencies cited above, to estimate crustacean swimming efficiency at 10%. Nachtigall (1977) used a swimming efficiency of 10% for swimming water beetles, based in part on a calculation of 30% efficiency for the rowing apparatus.

Torres (1984) made a more direct calculation of swimming efficiency in the euphausiid *E. pacifica* by measuring rate of oxygen consumption at various swimming speeds and comparing these values to estimated drag based on hydrodynamic formulas. On the basis of this partly empirical data, he calculated swimming efficiency to vary from 0.014% at 1 cm/s to 2.85% at 20 cm/s. If the animal's drag were higher than his estimates based on hydrodynamic formulas, then the animal's swimming efficiency would be correspondingly higher.

Swimming efficiency in *G. ingens* may be calculated based on oxygen consumption data from this experiment (equation 1) and on drag data from Cowles *et al.* (1986). For an instar 8 individual (carapace length 3.0 cm), swimming efficiency  $E_s$  is described by the equation:

$$E_s = 2.96 \times 10^{-3} (S_a) \quad (8)$$

At a swimming speed of 5.5 cm/s, swimming efficiency would be 1.6 percent. This efficiency is higher than the 0.097 to 0.133% reported by Torres (1984) for *E. pacifica* swimming at this speed, but is below that reported for fish.

Equation 8 indicates that *G. ingens*' swimming efficiency increases linearly with speed. At 8 cm/s, efficiency for an instar 8 animal would be 2.4%. Swimming efficiency also increases with speed for fish (Webb, 1975a), and for *E. pacifica* (Torres, 1984), though not linearly. In fish, the increase in efficiency with speed is thought to be linked to changes in propeller and muscle efficiency. It is not known whether this is also true for *G. ingens*. Efficiency and the changes in efficiency with speed of the multiple-paddle mode of propulsion used by *G. ingens* and many other pelagic crustaceans have not been adequately studied. Kils (1979b) found that *Euphausia superba* changes many aspects of the pleopod stroke with increases in speed over the range of 0–15 cm/s, including increasing abduction of the protopodites, increasing degree of spreading of propulsive setae, holding pleopods closer to the body on the return stroke, directing the propulsive stroke more directly to the posterior, and bringing the whole body into a more nearly horizontal orientation. These adjustments result in changes in the flow direction and size of the wake and in increased swimming velocity. Change in pleopod beat rate is small over this entire range of speeds, increasing from 150 to 180 beats per minute. Increase in swimming speed is accom-

plished by a linear increase in the transport distance per beat rather than an increase in pleopod beat rate. *E. superba* thus appears to control swimming speed by modifying stroke efficiency at speeds up to 15 cm/s. At this speed maximum stroke efficiency appears to have been reached, and further increases in speed are brought about by changes in stroke rate. Mickel and Childress (1978) and Quetin and Childress (1980) observed that the pleopod beat rate of *G. ingens* strapped to a frame is remarkably constant, remaining at an average of between 140 and 210 beats per minute or stopping completely. *G. ingens* in the swim tunnel also maintained a similarly high, stable rate of pleopod beats, even with changes of swimming speed of at least a factor of two. It thus appears likely that *G. ingens* also adjusts swimming speed largely by changes in stroke characteristics, as does *E. superba*. Which parameters of the stroke are varied and how these changes contribute to stroke efficiency remain to be determined.

One likely factor influencing the increase in swimming efficiency in *G. ingens* with increasing speed is the change in body attitude (Fig. 2). At low speeds the animal swims with its body angled upward, directing a larger proportion of its thrust downward and thereby increasing lift. As speed increases, the body assumes a more horizontal orientation, so that a larger vector percentage of thrust is directed directly backward. This trend is likely to result in increasing efficiency in the generation of forward thrust with increasing speed, as observed. Eventually, however, the animal reaches a speed at which it assumes a nearly horizontal orientation in the water. The speed varies between instars, but by 8 cm/s most animals are nearly horizontal. This speed, at which increases in efficiency due to changes in body angle would be maximized, would correspond to an efficiency of 1.8% for *G. ingens* of instar 8.

Calculations for other instars, such as those carried out above for instar 8, indicate that swimming efficiency also increases with size in *G. ingens*. At 5.5 cm/s, efficiency for an instar 5 individual would be 0.8%, while that of an instar 9 individual would be 5.36%. Such a trend has also been noted for fish (Webb, 1975a).

#### *Gnathophausia ingens* as a bathypelagic crustacean

As an active pelagic crustacean, *G. ingens* appears to be more efficient and has lower costs of transport than shallower species, such as *E. pacifica*. However, the order of magnitude reduction in rate of oxygen consumption of the bathypelagic *G. ingens* can only be partially accounted for by these relatively small increases in swimming efficiency or reductions in cost of transport. The most obvious factor contributing to *G. ingens*' low rate of oxygen consumption is its reduced swimming speed

relative to surface-living crustaceans. *G. ingens*' routine relative swimming speeds are as low as one tenth those measured for shallower-living crustaceans, and its maximum speeds appear to be lower by the same factor. On the other hand, *G. ingens* is not inactive. The mysid swims constantly and shows no tendency for hanging motionless in the water. These observations are consistent with present hypotheses regarding the selective factors responsible for the low metabolic rates of deep-living pelagic species, and provide experimental evidence of reduced activity levels in deep-living animals.

#### Acknowledgments

We thank the crews of the research vessels *New Horizon* and *Velero* for their help in gathering *G. ingens* for this research, and George Hilton for his help in statistical analysis. Our thanks also to A. Alldredge, A. Ebeling, M. S. Gordon, and the other reviewers for their helpful comments on the manuscript. This research was supported in part by NSF grants OCE78-08933, OCE81-10154, and OCE85-00237 to J. J. Childress.

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# Energy Metabolism During Anoxia and Recovery in Shell Adductor and Foot Muscle of the Gastropod Mollusc *Haliotis lamellosa*: Formation of the Novel Anaerobic End Product Tauropine

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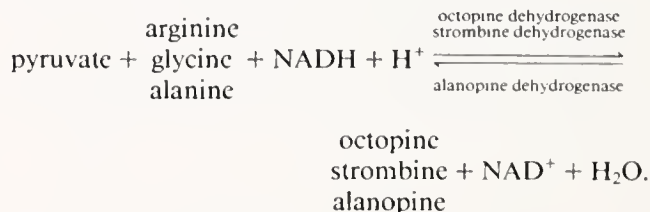
**Abstract.** Metabolic responses to experimental anoxia and subsequent recovery, and to exercise were investigated in two different muscular tissues of the ormer, *Haliotis lamellosa*. The tissues are employed for different tasks by the animal. The foot is mainly responsible for slow gliding movements. The shell adductor muscle pulls down the shell for protection and in righting an animal which has been dislodged from the rocks. Tissue-specific differences in anaerobic energy metabolism occur. During 6 h of experimental anoxia, energy for both muscles was provided by arginine phosphate and co-fermentation of glycogen and aspartate. Glycolysis in the shell adductor muscle led mainly to the formation of the novel end product tauropine; D-lactate production predominated in the foot. This pattern is consistent with observed enzymatic profiles in the two muscles and with the equilibrium constants of the respective enzymes, tauropine and D-lactate dehydrogenase. Recovery from anaerobiosis was characterized by a rapid return of the phosphagen pool and the energy charge to the aerobic state. A protracted time-course was observed for the clearance of glycolytic end products.

Exercise, primarily powered by the shell adductor muscle, was mainly fueled by glycolysis resulting mostly in the accumulation of tauropine.

## Introduction

In recent years different enzymes that terminate anaerobic glycolysis, so-called opine dehydrogenases, have

been identified in the tissues of many marine invertebrates (for a review, see Gäde and Grieshaber, 1986). The products formed (octopine, strombine, or alanopine) via the reductive condensation of pyruvate with the respective amino acid (arginine, glycine or alanine) are collectively known as opines:



Recently, a unique compound was detected in muscle extracts of the prosobranch gastropod, the abalone *Haliotis discus hannai* (Sato *et al.*, 1985). It was identified as D-rhodoic acid (now termed tauropine), previously isolated from some red algae (Kuriyama, 1961). The responsible enzyme, rhodoic acid dehydrogenase or tauropine dehydrogenase, catalyzing the reaction: pyruvate + taurine + NADH + H<sup>+</sup> ⇌ tauropine + NAD<sup>+</sup> + H<sub>2</sub>O, has been found in muscle tissue of the Japanese abalone as well as in the European ormer, *Haliotis lamellosa* (Sato and Gäde, 1986), and subsequently purified and characterized in detail in the latter species (Gäde, 1986). Certain features of this enzyme suggest a role in maintaining cytoplasmic redox balance during hypoxic conditions in the ormer (Gäde, 1986).

Various invertebrates can withstand hypoxic conditions in their habitats. Their metabolism during such a period of environmental anoxia is characterized by co-fermentation of aspartate and glycogen leading to the ac-

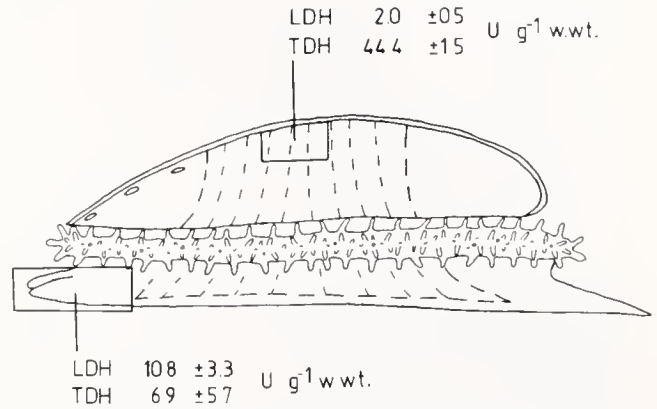


cumulation of the end products succinate, alanine and (in some cases) propionate and acetate. The rate of energy production is low, but the yield of ATP increased (reviewed by de Zwaan, 1977; Schöttler, 1980; Livingstone, 1982; Gäde, 1983a; Storey, 1985). During excessive locomotory activity, the capacities of muscle tissue to synthesize ATP rapidly by aerobic means are limited and energy provisions are met during functional anoxia via anaerobic glycolysis resulting in the accumulation of lactate or the opines. The rate of energy production is high, but the efficiency is low (references as above and Gäde, 1980; de Zwaan and van den Thillart, 1985; Gäde and Meinardus, 1986; Gäde and Grieshaber, 1986; Gäde, 1987a).

The present study concerns the anaerobic energy metabolism of muscle tissue in the ormer *Haliotis lamellosa*. The strategies used to provide energy during environmental and functional anoxia are of paramount importance for the survival of this species. The ormer is epifaunal in the littoral zone, attached by its foot to wave-swept rocks. The broad shell acts as a protective shield. It is pulled down tightly by the large shell adductor muscle (the right retractor or columella muscle) during low tide or vigorous wave action. When dislodged, ormers are extremely vulnerable to predators, especially since they often lie upside down. Therefore, these gastropods typically right themselves as fast as possible. This, again, is achieved mainly by relatively active movements of the large shell adductor muscle and with less input of the foot (unpub. obs.).

Previous studies revealed interesting patterns of dehydrogenase distribution in muscle tissue of the ormer (Gäde, 1986). Most taupine dehydrogenase activity is found in the shell adductor muscle, which contains only minute levels of D-lactate dehydrogenase activity. In the foot muscle D-lactate dehydrogenase displays the highest activity. This almost mutually exclusive distribution of the dehydrogenases, combined with the different involvement of the muscle tissues during environmental (both tissues) and functional (mainly shell adductor) anoxia, led us to compare the energetics of the two muscle tissues. Furthermore, we investigated the metabolic events during recovery in well-aerated seawater, immediately following experimental anoxia, since data on the fate of the accumulated end products and re-charging of the depleted high energy phosphates are rather scarce (see review by Ellington, 1983).

This paper shows unequivocally that the fermentation of glycogen to the novel end product taupine maintains cytoplasmic redox balance during experimental as well as functional anoxia in the shell adductor muscle. D-lactate is the main fermentation product in the foot during 6 h of anoxia. Both glycolytic end products are apparently oxidized very slowly *in situ*. Comparison of



**Figure 1.** The sites of tissue-sampling (shell adductor muscle and foot) in the ormer, *Haliotis lamellosa*. The boxes identify the sites. Additionally, the enzyme activities for D-lactate dehydrogenase (LDH) and taupine dehydrogenase (TDH) for each tissue are given as means  $\pm$  1 S.D. (n = 4) in units per gram wet weight (U g<sup>-1</sup> wt wgt).

the glycolytic rates during experimental and functional anoxia reveals a 10-fold increase in the shell adductor, but only an enhancement by a factor of 2 in the foot muscle.

## Materials and Methods

### Animals and tissues

Specimens of the ormer *Haliotis lamellosa* (5–7 cm maximal shell length) were collected by local fishermen from the Bay of Naples, Italy, during October 1986. Animals were maintained in flowing seawater (22–24°C) at the Stazione Zoologica. Animals were used in experiments four to six days after collection.

Due to different profiles in the enzyme activities for pyruvate reductases (see Introduction), muscle tissue from two different organs were used in this study (Fig. 1): we compared the metabolic changes occurring in the shell adductor muscle to those in the foot. The dorsal part of the shell adductor (near the attachment of this muscle to the shell), and the anterior edge of the foot just below the head, were always excised for study (Fig. 1).

### Biochemicals

Biochemicals were from Sigma Chemical Company (Deisenhofen, FRG) and Boehringer GmbH (Mannheim, FRG). All other chemicals were of reagent grade quality and came from Merck (Darmstadt, FRG). Taupine dehydrogenase (EC 1.5.1.?), used to determine taurine and taupine, was purified from the shell adductor muscle of *H. lamellosa* as outlined previously (Gäde, 1987b). D-lactate dehydrogenase (EC 1.1.1.28) and octopine dehydrogenase (EC 1.5.1.11), used to assay for D-lactate, arginine phosphate, and arginine, respectively,

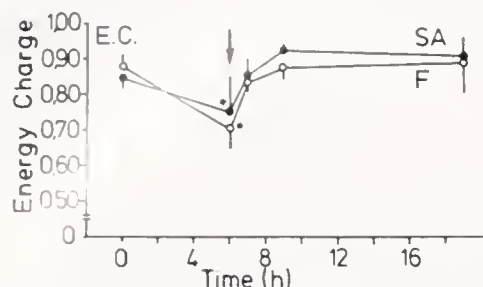


Figure 2. Alterations in the adenylate energy charge (E.C.) in the shell adductor (SA; solid circles) and foot (F; open circles) muscle of *Haliotis lamellosa* during environmental anoxia and recovery (onset marked by arrow). Each value is a mean  $\pm$  1 S.D. (for n, see Materials and Methods). The asterisks denotes a significant change compared to controls.

were purified from muscle tissue of the horseshoe crab, *Limulus polyphemus* (Carlsson and Gäde, 1985), and from the adductor muscles of the scallop, *Pecten jacobaeus* (Gäde and Carlsson, 1984), respectively.

### Experimental procedure

**Metabolic responses to environmental hypoxia and recovery.** Twenty specimens of *H. lamellosa* were incubated in wash bottles (10 animals each) filled with about 2 l of seawater (22–24°C) that had been gassed with pure nitrogen until  $P_{O_2}$  (monitored with an oxygen electrode) reached almost zero mm Hg. After the animals were inserted, the wash bottles were flushed with a constant, slow stream of nitrogen gas. After 6 h of anoxic incubation, seven animals were removed, and their shell adductor and foot muscles excised, blotted, and frozen in liquid nitrogen. The remaining ormers were returned to well-aerated seawater, and subsets of four animals were removed at various intervals (1, 3, and 13 h) and treated as above. Furthermore, a zero time group of seven gas-

tropods were chosen for the controls. The frozen tissues were subsequently transported from Naples to Düsseldorf on dry ice and stored at  $-35^\circ\text{C}$ .

**Metabolic responses to functional hypoxia.** Four animals were exercised for 12 to 15 min in a large aquarium with flowing-seawater system. To induce exercise, the animals were placed upside-down on their shells; their righting movements involved, primarily, relatively vigorous contractions of the shell adductor muscle. When the animals had regained their normal posture, they were immediately inverted again. This work was continued for up to 15 min, when movements became much slower and the animals appeared to be exhausted. Shell adductor and foot muscles were then removed and treated as above.

### Metabolite assays

Neutralized perchloric acid extracts were prepared from the frozen tissues of *H. lamellosa* according to previously published methods (Gäde *et al.*, 1978; Carlsson and Gäde, 1986). The levels of ATP, ADP, AMP, arginine, and arginine phosphate were determined spectrophotometrically by the methods of Lamprecht and Trautschold (1974), Jaworek *et al.* (1974), and Gäde (1985a); the determinations were made immediately after neutralization of the extracts to eliminate sample losses.

Other metabolites were quantitated spectrophotometrically after storage of the extracts at  $-25^\circ\text{C}$ . The methods used were those of Gawehn and Bergmeyer (1974) for D-lactate, Graßl (1974a, b) for L- and D-alanine, Bergmeyer *et al.* (1974) for aspartate, Williamson (1974) for succinate, and Gäde (1987b) for taurine. Tauropine was determined enzymatically using tauropine dehydrogenase in an assay system almost identical to that used for octopine quantification (Gäde, 1985b).

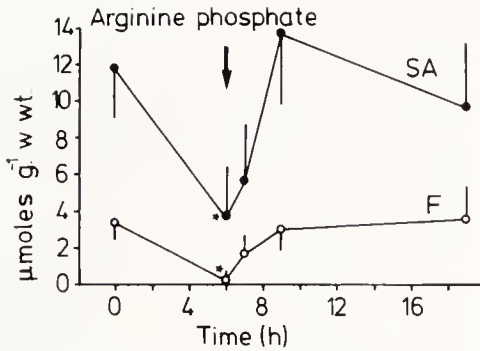
All metabolite data were analysed for significant

Table I

Alterations in the levels of adenylates ( $\mu\text{moles g}^{-1} \text{wt} \cdot \text{wt}^{-1}$ ) in shell adductor and foot muscle of *Haliotis lamellosa* during experimental anoxia and subsequent recovery

| Time (h)<br>of anoxia<br>or recovery | (n) | Shell adductor muscle |             |             |             | Foot muscle |             |             |             |
|--------------------------------------|-----|-----------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|
|                                      |     | ATP                   | ADP         | AMP         | Sum         | ATP         | ADP         | AMP         | Sum         |
| Anoxia:                              |     |                       |             |             |             |             |             |             |             |
| 0                                    | 7   | 3.25 ± 0.99*          | 0.96 ± 0.20 | 0.23 ± 0.16 | 4.44 ± 1.23 | 0.73 ± 0.31 | 0.14 ± 0.06 | 0.03 ± 0.01 | 0.90 ± 0.32 |
| 6                                    | 7   | 3.00 ± 0.68           | 1.43 ± 0.49 | 0.54 ± 0.36 | 4.97 ± 0.34 | 0.62 ± 0.32 | 0.51 ± 0.13 | 0.10 ± 0.04 | 1.23 ± 0.45 |
| Recovery:                            |     |                       |             |             |             |             |             |             |             |
| 1                                    | 4   | 3.04 ± 0.54           | 0.85 ± 0.17 | 0.19 ± 0.15 | 4.08 ± 0.64 | 0.41 ± 0.20 | 0.13 ± 0.08 | 0.02 ± 0.01 | 0.56 ± 0.28 |
| 3                                    | 4   | 3.81 ± 0.35           | 0.64 ± 0.08 | 0.05 ± 0.03 | 4.50 ± 0.36 | 0.70 ± 0.19 | 0.19 ± 0.08 | 0.03 ± 0.01 | 0.42 ± 0.25 |
| 13                                   | 4   | 3.09 ± 0.66           | 0.58 ± 0.21 | 0.09 ± 0.06 | 3.76 ± 0.50 | 0.91 ± 0.25 | 0.19 ± 0.11 | 0.04 ± 0.01 | 1.14 ± 0.38 |

\* All values are given as mean  $\pm$  SD.



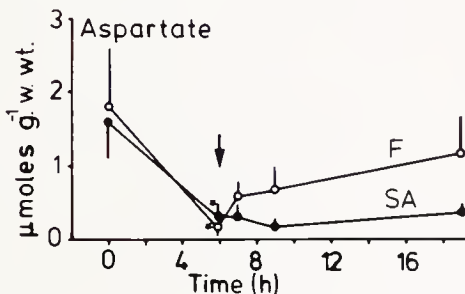
**Figure 3.** Time-course of the levels of arginine phosphate in the shell adductor (SA; solid circles) and foot (F; open circles) muscle of *Haliotis lamellosa* during experimental anoxia and recovery. For further details, see Figure 2.

changes (anaerobic *versus* controls) by analysis of variance (ANOVAR) using confidence limits of  $P \leq 0.05$ .

## Results

### Metabolic responses to environmental hypoxia and recovery

The levels of the adenylates in the shell adductor muscle and foot during 6 h anoxia and recovery are listed in Table I. The calculated adenylate energy charge (E.C. =  $\text{ATP} + \frac{1}{2} \text{ADP} \div \text{ATP} + \text{ADP} + \text{AMP}$ ) is depicted in Figure 2. In control animals the adenylate content of the shell adductor muscle was more than 4-fold compared with the foot muscle. This was also true for the individual adenylates ATP, ADP, and AMP. The concentrations of ADP and AMP increased during anoxia, but, due to high variations, these changes were not statistically significant in either tissue, whereas the value of the energy charge declined significantly in both tissues. Upon recovery, ADP and AMP levels as well as the energy charge returned to near the control state after one h. For both tis-



**Figure 4.** Time-course of the levels of aspartate in the shell adductor (SA; solid circles) and foot (F; open circles) muscle of *Haliotis lamellosa* during experimental anoxia and recovery. For further details, see Figure 2.

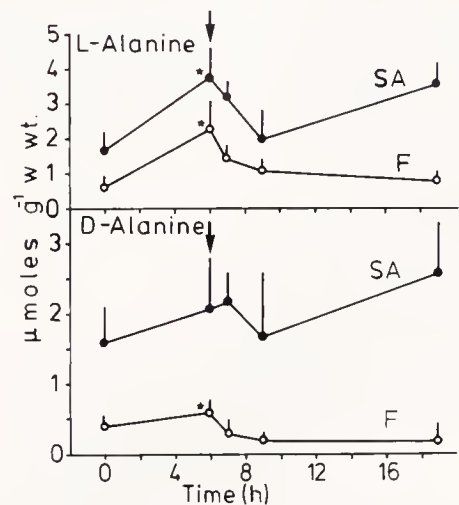
sues, 13 h of recovery after anoxia led to marginally higher values of the energy charge as estimated for control animals.

The sum of the arginine containing compounds (arginine and arginine phosphate) in control animals was about 4-fold higher in the shell adductor muscle (31 μmoles/g w. wt.) than in the foot muscle (7 μmoles/g w. wt.), and it stayed virtually constant throughout the experiment (results not shown). Arginine phosphate levels fell drastically in the shell adductor during anoxia. A significant drop was also seen in the foot (Fig. 3). Concomitantly, the arginine levels rose as a mirror image (results not shown). During recovery, arginine phosphate levels rose more slowly than the energy charge value in both tissues and reached initial levels after 3 h.

The aspartate levels in both tissues were the same in control animals and there was a significant decline in both tissues upon anoxic incubation (Fig. 4). Whereas aspartate levels were not restored in the shell adductor during recovery, the levels increased slowly, but steadily, in the foot muscle. However, after 13 h of recovery, aspartate levels still differed from pre-anoxic exposure levels.

Levels of D- and L-alanine in control animals were 3- to 4-fold higher in the shell adductor than in the foot (Fig. 5). During anoxia, both stereoisomers accumulated significantly in the foot muscle; L-alanine accumulated in the shell adductor muscle. Alanine levels in the foot muscle returned to control levels during recovery (Fig. 5), but recovery was not evident in the shell adductor muscle.

Initial succinate levels in control animals were slightly higher in the shell adductor compared to the foot (Fig.



**Figure 5.** Time-course of the levels of L-alanine (upper panel) and D-alanine (lower panel) in the shell adductor (SA; solid circles) and foot (F; open circles) muscle of *Haliotis lamellosa* during experimental anoxia and recovery. For further details, see Figure 2.



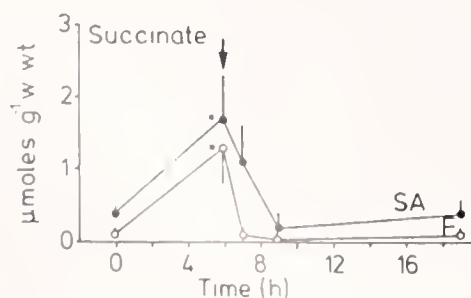
6). Anoxic incubation resulted in a significant increase in both tissues. In the foot muscle, initial values were rapidly achieved after 1 h of recovery. In contrast, succinate levels in the shell adductor declined more slowly, and took 3 h to reach pre-anoxic levels.

Taurine levels were about twice as high in the shell adductor as in the foot of control abalones. No significant changes occurred in the foot. There was a significant decline in the shell adductor muscle during anoxia without any restoration in the recovery period (Figs. 7, 8). Substantial accumulations of tauropine were evident in the shell adductor muscle during anoxia, while a small, but significant, formation occurred in the foot muscle. In the latter tissue, the main anaerobic end product was D-lactate, which also accumulated in the adductor, but to a much lesser extent (50%) than tauropine (Figs. 7, 8). D-lactate levels were rapidly cleared to 50% of the anoxic level during the first hour of recovery in the foot. Both tauropine and D-lactate levels remained high in the shell adductor after 3 h of recovery. Even after 13 h of recovery these levels were still higher than the initial concentrations before anoxia.

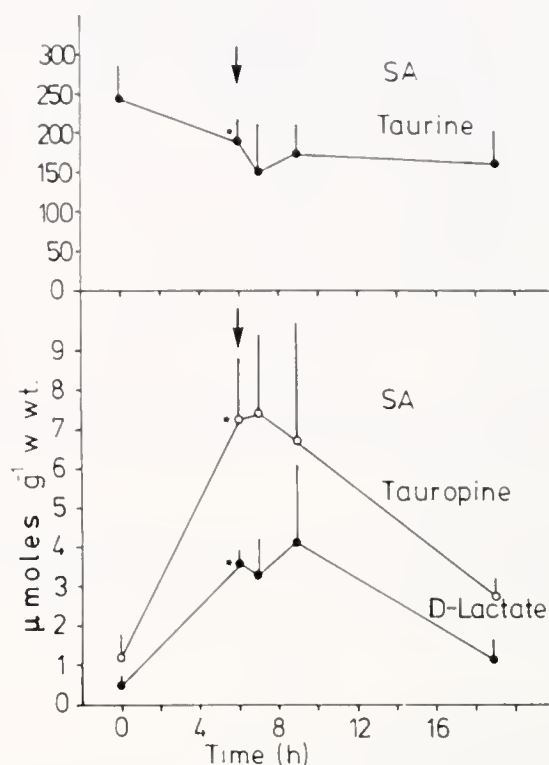
No significant changes in the levels of glucose-6-phosphate (0.50 and 0.15  $\mu\text{moles/g w. wt.}$  in shell adductor and foot muscle, respectively) were observed during anoxic incubation and recovery (results not shown).

#### Metabolic responses to functional hypoxia

The levels of the adenylates, arginine-containing compounds, and various other metabolites in the shell adductor muscle and the foot during exercise are listed in Table II. There was no significant change in the energy charge or in the levels of arginine phosphate in either tissue. Aspartate levels were marginally, but significantly, diminished in the shell adductor, whereas a small, but significant rise in the levels of L-alanine was observed in the foot. As main glycolytic end products, levels of D-lactate (marginally) and tauropine (primarily) were ele-



**Figure 6.** Time-course of the levels of succinate in the shell adductor (SA; solid circles) and foot (F; open circles) muscle of *Haliotis lamellosa* during experimental anoxia and recovery. For further details, see Figure 2.

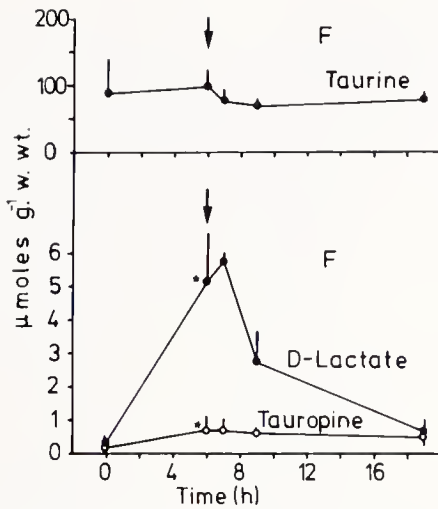


**Figure 7.** Time-course of the levels of taurine (upper panel) and (lower panel) D-lactate (solid circles) and tauropine (open circles) in the shell adductor muscle (SA) of *Haliotis lamellosa* during experimental anoxia and recovery. For further details, see Figure 2.

vated significantly in the shell adductor muscle, whereas the D-lactate levels were doubled in the foot without any significant rise in the tauropine levels.

#### Discussion

This study in the ormer is a good example of the principle that anaerobic energy metabolism in a muscle is specifically matched to the function of the muscle. It reflects adaptation to specific metabolic need of the tissue. The two investigated tissues, the foot and the shell adductor muscle, are employed by the animal for different tasks. The foot is mainly responsible for slow gliding movements that very likely are supported by aerobic metabolism. In contrast, the shell adductor muscle pulls the ormer's shield-like shell tightly to the substratum to preclude dessication during low tide and to prevent dislodging by wave action. The shell adductor also rights an animal that has been detached from the rocks. Thus, the shell adductor muscle is metabolically more active than the foot and performs burst contractions which, in general, rely on anaerobic metabolism. However, when the whole animal has to cope with hypoxic or even anoxic conditions, both tissues need to have the capacity for maintaining metabolism anaerobically.



**Figure 8.** Time-course of the levels of taurine (upper panel) and (lower panel) D-lactate (solid circles) and tauroptine (open circles) in the foot (F) of *Haliotis lamellosa* during experimental anoxia and recovery. For further details, see Figure 2.

This partitioning in function is also reflected in the metabolism of the two tissues. Compared to foot muscle, the shell adductor contains 4-fold higher levels of high-energy phosphates, ATP (and, in fact, the total adenylate pool), and arginine phosphate, suggesting a higher metabolic rate. This is confirmed when the energy demand for both tissues is calculated from the decreased levels of the phosphagens and the increased levels of glycolytic products occurring during experimental anoxia (Table III): the ATP production rate ( $\mu\text{moles g}^{-1} \text{ wt wgt min}^{-1}$ ) for the shell adductor muscle is about twice as high as for the foot. In both tissues the bulk of the energy is provided by anaerobic glycolysis (between 70 and 80%), the remainder by the phosphagen (Table III). Again, the glycolytic flux (calculated in  $\text{nmoles glycosyl units g}^{-1} \text{ wt wgt min}^{-1}$ ; Table III) is also 1.5-fold higher in the shell adductor.

The main qualitative difference between the two tissues is the involvement of two different glycolytic end products in anaerobic metabolism. Whereas glycogen breakdown in foot results in the production of D-lactate, glycolysis in the shell adductor is terminated with the formation of the novel end product tauroptine. Thus, the tauroptine/tauroptine dehydrogenase system—functionally analogous to the lactate/lactate dehydrogenase system—is active in the shell adductor muscle to maintain cytoplasmic redox balance. This pattern of end product formation in the different tissues is in agreement with the enzymatic complement of the respective tissue: lactate dehydrogenase is the predominant pyruvate reductase present in the foot; tauroptine dehydrogenase is almost exclusively present in the shell adductor (Gäde, 1986).

We now ask why tauroptine dehydrogenase turns up in this mollusc, and why taurine is used as a substrate for a dehydrogenase. Opine dehydrogenases which use the amino acids L-arginine, glycine, and L-alanine for the condensation reaction with pyruvate are already known (see review by Gäde and Grieshaber, 1986). Obviously, the opine dehydrogenases that have evolved are those that would make use of the most abundant amino acids in the species. The same is true for the amino acid taurine. From the amino acids used for opine production (arginine, glycine, alanine, taurine) it is the one with the highest concentration in the shell adductor muscle of the ormer (Gäde, 1986 and this study for arginine). There seems a sort of “co-evolution” of the most abundant amino acid and the corresponding opine dehydrogenase; thus, the specificity of the enzyme for the amino acid may be evolutionarily altered as a consequence of the “makeup” of the pool of amino acids in the different tissues. The mechanism of this is not understood yet, however another example is in the literature. In the polychaete worm *Aphrodite aculeata*, strombine dehydrogenase was found in pharynx muscle containing extremely high levels of glycine, whereas alanopine dehydrogenase was present in body wall musculature (Storey, 1983). Siegmund (1986; also cited in Grieshaber and Kreutzer, 1986) compared the concentrations of those amino acids involved in the action of octopine-, strombine-, and alanopine dehydrogenase from various marine invertebrates (coelenterates, molluscs, and annelids) to the amounts of octopine, strombine, and alanopine actually formed during environmental anoxia. He showed that in the species investigated the most abundant of these amino acids was used as a substrate for opine formation. This was the case for alanine/alanopine in *Littorina littoralis*, *L. littorea*, *Nucella lapillus*, and *Glycera convoluta*, and for glycine/strombine in *Halichondra panicea*, *Mytilus edulis*, *Crassostrea angulata*, *Pharus legumen*, *Solen marginatus*, *Ensis siligua*, *Lutraria lutraria*, *Arenicola marina*, *Nephtys hombergi*, *Pherusa plumosa*, and *Pectinaria koreni*. However, tauroptine production was not analyzed, but in many of the species taurine concentrations are higher than those of the other amino acids determined. Thus, with the present small data base available—tauroptine dehydrogenase has additionally only reported from muscle tissue of the brachiopod *Glottidea pyramidata* (Doumen and Ellington, 1987)—it is not possible to conclude from a high taurine concentration to the presence of tauroptine dehydrogenase and/or production of tauroptine.

Another question concerning *H. lamellosa* is: what is the significance of tissue-specific differences in pyruvate metabolism? We speculate that the driving force that led to the appearance of tauroptine dehydrogenase in the shell adductor muscle is the requirement for burst activ-

Table II

Levels of adenylates, various metabolites ( $\mu\text{moles g}^{-1}\text{ wt}\cdot\text{wtg}$ ) and adenylate energy charge in shell adductor and foot muscle of *Haliotis lamellosa* during exercise (15 min)

| Metabolite         | Shell adductor muscle |                     | Foot muscle        |                     |
|--------------------|-----------------------|---------------------|--------------------|---------------------|
|                    | Control<br>(n = 7)    | Exercise<br>(n = 4) | Control<br>(n = 7) | Exercise<br>(n = 4) |
| ATP                | 3.25 $\pm$ 0.99*      | 2.65 $\pm$ 0.11     | 0.73 $\pm$ 0.31    | 1.00 $\pm$ 0.59     |
| ADP                | 0.96 $\pm$ 0.20       | 1.02 $\pm$ 0.18     | 0.14 $\pm$ 0.06    | 0.20 $\pm$ 0.07     |
| AMP                | 0.23 $\pm$ 0.16       | 0.14 $\pm$ 0.07     | 0.03 $\pm$ 0.01    | 0.05 $\pm$ 0.02     |
| Sum                | 4.44 $\pm$ 1.23       | 3.81 $\pm$ 0.28     | 0.90 $\pm$ 0.32    | 1.25 $\pm$ 0.64     |
| Energy charge      | 0.85 $\pm$ 0.04       | 0.83 $\pm$ 0.03     | 0.88 $\pm$ 0.03    | 0.86 $\pm$ 0.06     |
| Arginine           | 19.63 $\pm$ 4.38      | 21.25 $\pm$ 4.00    | 3.56 $\pm$ 1.23    | 5.54 $\pm$ 2.46     |
| Arginine phosphate | 11.77 $\pm$ 2.92      | 9.88 $\pm$ 3.37     | 3.42 $\pm$ 1.18    | 4.41 $\pm$ 3.91     |
| Sum                | 31.40 $\pm$ 2.31      | 31.13 $\pm$ 4.65    | 6.98 $\pm$ 2.27    | 9.95 $\pm$ 6.17     |
| D-lactate          | 0.50 $\pm$ 0.10       | 0.86 $\pm$ 0.16**   | 0.33 $\pm$ 0.19    | 0.77 $\pm$ 0.15**   |
| Tauropine          | 1.22 $\pm$ 0.57       | 5.83 $\pm$ 2.09**   | 0.23 $\pm$ 0.10    | 0.40 $\pm$ 0.26     |
| Taurine            | 243.2 $\pm$ 39.50     | 168.90 $\pm$ 22.0** | 91.6 $\pm$ 50.5    | 84.0 $\pm$ 23.9     |
| L-alanine          | 1.72 $\pm$ 0.46       | 2.83 $\pm$ 1.48     | 0.56 $\pm$ 0.28    | 1.26 $\pm$ 0.57**   |
| D-alanine          | 1.60 $\pm$ 0.45       | 1.09 $\pm$ 0.74     | 0.37 $\pm$ 0.18    | 0.18 $\pm$ 0.15     |
| Aspartate          | 1.61 $\pm$ 0.47       | 0.60 $\pm$ 0.48**   | 1.77 $\pm$ 0.80    | 1.05 $\pm$ 0.66     |
| Succinate          | 0.36 $\pm$ 0.14       | 0.40 $\pm$ 0.25     | 0.11 $\pm$ 0.03    | 0.10 $\pm$ 0.09     |

\* All values given as mean  $\pm$  SD.

\*\* Significant to control.

ity creating functional anoxia during the righting movements. Such active muscle work needs a rapid activation of glycolytic energy production, which eventually leads to an increased redox status (NADH/NAD<sup>+</sup> ratio). Based on theoretical considerations of thermodynamic properties of opine and lactate dehydrogenases, it was proposed that the large amino acid pool used for opine production is decisive for maintaining the NADH/NAD<sup>+</sup> ratio lower than using the lactate pathway (see review by Fields, 1983). Most other arguments for the possible advantages of opine synthesis *versus* lactate for-

mation (e.g., lack of disturbance of internal osmolality and less acidification) have been dismissed, as discussed recently by Grieshaber and Kreutzer (1986).

Besides glycogen and arginine phosphate breakdown, the amino acid aspartate provides energy during anoxia. The simultaneous depletion of aspartate and accumulation of succinate and alanine in both tissues indicates cofermentation of glycogen and aspartate as known to occur in other invertebrates during lack of oxygen (see, for example, Gäde, 1983a, 1987a; Kreutzer *et al.*, 1985). The observation of only very small amounts of succinate

Table III

Comparison of energy yield ( $\mu\text{moles ATP g}^{-1}\text{ wt}\cdot\text{wtg}$ ), rate of energy consumption ( $\mu\text{moles ATP g}^{-1}\text{ wt}\cdot\text{wtg min}^{-1}$ ), and glycolytic flux (nmoles glycosyl units  $\text{g}^{-1}\text{ wt}\cdot\text{wtg min}^{-1}$ ) in shell adductor and foot muscle of *Haliotis lamellosa* during experimental anoxia and exercise\*

|                              | ATP equivalents<br>( $\mu\text{moles g}^{-1}\text{ wt}\cdot\text{wtg}$ ) from |            | Rate of consumption of ATP<br>( $\mu\text{moles g}^{-1}\text{ wt}\cdot\text{wtg min}^{-1}$ ) | Glycolytic flux<br>(nmoles glycosyl unit<br>$\text{g}^{-1}\text{ wt}\cdot\text{wtg min}^{-1}$ ) |
|------------------------------|-------------------------------------------------------------------------------|------------|----------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------|
|                              | Glycolysis**                                                                  | Phosphagen |                                                                                              |                                                                                                 |
| Six-hour experimental anoxia |                                                                               |            |                                                                                              |                                                                                                 |
| shell adductor               | 18.9 (70%)***                                                                 | 8.2        | 0.08                                                                                         | 16.3                                                                                            |
| foot                         | 12.2 (79%)                                                                    | 3.2        | 0.04                                                                                         | 10.2                                                                                            |
| Exercise                     |                                                                               |            |                                                                                              |                                                                                                 |
| shell adductor               | 9.3 (81%)                                                                     | 2.2        | 0.77                                                                                         | 206                                                                                             |
| foot                         | 1.0 (100%)                                                                    | —          | 0.10                                                                                         | 22.7                                                                                            |

\* For calculations see Meinardus-Hager and Gäde, 1987.

\*\* The small increase of succinate was assumed to derive by aspartate breakdown and is included in this calculation.

\*\*\* Contribution of glycolysis as percentage of total equivalents of ATP is given in brackets.



formed in both tissues of the ormer during anoxia and no production of propionate makes it highly unlikely that succinate is derived from glycogen by the so-called phosphoenolpyruvate carboxykinase route. This pathway is apparently only operative in "good anaerobes" tolerating prolonged hours of anoxia (see Introduction). The lack of propionate (and acetate) formation in the ormer is then indicative that this species can tolerate anoxic conditions for only a fraction of the time compared to species such as certain blue mussels, oysters, and many annelids. Indeed, preliminary experiments with specimens of *H. lamellosa* showed that these animals were unable to survive experimental anoxia longer than eight hours.

Recovery in both muscles of *H. lamellosa* was quite similar. Levels of the high-energy phosphates and succinate were rapidly restored, but aspartate levels increased slowly (and only) in the foot. Similar changes have been reported during recovery in the foot of the cockles, *Cardium edule* (Gäde and Meinardus, 1981) and *C. tuberculatum* (Meinardus-Hager and Gäde, 1987), and the adductor muscle of the file shell, *Lima hians* (Gäde, 1983b).

Levels of the respective glycolytic end products in the tissues of the ormer, D-lactate and tauropine, were not cleared during the first h (foot) or 3 h (shell adductor) of recovery, but also did not significantly increase during this time period. Thus, it is highly unlikely that an anaerobic initial phase of recovery occurs as observed in tissues of the bivalves *Mytilus edulis* (de Zwaan *et al.*, 1983), *M. squamosus* (Nicchitta and Ellington, 1983), and *Crassostrea gigas* (Eberlee *et al.*, 1983).

The power output during exercise in the ormer is low relative to the jet propulsion of cephalopods and scallops or the jumps of the cockle, *C. tuberculatum*. The present study shows that energy demand increases for both muscles in comparison to experimental anoxia: about 10-fold for the shell adductor and about 2-fold in the foot (Table III). Since the energy is mainly or exclusively (foot) derived from glycolysis, the same increases are calculated for the glycolytic fluxes (Table III). These increases are small when compared to *C. tuberculatum*, where during jumping the glycolytic rate is enhanced 100-fold above the resting rate (Gäde and Meinardus-Hager, 1986). The calculations in Table III also show that the shell adductor muscle is particularly involved in exercise of the ormer; its rate of energy consumption and its glycolytic flux are about 8- to 9-fold higher than those of the foot. The bulk of the energy during exercise came from glycolytic tauropine formation in the shell adductor muscle, although there was also some D-lactate production. Thus, anaerobic breakdown of glycogen to tauropine also supports exercise metabolism, as it did metabolism during experimental anoxia.

The formation, during both experimental and functional anoxia, of both glycolytic end products—tauropine and D-lactate—in the shell adductor muscle may be explained by theoretical considerations of the equilibrium constants of the respective reactions. Since the degree of product formation is determined by the equilibrium constant ( $K_{eq}$ ) of a reaction, we measured the  $K_{eq}$  constants for the reactions catalysed by tauropine (TDH) and D-lactate dehydrogenase (D-LDH). These were  $K_{eq}(\text{TDH}) = ([\text{pyruvate}] \times [\text{taurine}] \times [\text{NADH}] \times [\text{H}^+]) \times ([\text{tauropine}] \times [\text{NAD}^+])^{-1} = 7.15 \times 10^{-13} M$  (Gäde, 1986) and  $K_{eq}(\text{D-LDH}) = ([\text{pyruvate}] \times [\text{NADH}] \times [\text{H}^+]) \times ([\text{D-lactate}] \times [\text{NAD}^+])^{-1} = 1.3 \times 10^{-12}$  (Gäde and Meinardus-Hager, 1986).

We can use these values to assess whether this theoretical equilibrium is reached *in vivo* by both enzymes. The actual equilibrium is given by the mass action ratio, *e.g.*,  $\text{MAR}_{\text{LDH}} = ([\text{pyruvate}] \times [\text{NADH}] \times [\text{H}^+]) \times ([\text{D-lactate}] \times [\text{NAD}^+])^{-1}$  and  $\text{MAR}_{\text{TDH}} = ([\text{pyruvate}] \times [\text{taurine}] \times [\text{NADH}] \times [\text{H}^+]) \times ([\text{tauropine}] \times [\text{NAD}^+])^{-1}$ .

These ratios, however, were not calculated because data for neither the internal proton concentration, nor the  $\text{NAD}^+/\text{NADH}$  ratio, are available for the shell adductor muscle. However, assuming that the reaction catalysed by LDH is at or near equilibrium in most biological systems, we can obtain indirect information on the equilibrium situation of the TDH reaction using the  $K_{eq}$  values (see above). The ratio  $K_{eq}(\text{TDH})/K_{eq}(\text{D-LDH})$  has the value of 0.55 *M* and corresponds to the quotient  $([\text{D-lactate}] \times [\text{taurine}]) \times ([\text{tauropine}])^{-1}$ , since TDH and LDH share common substrates such as pyruvate, NADH,  $\text{H}^+$ , and  $\text{NAD}^+$  (see above). Thus, if we use the concentrations of D-lactate, taurine, and tauropine measured in the shell adductor, the calculated ratio should be close to the theoretical value, 550 mM, if both reactions are near equilibrium (our assumption).

Table IV shows that the reactions are not exactly at the theoretical equilibrium, but the "close equilibrium" of neither reaction changed much during anoxia and subsequent recovery. Thus, the formation of primarily tauropine and a little D-lactate is according to the equilibrium constants of the reactions. In contrast, after exercise the quotient was 10-fold lower than the theoretical value indicating that the reactions of D-LDH and/or TDH are in "disequilibrium." Our data do not indicate which reaction that is, but a recent paper on a similar phenomenon in foot muscle of *C. tuberculatum* argues for a "disequilibrium" of D-LDH because of its low activity and the enhanced production of pyruvate and NADH due to the increased glycolytic flux (see details in Gäde and Meinardus-Hager, 1986; pages 197 and 198). The same arguments can be applied to the ormer during exercise: D-LDH activity in the shell adductor is much lower than TDH activity and glycolytic flux is enhanced.

Table IV

Calculation of the quotient  $\frac{[\text{alanine}] + [\text{taurine}]}{[\text{alanine}]}$  for shell adductor muscle of *Haliotis lamellosa* during experimental anoxia, recovery, and exercise\*

|               | Control | 6-h anoxia | 1-h recovery | 3-h recovery | 13-h recovery | Exercise |
|---------------|---------|------------|--------------|--------------|---------------|----------|
| Quotient (mM) | 199     | 190        | 133          | 217          | 133           | 50       |

\* Tissue concentrations calculated from data presented in Table II and Figures 7 and 8 under the assumption that the water content of the tissues is 50%.

In conclusion, the energy metabolism in both the shell adductor and foot muscle is powered by co-fermentation of glycogen and aspartate and transphosphorylation during experimental anoxia. According to differences in tissue activities, as well as thermodynamic properties of the two pyruvate reductases (TDH, LDH), tauropine is the preferred end product in the shell adductor, while D-lactate accumulation occurs in the foot. Recovery in well-aerated seawater reverses most of the metabolic changes seen during anoxia, but the time courses for high-energy phosphates (fast) and glycolytic end products (slow) are quite different, as in other molluscs. Enhanced glycolysis and maintenance of redox balance by the reaction of tauropine dehydrogenase are the main features of exercise in the shell adductor, and the foot is only minimally involved during this behavior.

### Acknowledgments

The author thanks Dr. Georg Meinardus-Hager for help with the experiments, Dr. W. Ross Ellington (The Florida State University, Tallahassee) for commenting on and correcting the manuscript, and the staff of the Stazione Zoologica di Napoli for their hospitality. Financial support was provided in part by grants from the Deutsche Forschungsgemeinschaft (Ga 241/4-3 and Gr 456/12-1) and by a Heisenberg Fellowship awarded from the Deutsche Forschungsgemeinschaft (Ga 241/5-2).

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## Control of Cnida Discharge: II. Microbasic p-Mastigophore Nematocysts are Regulated by Two Classes of Chemoreceptors

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**Abstract.** Using tentacles of the sea anemone, *Aiptasia pallida*, Thorington and Hessinger (1984, 1988a, b) recently identified two classes of chemoreceptors involved in sensitizing cnidocytes to discharge cnidae in response to mechanical stimuli. Discharge of cnidae was quantified by measuring adhesive force between the tentacles and a test object. This measurement is assumed to reflect the contribution of the three types of cnidae present in the tentacles of *A. pallida*: the adherent spirocysts and two types of penetrant nematocysts, the predominant microbasic p-mastigophores and the basotrichous isorhizas. In the present paper we directly measure the discharge of the microbasic p-mastigophores and show that mastigophore-containing cnidocytes are sensitized by representative agonists for these two classes of chemoreceptors. We also show that under certain conditions the number of discharged microbasic p-mastigophores correlates linearly to a major component of the measured adhesive force. This enables us to calculate the contribution to adhesive force made by individual mastigophores.

### Introduction

Nearly one hundred years ago, Nagel (1892) suggested that chemicals derived from prey elicit feeding in cnidarians. Recently, two groups of prey-derived chemicals, including N-acetylated sugars and a variety of amino compounds, were identified as being involved in prey capture. In the tentacles of the sea anemone *Aiptasia pal-*

*lida*, these chemicals act via at least two classes of chemoreceptors to increase the sensitivity of cnidocytes to mechanical stimuli that trigger the discharge of cnidae (Thorington and Hessinger, 1984, 1988a, b). Using mucin-labelled colloidal gold, the receptors for the N-acetylated sugars have been located on the surface of supporting cells adjacent to cnidocytes in the tentacles of the sea anemone, *Haliplanella luciae* (Watson and Hessinger, 1988a, b). As previously discussed (Thorington and Hessinger, 1988a), our working model of the role of cnidocyte-sensitizing chemoreceptors places the N-acetylated sugars as the initial sensitizers that are detected as macromolecular conjugates on the surfaces of prey as mucins, glycoproteins, and chitin. The amino sensitizers, on the other hand, are secondary sensitizers that leak from prey that have been punctured by penetrant nematocysts responding to the conjugated N-acetylated sugars.

The two classes of cnidocyte-sensitizing chemoreceptors were identified in *A. pallida* by measuring cnida-mediated adhesion of tentacles to test probes following appropriate chemical and mechanical stimulation of cnidocytes (Thorington and Hessinger, 1984, 1988a, b). Since adhesive force represents the force required to separate a tentacle from the test probe (Thorington and Hessinger, 1988a), it is an aggregate measure of contributions from all three types of discharging cnidae present in these tentacles. In this paper we show that the majority of the adhesive force is due to the discharge of the microbasic p-mastigophore nematocysts. The cnidocytes housing these nematocysts are chemosensitized to discharge the nematocysts in a dose-dependent manner by the agonists glycine, which represents the amino sensitizing agents, and N-acetylneuraminic acid (NANA),

Received 16 February 1988; accepted 31 May 1988.

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which represents N-acetylated sugar agents. These findings validate the underlying assumptions of adhesive force measurements; specifically, that the measured adhesive force is mediated by discharged cnidae, and that the magnitude of adhesive force is proportional to the number of cnidae discharged. From these data we calculate the contribution of individual microbasic p-mastigophores to adhesive force.

## Materials and Methods

### *Maintenance of sea anemones*

Sea anemones (*A. pallida*, Miami strain) were cloned in glass trays containing natural seawater and fed daily with *Artemia* nauplii (Hessinger and Hessinger, 1981). Forty animals of similar size were selected and placed in separate finger bowls, which were cleaned daily. These anemones were maintained on a 12/12 h photoperiod using white fluorescent lights at an intensity of 5.5 klux ( $66 \mu\text{Es}^{-1} \text{m}^{-2}$ ) and ambient temperatures of  $24 \pm 1^\circ\text{C}$ . Animals were starved for 72 h prior to experiments.

### *Experimental animals and test solutions*

Natural seawater was from the Kerckhoff Marine Laboratory of Caltech at Corona del Mar, California. Experimental conditions and test solutions were essentially as previously reported (Thorington and Hessinger, 1988a). Glycine and N-acetylneuraminic acid (NANA), each representing the amino and the N-acetylated sugar sensitizers, respectively, were tested at specified concentrations in natural, filtered (Whatman type 1) seawater adjusted to pH 7.65 with 1 N HCl or NaOH. The seawater in the finger bowls was replaced with solutions containing specified concentrations of one of these agents. The anemones were allowed to adapt for 10 min before cnidocyte responsiveness was measured.

### *Assays of cnidocyte responsiveness*

Cnidocyte responsiveness to combined chemical and tactile stimulation was determined both by measuring adhesive force and by microscopically counting discharged mastigophores that had adhered to the test probes used to measure adhesive force.

**Measurements of adhesive force.** Adhesive force was measured using test probes consisting of insect pins with nylon heads ( $0.8 \pm 0.01$  mm diameter) (Thorington and Hessinger, 1988a). The pin heads were coated with  $\sim 0.06$  mm of 30% (w/v) gelatin, stored at  $4^\circ\text{C}$  in a humidified container, and used within 24 h. To measure adhesive force, the test probes were attached to a force transducer (Model FT-03, Grass Instruments) and a strip-chart recorder (Thorington and Hessinger, 1988b). The transducer was calibrated with gravimetric

weights, and adhesive force measurements were expressed in hybrid units of mg-force (mgf). Each bowl, containing a single sea anemone in the test solution of sensitizer in seawater, was raised by hand until the test probe contacted the tentacle just behind its tip. After contact the bowl was lowered slowly until the tentacle and the coated pinhead separated. The force necessary to separate the probe from the tentacle was recorded.

**Counting discharged mastigophores.** After probes had been used to measure adhesive force they were processed as follows so that the mastigophores adhering to them could be counted. The gelatin-coated ends of probes were placed in individual microtiter wells (Microtest 11, Falcon Plastics) containing  $40 \mu\text{l}$  of an enzyme and detergent solution (1% Trizyme, Amway Products). The solution was prepared in distilled water, clarified by centrifugation at  $2000 \times g$  for 30 min, and then frozen in 1.5 ml aliquots until used. Probes were incubated in Trizyme for 4 h at room temperature to hydrolyze the gelatin and to release from the probe the mastigophores and other types of cnida, each of which is protease-resistant (Blanquet and Lenhoff, 1966). Probes were then removed and mastigophores remaining in each well enumerated using an inverted light microscope at  $200\times$ .

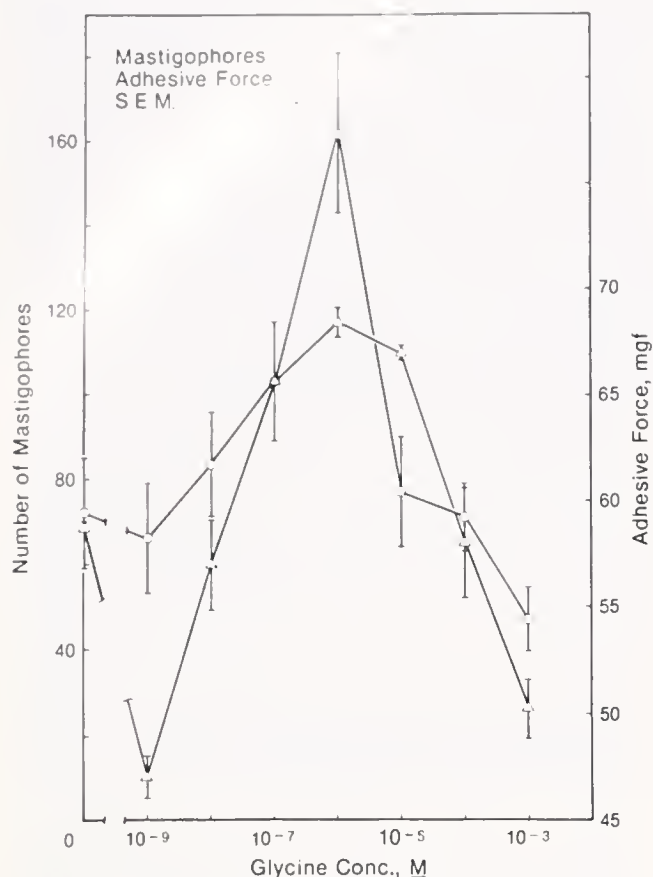
### *Collection and analysis of data*

Separate animals were tested at each concentration of sensitizer. Five to ten probes (one per tentacle) were used on each animal to determine both adhesive force and the number of discharged mastigophores. Daily experimental means were calculated from these measurements. Replicate experiments for both glycine and NANA were performed on four different days. Each data point expressed in the figures represents the mean of the daily experimental means ( $n = 4$ ). Range bars indicate the standard error of the mean. Linear regression analysis and determination of maximum response ( $E_{\text{max}}$ ) and of sensitizer concentrations that produce a half-maximum response ( $K_{0.5}$ ) were performed with the aid of a computer-assisted graphics and data-formatting program (Dorgan and Hessinger, 1984).

## Results

### *Glycine as a representative amino sensitizer*

The dose-response curves for glycine showing the mean adhesive force (Fig. 1, triangles) and the mean number of discharged mastigophores (Fig. 1, circles) are each biphasic. These dose-response curves coincide somewhat; each has a broad area of sensitization at lower concentrations of glycine, a maximum effect ( $E_{\text{max}}$ ) at about  $10^{-6}$  M glycine, and a broad region of apparent desensitization at still higher concentrations. Three



**Figure 1.** The effects of glycine on adhesive force (mgf) and on the number of discharged mastigophores adhering to test probes. Values for adhesive force (triangles) and for the number of discharged mastigophores (circles) are expressed as means of the daily means of separate measurements with vertical bars representing standard errors.

differences are apparent. First, the measurement of adhesive force initially decreases at  $10^{-9}$  M glycine before increasing to the maximum effect, while the number of discharged mastigophores shows no such decrease at  $10^{-9}$  M. Second, the magnitude of the maximum increase in adhesive force at  $E_{\max}$  versus naive controls is by 15%, whereas the magnitude of the maximum increase in discharged mastigophores is by more than 100% (Fig. 1). Third, the concentrations of glycine which yield the half-maximum effect (*i.e.*,  $K_{0.5}$ ) are somewhat different for adhesive force measurements at  $5.0 \times 10^{-8}$  M than for the number of discharged mastigophores at  $1.6 \times 10^{-7}$  M.

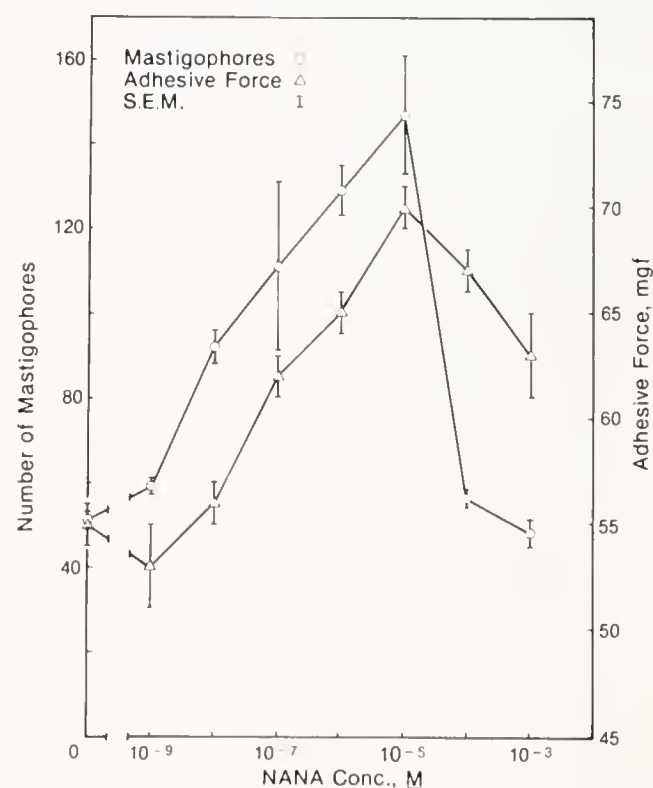
#### NANA as a representative N-acetylated sugar sensitizer

The effects of different concentrations of NANA on mean adhesive force (Fig. 2, triangles) and on the mean number of discharged mastigophores (Fig. 2, circles) are also biphasic and essentially coincidental. Each dose-re-

sponse curve has regions of sensitization at low concentrations of NANA,  $E_{\max}$  values occurring at  $10^{-5}$  M NANA, and regions of apparent desensitization at still higher concentrations. On the other hand, the magnitude of the increase of adhesive force is by 25%, whereas the magnitude of the increase in the number of discharged mastigophores is by nearly 200%. In addition, the concentration to give the half- $E_{\max}$  (*i.e.*, the  $K_{0.5}$  value) for adhesive force at  $3.2 \times 10^{-7}$  M is about four times as much as that for the discharged mastigophores at  $7.8 \times 10^{-8}$  M.

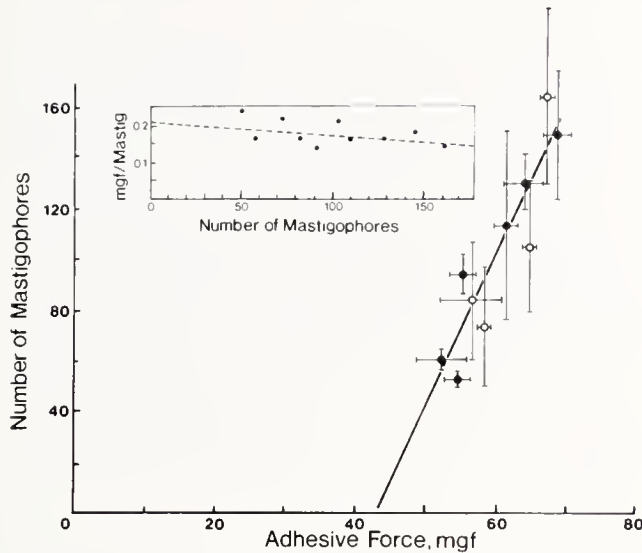
#### Proportionality of nematocysts discharged to adhesive force

The number of discharged mastigophores is directly proportional to the measured adhesive force for sensitizing doses of agonists up to  $10^{-6}$  M glycine and  $10^{-5}$  M NANA (Fig. 3). The calculated line for these data, when extrapolated, intercepts the abscissa to the right of the origin. This indicates that the measured adhesive force consists of at least two components, one that is independent of mastigophores and one that is dependent upon mastigophores. Thus, under these experimentally controlled conditions tentacles exhibit an adhesiveness of



**Figure 2.** The effects of N-acetylneuraminic acid (NANA) on adhesive force (mgf) and on the number of discharged mastigophores adhering to test probes. Data expressed as in Figure 1.





**Figure 3.** Correlation of discharged mastigophores to measured adhesive force (mgf). Horizontal and vertical bars represent standard errors of the mean (95% confidence limits) for adhesive force and for the number of discharged mastigophores, respectively. Plotted values represent all data measured at sensitizing concentrations of glycine (empty circles) and NANA (filled in circles) from Figures 1 and 2 ( $R = 0.92$ ). Insert: data points obtained by subtracting 43.3 mgf from each mean measurement of adhesive force and dividing by the number of discharged mastigophores and then plotting these values as a function of the number of mastigophores discharged. Ordinate-intercept of calculated line (dashed line) is  $0.217 \pm 0.028$  mgf.

approximately 43.3 mgf that is unrelated to the discharge of mastigophores (Fig. 3). This mastigophore-independent component of adhesiveness can be subtracted from each mean measurement of adhesive force. The resulting value can be expressed as the mean, corrected adhesive force per mastigophore. We find that the contribution of each mastigophore to the adhesive force measurement is slightly dependent on the number of mastigophores discharged (Fig. 3, insert). On the other hand, at desensitizing doses of sensitizers the number of discharged mastigophores does not correlate with measured adhesive force (data not shown).

## Discussion

### *Discharge of mastigophores is influenced by two chemoreceptor classes*

The adhesion of tentacles to test objects has been used by researchers to detect *in situ* cnida discharge (Williams, 1968; Lubbock, 1979). More recently, using a novel and sensitive technique to quantify adhesion, Thorington and Hessinger (1984, 1988a, b) identified two classes of chemoreceptors that sensitize cnidocytes to discharge cnidae in response to mechanical stimuli.

There are possible limitations, however, associated with using adhesive force to study responsiveness of cnidocytes. One such possible limitation is that measured adhesive force is an aggregate indicator of the discharge of several types of cnidae and, therefore, cannot distinguish between the different types of responding cnidocytes. In the present paper we have shown that a specific type of cnidocyte—those bearing the predominant nematocyst in the tentacles of *A. pallida*, the microbasic p-mastigophore—respond in a biphasic, dose-dependent manner to the chemosensitizers glycine and NANA (Figs. 1, 2, circles). Similar dose-response curves are obtained by measuring adhesive force under identical conditions (Figs. 1, 2, triangles; Thorington and Hessinger, 1988a). Thus, we conclude that the discharge of mastigophores in this anemone is influenced by the two classes of sensitizing chemoreceptors that detect N-acetylated sugars and a variety of amino compounds, including glycine.

Williams (1968), using the sea anemone *Haliplanella luciae*, concluded that the discharge of spirocysts, an adherent and non-penetrating type of cnida, but not that of mastigophores, was sensitized by food extracts. In contrast, using *A. pallida*, we find that the discharge of mastigophores is sensitized by optimum concentrations of both glycine and NANA (Figs. 1, 2, circles), each likely to be constituents of their natural diet. Similar findings for the effects of NANA on the discharge of mastigophores of *H. luciae* have been found by Watson and Hessinger (in press).

In sea anemones the cnidome of the tentacle consists of the adherent spirocysts and the penetrant nematocysts. In acontiate sea anemones such as *A. pallida* and *H. luciae*, the cnidome of the tentacles is made up of spirocysts, microbasic p-mastigophores, and basitrichous isorhizas (Hand, 1955). Of these three types of cnidae the basitrichous isorhizas comprise a comparatively small portion of the total cnidae in the tentacles of *A. pallida* (Giebel, unpub. obs.) and *H. luciae* (Watson and Mariscal, 1983) and are likely to contribute relatively little to the adhesive force measurements.

### *Dose responses for nematocyst discharge and adhesive force compared*

The major difference between the dose-response curves for adhesive force and for the number of discharged mastigophores (Figs. 1, 2) is a proportionally greater increase in the number of discharged mastigophores at  $E_{\max}$  values than in adhesive force. For example, the maximum increase in number of discharged mastigophores is 100% and 200% for glycine and NANA, respectively, as compared to only 15% and 25% increases in adhesive force, respectively. These differences in max-

imum effects are not surprising since adhesive force is a composite measure of several contributing factors, including cnida-mediated and non-cnida-mediated (*i.e.*, "stickiness") factors, of which the discharged mastigophores is only one.

#### *Contribution of various tentacle factors to adhesive force*

The data in this paper show that within the range of sensitizing doses of glycine and NANA, the measurements of adhesive force correlate linearly with the number of discharged mastigophores (Fig. 3). By extrapolating this plot to the abscissa we estimate the adhesive force expected in the absence of discharged mastigophores to be approximately 43 mgf (Fig. 3). Therefore, contributions to adhesive force up to 43 mgf are independent of mastigophores and due to, most probably, a combination of factors, including discharged spirocysts and any inherent "stickiness" of the surface mucus. Recently we obtained measurements for the mucus. The mucus on the tentacle surface contributes approximately 30 mgf to the measure of tentacle adhesive force (Thorington and Hessinger, in prep.) in the absence of cnida discharge.

The difference between 43 mgf (due to surface mucus plus discharged spirocysts) and 30 mgf (due to surface mucus alone) is approximately 13 mgf, possibly accounted for by the sum of all discharged spirocysts. However, this is not to say that the contribution of spirocysts is constant at different concentrations of sensitizer. At sensitizing doses of glycine and NANA, contributory increments in excess of 43 mgf are due to discharged mastigophores. From the slope of the linear correlation between the number of discharged nematocysts and the measured adhesive force we calculate that the contribution of each discharged mastigophore to adhesive force is approximately 0.17 mgf. A comparable value, which is somewhat dependent upon the number of discharged mastigophores, is obtained as the ordinate intercept of a plot when 43 mgf is subtracted from the adhesive force measurements and then plotted as mgf/mastigophore *versus* the number of discharged mastigophores (Fig. 3, insert). The slight dependence of the calculated adhesive force per mastigophore upon the number of discharged mastigophores (Fig. 3, insert) is possibly due to a softening effect of discharged mastigophores on the gelatin coating of the probe.

The correlation between number of discharged mastigophores and adhesive force occurs only at stimulatory doses of the tested sensitizing agents. At higher than optimum doses of sensitizer the measurement of adhesive force does not correlate very well with the number of adhering nematocysts, possibly indicating that dramatic changes in other contributions to adhesive force, such as from discharged spirocysts, may also occur.

#### *Conclusions*

In summary, we have shown that the discharge of microbasic p-mastigophore nematocysts is under the controlling influence of at least two classes of chemoreceptor systems, one that is responsive to amino compounds as represented by glycine, and another that is responsive to N-acetylated sugars as represented by NANA. Furthermore, the majority of the increase in adhesive force in response to these chemosensitizers is due to the discharge of the microbasic p-mastigophores. In addition, we have shown that under defined conditions the number of discharged nematocysts is proportional to the measured adhesive force. Thus, measurements of adhesive force can be used to quantify the extent of total cnidae discharged.

#### *Acknowledgments*

We thank Drs. G. Watson, P. McMillan, and C. Clausen for their valuable advice in relation to this project. Funded in part by BRSG grant RR 05352-24 and NSF grant DCB-8609859 to D.A.H.

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## Aspects of Entrainment of CHH Cell Activity and Hemolymph Glucose Levels in Crayfish\*

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**Abstract.** We investigated the effects of several experimental conditions, such as constant darkness, light/dark phase-shift, covered eyes, eyestalks and rostral regions, and optic tract sectioning, on the entrainment of daily blood glucose rhythmicity in the crayfish. Hemolymph glucose determination over a 24 h period and a morphometrical study on the secretory activity of the Crustacean Hyperglycemic Hormone (CHH)-producing cells in the eyestalk using immunocytochemistry were carried out. Our results indicate that *Astacus leptodactylus* exhibits an endogenous circadian blood glucose rhythm entrained by the light/dark schedule.

The light stimuli that control the rhythm are not detected by the compound eyes nor by the caudal photoreceptor but most probably by a photoreceptor located elsewhere in the eyestalk. After disruption of the neural connection between the optic lobes and the cerebral ganglion, blood glucose rhythmicity persists, which indicates that the biological clock of the blood glucose rhythm is located within the optic lobes.

### Introduction

The Crustacean Hyperglycemic Hormone (CHH)-producing system of the crayfish *Astacus leptodactylus* consists of a number of neurosecretory perikarya located on the rostral latero-ventral side of the medulla terminalis ganglionic X-organ (MTGX). The axons of these cells pass through the medulla terminalis (MT) and terminate in the sinus gland. The location and morphology of this cell system has been described in detail (for *Astacus leptodactylus* see Van Herp and Van Buggenum,

1979; Gorgels-Kallen and Van Herp, 1981; Gorgels-Kallen and Voorter, 1984; for other decapod species see Jaros and Keller, 1979; Gorgels-Kallen *et al.*, 1982; Van Herp *et al.*, 1984).

Under constant light/dark conditions, the CHH cell system of *Astacus leptodactylus* reveals a daily rhythmicity in the synthetic activity of the perikarya, transport of CHH-material to the sinus gland, and release of CHH into the hemolymph which results in a 24 h rhythm of blood glucose level (Gorgels-Kallen and Voorter, 1985). Similar results are described for the prawn *Palaemon serratus* (Van Herp *et al.*, 1984). Diurnal rhythmicity of hemolymph glucose content is also described for the crayfish *Orconectes limosus* (Hamann, 1974) and for the freshwater field crab *Oziotelphusa senex senex* (Reddy *et al.*, 1981). Hamann (1974) examined the day/night rhythmicity of blood glucose content of *Orconectes limosus* under various conditions. His findings signified the importance of light signals as entraining stimuli to maintain blood glucose rhythmicity and indicated the presence of an endogenous pacemaker. Furthermore, we recently described the presence of synaptic input on the ramifications of CHH axons in the MT neuropileum (Gorgels-Kallen, 1985). All the above mentioned findings point to the presence of a system controlling the entrainment of CHH metabolism.

The present work was undertaken in an effort to obtain additional information on the role of the prevailing environmental light/dark conditions in the entrainment of daily rhythmicity of CHH cell activity and, as a consequence, the hemolymph glucose level in *Astacus leptodactylus*. Based on Hamann's (1974) experiments, the daily blood glucose rhythm was examined under various conditions in order to study its exogenous or endogenous character and to learn more about the location of photo-

Received 3 March 1988; accepted 31 May 1988.

\* Results were presented at the 'Réunion des Carcinologistes de Langue Française,' Concarneau, France (6–9 June 1987).



receptor(s) and oscillator(s) involved in modulation. Furthermore, since we are immunocytochemically able to determine the secretory activity of individual CHH cells (Gorgels-Kallen and Voorter, 1984, 1985), we investigated the effect of the experimental conditions on the cellular activity of the CHH cell system.

## Materials and Methods

### *Animals and blood sampling*

Crayfish of the species *Astacus leptodactylus* (Nordmann), were imported from Turkey via a commercial dealer and kept in the laboratory in running tap water (13–15°C), and fed weekly with pieces of meat or fish. Except when otherwise stated, animals were kept under light/dark conditions (LD 12:12, light on 8.00 am). Experiments were performed with adult female and male crayfish of equal size and in intermolt stage. Blood samples, obtained from 5 to 10 animals per sample time, were collected over a 24 h period at regular intervals. 100 µl of hemolymph was drawn into a calibrated capillary pipet which was inserted between the coxa and the basis of the left cheliped. Samples were taken in duplicate from each animal and frozen immediately. The blood glucose level was determined using the Gluco-Quant Test Combination (Boehringer Mannheim GmbH).

### *Experimental conditions*

**Constant darkness.** Crayfish were kept in constant darkness (code DD). Blood samples were taken at the start of the experiment and 6, 11, and 35 days after the onset of DD conditions.

**Phase-shift.** Crayfish kept under normal LD conditions were exposed to a 12 h phase-shift (code PS) accomplished by lengthening the light period with 12 hours at the onset of the experiment. Hemolymph samples were taken at the start of the experiment and 6, 12, and 18 days after introduction of the phase-shift.

**Covered retinas and rostral regions.** Several experiments were performed covering different regions of the eyestalk and the rostrum. Either both retinas (code RR), both eyestalks (code EE), or the rostral cephalic region including the eyestalks (code CR) were painted using a black textile dye (Silka; Talens, Apeldoorn, The Netherlands) which formed a completely opaque, water-resistant coverage. Before painting blood samples were taken. The experimental conditions were maintained for 35 days, then hemolymph was again sampled.

**Optic tract operation.** Between the hard exoskeleton of the eyestalk and rostrum there is a softer region enabling articulation of the eyestalk. In order to disrupt the neural connection between the optic ganglia and CHH cell system with the cerebral ganglion, a fine pointed cauteriza-

tion needle or a microdissection scalpel was pushed through the soft region to section the optic tract locally (code NO). Blood samples were taken before the start of the experiment and 35 days after operation. At the end of the experiment, crayfish were sacrificed and the eyestalks were microscopically examined to check the success of the optic tract section, the condition of the isolated ganglia and the normal course of the blood circulation.

### *Light microscopy*

From animals kept under the above described experimental and control conditions, eyestalks were ablated at 12.00 am and fixed in Bouin Hollande fluid (24 h) containing 10% of a saturated aqueous solution of sublimate. The fixed material was embedded in Paraplast (57°C). The immunocytochemical staining was based on the peroxidase-antiperoxidase (PAP)-method (Sternberger, 1974) and was performed on 7 µm sections with an overnight incubation at 4°C for the anti-CHH. The primary antiserum was raised in rabbits against a purified hyperglycemic fraction derived from eyestalks of *Astacus leptodactylus* (for further details of the purification of the antigen and the production of the antiserum see Gorgels-Kallen and Van Herp, 1981). The procedure of the immunostaining followed Van Herp and Van Buggenum (1979), with the primary antiserum applied in a dilution series. For each experiment the optimal dilution of the primary antiserum proved to be 1/150, which conforms to the optimal dilution used for eyestalks from animals kept under normal LD and laboratory conditions. The specificity of the immunostaining was tested as described previously (Gorgels-Kallen and Van Herp, 1981).

The secretory cell stages of individual CHH cells were determined on the basis of the observed differences in staining intensity which enables the arbitrary division of the immunoreactive cells into three categories: +, ++, and +++, representing the cells with least intense, moderately intense, and most intense immunostaining, respectively. In a previous study, morphometric analyses of the cells at both the light and electron microscopic levels led to the following characterization of the three cell stages: + cells show the weakest immunoreaction which is correlated to the low content of CHH granules in their cytoplasm. The cells possess a large nucleus which points to a high level of mRNA production. ++ Cells show an intermediate immunoreaction. In their cytoplasm the content of granules is increased. These cells possess the largest nuclear and cytoplasmic volumes, which indicate high synthetic activity. +++ Cells show an intense immunoreaction which is correlated with the largest numerical density of CHH granules in their cytoplasm. The synthetic level of these cells is low as documented by

their small cytoplasmic and nuclear volumes. Moreover, the CHH granules show a lower electron density and an increased diameter as compared with those of + and ++ cells. These facts point to the occurrence of a maturation process, indicating that the secretory granules are youngest in the + cells and oldest in the +++ cells, which indicates different degrees of synthetic activity of the three distinguished cell stages. For a detailed description of the morphology and the results of a morphometric study of the CHH cell stages we refer to our previous study (Gorgels-Kallen and Voorter, 1984) and to our study on the secretory dynamics of the CHH cells in the course of the day/night cycle (Gorgels-Kallen and Voorter, 1985). The numbers of +, ++, and +++ cells were counted in the left eyestalks from three specimens per experimental or control condition. In addition, the cytoplasmic and nuclear volumes of five of each of the +, ++, and +++ cells, per eyestalk, were calculated according to Weibel's (1979) method and as described in detail previously (for illustration see Fig. 3; Gorgels-Kallen and Voorter, 1984, 1985).

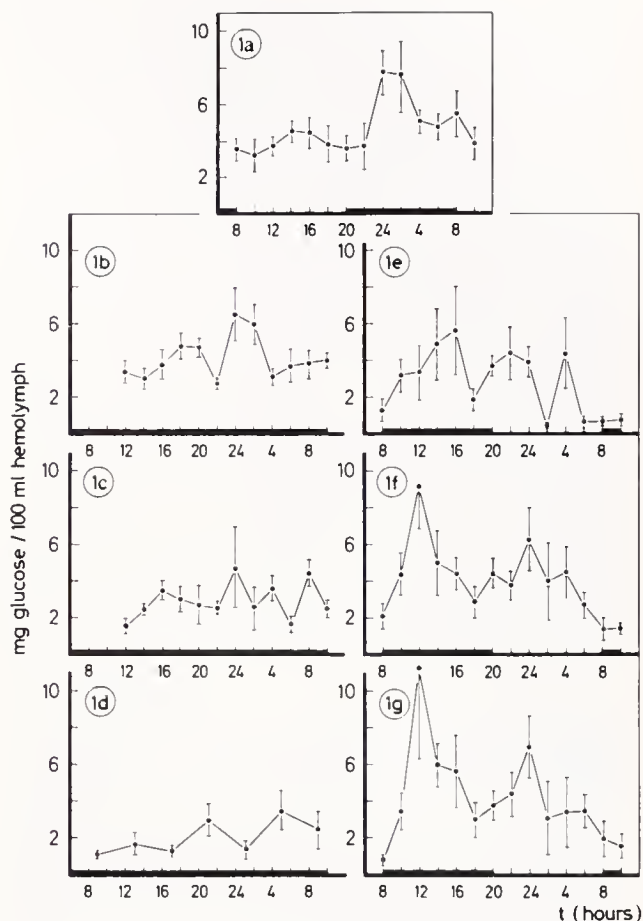
## Results

### Hemolymph glucose rhythmicity

**Control experiments.** Figure 1a represents the results of the glucose determination in the hemolymph for all specimens used in the DD and PS experiment, determined during normal 12 h light and 12 h dark conditions. The blood glucose values show the usual rhythmicity: around 4 hours after the onset of the dark period the glucose level doubles compared to the level found in the daytime.

**Constant darkness.** Figures 1b–d show the blood glucose levels over a 24 h period, measured after 6 (Fig. 1b), 11 (Fig. 1c), and 35 days (Fig. 1d) under constant darkness conditions. After 6 days absence of the light stimulus, the circadian hemolymph glucose pattern still persists, although the maximum decreases around midnight. After 11 days the normal rhythm is tempered: yet, at midnight an increased variation of the mean glucose level can still be seen. Constant darkness for 35 days results in total absence of any daily rhythm. Furthermore, the data show that removing the periodic changes in light intensity leads to consistently low glucose levels during the whole 24 h period.

**Phase-shift.** Figures 1e–g show the hemolymph glucose values over a 24 h period measured 6 (Fig. 1e), 12 (Fig. 1f), and 18 days (Fig. 1g) after the introduction of a 12 h shift in the normal LD pattern, by lengthening one light period by 12 hours. Six days after the phase-shift blood glucose rhythmicity is disturbed. The graph shows three zones with an increase followed by a decrease in blood glucose content and increased variation in the

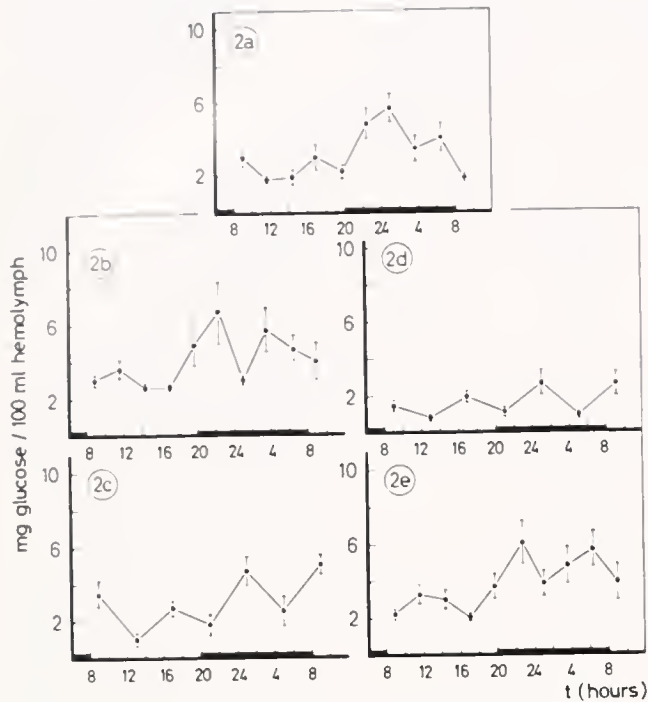


**Figure 1.** (a–g) Daily hemolymph glucose pattern: (a) under normal light/dark conditions (LD 12:12;  $n = 10$ ); (b) after 6 days constant darkness ( $n = 5$ ); (c) after 11 days constant darkness ( $n = 5$ ); (d) after 35 days constant darkness ( $n = 5$ ); (e) six days after a 12 h phase shift ( $n = 5$ ); (f) twelve days after a 12 h phase-shift ( $n = 5$ ); (g) eighteen days after a 12 h phase-shift ( $n = 5$ ). Means  $\pm$  SEM.

mean glucose values for most sample points. After 12 days the normal circadian blood glucose pattern reappears; the maximum in glucose level is found at 12 a.m., 4 hours after the onset of the "new" dark period. However, during the light period at 12 pm a second smaller peak is seen. This glucose pattern persists and even becomes more pronounced 18 days after the start of the experiment. At 12 am, the new midnight, the mean glucose level increases firmly whereas at 12 pm, the former midnight, a second smaller, although distinct rise in blood glucose content is found.

**Painted eyestalks and rostral regions.** Prevention of light perception via the ommatidia (light perceiving units forming the compound eye) does not abolish circadian rhythmicity in the hemolymph glucose content (Fig. 2b). During the dark period the glucose level still doubles. The amplitude and mean glucose levels during the total 24 h period are closely comparable to the con-





**Figure 2.** (a–e) Daily hemolymph glucose pattern after several experimental conditions: (a) under normal light/dark conditions before the start of the experiment (LD 12:12;  $n = 5$ ); (b) thirty-five days after covering of the compound eyes (code RR;  $n = 5$ ); (c) thirty-five days after covering of the whole eyestalks (code EE;  $n = 5$ ); (d) thirty-five days after covering of the whole eyestalks and the rostral region of the cephalothorax (code CR;  $n = 5$ ); (e) thirty-five days after section of the optic tract (code NO;  $n = 5$ ). Means  $\pm$  SEM.

control values obtained from these specimens before starting the experiments (Fig. 2a). Yet, some influence must be noticed. The nocturnal rise in glucose is found immediately at the start of the dark period and the mean values show increased variation. After painting the whole eyestalks, an increased glucose level during the dark period is still seen, although the amplitude is very reduced (Fig. 2c). Covering the whole eyestalks including the rostral part of the cephalothorax leads to a complete absence of circadian blood glucose rhythmicity and during the whole 24 h period the glucose levels consistently stay very low (Fig. 2d).

**Optic tract operation.** Disruption of the connection between the eyestalk and the cerebral ganglion by sectioning the optic nerve does not interfere with the persistence of a daily rhythmicity in hemolymph glucose content (Fig. 2e).

#### *Secretory activity of the CHH cells*

We investigated the effect of a change in environmental conditions on the secretory activity of the CHH cells. Animals that exhibit both a change (DD experiment),

and no change (RR experiment) in hemolymph glucose rhythmicity were used. The results of this morphometric investigation are presented in Table 1. Animals kept in constant darkness have a ratio between the number of +, ++, and +++ cells which differs from the ratio found for crayfish kept under control conditions. The number of +++ cells increases accompanied by a decrease in the number of ++ cells. The morphometric data reveal an increase in the cellular volume of the +++ cells. Immunocytochemical staining of the eyestalks of the RR animals reveals that both the proportion of +, ++, and +++ cells, and their cellular and nuclear volumes, are similar to those of the control animals. Disruption of the optic nerve produces a ratio of the +, ++, and +++ cells different to the ratio found for control animals. The number of + cells increases firmly, accompanied by a decrease in ++ cells. The morphometric results reveal increased cellular and nuclear volumes of the + cells. Furthermore, the overall conditions of these operated eyestalks were normal: blood circulation was not affected and no signs of degenerating eyestalk tissues were observed, nor regeneration of the sectioned optic nerve.

#### **Discussion**

Blood glucose levels found in *Astacus leptodactylus* after exposing the animals to constant dark conditions reveal that the normal 24 h rhythm persists for many cycles. The rise in blood glucose content around midnight remains clearly distinguishable after 6 days of constant darkness; after 11 days the rhythm is tempered, but the high variation in the mean glucose content at 12 pm still indicates a masked presence of the nocturnal peak. Introduction of a 12 h phase-shift results in a very slow adaptation of the 24 h rhythm to the newly imposed light schedule. Six days after the onset of the phase-shift, the normal blood glucose rhythm is still disturbed. This disturbance is expressed as: (a) a high variation in the mean glucose levels of most sample points, and (b) three periods with an increase followed by a decrease. This result corresponds to the description of the so-called transient phase, *i.e.*, a temporary loss of synchrony among the various units involved in a particular circadian rhythm, which results in frequency beats modulating the free-running period (Pavlidis, 1973). Twelve days after introduction of the phase-shift, the normal circadian blood glucose rhythm is restored, adapted to the new light/dark scheme, *i.e.*, around 4 hours after the onset of the dark period a firm blood glucose peak is detected. However, even 18 days after the phase-shift the "old" glucose peak still can be seen.

In his introduction of the symposium "Biological Clocks" (Cold Spring Harbor, 1960) Aschoff underlines the importance of the establishment of the free-running



**Table 1**  
Morphometric data on the CHH-producing cells of the crayfish, *Astacus leptodactylus*, determined after control and experimental conditions. Mean ( $\pm$ SEM)

| Experimental condition | +                                       |                                                 |                                                | ++                                      |                                                 |                                                | +++                                     |                                                 |                                                |
|------------------------|-----------------------------------------|-------------------------------------------------|------------------------------------------------|-----------------------------------------|-------------------------------------------------|------------------------------------------------|-----------------------------------------|-------------------------------------------------|------------------------------------------------|
|                        | Number of cells/eyestalk                | Cellular volume ( $\times 10^3 \mu\text{m}^3$ ) | Nuclear volume ( $\times 10^3 \mu\text{m}^3$ ) | Number of cells/eyestalk                | Cellular volume ( $\times 10^3 \mu\text{m}^3$ ) | Nuclear volume ( $\times 10^3 \mu\text{m}^3$ ) | Number of cells/eyestalk                | Cellular volume ( $\times 10^3 \mu\text{m}^3$ ) | Nuclear volume ( $\times 10^3 \mu\text{m}^3$ ) |
| Control                | 9 ( $\pm 2$ )<br>(n1 = 3)               | 30.7 ( $\pm 3$ )<br>(n2 = 15)                   | 5.2 ( $\pm 0.4$ )<br>(n2 = 15)                 | 19 ( $\pm 1$ )<br>(n1 = 3)              | 35.3 ( $\pm 3$ )<br>(n2 = 15)                   | 6 ( $\pm 0.6$ )<br>(n2 = 15)                   | 6 ( $\pm 1$ )<br>(n1 = 3)               | 22.8 ( $\pm 2$ )<br>(n2 = 15)                   | 3.3 ( $\pm 0.2$ )<br>(n2 = 15)                 |
| DD                     | 11 ( $\pm 2$ )<br>(n1 = 5)              | 34.2 ( $\pm 3$ )<br>(n2 = 25)                   | 4.5 ( $\pm 0.3$ )<br>(n2 = 25)                 | 13 ( $\pm 1$ ) <sup>s</sup><br>(n1 = 5) | 38.4 ( $\pm 2$ )<br>(n2 = 25)                   | 5.2 ( $\pm 0.7$ )<br>(n2 = 25)                 | 13 ( $\pm 2$ ) <sup>s</sup><br>(n1 = 5) | 28.3 ( $\pm 1$ ) <sup>s</sup><br>(n2 = 25)      | 4 ( $\pm 0.2$ ) <sup>s</sup><br>(n2 = 25)      |
| RR                     | 10 ( $\pm 2$ )<br>(n1 = 3)              | 32.3 ( $\pm 2$ )<br>(n2 = 15)                   | 5.6 ( $\pm 0.5$ )<br>(n2 = 15)                 | 19 ( $\pm 3$ )<br>(n1 = 3)              | 39.2 ( $\pm 3$ )<br>(n2 = 15)                   | 5.7 ( $\pm 0.4$ )<br>(n2 = 15)                 | 8 ( $\pm 2$ )<br>(n1 = 3)               | 22.4 ( $\pm 2$ )<br>(n2 = 15)                   | 3.4 ( $\pm 0.3$ )<br>(n2 = 15)                 |
| NO                     | 21 ( $\pm 4$ ) <sup>s</sup><br>(n1 = 5) | 42 ( $\pm 4$ ) <sup>s</sup><br>(n2 = 25)        | 4.2 ( $\pm 0.4$ ) <sup>s</sup><br>(n2 = 25)    | 6 ( $\pm 2$ ) <sup>s</sup><br>(n1 = 5)  | 32.2 ( $\pm 2$ )<br>(n2 = 25)                   | 4 ( $\pm 0.3$ ) <sup>s</sup><br>(n2 = 25)      | 8 ( $\pm 2$ )<br>(n1 = 5)               | 24.4 ( $\pm 2$ )<br>(n2 = 25)                   | 3.3 ( $\pm 1$ )<br>(n2 = 25)                   |

Control: Results obtained from eyestalks of crayfish kept under normal light/dark schedule (LD 12:12).

DD: Results obtained from eyestalks of crayfish kept under constant darkness for 35 days.

RR: Results obtained from eyestalks of crayfish kept under normal light/dark schedule, however, with covered compound eyes for 35 days.

NO: Results obtained from eyestalks of crayfish kept under normal light/dark schedule and 35 days after disruption of the connection between the cerebral and optic ganglia.

n1 = number of measured eyestalks from different animals.

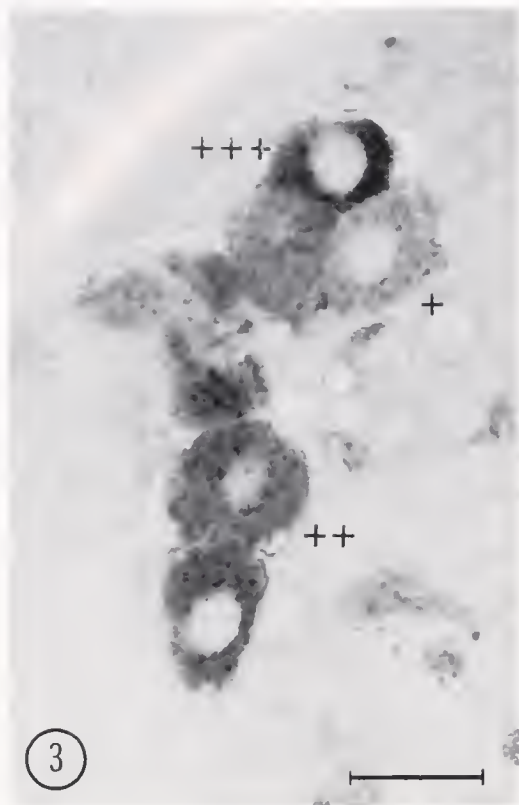
n2 = number of cells counted; 5 cells per cell stage per eyestalk.

s = significantly different from control data; Student's *t*-test,  $P < 0.05$ .

period of individual organisms in order to establish a periodicity as an endogenous one. However, establishment of the free-running period of the blood glucose rhythm in crayfish in this way is not possible, since repeated sampling of hemolymph from the same specimen leads, within several hours, to hyperglycemia caused by stress. Hamann's (1974) method of measuring the circadian hemolymph glucose levels from individual crayfish via an extracorporeal circulatory system only succeeded for a few cycles and cannot be performed with large numbers of animals. As such, blood glucose rhythmicity can only be depicted by sampling different groups of crayfish as described in this paper. However, despite the fact that we were not able to determine one of the major characteristics of circadian rhythm, we believe that the above mentioned results, *i.e.*, (a) the persistence of the rhythm for many cycles without external periodic light stimuli, and (b) the slow adaptation of the rhythm to changed light/dark conditions, allow us to postulate that the daily blood glucose level in *Astacus leptodactylus* is generated within the organism and therefore may be called endogenous or circadian.

Furthermore, since prolonged exposure of the animals to constant darkness eliminates hemolymph glucose rhythmicity and changing the external light stimuli produces entrainment, our data show the final indispensability of the prevailing light/dark cycle as a Zeitgeber in entrainment of the daily blood glucose rhythm. The importance of an external light stimulus can also be seen from the results on the determination of the secretory activity of the CHH cells after 35 days DD conditions: an increased number of enlarged +++ cells and a decreased number of actively producing ++ cells is found. These data point to a reduced production of CHH and increased storage in the perikarya.

Covering both retinas does not abolish reception of a light entraining signal, as blood glucose rhythmicity still persists. This is also supported by the results of the determination of secretory activity of the CHH cells: the resultant data are closely comparable to those of control animals. Painting both eyestalks results in a very faint nocturnal blood glucose increase and covering both eyestalks and the rostral region of the cephalothorax leads to a complete disappearance of rhythmicity and a consistently low glucose level during the whole 24 h period: the resulting hemolymph glucose graph is closely comparable to the graph obtained after constant DD conditions. Comparable experiments with the crayfish *Orconectes limosus*, performed by Hamann (1974), show the same blood glucose patterns. Therefore it appears that the eyestalks (optic lobes) have a dominant role in perception of light, but the presence of an involved photoreceptor in the rostral region of the cephalothorax cannot be excluded. The effect of disruption of the optic tract further



**Figure 3.** PAP-staining of CHH-producing cells in the MTGX, illustrating +, ++, and +++ cells. Bar represents 50 nm. + cells: mean cell volume  $32.5 \times 10^3 \mu\text{m}^3$ , mean nuclear volume  $5.5 \times 10^3 \mu\text{m}^3$ ; ++ cells: mean cell volume  $38.8 \times 10^3 \mu\text{m}^3$ , mean nuclear volume  $5.6 \times 10^3 \mu\text{m}^3$ ; +++ cells: mean cell volume  $27.8 \times 10^3 \mu\text{m}^3$ , mean nuclear volume  $4.1 \times 10^3 \mu\text{m}^3$ . (Morphometrical data from Gorgels-Kallen and Voorter, 1984).

supports the importance of the entraining function of the eyestalk: daily rhythmicity of the hemolymph glucose level is not affected after disturbance of the neural connection between the cerebral and optic ganglia. This result points to the presence of a pacemaker or biological clock of the glucose rhythm located in the optic lobes, although hormonal modulation from a pacemaker located elsewhere cannot be ruled out. Indeed, such hormonal influence is not disturbed by the optic tract operation, since microscopic investigation of these eyestalks did not reveal any irregularities concerning blood circulation or the condition of eyestalk structures. However, the secretory activity of the CHH cells of these NO animals does not show the same picture as found in control animals. Yet, as the blood glucose rhythmicity is comparable to control animals, it could be that CHH release is modulated by an oscillator located in the eyestalk. However, that regulation of CHH synthesis is more complex and (also) affected by a pacemaker located elsewhere. Another possibility might be that the CHH cells not only

produce a hyperglycemic factor but also other hormonally active substances. Synthesis of hyperglycemic hormone might be affected by optic nerve section, while the synthesis of other hormones might go on undisturbed. Such an effect can be visualized immunocytochemically. Our results exclude any regulatory effect caused by the caudal photoreceptor described for the first time in crayfish by Prosser (1934).

Our data are supported by the work of Page and Larimer (1972), who studied the entrainment of the circadian locomotor activity in the crayfish *Procambarus clarkii*. They found that removal of the caudal photoreceptor, removal of the ommatidia of both eyes, or removal of both the ommatidia and the lamina ganglionaris, did not effect entrainment of rhythmicity in locomotion. Comparable results were also obtained by Pollard and Larimer (1977) regarding circadian rhythmicity of the heart rate in *Procambarus clarkii*. Page and Larimer (1976) demonstrated the existence of a photoreceptor in the brain in the same species. In contrast with these findings are data by Glantz *et al.* (1983), who intracellularly recorded the electrical activity of neurosecretory cells in the eyestalk induced by illumination of retinal fields. Fuentes-Pardo and Inclán-Rubio (1987) recently described the participation of the caudal photoreceptor in synchronizing the circadian locomotor rhythm in *Procambarus bouvieri*. Williams (1985) proposed, after his evaluation of the impact of optic tract section on the locomotor activity of the shore crab *Carcinus maenas*, the presence of a presumptive neural clock in the cerebral ganglion involved in regulation of locomotor rhythm.

In conclusion, we postulate that *Astacus leptodactylus* exhibits an endogenous circadian hemolymph glucose rhythm entrained by the prevailing light/dark schedule. Neither the retinas nor the caudal photoreceptor represent the main modulating receptors for blood glucose level and synthetic activity of the CHH cells. The present results indicate that the eyestalks possess the major receptors for the entraining light stimulus and also contain the oscillatory center for the blood glucose rhythm.

#### Acknowledgments

The authors are grateful to Prof. Dr. J. M. Denucé and Dr. F. van Herp for discussing the manuscript. We thank the Illustration Services of the Faculty of Sciences for preparing the figures, and Mrs. E. Derksen for typing the manuscript.

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## Electrophysiological and Histological Observations on the Eye of Adult, Female *Diastylis rathkei* (Crustacea, Malacostraca, Cumacea)

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**Abstract.** The approximately 200  $\mu\text{m}$  wide eye of *Diastylis rathkei* consists of two closely apposed eye halves with four lenticular complexes measuring 40  $\mu\text{m}$  in diameter in each. Each lenticular complex consists of a lens rich in 30 nm electron-opaque glycogen-like particles made up of smaller (5–6 nm) subunits, and a rhabdom comprising regularly aligned microvilli. The retinula cell somata, which are in a proximal location, are linked with the distally placed rhabdom via approximately 10  $\mu\text{m}$  thick, cellular strands. The strands are surrounded by cells crowded with reflecting organelles of ca. 0.8  $\mu\text{m}$  in diameter.

Dark/light adaptational changes affect the position of uniformly spherical organelles measuring 0.4–0.5  $\mu\text{m}$  in diameter and presumed to contain carotenoids, the overall size of the rhabdom, and the diameter of individual microvilli. The latter measure 75 nm in the light-adapted state and 90–120 nm in the dark-adapted state. There is ultrastructural evidence (swollen and abundant endoplasmic reticulum and widely distributed glycogen-like particles) that, under light-adapted conditions, the retinula cells are in a phase of intense metabolic activity.

A multilamellar structure, similar in appearance to that found in the organ of Bellonci of other crustaceans, but also resembling a trophospongium, was noticed in close proximity to the eye within the optic lobe. Extracellular electrophysiological recordings obtained with NaCl-filled glass electrodes consisted of a cornea-negative potential change and reached a maximum amplitude of nearly 400  $\mu\text{V}$  to 300 ms flashes of white light.

Superimposed spectral response curves from eight different animals, based on a criterion amplitude of 50

$\mu\text{V}$ , were nearly congruent in shape and displayed one single sensitivity peak to light of 512–549 nm in wavelength. Intensity/response curves obtained to light of 472, 549, and 628 nm wavelengths and the single spectral sensitivity peak strongly suggest that only one type of excitatory visual pigment is involved in the visual process of *D. rathkei*.

It is concluded that in spite of its tiny size, the eye of *D. rathkei* could be useful in the coordination of reproduction and synchronization of vertical migrations.

### Introduction

Cumaceans are an order of peracaridan crustaceans traditionally placed near the Isopoda (Siewing, 1956; Fryer, 1967). Certain species of Cumacea, including *Diastylis rathkei*, regularly occur in the plankton, sometimes hundreds of meters above the seabottom (Fricke, 1931; Forsman, 1938). It is thought that the males, which have considerably larger eyes than the females (Zimmer, 1941), seek the latter in the open water at night during the mating season (Forsman, 1938).

At certain times of the year, these small crustaceans can be very abundant (1214/m<sup>2</sup>: Kaestner, 1959) and represent an important component of the diet of various species of fishes; yet virtually all we know about the cumacean photoreceptor goes back almost 60 years to a study of *D. rathkei* by Fricke (1931), who asserted that the cumacean eye was a degenerated compound eye. Though in some species of cumaceans, e.g., *Nannastacus euxinicus*, two clearly separated, lateral eyes are present (Bacescu, 1951), this view was challenged by Mayrat (1981), who claimed the cumacean eye was a dorsal ocellus. Arguments for and against either opinion were sum-

marized by Meyer-Rochow (1988) and supplemented with ultrastructural observations on the larval eye of *D. rathkei*. However, a detailed examination of the structure and function of the eyes of the adults is still lacking. Since male and female *D. rathkei* differ in their behavior to a light source (Forsman, 1938; Zimmer, 1941) and the short-lived males are much less common than the females, this paper is concerned with one form only: the female sex.

## Materials and Methods

### *Collection and maintenance of organisms*

In early April, live, adult specimens of the cumacean *Diastylis rathkei* were dredged from the sandy bottom of the Baltic Sea southwest off the Danish island of Langeland in approximately 40 m depth. The animals, all females, were taken to the Zoological Institute of the University of Kiel and kept in brackish water aquaria. Within a week, during which time histological preparations of the *D. rathkei* eye were being made, 10 individuals in a 2 liter thermos bottle were taken by one of us (V. B. Meyer-Rochow) to Finland in an airplane and subsequently housed at Tvärminne Zoological Station at 6°C in total darkness. Individuals were picked at random for both anatomical and physiological observations.

### *Histology*

Eyes of daytime specimens as well as night specimens were carefully extirpated under a dissecting microscope at 10:00 h and 24:00 h, respectively. A paraformaldehyde/glutaraldehyde mixture of 7.4 pH, buffered in Milonig's phosphate and adjusted with 3 g d-glucose/100 ml for reasons of osmolarity matching Baltic Sea water, served as the initial fixative, in which the eyes stayed for about 40 h. They were then washed in buffer and post-fixed for 1.5 h in 2% osmiumtetroxide using the same buffer. The specimens were then embedded in Spurr's medium.

One  $\mu\text{m}$  sections were stained with toluidine-blue for light microscopy. Gold or silver sections were stained with uranyl acetate and lead citrate for electron microscopy. Four light-adapted and two dark-adapted specimens were examined.

### *Electrophysiology*

Experimental procedures closely followed those reported by Lindström and Meyer-Rochow (1987). During preparation, using infra-red image converters mounted on a Wild-5 stereo-microscope, each animal was illuminated by light that had passed through two Kodak Wratten 87 gelatin filters and a heat filter. These were inserted in the ray path of white light coming from a 15 W micro-

scope lamp. The incident light leaving the 3 mm wide tip of a light guide perpendicular to the eye, was centered around the hole through which the recording electrode was lowered some 40–50  $\mu\text{m}$  into the eye. The light spot made by the stimulating light flash covered the entire eye. The light output had been calculated in absolute units ( $\text{qu} \cdot \text{cm}^{-2} \cdot \text{s}^{-1}$ ) by an Airam UVM-8LX luxmeter calibrated by Airam Laboratories for a wavelength of 564 nm (Donner and Lindström, 1980). Based on these readings, we estimate the quantal amount of the flashes of light on the eye to elicit a response to have been  $3.4 \times 10^{10} \text{ qu} \cdot \text{cm}^{-2} \cdot \text{s}^{-1}$ . Measurements of light levels in the field at the site of capture are unavailable, but Lindström and Nilsson (1983) mention summer and autumn figures of  $10^{12}$  and  $10^9 \text{ qu} \cdot \text{cm}^{-2} \cdot \text{s}^{-1}$ , respectively, for a depth of 100 m at "Norwegian northern latitudes." The stimulus time was usually set at 300 ms.

Tips of glass electrodes drawn with an Ealing micro-electrode puller were cut off to an outer diameter of approx. 10  $\mu\text{m}$ . The measuring electrode was filled with a 1 M NaCl solution and connected to a Tektronix 5031 dual beam storage oscilloscope. Setting-up procedures averaged no longer than 5–10 minutes. Thereafter the test animals were given 30 minutes of total darkness to recuperate from the operation. In the spectral sensitivity studies a criterion response of 50 uV was employed. All recordings were made in the AC-setting.

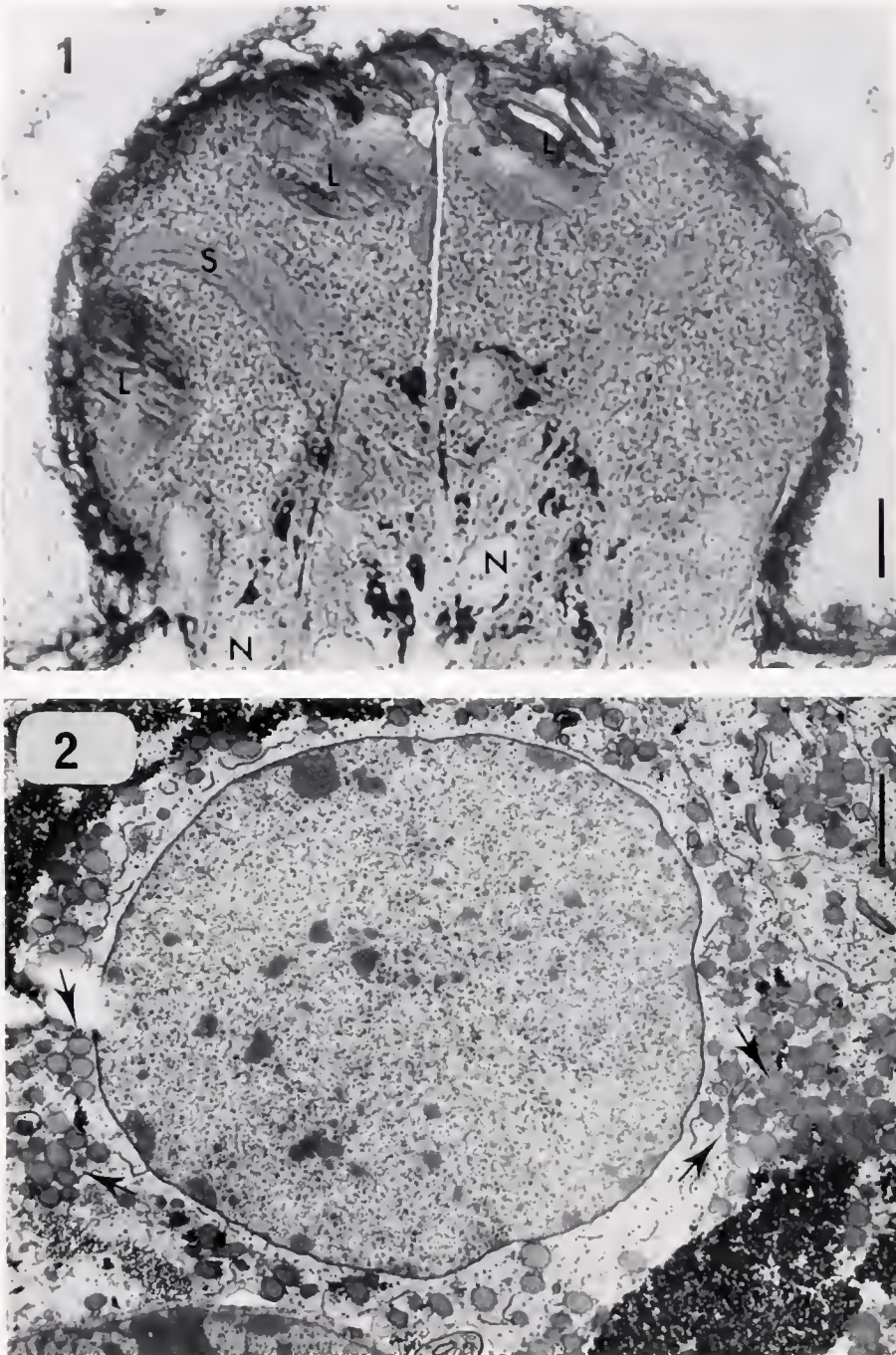
## Results

### *Histology*

*Gross anatomy.* The eye consists of two symmetrical eye halves on a common forward-projecting ocellar lobe, separated from each other along the midline by a 1  $\mu\text{m}$  wide gap (Fig. 1). There are four bright red retinal complexes in each eye half, but facets or corneal lenses are not developed. The integument covering the eye is transparent and uniformly 5  $\mu\text{m}$  thick. Distally, each retinal complex possesses a lenticular structure (diameter approx. 40  $\mu\text{m}$ ) and is in intimate contact with the microvilli of a rhabdom that is produced by retinula cells. The large nuclei (Fig. 2) of these cells are located some 100–150  $\mu\text{m}$  more proximally near the center of the dome-shaped eye.

Cytoplasmic strands of approx. 10  $\mu\text{m}$  diameter swerve in an arc through a massively developed layer of reflecting material and connect retinula cell bodies and rhabdom (Fig. 1). The rhabdom/lens interface is characterized by unclear cell boundaries that give the impression that the two form a functional unit (Figs. 3, 4). The lens component of each such complex, contrary to Fricke (1931), appears to contain more than one nucleus (Fig. 3). Apart from the large, chromatin-rich nuclei on the proximal or lateral sides of the lens, each lens is made





**Figure 1.** Light micrograph of horizontal section through the eye of an adult female. The symmetrical eye halves joined along the midline are apparent and lenticular complexes (L), retinula cell strands (S), and nuclei (N) are clearly visible. Scale = 20  $\mu\text{m}$ .

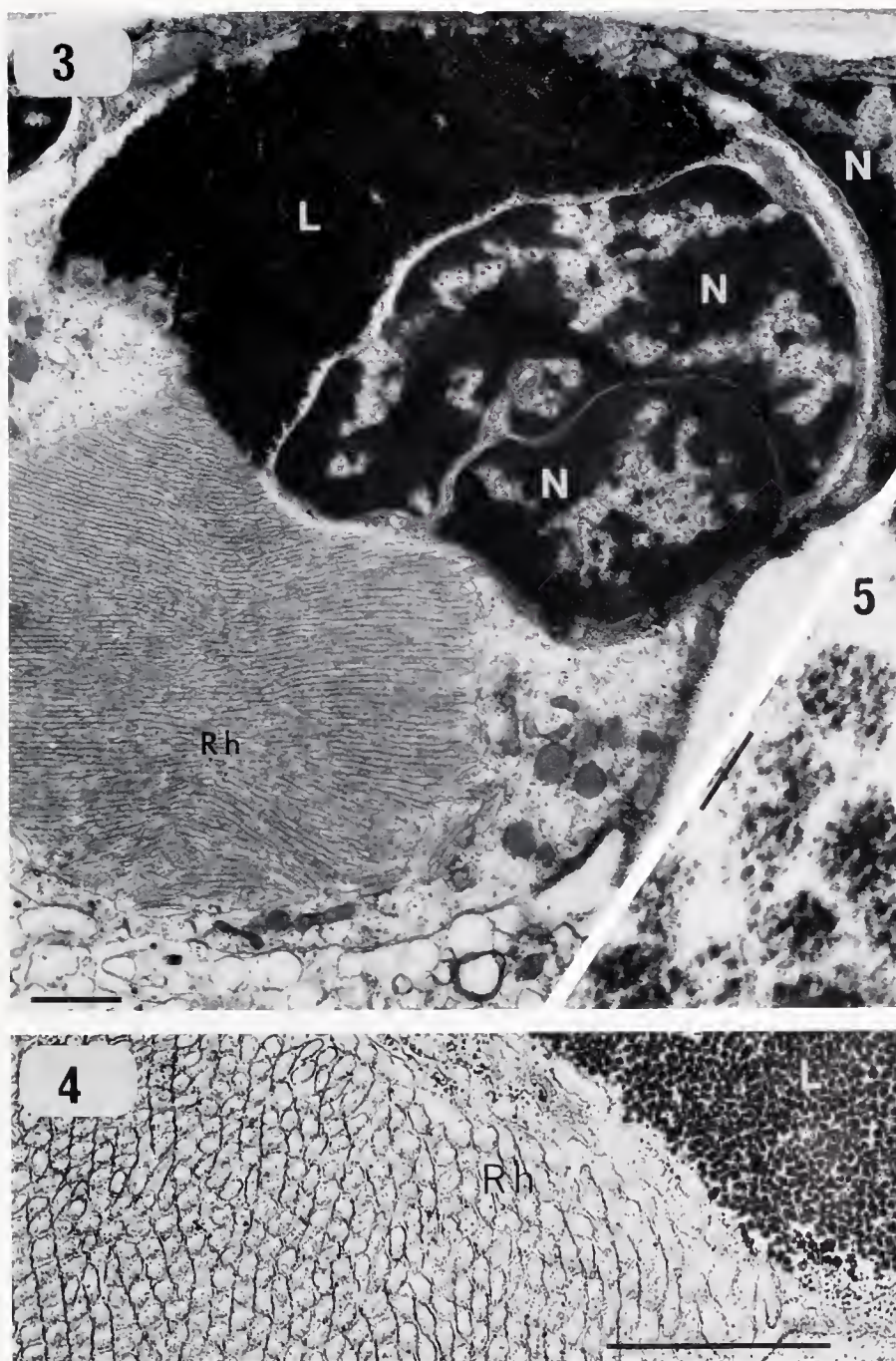
**Figure 2.** Electron micrograph of proximally located retinula cell nucleus with surrounding clusters of electron-opaque glycogen particles and presumed carotinoid bodies (arrows). Scale = 2  $\mu\text{m}$ .

up of a dense aggregation of electron-opaque particles, measuring 30 nm in diameter (Figs. 3, 4). These particles are composed of 40–50 smaller subunits of approx. 6 nm in size (Fig. 5).

Cumacean retinula cells contain uniformly shaped

0.4–0.5  $\mu\text{m}$  large, spherical organelles (Fig. 3). These are identical in appearance and location with bodies identified as carotinoid grains in the crustacean chromatophore (Elofsson and Hallberg, 1973). They are thought to be present in the photoreceptive cells of other peraca-





**Figure 3.** Oblique section through single lenticular complex (L), showing dense aggregations of glycogen-like particles and nuclei (N) as well as the regular alignment of microvilli in the distal portion of the rhabdom (Rh). Scale = 1  $\mu$ m.

**Figure 4.** The borderline between lens (L) and rhabdom (Rh) is fuzzy, which should facilitate cell/cell interactions. Scale = 1  $\mu$ m.

**Figure 5.** Each glycogen-like granule consists of a variable number of minute (approx. 5–6 nm), spherical subunits. Scale = 30 nm.

rids (e.g., Amphipoda: Michel and Anders, 1954; Hallberg *et al.*, 1980; Meyer-Rochow, 1985; Mysidacea: Hallberg, 1977; Isopoda: Nilsson, 1983). Dark, ommochrome-

containing screening pigment granules or melanosomes do not apparently exist in the eye of *D. rathkei*, but reflecting organelles do. The interstitial cells that surround

the retinula cells and isolate individual retinulae (Fig. 6), are crowded with reflecting vesicles of 0.7–0.8  $\mu\text{m}$  in diameter which, on occasions, contained a protein skeleton.

A 14  $\mu\text{m}$  long, multitubular structure was seen in the optic ganglion. This could be mistaken for a rhabdom (Fig. 7) or part of the organ of Bellonci' (Renaud-Morant *et al.*, 1977). However, because of its proximity to glial cells, it probably represents a trophospongium (Scharrer, 1964; Eguchi and Meyer-Rochow, 1983). No further observations on it or the higher visual centers were made.

*Dark/light adaptational changes.* Fricke (1931) observed that the yellow pigment inside the retinula cells of *Cuma rathkei* was not stationary but had the ability to migrate towards or away (= up and down) from the lenticular apparatus. A dark-adapted eye, in which most of the carotenoid pigment is withdrawn and only the whitish reflecting organelles remain, changes within 4 minutes into the light-adapted condition upon exposure to daylight (Fricke, 1931). Ultrastructurally, at night the eye (Fig. 9) had considerably more voluminous rhabdoms than during the day (Fig. 8) and the diameters of the individual microvilli in the "night eye" were significantly enlarged over those of the "day eye" (*i.e.*, 0.09–0.125  $\mu\text{m}$  versus 0.075  $\mu\text{m}$ ). A centrally placed cytoskeletal rod was also more obvious within the dark-adapted microvilli. An actual change in shape of the microvillar transverse profile upon dark and light adaptation, as claimed by Yoshida and Kaga (1983), was not seen.

The border between rhabdom and cytoplasm in the light adapted eye, however, gave the impression of greater jaggedness in comparison to the dark adapted condition. Also there were consistently more tiny ribosome-like dark particles and Golgi bodies in the cytoplasm of the light adapted eye (Fig. 8), and the endoplasmic reticulum was more obvious and more widely distributed. Longitudinally arranged microtubules, along which glycogen-like particles from storage areas near the proximally located retinula cell nuclei could possibly be transported and carotenoid pigment bodies could slide, were identified in both the dark and light adapted eyes (see discussion on transport mechanisms in the crustacean cell; Frixione *et al.*, 1979; Rao and Fingerman, 1983). However, large, empty cisternae and a lack of multi-vesicular and Golgi bodies were predominantly confined to the dark adapted cells. No obvious difference with regard to rise and abundance of mitochondria between dark and light adapted states was seen, but occasionally tiny vesicles (50 nm diameter) budded off the cristae in light adapted mitochondria.

### Electrophysiology

Results were obtained from eight of ten animals tested. Responses were generally small and rarely reached the

maximum amplitude of 360  $\mu\text{V}$ , recorded in one animal to the highest available flash of white light. The ERGs were typical cornea-negative responses of the slow type with little or no positive component (Fig. 10) similar to the responses of the isopod *Porcellio loewi* (Benguerrah and Carricaburu, 1976).

The spectral response curves (Fig. 11) had but one smooth sensitivity peak in the vicinity of 512–549 nm. Responses to long ultraviolet radiation were down by one sensitivity log unit from the maximum, whereas to light of wavelengths greater than 600 nm the drop was even steeper. The curves of the 8 successfully tested animals were remarkably congruent and fitted a Dartnell nomogramme of a rhodopsin visual pigment with  $\lambda_{\text{max}}$  at 530 nm.

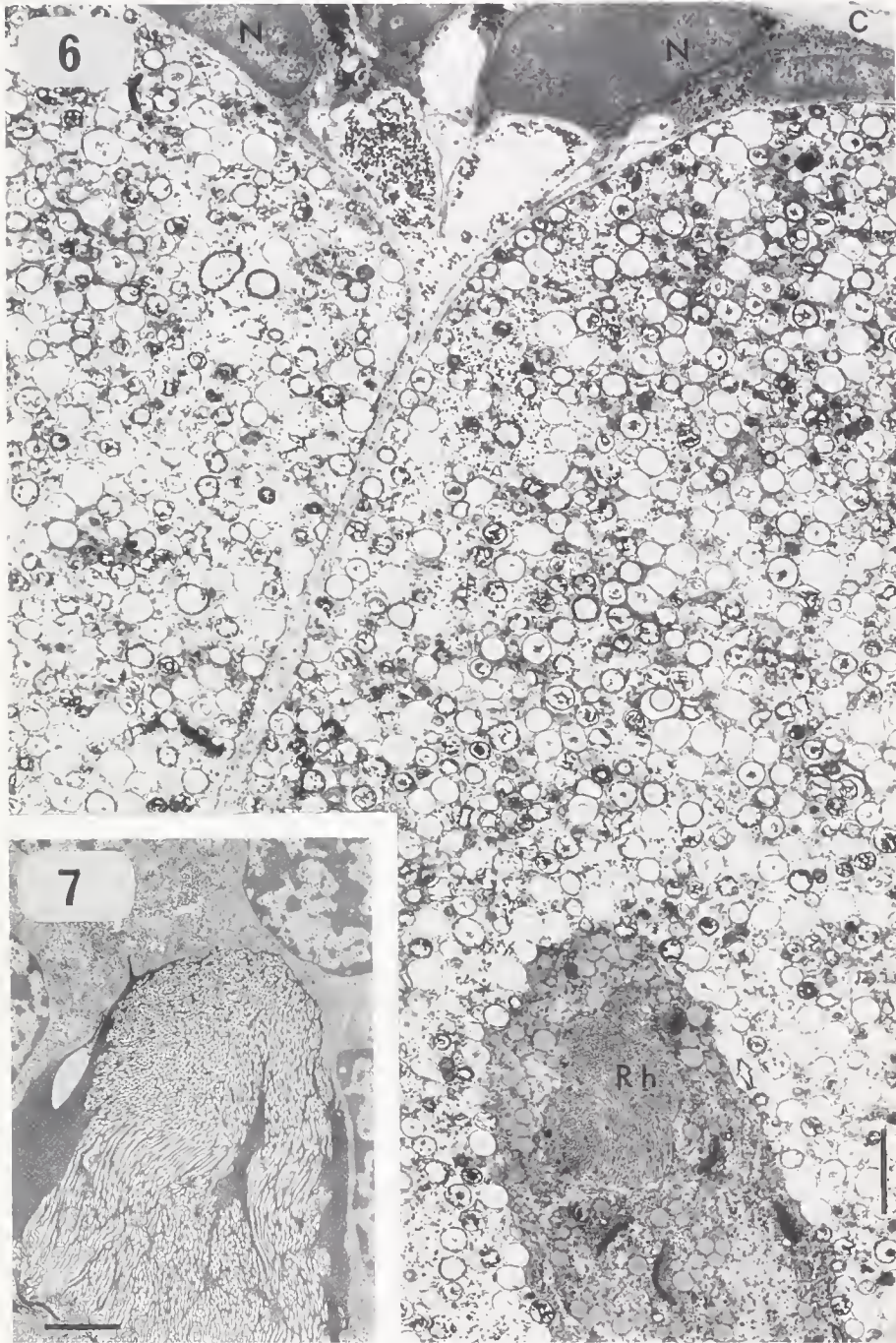
Intensity/response curves to light flashes of 472 nm, 549 nm, and 628 nm were, of course, horizontally shifted and had different maximal amplitudes. They shared more or less the same slope over the linear part of the curve with only the 628 nm curve being slightly less steep (Fig. 12). The fact that there was not even an obvious difference to the  $V/\log I$  curve obtained by using flashes of white light is interpreted as evidence that there is only a single excitatory visual pigment present in the photoreceptor of *D. rathkei* and that the ERG-recordings correctly reflect the eyes' spectral capacity in the scotopic state.

### Discussion

There is nothing about the overall anatomy or ultrastructure of the eye of *D. rathkei* that would preclude it from being functional photoreceptors. Absolute sensitivity is high and spectral sensitivity appears to match the downwelling spectrum of the prevalent light. In fact, the presence of internal lenses of a diameter close to 40  $\mu\text{m}$  and a rhabdom only 20  $\mu\text{m}$  away from the center of the lens would result in an F-number of only 0.5, indicative of considerable light-gathering power in each lenticular complex. We know little about the optical quality or properties of the lens, or whether a radial gradient of refractive index exists as, for example, in the eye of the aquatic beetle *Cybister* (Meyer-Rochow, 1973), that could produce a focus in the distal end of the rhabdom. However, even if the lenticular refractive index only "liegt etwas über dem des Seewassers" (Fricke, 1931), each retinular complex in the eye of *D. rathkei* obtains a further boost in photon-capture from the all-abundant reflecting organelles.

Similarities in lenticular ultrastructure between *D. rathkei* and other arthropods exist. The tiny, compact clusters of electron-opaque particles making up the bulk of the lens or crystalline cones have repeatedly been claimed to represent glycogen material (Perrelet, 1970; Eakin and Kuda, 1971; Elofsson and Odselius, 1975).





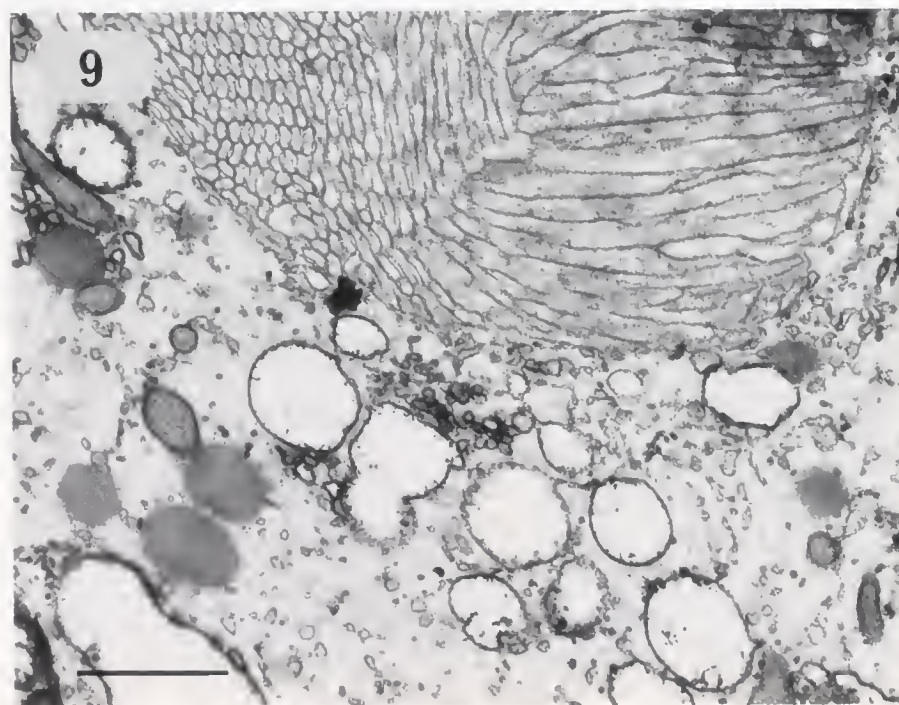
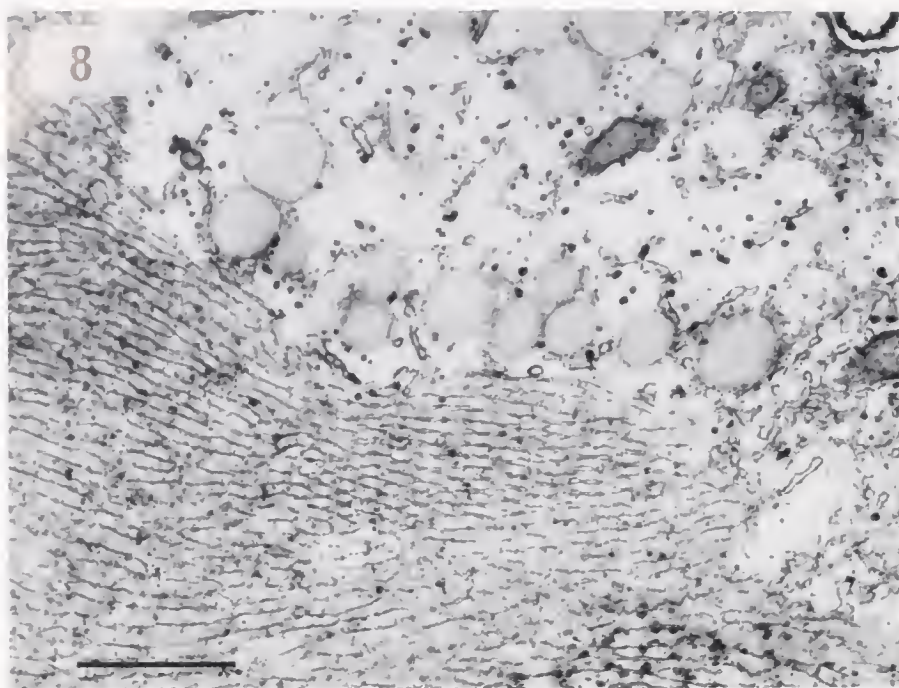
**Figure 6.** Inward-projecting narrow elongations of hypodermal cells (N), under the cuticle (C), separate the two eye halves, whose major components are cells that are crowded with circular vesicles which are thought to be reflecting in nature, to isolate individual retinular (Rh) complexes, and thus to improve photon capture. Scale = 2  $\mu$ m.

**Figure 7.** A short distance behind the eye, this multi-tubular structure, at first glance resembling a rhabdom and probably identical to what Sthl (1938) interpreted as the "X-organ" in the eye-bladder of *D. rathkei*, was located. Scale = 2  $\mu$ m.

The granular fine structure in the center of the crystalline cone in the eye of the mantis *Ciulfina* resembles that of the *D. rathkei* lens, but Horridge and Duelli (1979) state that "the crystalline cone in histological and electron mi-

croscope sections is obviously made of material that is richer in protein than the surrounding tissue." Be this as it may, there seems little controversy about the chemical nature of the intracellular 'islands' of granular inclusions



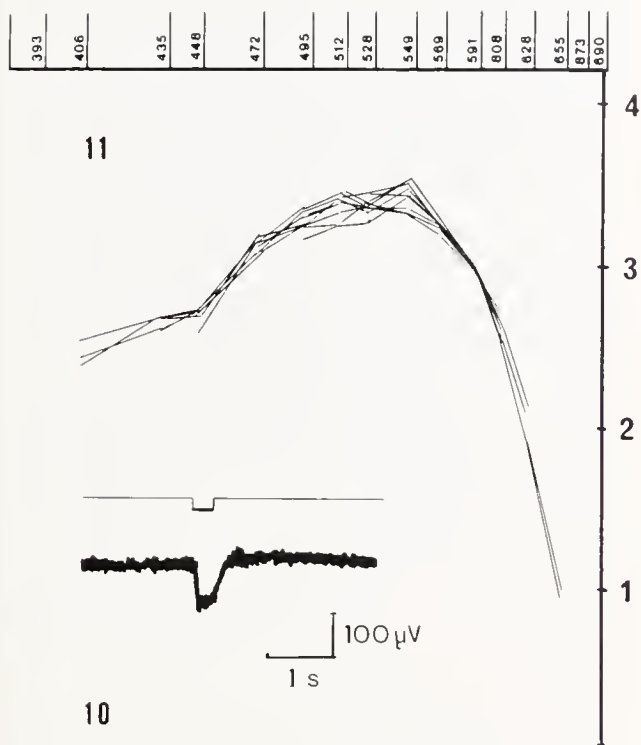


**Figure 8.** In the light adapted condition the total rhabdom volume is reduced, carotenoid organelles migrate towards the rhabdom edge, and microvilli are 75 nm in diameter. Scale = 1  $\mu$ m.

**Figure 9.** In the dark adapted eye the rhabdom volume is enlarged, electron-empty cisternae instead of carotenoid bodies are more numerous around the edge of the rhabdom, and microvillus diameters have become noticeably wider and more variable. Scale = 1  $\mu$ m.

near the retinula cell nuclei, for they are identical to those reported from the eye of the tick *Amblyomma americanum* (Ill and Cromroy, 1977) and intracerebral ocelli

of several species of isopods, in which specific glycogen tests revealed their true character (Martin, 1976). The great abundance of tiny black particles in virtually the



**Figure 10.** The extracellularly recorded ERG responses are cornea-negative potential changes with little or no positive component.

**Figure 11.** The superimposed spectral response curves of eight animals based on a criterion response of 50  $\mu$ V, clearly demonstrate a single sensitivity peak in the range of 512–549 nm wavelengths.

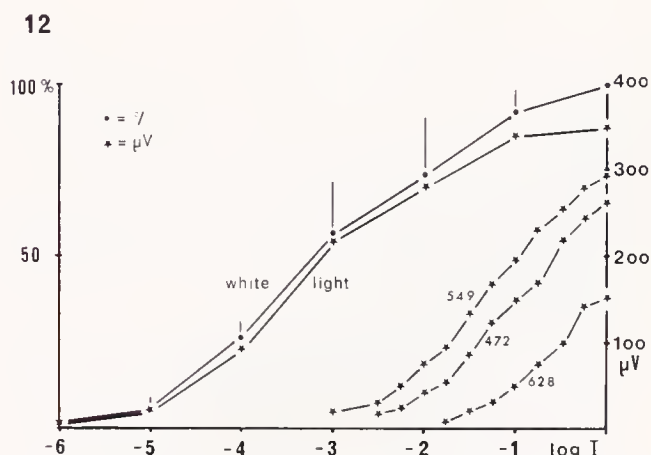
entire retinula cell plasma of the light-adapted eye suggests the involvement of glycogen as an energy source during the energy consuming process of light perception (Hamdorf and Kaschef, 1964; Evequoz *et al.*, 1983). The hypertrophied nature of the endoplasmic reticulum is consistent with, and probably signifies, intense visual pigment synthesis.

The unquestionable increase in microvillus diameter during dark adaptation is somewhat surprising as this would offset the possible gain in sensitivity made by an overall enlargement of rhabdom volume. However, increases in microvillus diameters of a similar magnitude under dark conditions have been reported (Nässel and Waterman, 1979) in the crab *Grapsus grapsus*, in *Gryllus bimaculatus* (Hoff, 1985), and can also be calculated from electron micrographs on the eye of the brine shrimp *Artemia salina* (Hertel, 1980). They are also in agreement with observations by Yoshida and Kaga (1983) if we assume that their "dumbbell-shaped" microvilli in the light-adapted condition actually represented an oblique section through two rows of microvilli. Yoshida and Kaga (1983) state that in the cumacean *Dimorphosyllis asiatica* the change from the 30–50 nm wider dark adapted to the narrower light adapted microvillar ultra-

structure is completed in 10 minutes at 130 lux illumination, but that it requires 3 times longer in reverse. Although not specifically tested in *D. rathkei*, this time course would agree with pigment granule displacements in arthropods that display such dark/light adaptational changes (Meyer-Rochow and Horridge, 1975; Frixione *et al.*, 1979; Hallberg *et al.*, 1980).

The reasons as well as the trigger for *D. rathkei* to occur more frequently in the plankton at certain times of the year (Valentin, pers. comm.) are not fully understood. However, in agreement with crustacean larvae with adults living lower intertidally (Forward and Cronin, 1979), the spectral response curves in *D. rathkei* lack a strong UV-component and resemble those of the barnacle *Balanus amphitrite* (Stratten and Ogden, 1971). According to Stratten and Ogden (1971) an increase in the slope of the response/intensity function points to increased light adaptation. It also narrows the spectral response curve if only a single photopigment is present. This means then that in addition to the filtering properties of the cuticle and lens, the self-screening of the rhabdom and the transmission characteristics of the screening pigments (see also discussions in Goldsmith, 1978, and Meyer-Rochow and Eguchi, 1984), the changing environmental light intensity, not only between day and night, but also between different seasons, must affect the shape of the spectral response curve. That seasons, time of day, and temperature affect the shape of the spectral response curves in various species of crustaceans, has indeed been shown (Nosaki, 1969; Meyer-Rochow and Eguchi, 1984; Hariyama *et al.*, 1986).

The eye of *D. rathkei*, at least when dark adapted, seems useless as an analyzer of color or as an image-



**Figure 12.** Normalised intensity/response curves (dotted) and responses in  $\mu$ V (ordinate on the right) to flashes of white light and light of 472, 549, and 628 nm wavelength. The slopes of the  $V/\log I$  functions are identical apart from that of the 628 nm curve which is slightly less steep.



forming photoreceptor. However, it is quite capable of registering small changes in the quantity and quality of environmental light. Thus it must be of some use to the animal, even though the latter spends most of its life buried in the sand (Kästner, 1959). Segerstråle (1970) invoked photoreception and not the perception of environmental temperature *per se* as the principal agent involved in the coordination of reproduction in the Baltic Sea amphipod *Pontoporeia affinis*. *P. affinis* eyes are also tiny and consist of no more than 30–40 facets (Donner, 1971). Our study of the eye of *D. rathkei* suggests that photoreceptive properties and changes of the latter, perhaps in conjunction with the seasonal fluctuation of consumed carotenoids (Czeczuga, 1980), could be equally important in Cumacea. One could speculate that they are involved in coordinating vertical migrations and synchronising mating activities.

### Acknowledgments

The authors thank the Alexander von Humboldt Foundation (Bonn, West Germany) and the Finnish Academy of Sciences for the support rendered towards this project in Germany and Finland, respectively. Further, V. B. Meyer-Rochow acknowledges the help of Dr. Stephan (University of Kiel, W. Germany) and the crew of research cutter "Alkor" in catching the animals, and he is indebted to the Meat Industry Research Institute of New Zealand for making available electron microscope facilities. Finally V. B. Meyer-Rochow expresses his gratitude to Professor Dr. W. Noodt and the staff of the Zoological Museum (University of Kiel) for their fine hospitality.

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## Inorganic Aspects of the Blood Chemistry of Ascidians. Ionic Composition, and Ti, V, and Fe in the Blood Plasma of *Pyura chilensis* and *Ascidia dispar*

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**Abstract.** Iron, titanium, and vanadium analysis were performed on the tunicates *Pyura chilensis* Molina, 1782, and *Ascidia dispar*, and the inorganic chemistry of blood was investigated. The major ionic characterization of the blood plasma and cytosolic solutions were determined. Gel chromatography was used to secure information on the possible existence of metal organic complexes.

*Pyura chilensis* accumulates Fe and Ti, and *Ascidia dispar* accumulates Fe, Ti, and V in blood cells in this quantitative order. Significant levels of metals are associated with cell residues (membrane cells), although this may be, to some extent, dependent on the cell lysis technique.

The elution behavior of plasma in Sephadex G-75 and LH-20 gels and the respective absorption spectra of the fractions showed evidence of organic metal complexes in the plasma of both tunicate species.

### Introduction

For years tunicates have piqued the curiosity of biologists because of their unusual physiological peculiarities and because they may have given rise to the vertebrates (Berril, 1955). Among the physiological peculiarities that distinguish these organisms from others are the following: (i) They need a low tension of oxygen (Goodbody, 1974). To date, no reversible binding of oxygen has been detected nor the unequivocal existence of a proteic O<sub>2</sub> transport compound that transports O<sub>2</sub> through the blood (Macara *et al.*, 1979a; Agudelo *et al.*, 1982). (ii) They are entirely ammonotelic in their protein metabo-

lism, but are uricotelic with respect to nucleic acid metabolism. Therefore, they differ from most invertebrates that are wholly ammonotelic, accumulating uric acid and purines in nephrocyte vacuoles (Goodbody, 1974; Wright, 1981). The functional importance of this storage remains obscure. (iii) They are capable of humoral and cellular immunological responses (Wright, 1981) and are rich in bio-active substances (Roman, 1986). (iv) They accumulate metal ions.

With respect to metal ions, tunicates are known for the uptake of selected metals from seawater and for accumulating them in their blood (Carlisle, 1968; Swinehart *et al.*, 1974; Senozan, 1974; Biggs and Swinehart, 1976). Members of the order Enterogona can accumulate vanadium (Kustin *et al.*, 1975; Kustin and McLeod, 1977; Macara *et al.*, 1979b; Biggs and Swinehart, 1979; Botte *et al.*, 1979; Dingley *et al.*, 1981; Hori and Michibata, 1981; Rowley, 1982; Dingley *et al.*, 1982). However, the type of coordination compound(s) in which the metal is involved in the blood is unknown (Carlson, 1975; Tullius *et al.*, 1980; Dingley *et al.*, 1982; Hawkins *et al.*, 1983a; Bruening *et al.*, 1985; Frank *et al.*, 1986). Members of the order Pleurogona, sub-order Stolidobranchiata, accumulate iron (Endean, 1955a, b, c; Agudelo *et al.*, 1982; Agudelo *et al.*, 1983a, b; Agudelo *et al.*, 1985), but nothing is known about its function in blood cells (Hawkins *et al.*, 1983b). In plasma, iron is associated with transferrin-like metalloproteins (Martin *et al.*, 1984; Finch and Huebers, 1986).

Hawkins *et al.* (1983c) proposed that ascidian taxonomy reflects a separation into vanadium- and iron-containing species. Tunicates accumulate other metals besides vanadium and iron (Monniot, 1978; Macara *et al.*, 1979c; Agudelo *et al.*, 1981; Rowley, 1982), which may

not be essential elements subjected to selective accumulation mechanisms. Sessile filter feeding animals are very sensitive to their immediate environment, and significant amounts of contaminating metallic elements could be taken up by ascidians (Papadopoulou and Kanas, 1977).

In processes in which metals are accumulated in blood cells, it is logical that metals make a transient or permanent appearance in blood plasma. Once metals gain access to the body interior, they must be appropriately distributed, but because of its hydrolysis property some of these metals cannot be held in solution, in the interior media, without some mechanism to prevent its precipitation.

No metalloproteins such as hemocyanin have been reported in ascidian blood plasma. However, Hawkins *et al.* (1980a) and Webb and Chrystal (1981) studied the metal binding properties—including spectral characterization and metal contents—of some tunicates (Hawkins *et al.*, 1980b). They found preliminary evidence of metal complexing. This was confirmed by Martin *et al.* (1984) in the plasma of *Pyura stolonifera*, by demonstrating an iron-binding protein of about 40,000 daltons molecular weight with one iron-binding site considered as one *Pyura* transferrin (Finch and Huebers, 1986).

In this work, the Ti, V, and Fe contents were determined in several tissues. Also, the major characterization and chromatographic elutive behavior on Sephadex G-75 and LH-20 gels of *Pyura chilensis* Molina, 1782, and *Ascidia dispar* blood plasma were examined. These are two phylogenetically diverse ascidians.

### Materials and Methods

Chemicals were from Merck. 3,3'-dimethylnaphthidine was from Eastman organic chemicals and ophenanthroline hydrochloride was from Riedel-De Haen. Sephadex G-75, LH-20 gels and blue dextran 2000 were from Pharmacia Fine Chemicals. Deionized water was prepared from distilled water passed through a disposable demineralizer cartridge (Corning 3508-B).

Specimens of *P. chilensis* and *A. dispar* were collected at Bahía Mejillones del Sur (Antofagasta-Chile) from marine pools, in which they were found as encrusting fouling organisms. *P. chilensis* affixes itself to ropes while *A. dispar* attaches itself to painted floating metallic barrels where they coexist with hydrozoans and bryozoans. Before drawing blood, specimens were maintained for some time in seawater at room temperature, and then were gently squeezed to remove most of the seawater.

Blood samples of both species were obtained by cutting the base of the body. Blood cells were removed from the plasma by centrifuging (2500 rpm; 10 min). Plasma was kept at 4–5°C while carried to the laboratory and was used as soon as possible.

Cellular residues presumably consisted of cell membranes. No distinction was made between cell surface and intracellular membranes. Cell samples were rinsed with seawater and then subjected to two different cell lysis processes. In the first procedure, cells were subjected to three freeze-thaw cycles in deionized water media (1.4 parts of triturated ice + 2 parts of  $\text{CaCl}_2 \times 6\text{H}_2\text{O}$  freeze/room temperature), gently squeezed with a cell teflon homogenizer, and then centrifugated at 8000 rpm. In the second procedure cells were subjected twofold to an excess of methanolic solution of 0.75% HCl (Hawkins, pers. comm.) and centrifuged at 8000 rpm. In both cases the cytosolic solution and methanolic extract were made up to the original volume from which the cells were obtained. Whole blood samples of *P. chilensis* were subjected to the first cell lysis procedure, but without deionized water. A Sorvall refrigerated centrifuge was used.

### Metal analysis

Prior to the Ti, V, and Fe determinations in specimens and tissues, a qualitative analysis was performed on digested blood cells. Cells rinsed with microfiltered seawater were digested with binary  $\text{HNO}_3/\text{HClO}_4$  acid system (Jones *et al.*, 1982), performing assays for Cu, Mn, Fe, Ni, Co, Ti, V, and Nb (Feigl and Anger, 1972). Mn and Fe were also subjected to semi-quantitative assays with Merckoquant sticks.

*Pyura chilensis* and *Ascidia dispar* were analyzed individually. Tissues including blood were obtained from 10–20 specimens of *P. chilensis* and 30–50 specimens of *A. dispar*. Bodies were separated from tunics and rinsed with filtered seawater. Tunics were gently scrubbed with a plastic brush to remove dirt and rinsed in a similar manner. Siphons and tunics were cut off with a hard acrylic knife. Specimens and tissues, including some samples of plasma, cells, and cellular residues, were then dried at 110°C to constant weight, digested with a binary acid procedure (Jones *et al.*, 1982), and then treated according to the respective metal analysis.

In tissues, iron was determined with 1,10-phenanthroline (Sandell, 1959; Fries, 1972), and Ti and V were separated (Korkisch, 1969; Fukasawa and Yamane, 1977) prior to their determinations. Titanium was determined according to Qureshi *et al.* (1968), and vanadium using the methods of Bannard and Burton (1968) and Fukasawa and Yamane (1977). In the fractions, iron was determined using 2,4,6-tri-2 pyridyl-1,3,5-triazine (Collins *et al.*, 1959; Box, 1981), and vanadium and titanium as above, without separating them after digestion of the fractions with a binary  $\text{HNO}_3/\text{HClO}_4$  acid system (Jones *et al.*, 1982).

Blank controls were used in every metal analysis, and except in the fractions, all the determinations were performed in triplicate.



*Determination of the major ionic composition and relative reduced feature of the fluids*

Chlorinity and salinity were determined conductimetrically with respect to standard seawater at 25°C (conductimeter Radiometer CDM 2e, with a standard cell CDC 104). Chloride was determined by Mohr titration and sulphate by direct titration with barium perchlorate using Thorin as indicator. Subsequently, cations were removed by passing the sample through a strong acid cation exchange resin column (Fritz and Yamamura, 1955), except in seawater in which case sulphate was determined gravimetrically as BaSO<sub>4</sub>. Successive determination of calcium and magnesium were made by potentiometric titration with a calcium ion selective electrode (Roman *et al.*, 1982); Na, K, and Li analysis were performed by flame emission spectrophotometry on a Radiometer FLM-3; pH measurements were made potentiometrically on a Radiometer pH Meter 26 with glass membrane electrode. All major component determinations were made in triplicate.

The relative reduced feature of the plasma and cytosolic solutions were tested by two redox potentiometric titrations (non-standard biochemical methods). In the first, aliquot samples (10–20 ml) in polypropylene vessel were put into a Radiometer TTA-80 titration assembly, acidified with 0.75% HCl, and then titrated with a standard solution 0.1 *N* KMnO<sub>4</sub>. In the second, aliquot samples (10–20 ml) were acidified with 2 ml of concentrated HClO<sub>4</sub>, treated with 5 ml of a standard solution 0.1 *N* K<sub>2</sub>Cr<sub>2</sub>O<sub>7</sub>, and then titrated with a standard solution of Fe(II).

*Chromatographic fractionation of blood plasma*

Fractionations were performed on Sephadex G-75 and LH-20 gels, in thermostated chromatography columns (Pharmacia Fine Chemicals K26/40) loaded with 4 g of Sephadex G-75 and 13 g of Sephadex LH-20, respectively. The column temperature was 20°C, but all samples and eluants were cooled at 4–5°C. Plasma samples were concentrated by freeze-dry (Freezer-dryer-5 Lab-conco), five-fold for *P. chilensis* and two-fold for *A. dispar* before running the chromatography procedures. The void volume of the column (*V*<sub>0</sub>) was determined using blue dextran-2000, and the bed volume (*V*<sub>i</sub>) was calculated according to the height and diameter of the gel column.

In G-75 chromatography the sample volumes were 6 and 10 ml for *A. dispar* and *P. chilensis*; the eluants were 0.01 *M* NaCl and 0.06 *M* acetic acid, respectively, cooled and deaerated, collecting fractions of 10 ml (plasma of *A. dispar*) and 6 ml (plasma of *P. chilensis*). The absorbance at 278, 288, 310, 375, 454, and 675 nm (plasma of *P. chilensis*), 265 and 322 nm (plasma of *A. dispar*),

and the metal analysis in all fractions were monitored with respect to eluant solutions, previously passed through the respective column, as reference or blank, respectively.

In LH-20 chromatography, to minimize inhomogeneities in the column the gel was packed after swelling in deaerated methanol. One bed volume column of each of the following eluants: water, 25, 50 and 75% methanol in water (v/v), were then passed through the column, followed by 99.8% methanol, collecting two 10 ml fractions per eluant for use as reference or blank solutions. The column was then loaded with the sample (10 ml). Chromatography was performed using 1.5 bed volume of each cooled deaerated methanol/water gradient from 0 to 99.8% methanol according to Macara *et al.* (1979b), collecting 6 ml (plasma chromatography of *P. chilensis*) and 10 ml (plasma chromatography of *A. dispar*) fractions. Absorbance at 272, 288, 310, 320, 375, 454, and 675 nm (plasma of *P. chilensis*), 266, 280, 326 and 660 nm (plasma of *A. dispar*), and metal analysis in all fractions were monitored. Ultra-violet and visible spectra were recorded for whole plasma and the peak-fractions from the eluting patterns, employing a Beckman 35 spectrophotometer. All other absorciometric measurements were also made using this instrument.

## Results

### *Metal analysis*

Metal concentrations found on specimens and various tissues of tunicates are listed as mg/Kg dry weight (Table I). Concentrations for plasma are given in mg/l. Ni, Co, Mn, and Nb were not detected in blood cells. Higher concentrations of iron and titanium, and iron, titanium, and vanadium were found in *P. chilensis* and *A. dispar* blood cells, respectively. Although V was not detected in *P. chilensis* blood cells and was found in *A. dispar* blood cells, only trace levels of it were found in both blood plasmas. Ti was not detected in *P. chilensis* blood plasma.

Results of the metal analysis in cell lysate (cytosolic solution), calculated by the difference between the metal contents in whole blood cells and in blood cell residues, are tabulated as percentage of metals in Tables IIa, b, c, respectively. Here, the cellular residues were not washed with acid prior to analysis. Aqueous and 0.75% HCl/methanol cell lysis procedures were considered (*P. chilensis*). These results show that iron content in cell residues from aqueous and HCl/methanol cell lysis procedures are low and comparable, but the titanium content, surprisingly, was higher and greater in the cellular residues than in the cytosolic solution for both cell lysis procedures, but higher in cell residues from HCl/methanol lysis method. Therefore, it is possible that metallic precipitation by extensive hydrolysis (Agudelo *et al.*, 1983b,

Table I

Relative distribution of Fe, Ti, and V contents (mg/kg dry) in *Pyura chilensis* and *Ascidia dispar*

|                                  | <i>P. chilensis</i> |       |      | <i>A. dispar</i> |         |       |
|----------------------------------|---------------------|-------|------|------------------|---------|-------|
|                                  | Fe                  | Ti    | V    | Fe               | Ti      | V     |
| Specimen                         | 191.8               | n.d.  | n.d. | 94.1             | 107.2   | 25.7  |
| Body (without tunic)             | 84.3                | n.d.  | n.d. | 717.4            | 53.1    | 25.4  |
| Siphons                          | 70.7                | n.d.  | n.d. | 86.4             | 16.2    | 34.8  |
| Tunic                            | 243.9               | n.d.  | 1.5  | 74.3             | 125.6   | 0.4   |
| Blood plasma                     | 45.0                | n.d.  | 1.9  | 93.7             | 61.4    | 22.0  |
|                                  | <sup>a</sup> (1.5)  | n.d.  | 0.06 | 2.9              | 2.1     | 0.8)  |
| Blood cells                      | 1,105.4             | 277.8 | n.d. | 2,181.5          | 1,552.5 | 692.9 |
| Blood cell residues <sup>b</sup> | 7.4                 | 132.8 | n.d. | 586.4            | 784.1   | 163.7 |
| Blood cell residues <sup>c</sup> | 17.3                | 258.9 | n.d. | d                | d       | d     |

<sup>a</sup> mg/l; <sup>b</sup>from lysed cell preparations produced by subjecting the cell samples to several freeze-thaw cycles in deionized water media and then centrifuging them at 8000 rpm; <sup>c</sup>from lysed cell preparations produced by subjecting the cell samples to treatment with methanolic solution of 0.75% HCl centrifuging them at 8000 rpm; <sup>d</sup>not determined; n.d. = not detected.

1985) may have been minimized during water cell lysis in the conditions of this work. Thus, it appears that more attention should be focused on tunicate blood cell lysis procedures.

Table IIa

Relative iron distribution in blood cells as determined in pooled samples

| Species             | Cytosolic <sup>d,e</sup><br>solution | Cell<br>residues  |
|---------------------|--------------------------------------|-------------------|
| <i>P. chilensis</i> | 99.3%                                | 0.7%              |
| <i>P. chilensis</i> | 98.4% <sup>f</sup>                   | 1.6% <sup>f</sup> |
| <i>A. dispar</i>    | 73.1%                                | 26.9%             |

Table IIb

Relative titanium distribution in blood cells as determined in pooled samples

| <i>P. chilensis</i> | 52.2%             | 47.8%              |
|---------------------|-------------------|--------------------|
| <i>P. chilensis</i> | 6.8% <sup>f</sup> | 93.2% <sup>f</sup> |
| <i>A. dispar</i>    | 49.5%             | 50.5%              |

Table IIc

Relative vanadium distribution in blood cells as determined in pooled samples

| <i>A. dispar</i> | 76.4% | 23.6% |
|------------------|-------|-------|

<sup>d</sup> Calculated by difference between contents in whole blood cells and in blood cells residues (Table I); <sup>e</sup>in respect to lysed cells preparations produced by subjecting the cell samples to several freeze-thaw cycles in deionized water media/centrifuging them at 8000 rpm; <sup>f</sup>with respect to lysed cell preparations produced by subjecting the cell samples to treatment with a methanolic solution of 0.75% HCl/centrifuging them at 8000 rpm.

### Ion composition and reduced tendency of blood fluids

The pH and ionic composition of plasma, lysed whole blood, and cytosolic solutions, for both species, are shown in Tables III and IV. The sulphate content in *A. dispar* plasma was greater than in *P. chilensis*, but both contents were lower than in seawater. In the cytosolic solutions, e.g., aqueous intracellular media, the concentrations of sulphate were low with respect to the plasma. Calcium and magnesium contents in *P. chilensis* plasma are higher than in *A. dispar*. In *P. chilensis* some enrichment occurred with respect to seawater, which also occurs for sodium and potassium. Calcium, magnesium, sodium, and potassium contents also were lower in whole lysed blood than in plasma (*P. chilensis*). In *P. chilensis* blood cells, the sodium concentration in the cytosolic solution is only 50% of the *A. dispar* cytosolic solution. However, potassium concentration is very low.

The pH of the whole lysed blood (*P. chilensis*) was nearly alkaline, the salinity almost equal to the seawater from which the specimens were obtained. The sulphate concentration was only 62% of its concentration in blood plasma.

Plasmas, 0.75% HCl/methanolic extracts from blood cells, and cytosolic solutions had reducing tendency in both species in respect to dichromate and permanganate, respectively.

### Spectral-separative chromatographic behavior of iron, titanium, and vanadium in plasma

*P. chilensis* plasma is pink-orange and *A. dispar* plasma is greenish-yellow. Figure 1 shows the UV-visible spectra of both species' plasma. The bands 265–290, 300–330, and 675 nm regions were common to both



Table III

Ionic composition of the blood plasma of *Pyura chilensis* and *Ascidia dispar*

|                                     | Plasma<br><i>P. chilensis</i> | Plasma<br><i>A. dispar</i> | Surface<br>coastal<br>seawater <sup>a</sup> |
|-------------------------------------|-------------------------------|----------------------------|---------------------------------------------|
| Chlorinity                          | 19.38                         | 18.64                      | 19.44                                       |
| Salinity                            | 32.35                         | 33.67                      | 35.13                                       |
| Cl <sup>-</sup> (g/l)               | 19.86                         | 19.05                      | 19.51                                       |
| SO <sub>4</sub> <sup>2-</sup> (g/l) | 0.60                          | 0.79                       | 2.60                                        |
| Ca <sup>2+</sup> (g/l)              | 0.51                          | 0.34                       | 0.44                                        |
| Mg <sup>2+</sup> (g/l)              | 1.54                          | 1.14                       | 1.33                                        |
| Na <sup>+</sup> (g/l)               | 15.68                         | 9.34                       | 11.16                                       |
| K <sup>+</sup> (g/l)                | 0.78                          | 0.42                       | 0.40                                        |
| Li <sup>+</sup> (mg/l)              | 0.87                          | 0.84                       | 1.32                                        |
| pH                                  | 6.77                          | 6.48                       | 8.03                                        |
| Na/K                                | 0.33                          | 0.30                       | 0.23                                        |
| Ca/Mg                               | 20.10                         | 22.20                      | 27.90                                       |

<sup>a</sup> Surface coastal seawater of Bahía Mejillones del Sur.

spectra, with a light bathochromic effect in the UV bands of *A. dispar* plasma with respect to the *P. chilensis* UV spectrum of plasma, which also shows a shoulder in the 280–290 nm zone.

The elution patterns detected at 265 nm for *A. dispar* and at 310 nm for *P. chilensis* are given in Figure 2a. None of the *P. chilensis* fractions were colored, but fractions 9–11 were yellowish in *A. dispar* plasma chromatography. The elution profiles of iron and vanadium for

Table IV

Ionic composition of lysed whole blood and cytosolic solutions of *Pyura chilensis* and *Ascidia dispar*<sup>a</sup>

|                                     | Lysed whole<br>blood of<br><i>P. chilensis</i> <sup>b</sup> | Cytosolic<br>solution of<br><i>P. chilensis</i> <sup>c</sup> | Cytosolic<br>solution of<br><i>A. dispar</i> <sup>c</sup> |
|-------------------------------------|-------------------------------------------------------------|--------------------------------------------------------------|-----------------------------------------------------------|
| Chlorinity ‰                        | 19.74                                                       | n.m.                                                         | n.m.                                                      |
| Salinity ‰                          | 35.66                                                       | n.m.                                                         | n.m.                                                      |
| Cl <sup>-</sup> (g/l)               | 19.57                                                       | n.m.                                                         | n.m.                                                      |
| SO <sub>4</sub> <sup>2-</sup> (g/l) | 0.37                                                        | 0.070                                                        | 0.051                                                     |
| Ca <sup>2+</sup> (g/l)              | 0.30                                                        | n.d.                                                         | n.m.                                                      |
| Mg <sup>2+</sup> (g/l)              | 1.03                                                        | n.d.                                                         | n.m.                                                      |
| Na <sup>+</sup> (g/l)               | 9.59                                                        | 0.051                                                        | 0.104                                                     |
| K <sup>+</sup> (mg/l)               | 484.90                                                      | 11.20                                                        | 0.30                                                      |
| Li <sup>+</sup> (mg/l)              | 0.94                                                        | n.d.                                                         | 0.02                                                      |
| pH                                  | 7.82                                                        | 7.01                                                         | 7.36                                                      |
| Na/K                                | 0.29                                                        | 4.6                                                          | 346.7                                                     |
| Ca/Mg                               | 19.8                                                        | —                                                            | —                                                         |

<sup>a</sup> Analysis on lysed whole blood of *A. dispar* were not made due to lack of samples; <sup>b</sup>from subjecting the samples of blood to several freeze-thaw cycles and then centrifuging at 8000 rpm; <sup>c</sup>from subjecting the cell samples to several freeze-thaw cycles with deionized water and then centrifuging them at 8000 rpm; n.m. = not measured; n.d. = not detected.

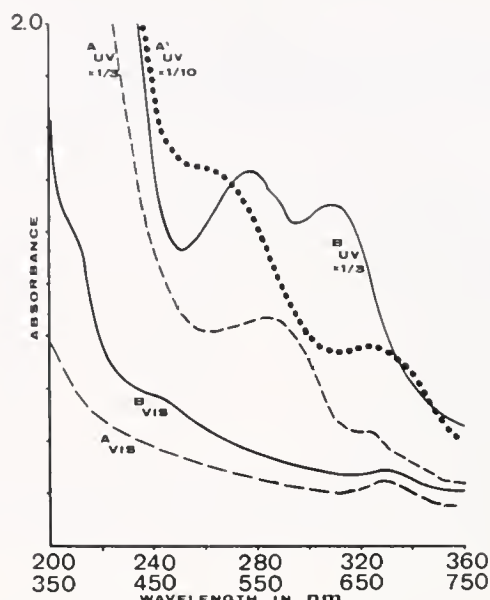


Figure 1. Ultraviolet-visible spectra of blood plasma of *Ascidia dispar* (concentrated twofold by freeze-dry and acidified at pH 3 with acetic acid, that also was the reference solution A<sub>UV</sub> ····; fresh, water as reference A<sub>UV,VIS</sub> — — —), and *Pyura chilensis* (fresh, water as reference B<sub>UV,VIS</sub> solid line). Cell pathlength 1 cm. Dilution shown were applicable.

*P. chilensis*, and iron, titanium, and vanadium for *A. dispar* are also presented in Figure 2b. In both species patterns, two peaks were obtained with respect to absorbance, each one in fractions 3, 4; 6–9 (*A. dispar*), and 5, 6; 10–12 (*P. chilensis*). The first band eluted was in the void volume of the column ( $V_0 = 30$  ml) and should have contained compounds with greater molecular weight or at least comparable to the upper exclusion limit of the G-75 column bed. The second band eluted was at a greater volume than  $V_0$  ( $V_e = 61$  and 67 ml for *P. chilensis* and *A. dispar* plasmas, respectively) and should have contained compounds with less molecular weight or comparable to the lowest exclusion limit of the G-75 column bed. This also should be valid for the yellow fractions (9–11) from *A. dispar* plasma chromatography. The absorbance profile at 322 nm showed equal characteristics for *A. dispar*, and the same occurred with the profiles at 278, 288, 375, 454, and 675 nm for *P. chilensis*.

Four peaks were obtained for *P. chilensis* with respect to the iron content in fractions, whose elution volumes ( $V_e$ ) were 13, 25, 55, and 67 ml. The second peak had the same values of the chromatographic behavior parameter ( $V_e/V_0$ ,  $V_e/V_t$ ,  $K_{av}$ ) of the first band in function of absorbance at 310 nm, and so on. These fractions (5, 6) should have contained iron compounds of high molecular weight, found for the first iron band. The other peaks should correspond to iron compounds of low molecular weight. Vanadium was also eluted after the bed volume.

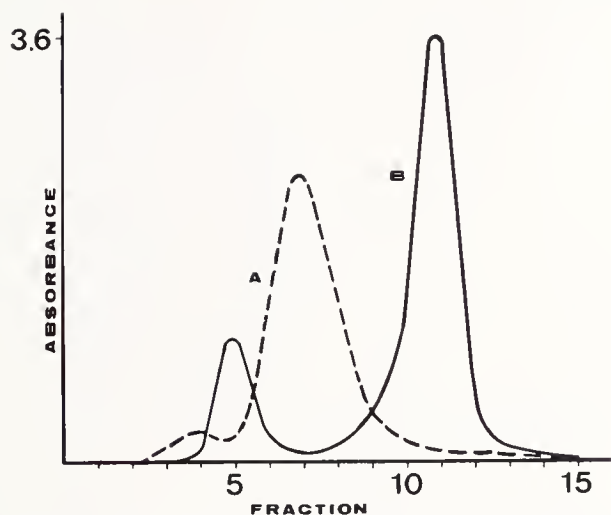


Figure 2a. Elution patterns of blood plasma of *Ascidia dispar* from Sephadex G-75 chromatography at 270 nm (6 ml concentrated twofold by freeze-drying, 6 ml fractions, A dash line), and *Pyura chilensis* at 310 nm (10 ml concentrated fivefold by freeze-drying, 6 ml fractions, B solid line).

Four bands were also obtained for *A. dispar* with respect to iron content in fractions ( $V_e = 57, 87, 107$ , and  $137$  ml). None had the same values of the chromatographic parameters of the bands in function of absorbance at 265 nm. The four  $V_e$  values are greater than the  $V_t$ , therefore they should not contain iron compounds of high molecular weight. However, for titanium (three bands,  $V_e = 37, 87$ , and  $117$  ml) the first peak is superposed and similar in the profile at 265 nm, which should mean that it corresponds to titanium compounds with a high molecular weight. The other bands are after the bed volume. The eluted vanadium show increasing contents after fraction 10, for which only two bands were considered ( $V_e = 67$  and  $87$  ml), both after the bed volume, where the first is superposed with the second peak at 265 nm.

The elution profiles for *P. chilensis* and *A. dispar* blood plasma chromatography on Sephadex LH-20, employing methanol/water gradient as eluants are given in Figures 3 and 4. None of the fractions were colored. At 272 nm, two major bands and one shoulder were obtained for *P. chilensis*, each in fractions 6–9, 11–13, and 14–15. At 310 nm, three bands and two shoulders were obtained, each in fractions 6–9, 11–12, 14–15, and 18–19, respectively. Profiles were also detected at 288 nm (which is superposed with the profile at 272 nm), 320, 375 nm (which were superposed with the profile at 310 nm), and at 454, and 675 nm, which were superposed between them (no bands were obtained in fractions 5–6, 7–8, 10–11, and 15–16).

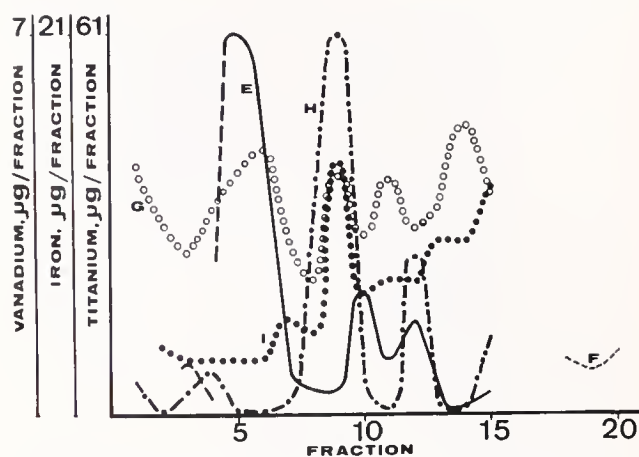


Figure 2b. Elution patterns of metal contents per fraction from Sephadex G-75 chromatography: in plasmas of *Pyura chilensis* (iron E solid line; vanadium F—), and *Ascidia dispar* (iron G open circles; titanium H—; vanadium I closed circles). Conditions, samples, and fraction volumes are of Figure 2a.

In *A. dispar*, 6 peaks and 1 shoulder were obtained at 266 nm, each in fractions 4–6, 9, 15–16 (shoulder), 21–22, 25, 29, and 34 (small). At 326 nm one major band was obtained (fractions 3–6), although two small peaks were also observed at fractions 29 and 34, respectively. In addition, patterns were detected at: 288 nm (that was not superposed with the profile at 266 nm, only for the shoulder, fraction 11) and at 660 nm (no bands were obtained in fractions 2–3 and 33–34).

Iron was eluted in all LH-20 chromatography of *P. chilensis* plasma. The  $V_e$  of the main bands were at 49, 61, 85, 97, 115, 133, and 235 ml. The first three bands were superposed with the respective eluting peaks at 272 nm, and also with three eluting bands of the profile at 310, and with two peaks of eluting profile at 675 nm. Most of the main iron bands in the profiles were observed at a greater volume than  $V_t$  of the bed column, and after fraction number 20, appeared not to have association with the patterns at 272, 288, 310, 375, 454, and 675 nm. Vanadium was not considered in this opportunity.

Iron was also found in all LH-20 chromatography of *A. dispar*, and the  $V_e$  of the main peaks were obtained at 35, 55 (shoulder), 115, 145, 165, 195, 215, 265, 295, and 330 ml, in which the chromatographic parameters of any of them correlates with the eluting peaks with respect to absorbance eluting patterns. Titanium was not found in fractions 7–14, and the  $V_e$  of the main bands were obtained at 45, 155, 185, 205, 265, and 305 ml. The second titanium eluting band correlates with the respective peaks in the profile at 266 nm, and the fourth is superposed with the patterns at 266 and 280 nm. Vanadium was found in all the chromatography, but most was



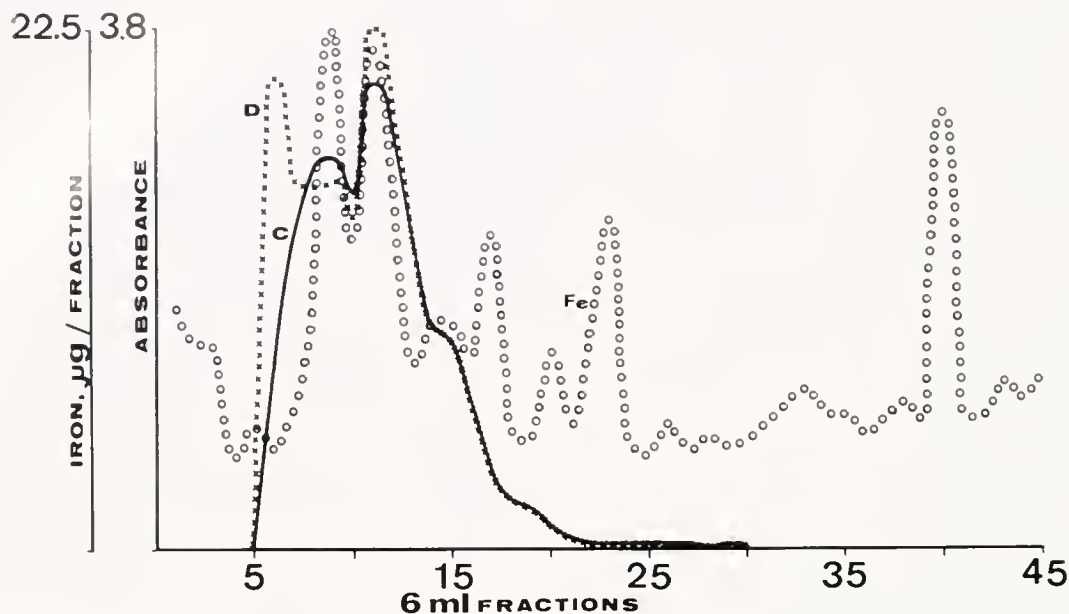


Figure 3. Elution patterns of blood plasma of *Pyura chilensis* from Sephadex LH-20 chromatography at 272 nm (C solid line) and 310 nm (D  $\times \times \times$ ), and elution profile of iron (circles). 10 ml concentrated fivefold by freeze-drying, 6 ml fractions.

eluted from fractions 1–17 ( $V_e = 5, 25, 75, 95, 115, 145, 265, 285,$  and  $335$  ml).

Fractions 5 and 11 absorbance spectra from Sephadex G-75 chromatography of *P. chilensis* blood plasma are shown in Figure 5a. Fraction 5, that also corresponds to the second iron-band in the respective eluting profile

(Fig. 2b), had an absorption band at 276 nm with one shoulder at 400–425 nm. Fraction 11 shows absorption maxima at 270, 310, and 460 nm with a shoulder at 360–375 nm, and is not in the area of an iron-band, although it is between the third and fourth iron-band, in the respective eluting pattern (Fig. 2b).

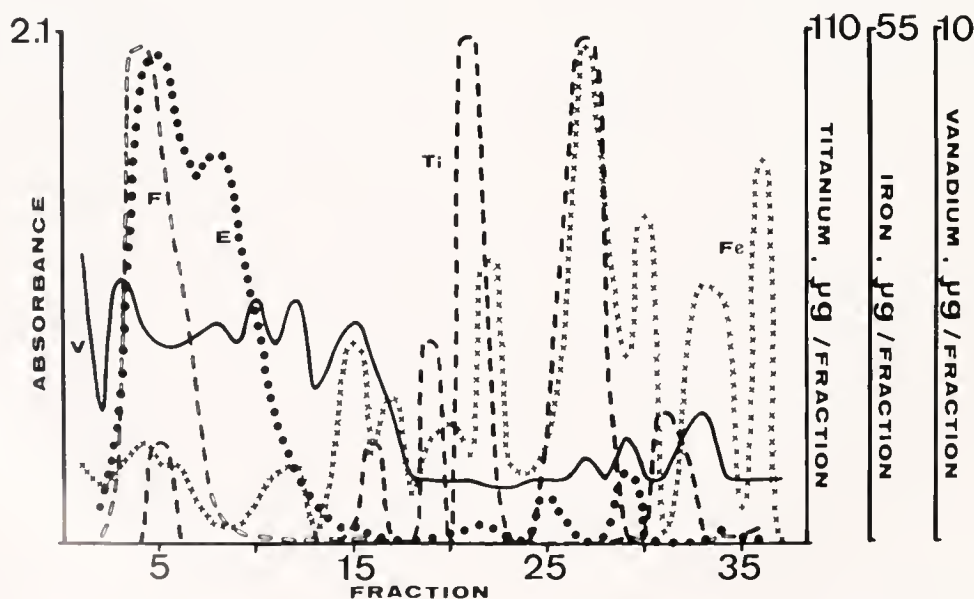


Figure 4. Elution patterns of blood plasma of *Ascidia dispar* from Sephadex LH-20 chromatography at 266 nm (E dots) and 326 nm (F  $\circ \circ \circ$ ), and elution profiles of iron ( $\times \times \times$ ), titanium (dash line), and vanadium (solid line). 10 ml concentrated twofold by freeze-drying, 10 ml fractions.

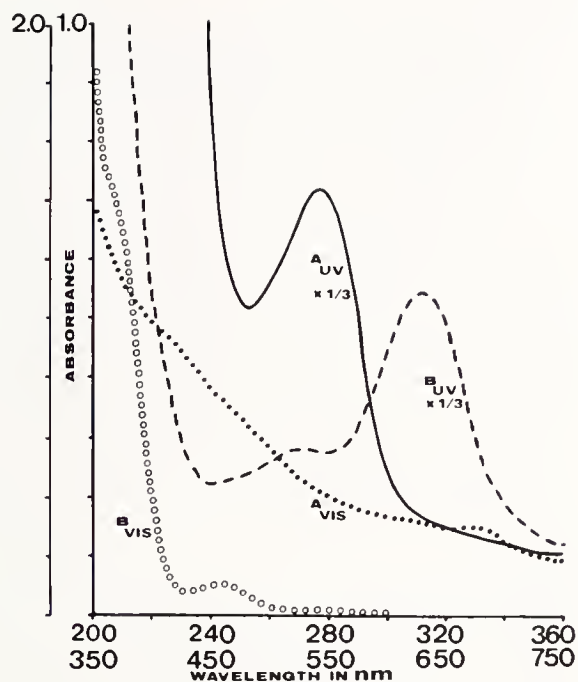


Figure 5a. Absorption spectra of fractions 5 ( $A_{UV}$  solid line,  $A_{VIS}$  dots) and 11 ( $B_{UV}$  dash line,  $B_{VIS}$  circles) from Sephadex G-75 chromatography of blood plasma of *Pyura chilensis*.

Ultraviolet spectra of fractions 4, 7 and 11 from Sephadex G-75 chromatography of *A. dispar* blood plasma are shown in Figure 5b. Fraction 4 had an absorption shoulder at 265–285 nm and also corresponds to the first titanium-band in the respective profile (Fig. 2b). Fraction 7 shows absorption bands at 210, 260–280, and 326 nm, and it corresponds to the first vanadium band (Fig. 2b). Fraction 11 (yellowish) had two absorption maxima, at 266 and 326 nm, respectively, and corresponds to the third iron band (Fig. 2b).

Ultraviolet spectra of fractions 5, 8, 17, 21, 25, 29, and 36 from Sephadex LH-20 chromatography of *A. dispar* blood plasma are shown in Figure 6a. Fractions 3–5 had absorption maxima at 266–270 and 322–324 nm, corresponding moreover to the border-line zone between the first iron band and the respective iron shoulder, and to the first titanium band (Fig. 4). Fraction 8 also had two absorption bands, at 262 and 320 nm, which only appear to be associated with the third vanadium peak (Fig. 4). Fraction 17 had ultraviolet bands at 232 nm and in the zone of 280 nm, corresponding to the fourth iron peak in Figure 4. Fraction 21 had one absorption band at 280 nm and two small shoulders at 274–276 nm and 286–288 nm, respectively. This fraction also corresponds to the first titanium band (Fig. 4). Fraction 25 had ultraviolet bands at 230 and 270 nm, and one shoulder at 292–294 nm. This fraction appears not to be associated with

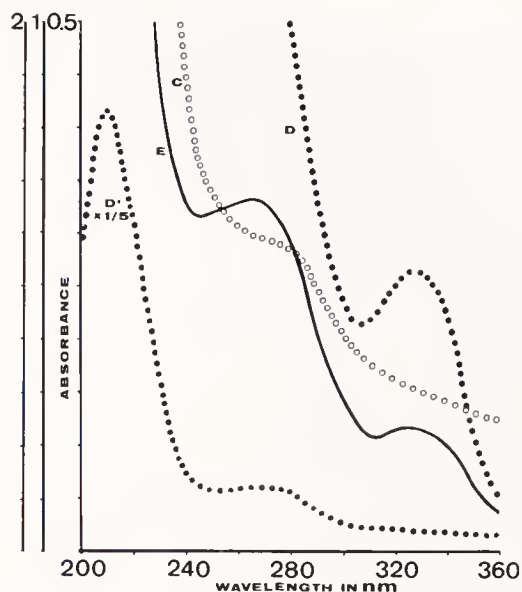


Figure 5b. Ultraviolet spectra of fractions 4 (C circles), 7 (D, D' dots), and 11 (E solid line) from Sephadex G-75 chromatography of blood plasma of *Ascidia dispar*. Dilution shown were applicable.

any metal. Fraction 29 had the following absorption maxima: at 210, 232 (shoulder), 270, and 292–294 nm (shoulder), and should correspond to the same group of compounds as fraction 25 (have similar UV spectra). Fraction 36 had three ultraviolet maxima, at 218 (not shown), 296, and 328 nm, and it corresponds to the last iron band (Fig. 4). Fraction 34 had a spectrum similar to fraction 36, except for the band at 296 nm, which in fraction 34 appears as a shoulder in the zone of 280 nm. Also, fraction 34 correspond to the penultimate iron peak (Fig. 4). The visible spectra of fractions only showed absorption increasing monotonically with a decreasing wavelength.

Ultraviolet spectra of fractions 6, 8, and 11 from Sephadex LH-20 chromatography of *P. chilensis* blood plasma are shown in Figure 6b. Fraction 6 had absorption maxima at 280 nm and in the 310–320 nm zone. It appeared not to be associated with any principal iron band (Fig. 3) although it is in the borderline of a minor iron peak (fraction 5). Fraction 8–9 also had two ultraviolet bands, at 270 and 302–306 nm, but are in the first principal iron peak zone (Fig. 3). Fraction 11 had an absorption shoulder band at 260–280 nm and another that tends to disappear at 286–288 nm. This fraction is in the second principal iron peak zone (Fig. 3). In the 12–24 fraction range, the absorption spectra showed no bands. From fractions 25 to 29, the ultraviolet spectra only showed one light band at 266 nm. The visible spectra of fractions also consisted in absorptions increasing monotonically with decreasing wavelength.



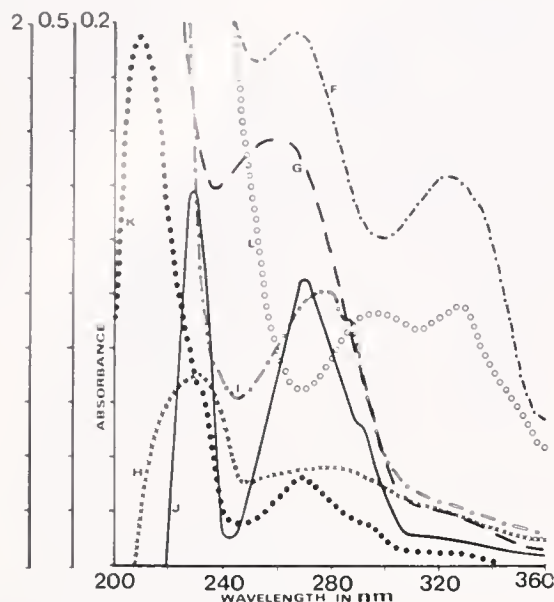


Figure 6a. Ultraviolet spectra of fractions 5 (F ---), 8 (G dash line), 17 (H  $\times \times \times$ ), 21 (I  $\square \square \square$ ), 25 (J solid line), 29 (K dots), and 36 (L circles) from Sephadex LH-20 chromatography of blood plasma of *Ascidia dispar*.

### Discussion

The analysis reported here should support the conclusion that *P. chilensis* is an iron and titanium accumulator, and that *A. dispar* is an iron, titanium, and vanadium accumulator. In both species the predominant metal was iron, which in the case of *P. chilensis* is consistent with ascidian phylogeny with respect to vanadium- and iron-containing species (Hawkins *et al.*, 1983c). In the order Pleurogona, all of its family species are iron accumulators (Swinehart *et al.*, 1974; Agudelo *et al.*, 1982). However, *A. dispar* appears to be an iron-predominant species, although, it also accumulates titanium and vanadium at greater levels than considered non-biological (Saxby, 1969; Hawkins *et al.*, 1983c) with respect to metal contents in blood cells. Results from the whole body (specimens) are not reliable because when the animal is removed it immediately begins to lose blood. In the sub-orders Aplousobranchia and Phlebobranchia, the majority contain vanadium in their blood (Hawkins *et al.*, 1983c; Michibata *et al.*, 1986). Titanium has been reported in *Ciona intestinalis* (Noddack and Noddack, 1939) and *Eudistoma ritteri* (Levine, 1961, 1962a,b), but according to Goodbody (1974), there is no concrete evidence that titanium would be concentrated in blood cells. In the present work evidence is presented of this metal in the blood cells of *P. chilensis* and *A. dispar*.

However, some of these results could be only apparent from the biochemical point of view, because they may be influenced by the ascidians immediate environment

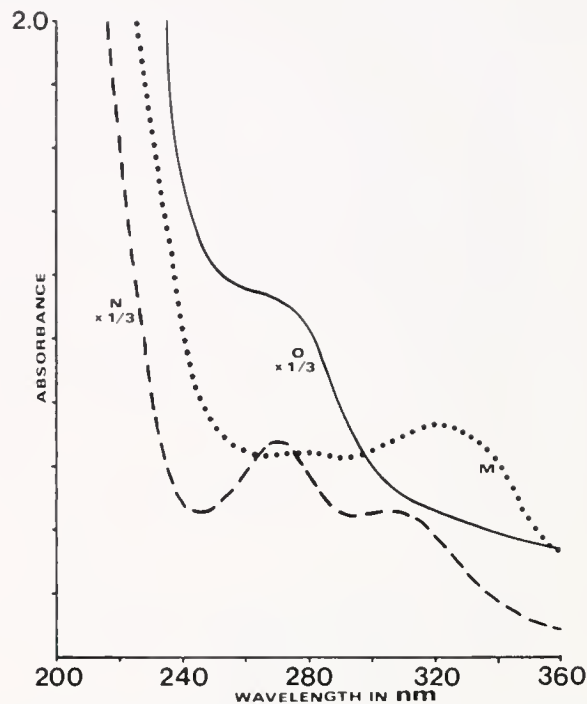


Figure 6b. Ultraviolet spectra of fractions 6 (M dots), 8 (N dash line), and 11 (O solid line) from Sephadex LH-20 chromatography of blood plasma of *Pyura chilensis*.

e.g., the floating metallic barrels of marine pools where fixation occurs.  $\text{TiO}_2$  and  $\text{Fe}_2\text{O}_3$  are frequently used as pigments in many paints (Orna, 1980). Because of their ability to accumulate metallic trace elements from seawater, tunicates also have been suggested to serve as marine pollution indicators (Papadopoulou and Kanias, 1977). Therefore, the Ti in *P. chilensis*, and the higher concentrations of Fe and Ti in *A. dispar*, may also be associated with this aspect, rather than being considered essential elements subjected to selective accumulation mechanisms. The accumulation of uncommon metals by ascidians in significant concentrations is still an open question. For instance, something similar to what happens to Ti, occurs to Nb (Rayner-Canham, 1984).

Iron is the predominant metal in *P. chilensis* cytoplasm, but in *A. dispar* 26.9% could be in cell membranes. Titanium is almost distributed likewise in both species' cytoplasm and cell membranes. Vanadium is predominant in *A. dispar* cytoplasm cells, although 23.6% could be bound to membrane cells. Therefore, variable fraction of metals, which may depend on the species, are associated with blood cell membranes of tunicates.

Blood plasma of both species were nearly neutral, with a lower salinity than the habitat seawater and with low concentrations of sulphate ions. Besides, the Ca/Mg concentration ratios were greater (0.33 for *P. chilensis* and

0.30 for *A. dispar*) compared with the seawater (0.23). The Na/K concentration ratios were lower (20.1 for *P. chilensis* and 22.2 for *A. dispar*) than in seawater (27.9).

Calcium and magnesium were not detected in the cytosolic solutions, and the Na/K concentration ratios were very different (4.6 for *P. chilensis* and 346.7 for *A. dispar*). Nevertheless, both were nearly neutral and their sulphate ion contents were low, reaching 11.7% and 6.5% of their contents in plasmas of *P. chilensis* and *A. dispar*, respectively. This implies that the low concentration of sulphate in plasmas (in respect to the concentration of sulphate in seawater), is not the result of the accumulation into cytoplasmic blood cell solutions. Considerable controversy still exists on the intracellular pH and concentration of sulphate in the intact blood cells of tunicates (Dingley *et al.*, 1982; Hawkins *et al.*, 1983a; Frank *et al.*, 1986).

To obtain more knowledge about the behavior of some major tunicate blood components, plasma-cell interaction was abruptly induced in the blood itself (due to lack of *A. nigra* blood, this experiment was carried out only with *P. chilensis* blood). Blood cells apparently were not lysed under whole blood lysis procedures, according to microscope observations and to differential UV-spectra of plasma, cytosolic solution and lysed whole blood samples. The results (Table IV), are consistent with the fact that the blood cells of *P. chilensis* are not acidic and it seems that interactions could occur between plasma and cellular compounds, that could account for the decrease of sulphate, calcium, magnesium, sodium, and potassium concentrations in whole lysed blood solution, in respect to their concentrations in blood plasma. Part of these components could be taken up by some compound(s) of the cellular membranes. It is also possible that sulphate, calcium, and magnesium in particular, interact with some intracellular compounds, which would mean, for instance, that sulphate is consumed by intracellular compounds of cytosolic solutions. Due to the complexometric titration method by means of which calcium and magnesium were determined (Roman *et al.*, 1982), it is feasible that intracellular strong metal ligands take up part of the calcium and magnesium of the plasma. Therefore, this could be the first evidence of sulphate consumption by blood cell components of tunicates, as hypothesized by Hawkins *et al.* (1983b). It should explain its low concentration in ascidian blood plasma as compared to the blood plasma of other marine animals (Burton, 1973).

Both plasmas and cytosolic solutions were reducing with respect to permanganate and dichromate, respectively. However, deproteinization prior to the titration were not made. However, in the case of the back titration of dicromate method, the sample was acidified with concentrated perchloric acid, a deproteinizant (Carr *et al.*,

1983). In the pioneering studies of Endean (1985a) similar assays were tested, and Muzzarelli (1973) used back titration of dicromate for chitin determination. Hawkins *et al.* (1980a) have detected N-acetylaminosugar compounds in the blood plasma of tunicates. Other reducing components that have been reported in ascidian blood include some reduced form of metals, the tunichrome like compounds and the so called apoferracids (Macara *et al.*, 1979a, b, c; Agudelo *et al.*, 1982, 1983b, 1985; Hawkins *et al.*, 1983b; Bruening *et al.*, 1985; Frank *et al.*, 1986).

Maintaining iron and vanadium in reduced forms in specialized blood cells, and also in some extension in the plasma in the case of iron (Agudelo *et al.*, 1983b; Roman, unpub. results from *P. praeputialis*), required more investigation in adequately controlled artificial conditions.

The plasma spectra (Fig. 1) are similar for *P. chilensis* and *A. dispar*. The main differences are the presence of a shoulder at 375–385 nm, and the existence of pink-orange compound(s) having an absorption band at 450–475 nm in the plasma spectrum of *P. chilensis*. *P. stolonifera* pink compound(s) had a visible band at 497 nm (Hawkins *et al.*, 1980a). The plasmas UV-spectra of *A. nigra* (Kustin *et al.*, 1976), *A. ceratodes* (Hawkins *et al.*, 1980a), *Podoclavella moluccensis*, *Polycarpa pedunculata* (Hawkins *et al.*, 1980b), and *P. stolonifera* (Hawkins *et al.*, 1980a) also have bands at 260–275 nm and 300–330 nm ranges. A band at 335 nm (Agudelo *et al.*, 1982) was only detected in plasma of *B. ovifera*. The main similarity of the visible spectra of *P. chilensis* and *A. dispar* plasma is the band at the 675 nm zone.

Anion exclusion, cation retardation, and other problems occur in the chromatography of metal-containing substances on Sephadex G and LH types. This is due to the small amounts of donor groups present in the material (Pharmacia Fine Chemicals, 1977; Kura *et al.*, 1977; Johnson and Evans, 1980; Lönnerdall and Hoffman, 1981). To minimize this problem, 0.01 M NaCl and 0.06 M acetic acid solutions were used as eluents with Sephadex G-75, and methanol/water gradient with Sephadex LH-20 chromatography, respectively. Some level of methanol was always maintained in the separative process and prior to the sample run, the column was conditioned with methanol p.a. As Sephadex LH-20 was used with a mixture of polar solvents, adsorption and partition effects must be considered to play major role in the separation. Gel filtration effects can be disregarded.

The elution behavior of plasmas of *P. chilensis* and *A. dispar* from Sephadex G-75, were similar in respect to absorbance *versus* fraction collected (Fig. 2b), but the patterns for metal contents *versus* fraction collected (Fig. 2b), were not similar in function to the same metal considered. In *P. chilensis* plasma, evidence of iron com-



pounds with a high molecular weight was found (fraction 5–6), in addition to iron bands corresponding to low molecular weight iron compounds. However, these might correspond to iron compounds of high molecular weight that showed a greater affinity for the gel phase than for the aqueous phase. In *A. dispar*, no evidence of high molecular weight iron compounds was found. However, these were found in the case of titanium (fraction 4). Low molecular weight compounds of iron and titanium, or metal compounds that showed greater affinity for the stationary phase were also detected. In both plasmas vanadium appears to exist as low molecular weight compounds, unless the high molecular weight compounds were retarded by adsorption phenomena.

The absorption spectra of the fractions associated with high molecular weight iron compounds (Fig. 5a, fraction 5), cannot correspond to an Fe (III) hydrolytic polymer, which only showed a shoulder at 470 nm (Flynn, 1984). The absorption spectrum of fraction 11 (Fig. 5a) appears to correspond to G-75 low molecular weight organic pigment that could be a tunichrome-like compound(s). The absorption spectra of fractions 5 and 11 account for the spectrum plasma of *P. chilensis*, so these results appear not to be "artifacts."

The ultraviolet spectra of the fractions associated with apparently high molecular weight titanium compounds, from *A. dispar* plasma chromatography on Sephadex G-75 (Fig. 5b, fraction 4), only shows a shoulder at 270–286 nm. This absorption zone was also checked for the indication of a high molecular weight iron compound(s), but no visible bands were observed. The ultraviolet spectra of fractions 7 and 11 (Fig. 5b) appeared to correspond to closely related compounds, apparently of low molecular weight, associated with vanadium and iron, respectively. Their UV spectral features suggest that tunichrome-like compounds may also be involved in these fractions (Bruening *et al.*, 1985). In comparison with the absorption spectrum of the whole blood plasma of *A. dispar* (Fig. 1), in the chromatographic fractions, the absorption peak at 675 nm zone was not observed.

The elution behavior of plasma of *P. chilensis* and *A. dispar* on Sephadex LH-20 with methanol/water gradient, showed similar patterns for absorbance, and iron contents versus fraction collected (Fig. 3, 4). For *P. chilensis* plasma, chromatographic evidence of iron-compounds were obtained, and the same occurs for iron, titanium, and vanadium compounds in *A. dispar* plasma, respectively, which appear not to be inorganic hydrolytic products of metal ions.

In *A. dispar*, the absorption spectra of fractions 3–5 (Fig. 6a) appear to be associated with iron and titanium-compounds, but according to the spectra of fractions 4, 7, and 11 from Sephadex G-75 (Fig. 5b), the titanium compound(s) should tend to absorb at 260–290 nm

zone. Iron, vanadium-compounds and tunichrome like substances also absorb at 320–330 nm. The ultraviolet spectrum of fraction 8 (Fig. 6a), should correspond then to vanadium compound(s). The ultraviolet spectrum of fraction 17 may correspond to iron compounds of proteinaceous nature, due to the band at 280 nm zone, and the same seems to occur in fraction 21 for titanium compound(s). Fractions 25–29 (Fig. 4) were not associated to any metal ions, and by their spectra appear to correspond to closely related compounds. Fractions 34–36 are related to iron, and by their spectral features should correspond to iron compound(s) similar to those obtained from the interaction between iron and fractions 8–13 G-75 chromatography of *A. ceratodes* plasma (Hawkins *et al.*, 1980a). Therefore, compounds of fractions 3–5 should be closely related to iron compound(s) of fractions 34–36.

In *P. chilensis* plasma chromatography on Sephadex LH-20 gel, fraction 6 (Fig. 3) appear not to be associated with iron, and their spectrum (Fig. 6b) could correspond to tunichrome-like substances similar to spectrum of fraction 11 from Sephadex G-75 (Fig. 5a). However, fractions 8, 9 (Fig. 3) are related to a main iron peak, then those should contain iron compound(s), whose absorption peaks show (Fig. 6b) hipsochromic shifts in respect to the spectrum of fraction 6. Hiper- and hipsochromic effects in the bands can also be observed. Fraction 11 is in the zone of the second iron peak (Fig. 3), and by their ultraviolet spectra (Fig. 5a), may correspond to iron compound(s) of proteinaceous nature.

It is likely that by dilution the visible absorption maxima were not observed in the spectra of fractions coming from LH-20 chromatography of blood plasmas.

The complicated hydrolytic processes of iron (Flynn, 1984), titanium (Pascal, 1963; Ciavatta *et al.*, 1985) and vanadium (Kustin and Macara, 1982) in a pH media close to neutrality, such as the blood plasma of tunicates, suggests that these elements could be found as coordination compounds with proteic or non proteic organic ligands. The ligands that have been associated with metals, in tunicate plasma, are proteins (Hawkins *et al.*, 1980a; Webb and Chrystal, 1981; Agudelo *et al.*, 1983b) and N-acetylaminosugar compounds (Hawkins *et al.*, 1980a, b). However, Agudelo *et al.* (1983b) considers that these last compounds could correspond to tunichrome-like substances. It also has been suggested that  $\alpha$ -hydroxycarboxylic acids residues could be involved in the metal complexation by tunicates (Rayner-Canham, 1984).

The matter of protein metal-binding, and the study of ligating systems for metals in the blood plasma of ascidians *P. chilensis* and *A. dispar* was scarcely treated here. However, information was obtained about the presence of Fe, Ti complexes, and likely vanadium complexes in blood plasma of species under study. Therefore, it is rea-

sonable to suppose that they are involved in the dynamic processes (storage/carrier) of metals in tunicate blood. Accordingly, the high molecular weight metal compound(s) should be "transferrin"-like metalloproteins, which has been recently shown in the blood plasma of *P. stolonifera* (Martin *et al.*, 1984; Finch and Huebers, 1986).

Between pH 2.5–3.5, tunichrome solutions appear green, due to the broad band in the zone near 660 nm (Macara *et al.*, 1979b). We found an absorption peak around 675 nm in both plasmas and in fraction 5 from Sephadex G-75 chromatography of *P. chilensis* plasma. This should arise from iron-compound(s) of high molecular weight with respect to the exclusion limit of the gel. It has recently been suggested that in the d-d transition energy at 660 nm zone, two nitrogen atoms from the coordination by ligands like D-glucosamine (Micera *et al.*, 1985) could be involved. This was not observed when the amino group was protected, as occurs in the case of N-acetyl-D-glucosamine. Therefore, more attention should be focus in the tunicates blood compounds that show absorption bands at 660–675 nm zone, due to their potential association with metal binding.

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# The Behavioral Response of Spiny Lobsters to ATP: Evidence for Mediation by P<sub>2</sub>-like Chemosensory Receptors

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**Abstract.** The results of both behavioral and electrophysiological studies with the California spiny lobster, *Panulirus interruptus*, support the hypothesis that a locomotory response evoked by ATP in seawater may be mediated by chemoreceptors akin to P<sub>2</sub>-type purinoceptors. Behavioral results consistent with this hypothesis are: (1) an activity sequence of ATP > ADP > AMP or adenosine; (2) the behavior is also evoked by ATP analogs with modifications in both the adenine and ribose moieties; and (3) the slowly degradable analogs,  $\beta,\gamma$ -methylene ATP and  $\beta,\gamma$ -imido ATP (AMPPNP) are active. Extracellular recordings from single chemosensory cells show that ATP-sensitive cells are present in the antennule of *P. interruptus* and exhibit marked similarities to the P<sub>2</sub>-like chemoreceptors identified earlier in *P. argus*. Although the ranked order of behavioral activity to ATP and eight analogs parallels that measured physiologically, important differences include: (1) AMPPNP is relatively more active physiologically; and (2) the behavioral sensitivity to ATP, ADP, and AMP is greater than that measured physiologically. Since degradation of ATP proceeds rapidly in animal flesh and in seawater, it is proposed that ATP may represent a particularly appropriate signal molecule for foraging by the lobster as it is indicative of recently injured or freshly killed organisms.

## Introduction

Receptors for purine nucleotides, referred to as purinergic receptors or purinoceptors, occur in various inter-

nal tissues of mammals (see Burnstock, 1978). One type of purinergic receptor, the P<sub>2</sub>-type, is most sensitive to the nucleotide adenosine triphosphate (ATP) (Burnstock, 1978). Interestingly, chemoreceptors stimulated by ATP, which exhibit properties similar to P<sub>2</sub>-type receptors, have been demonstrated electrophysiologically in the olfactory organ of the Florida spiny lobster, *Panulirus argus* (Carr *et al.*, 1986). However, their role in the chemically mediated behavior of *P. argus* has not been explored.

Recently, in the California spiny lobster, *P. interruptus*, ATP was shown to be a potent chemoattractant, evoking a locomotory response associated with the recognition and finding of food (Zimmer-Faust, 1987; Zimmer-Faust and Dyson-Hudson, in prep.). When the effectiveness of adenosine and adenine nucleotides was compared, the potency sequence for the locomotory response was: ATP > ADP > AMP or adenosine. This sequence was identical to that found by Carr *et al.* (1986) in the physiological studies with *P. argus*.

Collectively, the above findings provided the impetus for initiating an integrated behavioral and physiological study to ascertain if the behavioral response of *P. interruptus* to ATP might be mediated by P<sub>2</sub>-like chemoreceptors. In this study, we have extended the behavioral data for *P. interruptus* using a series of ATP analogs to permit comparison with the physiological results from *P. argus*. We also demonstrate physiologically that *P. interruptus* has ATP-sensitive chemoreceptors which exhibit major similarities to those characterized in *P. argus*. Together, our findings reveal that *P. interruptus* does have P<sub>2</sub>-like chemoreceptors which may mediate its behavioral response to ATP.



## Materials and Methods

### Collection and maintenance of animals

Specimens of *P. interruptus* were captured by hand on reefs near Santa Barbara, California. Before use in behavioral studies, lobsters were held for 7 to 14 days in large circular tanks. A continuous flow of seawater maintained the temperature at 15 to 17°C, and a 12:12 D:L cycle was imposed. Only hard-shelled animals ( $n = 108$ ) of 60 to 72 mm carapace length were used; each was tattoo marked on the ventral sternites for individual recognition (Kuris, 1971). Lobsters were fed live mussels (*Mytilus californianus* and *M. edulis*) and sea urchins (*Strongylocentrotus purpuratus*) *ad libitum*; all food was removed 24 h before tests.

Specimens of *P. interruptus* used for electrophysiological studies were shipped by overnight courier from Santa Barbara to the Whitney Laboratory (St. Augustine, Florida) where they were held together in a tank with a flow-through seawater supply maintained at 14 to 16°C. Specimens of *P. argus* were collected in the Florida Keys and held at ambient seawater temperature in flow-through tanks at the Whitney Laboratory. All animals were fed a diet of fish, squid, and shrimp.

### Behavioral assays

Individual lobsters were assayed for locomotory responses to chemical solutions in rectangular aquaria, 30 × 30 × 13 cm, a size shown previously to permit both careful control of stimulus flow and rapid testing, without inhibiting behavior (Zimmer-Faust and Case, 1983). Earlier studies revealed excellent agreement between the locomotory responses evoked by chemostimulants in natural habitats and in the small aquaria used for assays in the current study (e.g., Zimmer-Faust and Case, 1982; Zimmer-Faust *et al.*, 1984). Seawater entered each aquarium at a flow rate of 2 ml/s from a head-tank maintained under constant hydrostatic pressure. A three-way valve was used to introduce a 25-ml volume of each test solution into the seawater flow. Dilution associated with stimulus delivery was determined in 18 trials by introducing a fluorescent dye (sodium fluorescein) and continuously monitoring fluorescence using optical fiber probes attached to the antennules of unrestrained animals (see Zimmer-Faust and Stanfill, 1986; Zimmer-Faust *et al.*, in press). Maximum concentrations contacting the antennules were determined to be  $7.57 \times 10^{-3}$  ( $\pm 3.62 \times 10^{-3}$  S.D.) times the injected concentration, with dye peaks attained 29.8 s ( $\pm 5.8$  s S.D.) after initial dye input. Concentrations presented herein are corrected for this dilution.

A locomotory response by *P. interruptus* is defined as forward ambulatory movement to a distance greater

than one carapace length. Previously, ATP was found to stimulate other behaviors associated with appetitive feeding (Zimmer-Faust, 1987); however, these other behaviors were more variable than forward ambulatory motion. Individual lobsters were tested only once every 72 to 96 h for a maximum of 5 tests during a 20-day period. Animals were put into experimental aquaria 45 to 60 min prior to testing and usually settled within 30 to 40 min. Observations were initiated 1 min before introduction of a chemical solution and continued for 4 min afterwards; lobsters were tested only if they were inactive during the first minute of observation. Each assay consisted of a randomized presentation of a test or control stimulus with the exception that identical solutions were never repetitively presented to the same animal. All trials were conducted according to a double-blind protocol. At least 20 different lobsters were assayed with each test solution; seawater alone was the control.

### Electrophysiological recordings

Extracellular recordings were made from ATP-sensitive sensory cells in the olfactory organ (lateral filament of the antennule) of both *P. interruptus* and *P. argus*. The preparation was similar to that used by Carr *et al.* (1986) and has been described in detail (e.g., Gleeson and Ache, 1985). The essential features of the preparation were as follows. An excised, lateral filament was placed in an olfactometer and maintained via arterial perfusion with *Panulirus* saline. Selected volumes of chemical stimuli were injected into a carrier stream of artificial seawater (ASW) which continuously flowed through the tuft of olfactory sensilla on the filament at a rate of 3 ml/min. Suction electrodes were used to obtain action potential recordings from the axons of individual sensory cells innervating the sensilla. These recordings were made from the antennular nerve which was exposed at the proximal end of the filament and separated into small bundles within a bath of *Panulirus* saline.

ATP-sensitive cells were identified by their initiation of action potentials (impulses) following the introduction of a search stimulus of ATP ( $10 \mu M$ , ca.  $20 \mu l$ ) into the carrier flow of ASW; ASW alone was presented in an identical manner as the control. The response of a cell to defined chemicals was determined by injecting a  $190 \mu l$  volume of each test substance into the carrier flow. Conductivity measurements showed that this volume generated a stimulus profile in the olfactometer that reached the injected concentration within one second and remained constant for approximately two seconds before beginning to wash out. [Note: due to an error in conductivity measurements, the stimulus profile reported previously (Carr *et al.*, 1986) overestimated the time a stimulus remains at the injected concentration.] Intervals of

4 min were maintained between stimulus presentations, during which time ASW continuously flowed over the filament. In each trial, stimuli were presented in a random sequence except in dose-response determinations where an ascending concentration series was used. Single cell responses were recorded using an amplitude/time window discriminator, the output of which was monitored with a microprocessor to measure and store the time intervals between impulses for on-line or subsequent display and analysis of the response. In this report, cell responses are expressed as the total number of evoked impulses.

Relevant physiological results obtained for *P. argus* (Carr *et al.*, 1986) are included in some figures and summarized in the text to facilitate their comparison with the results obtained with *P. interruptus*.

### Chemical solutions

All chemicals were from Sigma Chemical Company. Structural formulae of ATP and the analogs included in the study are shown in Figure 1. For behavioral assays, solutions were prepared immediately before tests in membrane-filtered (0.45  $\mu$ m) seawater and adjusted to pH 7.8. Aliquots were stored on dry ice and warmed to ambient seawater temperature just prior to use. Solutions for physiological studies were prepared as stocks in ASW, adjusted to pH 7.8, stored at  $-70^{\circ}\text{C}$ , and aliquots were brought to room temperature just prior to use.

## Results

### Behavior

Figure 2 shows the results of the behavioral assays comparing the stimulatory activity of ATP and nine structurally related substances. Although ATP was the most stimulatory substance, all of the analogs, except for 8-bromo-ATP and adenosine, were significantly more effective than seawater alone (G-test for Independence with Williams' correction:  $G \geq 7.60$ , d.f. = 1,  $P < 0.01$ , all comparisons). We observed no responses to seawater in 66 trials. The rank order of behavioral activity for these analogs parallels that previously measured physiologically in ATP-sensitive cells of *P. argus* (Fig. 2). A Kendall's Tau analysis of these ranks revealed a highly significant association ( $\tau = 0.721$ ,  $n = 10$ ,  $P < 0.008$ ). The most notable exception to this ranking concerns the stable analog,  $\beta,\gamma$ -imido ATP (AMPPNP), which in the physiological tests proved to be more stimulatory than ATP itself. Behaviorally, the relative activity of ADP and AMP was greater than that measured physiologically; however, the behavioral activity induced by these analogs was significantly less than that for ATP (G-Test with

Williams' correction:  $G \geq 4.31$ , d.f. = 1,  $P < 0.05$ , both comparisons).

To characterize the dose-response (D-R) relationships of selected analogs, behavioral assays were conducted over a range of concentrations with ATP and with three analogs having structural modifications in either the nitrogenous base, the ribose, or the triphosphate moiety. The results revealed that the slopes of the D-R curves for ATP and the analogs were not significantly different (Fig. 3). This finding is consistent with the notion that the actions of these substances are mediated by a common population of receptors. The rank order of potencies found in this analysis was  $\text{ATP} > \text{dATP} > \text{CTP} \cong \text{AMP} > \text{PNP}$ . This ranking confirmed the order of activities observed earlier with the single-dose determinations (see Fig. 2).

### Physiology

Physiological studies revealed that *P. interruptus*, like *P. argus*, has a distinct population of olfactory cells that are selectively activated by ATP. In both species, the response of these cells is characterized by a short burst of impulses, the duration of which is generally only a few hundred milliseconds in spite of the fact that the stimulus is present for several seconds (Fig. 4A). A comparison of the D-R relationships for these cells reveals similar sensitivities in the two species. In *P. interruptus*, however, the D-R function exhibits a significantly steeper slope [Test for Parallelism (Tallarida and Murray, 1981):  $P < 0.05$ ], with a response maximum of approximately 17 impulses (Fig. 4B); the cells in *P. argus* show a maximum response of about 11 impulses.

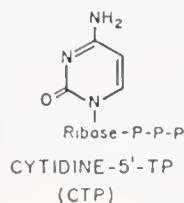
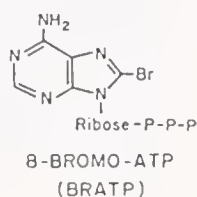
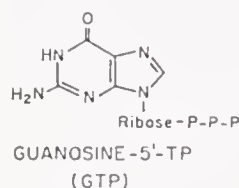
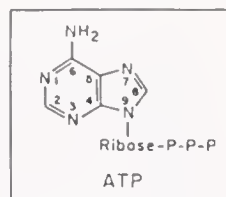
Comparisons of the stimulatory capacities of the adenine nucleotides and adenosine on the ATP-best cells of both species show marked similarities. In both species the activity sequence is  $\text{ATP} \gg \text{ADP} > \text{AMP}$  and adenosine (Fig. 5A). In both species ADP is a very poor stimulant and, like AMP and adenosine, is virtually inactive. Moreover, the ATP-best cells in both species show greater responses to the slowly degradable analog, AMP-PNP, than to ATP itself (Fig. 5B). A similar specificity for ATP in the cells of both species was also indicated in trials in which 10  $\mu\text{M}$  glutamate, taurine, betaine, and glycine were each individually tested. None of these substances elicited responses from any of the nine cells examined in *P. interruptus*, and only glycine evoked a response (a single impulse) in one of the seven cells tested in *P. argus*.

## Discussion

This study shows that the behavioral response to ATP exhibited by the California spiny lobster, *P. interruptus*, may be mediated by chemoreceptors related to the P<sub>2</sub>-



## Adenine Alterations



## Ribose Triphosphate Alterations

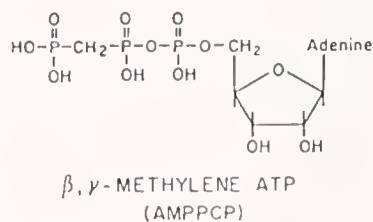
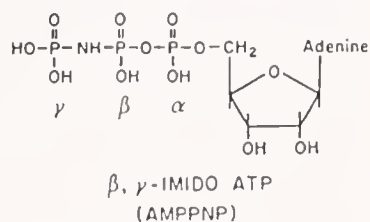
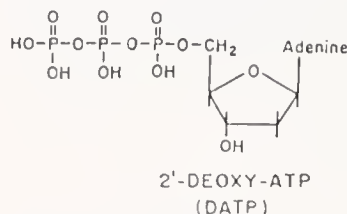
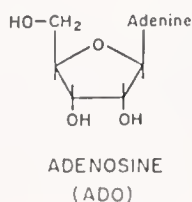
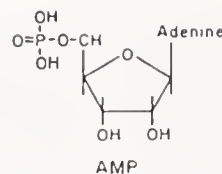
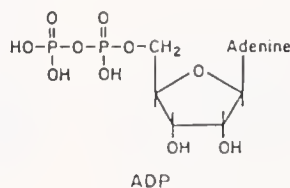
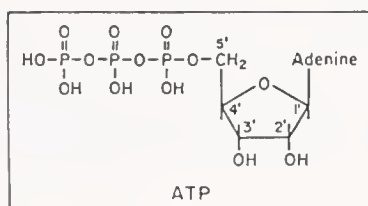
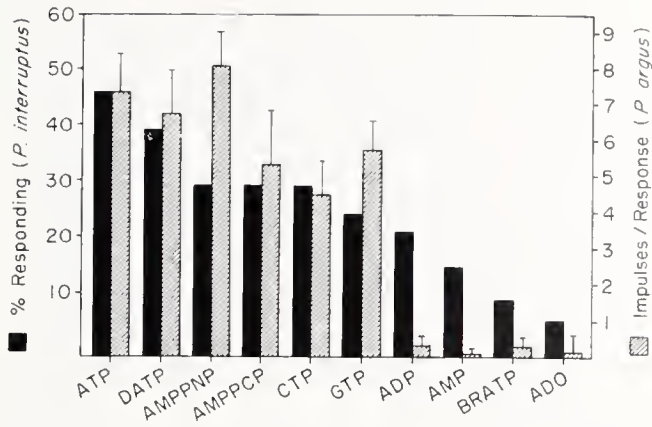


Figure 1. Structural formulae of ATP and analogs tested physiologically and/or behaviorally in *Panulirus interruptus*.

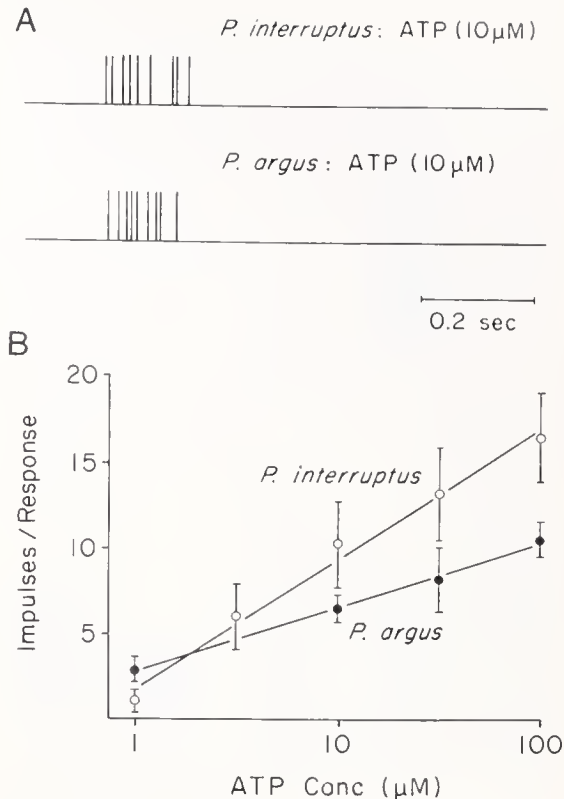
type purinoceptors described by Burnstock (1978). Data supporting this hypothesis include: (1) structure activity relationships (SAR) for the behavior which show congruence with the SAR for  $P_2$ -like chemoreceptors previously described in *P. argus* (Carr *et al.*, 1986); and (2) the electrophysiological identification of ATP-sensitive chemoreceptors in *P. interruptus* that are virtually identical in their sensitivity, specificity, and temporal response characteristics to those described in *P. argus*.

The stimulation of the oriented locomotory response in *P. interruptus* by adenine nucleotides and adenosine shows a potency sequence of ATP > ADP > AMP or adenosine. This behavior is also evoked by ATP analogs with modifications in the adenine moiety (*e.g.*, GTP, CTP), the ribose moiety (2'-deoxy ATP), and the triphosphate moiety (AMPPNP, AMPPCP) (see Fig. 2). However the analog 8-Bromo-ATP is only a weak behavioral stimulant. These SAR are consistent with the hypothesis

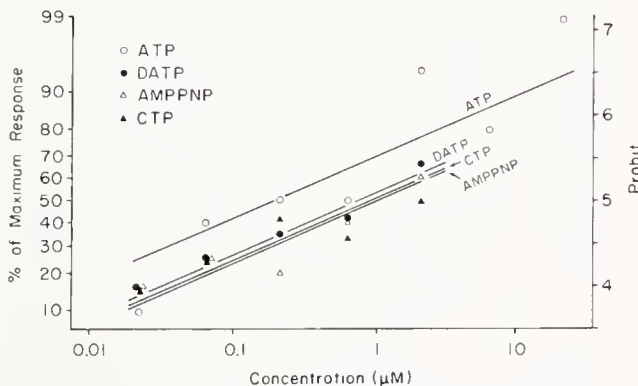


**Figure 2.** Relative activities of ATP and analogs as determined by behavioral assays in *Panulirus interruptus* (solid bars) and by physiological recordings from ATP-best cells in *P. argus* (hatched bars). In behavioral experiments each compound was tested on at least 20 lobsters at a concentration of  $2.3 \mu\text{M}$ . In physiological studies each compound was tested at  $100 \mu\text{M}$  on at least six cells; bars represent mean responses  $\pm$  SEM. The physiological data are derived in part from Carr *et al.*, (1986). Abbreviations as in Figure 1.

that the locomotory behavior is mediated by chemoreceptors akin to the  $P_2$ -type purinoceptors that have been found by various workers to exhibit the following SAR: (1) potency sequence of  $\text{ATP} > \text{ADP} > \text{AMP}$  or adenosine (Burnstock, 1978); (2) broad sensitivity to nucleotide triphosphates including those with modifications in both the adenine and the ribose moieties (Maguire and Satchell, 1981; Phillis and Wu, 1981; Lukacsko and Krell, 1982); (3) tolerates modifications in the triphos-



**Figure 4.** Response properties of ATP-sensitive cells in two lobster species. A. Computer-generated impulse trains depicting typical response profiles following stimulation with ATP. B. Dose-response functions for stimulation with 1 to  $100 \mu\text{M}$  ATP. For each species, the points represent mean values  $\pm$  SEM for 11 ATP-sensitive cells. Data for *Panulirus argus* are from Carr *et al.* (1986).

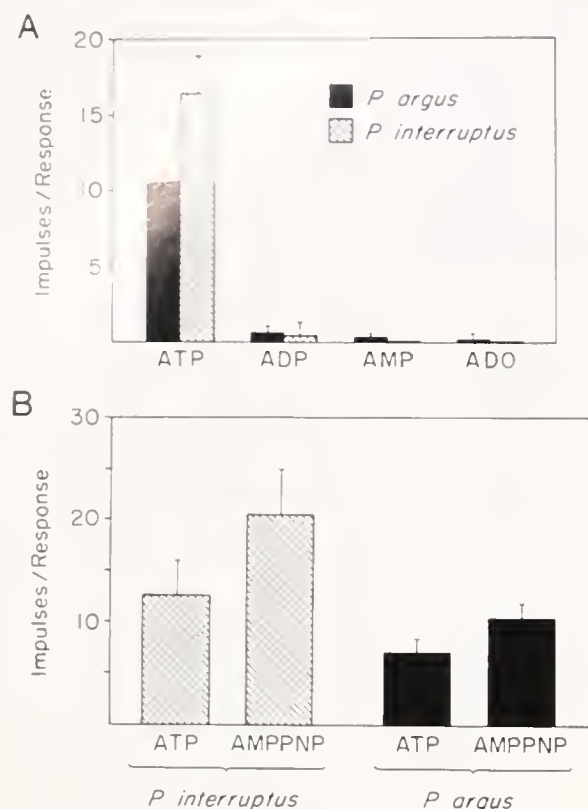


**Figure 3.** Dose-response relationships for ATP and analogs tested behaviorally in *Panulirus interruptus*. Each point represents data from at least 20 lobsters. The procedure of Potency Probit Analysis (PPA) (Daum and Givens, 1963) showed that the slopes of the individual regression lines are not significantly different, and can be depicted as the parallel lines shown above. Relative potencies obtained by PPA were:  $\text{ATP} = 1.0$ ; 2'-deoxyATP (DATP) = 0.257; CTP = 0.188\*;  $\beta$ , $\gamma$ -imidoATP (AMPPNP) = 0.177\*. Asterisks indicate potencies significantly less than ATP ( $P < 0.05$ ).

phate moiety such as those represented by the slowly degradable analogs, AMPPNP and AMPPCP (Burnstock and Kennedy, 1985); (4) does not tolerate the deletion of a phosphate group (*e.g.*, as in ADP) (Lukacsko and Krell, 1982); and (5) often not strongly stimulated by the analog, 8-Bromo-ATP (see Maguire and Satchell, 1979).

The physiological recordings from the antennules of *P. interruptus* clearly showed that receptor cells selectively sensitive to ATP and related analogs do exist. Furthermore, these cells exhibit marked similarities to the ATP-sensitive cells described earlier in *P. argus* (Carr *et al.*, 1986). A unique property of these cells in both species is that the response is characterized by a brief burst of impulses. Each response terminates abruptly after only a few hundred milliseconds even when the chemical stimulus is continuously introduced over a period of several seconds (see Fig. 4). These "phasic" responses indicate that the cells are rapidly adapted, or desensitized, by stimulatory molecules. This rapid desensitization contrasts markedly with the longer, more "tonic," responses exhibited by other types of antennular chemoreceptor





**Figure 5.** A. Relative activities of adenine nucleotides and adenosine on ATP-sensitive cells of two lobster species. Each compound was presented at a concentration of 100  $\mu$ M. Bars represent mean responses  $\pm$  SEM for 11 cells in *Panulirus interruptus* and at least 6 cells in *P. argus*. B. Relative activity of 10  $\mu$ M ATP and 10  $\mu$ M  $\beta$ , $\gamma$ -imidoATP (AMPPNP) on ATP-sensitive cells. Bars are mean values  $\pm$  SEM for four cells in *P. interruptus* and six cells in *P. argus*. Data for *P. argus* taken from Carr *et al.* (1986).

cells; e.g., see responses of taurine-best cells (Fuzessery *et al.*, 1978) and AMP-best cells (Derby *et al.*, 1984). Since  $P_2$ -type purinoceptors are also rapidly desensitized by ATP and related analogs (Burnstock and Kennedy, 1985), it would appear that the ATP-sensitive chemoreceptors exhibit yet another property characteristic of  $P_2$  receptors.

An important discrepancy in the behavioral and physiological data for *P. interruptus* is that the behavioral sensitivity to ATP is approximately 30-fold greater than that determined physiologically for the ATP-sensitive cells (compare D-R curves for ATP in Figs. 3 and 4B). If our physiological and behavioral sensitivity measurements are both accurate, this discrepancy would appear to be inconsistent with the hypothesis that these cells mediate the ATP-stimulated locomotory response; i.e., suggesting that an as yet unidentified population of chemoreceptors with a lower threshold for ATP is responsible for inducing the behavior. However, another possible expla-

nation for the difference could be that convergence of peripheral neurons in the CNS (van Drongelen *et al.*, 1978) plays a role in enhancing the behavioral sensitivity to ATP. Indeed, in several insect species, convergence of many pheromone receptor cells onto fewer neurons in the CNS is believed to give rise to extremely high pheromone sensitivity as measured both behaviorally and in central neurons (Boeckh and Boeckh, 1979; Mankin and Mayer, 1983; Olberg, 1983; Boeckh and Selsam, 1984). For example, in the American cockroach, *Periplaneta americana*, Boeckh and Selsam (1984) demonstrated that deutocerebral neurons exhibit a 100-fold greater sensitivity than the pheromone receptor cell population that projects to them. This was attributed to the fact that the central neurons receive inputs from many peripheral receptor cells and, consequently, can respond to concentrations that activate only a small fraction of the peripheral cells. Hence it is conceivable that a similar amplification occurs in the CNS of the lobster to produce behavioral thresholds for ATP that are lower than predicted from the observed physiological sensitivities of the receptor cells. Finally, because we do not have information on ATP-sensitive chemoreceptors associated with other appendages such as the walking legs (e.g., Derby and Atema, 1982), it is quite possible that inputs from these appendages may contribute importantly to the behavioral response.

Two significant discrepancies are evident when comparisons are made between the behavioral and physiological results obtained with the ATP analogs used in the current study. First, ADP and AMP are better stimulants of the behavioral response in *P. interruptus* than would be predicted from the physiological studies which revealed that both of these nucleotides are virtually non-stimulatory to the ATP-sensitive cells (see Fig. 2). Again, convergence, as discussed above, may result in the behavioral sensitivity to these analogs; also the integration of inputs from other receptor cells is likely since substances presented in the behavioral assays are not restricted to interacting exclusively with the ATP-sensitive cells described herein. Indeed, Spencer (1986) demonstrated strong responses to ADP and AMP in multiunit recordings from antennular chemosensory neurons in *P. interruptus*. Furthermore, sensory cell populations exhibiting selective sensitivity to AMP (Derby *et al.*, 1984) and ADP (Carr *et al.*, in press) have been described in *P. argus*. A second discrepancy between the behavioral and physiological results is that the slowly degradable analog, AMPPNP, is more effective than ATP when tested physiologically (see Fig. 5B), but less effective than ATP when tested behaviorally (see Figs. 2, 3). This suggests that a lobster is capable of discriminating ATP from AMPPNP via some response difference of the ATP-cell population and/or via inputs from other cells which exhibit a differ-

ential sensitivity to these compounds. The mechanisms underlying these intriguing behavioral/physiological differences remain to be determined.

The widespread effects of purine nucleotides, especially ATP, upon visceral muscles of several of the lower vertebrates prompted Burnstock (1975) to propose that ATP may represent one of the most primitive transmitters. If ATP was in fact a primitive transmitter, then receptors for ATP might also occur among many invertebrate organisms as well. Indeed, there is now substantial evidence that receptors activated by ATP or related adenine nucleotides, are frequently represented among the invertebrates (e.g., Mato *et al.*, 1978; Barber *et al.*, 1982; Yatani *et al.*, 1982; Carr and Thompson, 1983; Derby *et al.*, 1984; Chase and Wells, 1986; Derby *et al.*, 1987; Hoyle and Greenberg, 1988). In addition to the P<sub>2</sub>-like receptors present on the olfactory organ of the two species of spiny lobsters, ATP-sensitive chemoreceptors are known to occur in several species of insects including the tsetse fly (Mitchell, 1976), the assassin bug (Smith, 1979; Friend and Smith, 1982), the black fly (Sutcliffe and McIver, 1979), the mosquito (Galun *et al.*, 1984, 1985), and others (see review by Friend and Smith, 1977).

The capacity of ATP to serve as a behavioral stimulant of the spiny lobster is probably related to the fact that this nucleotide occurs in high concentrations in fresh tissues of prey organisms such as crustaceans and molluscs (e.g., see Carr and Derby, 1986). Good signal molecules in the sea should be those for which a high "signal to noise" ratio is maintained (e.g., see Fuzessery *et al.*, 1978; Atema, 1985; Carr, 1987). Because processes that maintain very low background concentrations ("noise") of ATP in seawater do exist, this molecule may be a particularly appropriate signal for recognizing recently killed or injured prey organisms. Processes minimizing noise levels of ATP in seawater include nucleotidases in tissues that rapidly dephosphorylate ATP after death (see Zimmer-Faust, 1987), plus dephosphorylating enzymes present on the outer surfaces of many planktonic organisms which quickly degrade nucleotides released into the sea (Ammerman and Azam, 1985). Hence the presence of ATP in seawater could provide a reliable indicator that an injured or freshly killed organism is nearby.

### Acknowledgments

This research was supported by NSF Grant BNS-8607513. We thank Mr. Jon LaCommare for assistance with the behavioral assays and Ms. Marsha Lynn Milstead for the illustrations.

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## Growth Rate of Jamaican Coral Reef Sponges After Hurricane Allen\*

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**Abstract.** Growth rate estimates for five coral reef sponges on the Discovery Bay fore-reef are presented. These were determined from the size of individual sponges growing on the coral rubble that was deposited when Hurricane Allen struck the north coast of Jamaica in August 1980. Sponges collected in February 1986 were weighed and their growth rates determined using the MIX program, originally developed to analyze size-frequency data in fish populations. Sponge doubling times were between 232 and 304 days, with evidence that early exponential growth may be slowing down after four years. The fastest growing sponges were those with small populations of symbiotic cyanobacteria, indicating that there may be a selective advantage for those sponges with photosynthetic symbionts.

### Introduction

Hurricane Allen passed within 50 km of the north coast of Jamaica on 6 August 1980. Large seas generated by winds in excess of 250 km per hour caused extensive damage to the coral reefs in the vicinity of the Discovery Bay Marine Laboratory (Woodley, 1980; Woodley *et al.*, 1981). The damage, however, was patchy; it was extensive in some areas and minor in those areas where local topography resulted in the attenuation or deflection of the waves (Woodley *et al.*, 1981). Prior to the hurricane, the fore-reef slope contained extensive, dense thickets of *Acropora cervicornis* between 10 m and 25 m (Goreau and Goreau, 1973; Pang, 1973). Some of these thickets were destroyed, resulting in the deposition of coral rubble which buried other sessile invertebrates.

Prior to Hurricane Allen, sponge populations on the fore-reef slope of Discovery Bay were large (Reiswig, 1973) and considered to be ecologically significant as they could filter a volume of water equivalent to the entire water column to a depth of 40 m each day (Reiswig, 1974). The only evidence of these populations in February 1986 was below 30 m depth where some of the extensive populations reported by Reiswig (1973) remained.

Reliable estimates of the growth rates of marine sponges generally are not available. Reiswig (1973), Dayton *et al.* (1974), and Wilkinson (1978) all attempted to determine growth rates by measuring sponges underwater, however their estimates were not particularly successful. More accurate estimates were obtained by Wilkinson and Vacelet (1979) who transplanted Mediterranean species onto plastic plaques for subsequent periodic measurement. These techniques, however, involved trauma to the specimens and were time consuming.

Sponge populations were surveyed on the north coast of Jamaica as part of a larger study (Wilkinson, 1987). The site chosen off the Discovery Bay Marine Laboratory was covered by a dense bed of *Acropora* rubble in excess of half a meter thick, which had accumulated during Hurricane Allen. This bed covered large areas with no evidence of the previous coral or sponge fauna. The site, however, did contain numerous small sponges and coral colonies growing on pieces of the rubble. This study reports the estimated growth rate of one sponge species from 20 m on the fore-reef slope off Discovery Bay. Four other species were collected, and speculative doubling rates are presented based on the rate estimated for the first species, *Pseudoceratina crassa*.

### Materials and Methods

Specimens of five massive sponge species were collected in February 1986 from 20 m depth, approximately

Received 26 August 1987; accepted 20 May 1988.

\* Contribution No. 410 from the Australian Institute of Marine Science and No. 425 from the Discovery Bay Marine Laboratory, Jamaica.



1 km to the west of the Discovery Bay Marine Laboratory (see Goreau and Goreau, 1973, for site description). Care was taken to select only regular-shaped animals (presumably derived from a single larva) which were attached to rubble and without obvious signs of predation damage. The sponges were weighed after draining for approximately 20 seconds and the volume measured by displacement in water. Estimations of dry weight were made on 5 individuals of each species after drying for 36 h at 80°C.

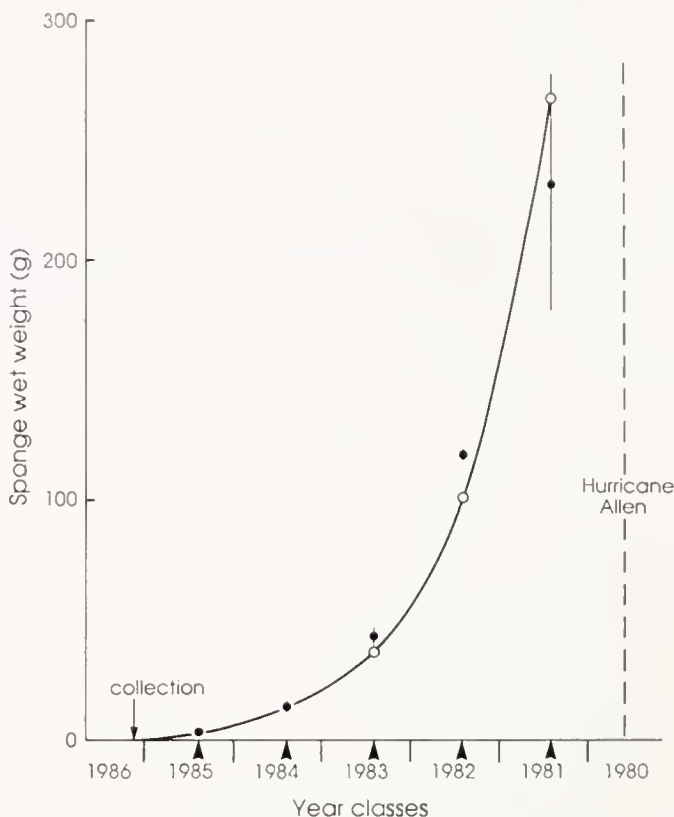
The size-class structure of the *Pseudoceratina crassa* population was estimated using the MIX program of Macdonald and Pitcher (1979; Macdonald and Green, 1986). MIX is an interactive program used to fit distributions to grouped data by maximum likelihood estimation. The program has been used effectively in the analysis of fisheries size-frequency data where the groups represent successive year classes. For *P. crassa*, it was assumed that there were five size groupings representing the recruitment from five annual spawning events between Hurricane Allen and the date of collection. To test this assumption, all possible combinations of groups from two to ten were tried, but a significant fit was only obtained for five groups. The mean size of sponges in each size-class was determined from the significant fit obtained to the size-frequency data with the MIX program. These mean values for each size-class were analyzed using a least squares regression to provide an exponential growth model ( $W_t = ae^{\alpha t}$ ) from which the relative growth rate  $\alpha$  was estimated.

Growth rates for the other four sponge species could not be obtained by the same method due to the limited number of specimens. Growth rate approximations for these species were derived using the growth model from *P. crassa*. It was assumed that the average size at 50 days after spawning was the same for all species. Exponential growth curves were then derived using simultaneous equations starting with a wet weight at 50 days of 2.93 g (as for *P. crassa*) and the weight of the largest individuals at the date of collection. The age of these individuals was defined as the number of days between the first spawning after Hurricane Allen and the date of collection. Dates for spawning of these species were extracted from the few observations of mass release of sperm reported in the literature (Reiswig, 1983; Hoppe, 1988).

## Results

The most prevalent sponge in this area, *Pseudoceratina crassa*, has an approximate doubling time of 8.5 months (257 days) with individuals after 5 years weighing, on average, 350 g wet weight (Fig. 1; Table I).

Growth rate estimates for the other four sponges suggest that the doubling times are between 7.6 and 10



**Figure 1.** *Pseudoceratina crassa* growth curve prepared from MIX program analysis of sponge wet weights on the collection date in February 1986. The mean size for the five size-classes with standard errors are positioned at the suggested date of spawning (solid arrows) in May of each year. Hurricane Allen occurred on 6 August 1980. The exponential growth curve ( $W_t = ae^{\alpha t}$ , where  $a = 2.567$ ,  $\alpha = 0.00270$ , and  $t$  = days since spawning) is represented by the curve drawn through the open circles, which are derived "mean sizes" for time year classes.

months (232 and 304 days). These species were less abundant in the area surveyed, hence fewer samples were available for growth rate analysis. Confidence in these estimates is substantially lower than for *P. crassa*, especially for *Agelas dispar* (Table I).

The full data sets for the five species are listed in Figure 2 with the estimated mean size of each year-class. It was assumed that there were five spawning events after Hurricane Allen in August 1980, and that each spawning event contributed individuals to the population. In the case of *Ircinia felix*, the position is different in that there are possibly two annual sperm release events, one in October and another in February. Six year-classes are represented on Figure 2 assuming an October release, although it must be recognized that semi-annual classes could exist. The largest sponges are assumed to have arisen from larvae settling within two months of the hurricane, hence, the estimated growth rates are the most conservative. For the other species, there is a presumed

Table 1

Estimated growth rate parameters for sponge species at 20 m on the fore-reef slope of Discovery Bay, Jamaica

| Sponge                       | Spawning date used       | Abundance m <sup>-2</sup> | No. collected | Doubling time days | Growth const. $\alpha$ | Growth const. $a$ | Size g 5 years | Dry Wt.          | Vol.             |
|------------------------------|--------------------------|---------------------------|---------------|--------------------|------------------------|-------------------|----------------|------------------|------------------|
|                              |                          |                           |               |                    |                        |                   |                | Wet Wt.          | Wet Wt.          |
| <i>Pseudoceratina crassa</i> | 28 May                   | 0.57                      | 135           | 257                | 0.00270                | 2.567             | 354            | 0.175 $\pm$ .021 | 0.946 $\pm$ .023 |
| <i>Ircinia felix</i>         | 14 February<br>1 October | 0.12                      | 54            | 235                | 0.00295                | 2.535             | 552            | 0.154 $\pm$ .032 | n                |
| <i>Verongula ardis</i>       | 14 March                 | 0.05                      | 39            | 232                | 0.00294                | 2.531             | 587            | 0.108 $\pm$ .023 | 0.927 $\pm$ .034 |
| <i>Simenospongia aurea</i>   | 14 March                 | 0.02                      | 48            | 304                | 0.00228                | 2.621             | 168            | 0.155 $\pm$ .013 | .930 $\pm$ .050  |
| <i>Agelas dispar</i>         | 20 July                  | 0.05                      | 17            | 250                | 0.00277                | 2.557             | 404            | 0.150 $\pm$ .013 | n                |

Spawning dates used in the exponential equations are from Reiswig (1983) and Hoppe (1988). Abundance reports incidence m<sup>-2</sup> in 120 m<sup>2</sup> surveys reported in Wilkinson (1987). The doubling times and growth constants  $\alpha$  and  $a$  are from the exponential equation  $W_t = ae^{at}$  which was used to estimate size (wet weight) after 5 years. Dry weight to wet weight and volume to wet weight ratios plus standard deviations are included for comparison with other studies; n, no data available.

lag of 6.3 to 11.5 months between the date of the hurricane and the first spawning event.

### Discussion

Hurricane Allen presented the opportunity to estimate the growth rates of some Caribbean reef sponges. These estimates, although approximate, represent rare examples of sponge growth rate statistics at the early stages of growth. A similar opportunity was used by Scoffin and Hendry (1984) to assess the effects of Hurricane Allen on the recruitment of sclerosponges to the Discovery Bay reef.

The estimated growth rates of the five species indicate doubling times in the range 232–304 days, *i.e.*, all increase by more than 100% per annum. These exceed the rates reported during studies of larger specimens of coral reef sponges (Reiswig, 1973; Wilkinson, 1978). These rates are only applicable to young sponges in the early exponential stage of growth, and cannot be extrapolated to larger sponges where proportional growth rates are considerably less (Reiswig, 1973). There is evidence in Figure 1 that the rate of growth of *Pseudoceratina crassa* is slowing down after four years with the fifth year-class sponges being 40 g (15%) smaller than sponges predicted from unrestricted exponential growth. A reduction in growth rates after the early, exponential phases of growth was observed by Reiswig (1973) for three large sponge species on the Discovery Bay reef.

These estimated growth rates are considered more useful than those recorded previously for coral reef sponges. The estimates are conservative as it is assumed that the larger sponges resulted from larvae settling out within the first year of Hurricane Allen. If the larger sponges resulted from later settlements, then more rapid growth rates

would apply. The estimates were based on measurements of differences in biomass (both wet and dry weight measurements), whereas in previous studies growth rates were based on estimated changes in the size of large, irregular specimens underwater.

The assertion that these estimates may be more reliable depends on several assumptions:

- All sponges in the region surveyed were destroyed by the hurricane. The specimens collected originated from newly settled, individual larvae produced by undamaged populations from deeper water;
- The individuals collected, particularly the large ones, originated from single larvae;
- The sponge species examined produce recognizable size classes which can be related to annual or semi-annual spawning events;
- The largest sponges resulted from larvae which settled out within the first year after Hurricane Allen.

With respect to assumption (i), destruction in the region surveyed in 1986 was extensive; no large corals (*e.g.*, *Montastrea annularis*) or sponges survived. The area is seaward of site B in Woodley *et al.* (1981) where there was extensive damage to gorgonian colonies. This site (similar in profile to E in Fig. 1 of Woodley *et al.*, 1981) was fully exposed to wave action because it slopes gently, thereby acting as the final repository for considerable amounts of *Acropora* rubble from shallower depths. Hence, it is unlikely that any sponge fragments survived in this habitat. All sponges appeared to be individuals that had settled on the rubble.

Of the five sponges present in sufficient numbers to warrant collection for this study, three form distinct,



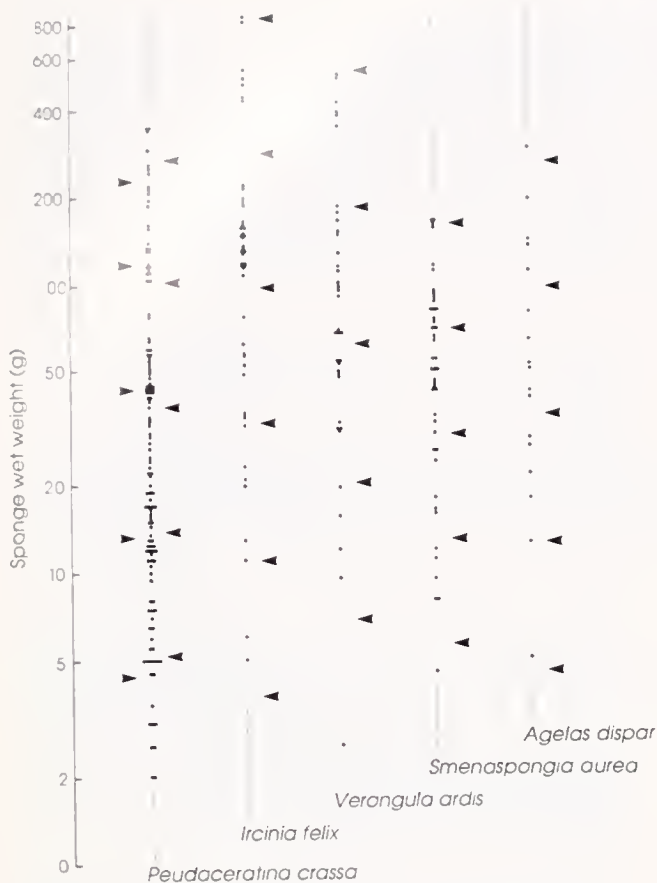


Figure 2. The data set of wet weights (natural logarithmic scale) of the five sponge species from 20 m depth on the Discovery Bay fore-reef. The arrows to the left of the *Pseudoceratina crassa* data set represent the sizes of the year-classes obtained from the MIX program, whereas the arrows to the right of each data set are the year-class sizes from exponential growth curves based on the curve derived from the *P. crassa* data.

massive shapes that vary little with size. *Smenospongia aurea*, *Verongula ardis*, and *Agelas dispar* all are erect, discrete sponges; the first two have a single osculum. It is assumed with confidence that the individual sponges of these three species grew from single larvae. This assumption is more difficult with the other two species. *Ircinia felix* forms irregular, hemispherical mounds which can fuse with adjacent specimens. *Pseudoceratina crassa* commences as a conical-shaped mound with a single oscule. These mounds divide as the sponge grows, eventually forming a series of similar-shaped mounds. Thus, in larger specimens it is difficult to differentiate between larger single sponges and smaller ones that have fused. In all of these sponges, however, it is unlikely that genetically compatible individuals have settled close enough to fuse when individual density is less than  $1 \text{ m}^{-2}$ . Therefore, the possibility that the larger specimens have

formed from the fusion of smaller individuals is regarded as remote.

Knowledge on sponge age-classes [assumption (iii)] is lacking. Very little is known about sponge reproduction *in situ*, and even less is known of settlement from pelagic larvae. Most reports of periodicity in sponge breeding have come via divers' observations of mass releases of spermatozoa. In general, Caribbean sponges appear to have one or possibly two major spawning events *per annum* (Reiswig, 1983; Hoppe, 1988). For *P. crassa*, there is one report of several individuals spawning in May; another species of *Ircinia* produces mature sperm in September/October and again in February; other verongids like *S. aurea* and *V. ardis* spawn in February/March; and five of six reports for *Agelas* species report a single spawning event in July. From these meager observations, the only conclusion is that there is apparently only one and possibly two spawning events *per annum* for most Caribbean sponges.

After considering these assumptions and the associated constraints, these growth estimates can only be considered indications of the rates for these species until more accurate measures are obtained. If assumption (iv) is incorrect, then these rates underestimate *in situ* growth of these sponges. While the rates apply to only five species at one depth on the Discovery Bay fore-reef, they are indicative of three different Orders. These estimates exceed those reported previously for marine sponges. Reiswig (1973) reported that two species on the same reef had barely detectable growth rates, whereas another species, *Mycale laxissima*, had an annual growth rate of 60%. Wilkinson (1978) reported little or no growth for three species of Great Barrier Reef sponge, but *Neofibularia irata* had a mean growth rate of 33%. The doubling rates reported by Wilkinson and Vacelet (1979) varied from 19 weeks to 1 year, but these were for small Mediterranean sponges measured for the period of optimal growth.

The range of growth doubling times of 7.6 to 10 months at 20 m depth indicates that it will take a minimum of 4 to 6 more years for the sponge biomass to approach that reported to exist prior to the hurricane (Reiswig, 1973) or found on other Caribbean reefs at the same depth where individuals of all 5 species attain sizes in excess of 1 kg wet weight (Wilkinson, 1987). The species composition of the developing sponge population at Discovery Bay is considerably different to that studied by Reiswig (1973). In 1973, there were at least 100 sponge species on the fore-reef slope in front of Discovery Bay, whereas only 20 species were evident in an area of  $120 \text{ m}^2$  in early 1986 (approximately half that found on other comparable Caribbean reefs; Wilkinson, 1987).

These apparently rapid rates of sponge growth indicate that there is an adequate supply of organic nutrient.

These species would rely almost entirely on the removal of organic matter from seawater for nutrition as none are phototrophic (Wilkinson, 1983). However, two of the species do have small populations of symbiotic cyanobacteria which may augment the amount of nutrient removed from the seawater through the translocation of fixed nutrient carbon (Wilkinson, 1979). These two sponges, *Ircinia felix* and *Verongula ardis*, showed the most rapid growth rates and it is possible that the increased growth over the other species may be attributable to supplemental nutrition from the symbionts.

### Acknowledgments

This research was supported under the U. S./Australia Bilateral Agreement for Scientific and Technological Cooperation and by the Australian Institute of Marine Science. Special thanks are due to Dr. J. Woodley and staff at the Discovery Bay Marine Laboratory and to Madeleine Nowak. Dr. H. Reiswig provided valuable criticism of the manuscript.

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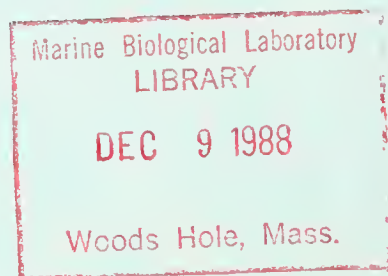
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Volume 175

Number 2

# THE BIOLOGICAL BULLETIN



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OCTOBER, 1988

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Published by the Marine Biological Laboratory





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OCTOBER, 1988

Printed and Issued by  
LANCASTER PRESS, Inc.

PRINCE & LEMON STS.  
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# THE BIOLOGICAL BULLETIN

THE BIOLOGICAL BULLETIN is published six times a year by the Marine Biological Laboratory, MBL Street, Woods Hole, Massachusetts 02543.

Subscriptions and similar matter should be addressed to THE BIOLOGICAL BULLETIN, Marine Biological Laboratory, Woods Hole, Massachusetts. Single numbers, \$20.00. Subscription per volume (three issues), \$55.00 (\$110.00 per year for six issues).

Communications relative to manuscripts should be sent to Dr. Charles B. Metz, Editor, or Pamela Clapp, Associate Editor, at the Marine Biological Laboratory, Woods Hole, Massachusetts 02543.

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POSTMASTER: Send address changes to THE BIOLOGICAL BULLETIN, Marine Biological Laboratory, Woods Hole, MA 02543.

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ISSN 0006-3185

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# Morning Release of Larvae Controlled by the Light in an Intertidal Sponge, *Callyspongia ramosa*

SHIGETOYO AMANO

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**Abstract.** The intertidal sponge *Callyspongia ramosa* releases larvae in the morning under natural light. The photic control of this morning release was studied under experimental light-dark (LD) cycles. Under LD 12:12h cycles (light period, from 6:00 to 18:00), release peaked about 6:00. But the release was not stimulated by the illumination in the morning because the sponge colonies released larvae even in the darkness. The experiments under various light regimes showed that the photic stimulus is not the onset of darkness but, unexpectedly, the onset of light the day before. In fact, *C. ramosa* colonies invariably released larvae about 24 hours after the onset of light under all illumination regimes tested. The tidal cycle and the daily cycle of the seawater temperature did not influence the time of release. Therefore, in nature, the dawning light most likely stimulates larval release on the next day. This photoadaptation in the larval release of *C. ramosa* suggests that the morning release is advantageous for their free-swimming larvae to seek out and settle on the suitable substratum in the intertidal region within their short dispersive period.

## Introduction

Many sponges are phototrophic and some derive at least 50% of their energy requirements from large populations of photosynthetic symbionts, usually blue green algae (Wilkinson, 1983, 1987). Obviously these sponges must occupy a substratum of full sunlight. The free-swimming larvae of such species are probably capable of locating themselves on a habitat exposed to the sun in this dispersive period. On the other hand, the free-swimming larvae of the sponges adapted to the shady habitat must selectively settle themselves on a shaded substratum. The free-swimming larvae of many sponges re-

spond to light (Warburton, 1966; Bergquist and Sinclair, 1968; Uriz, 1982a, b). However, it has not been shown experimentally that the larvae of phototrophic and non-phototrophic sponges show different patterns of behavior in habitat selection (Bergquist *et al.*, 1970; Fell, 1974).

Morning releases of larvae have been reported in several sponge species (Levi, 1951, 1956). *Halichondria panicea* (order Halichondrida) also releases larvae in the morning (Amano, 1986). It was shown that the stimulus for larval release in this sponge is the onset of darkness the evening prior to release, which occurs 15 hours later. In this report I show that *Callyspongia ramosa* (order Haploschlerida) releases larvae in the morning, but its stimulus for release is the onset of light the day before. This is quite different from *H. panicea*. The ecological significance of these photic controls of larval release and of the photoadaptations in the habitat selection of sponge larvae are discussed.

## Materials and Methods

*Callyspongia ramosa* colonies were collected in June from rafts at the Breeding Center of Aomori Prefecture, in northern Japan, about three kilometers from the Asamushi Marine Biological Laboratory where all the experiments were performed. Sponge colonies were collected carefully to minimize damage. They were placed in water-tight containers under water, brought to the laboratory, and transferred into running seawater. Sponges were maintained in clean running seawater to ensure their health throughout the experiments.

In the early morning following collection, colonies releasing many larvae were selected. Larvae filled the mesohyle of such colonies. Usually colonies released larvae for more than ten days in the laboratory. The larvae released from a colony under experimental LD cycles were counted using the method described previously (Amano,

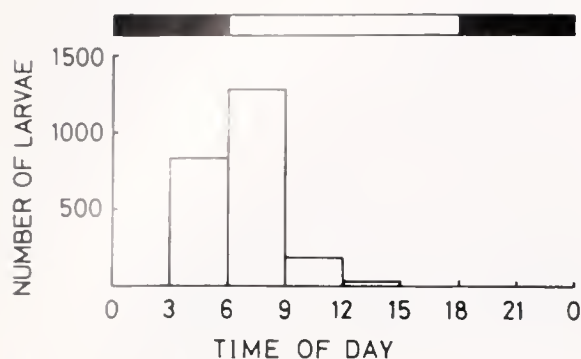


Figure 1. Typical larval release pattern of *Callyspongia ramosa* under LD 12:12h cycles (light period, from 6:00 to 18:00). The colony released largest number of larvae between 6:00 and 9:00, and the numbers decreased thereafter. The arithmetical mean of the release time (MRT) is 6:44. At the top, illumination schedule is shown: the blackened bar indicates the dark period and the white portion, the light period.

1986). Briefly, the colony was fixed with a cotton thread in a photographic developing tank and supplied continuously with running seawater. Thus it could be illuminated or shielded from light at will without interrupting the seawater supply. The released larvae were washed with the outflow, caught by a piece of nylon mesh applied to a plastic vessel, and counted every three hours.

### Results

Although the exact reproductive period of *C. ramosa* in nature is unknown, it released parenchymula larvae in June in the Asamushi Marine Biological Laboratory. The larvae ejected from a osculum swam just below the water surface. The dimensions of a typical larva were about  $250 \times 150 \mu\text{m}$ . The larvae were thickly covered with flagella except for a bare posterior pole, and the bare pole was encircled with a band of long flagella. They were dull yellow but contained reddish brown pigments in the posterior pole.

Under natural light, *C. ramosa* released larvae around dawn in the laboratory. This apparent diurnal periodicity was confirmed under artificial LD 12:12h cycles. Figure 1 shows a typical larval release of *C. ramosa* during a 24-hour-period under these conditions. Many larvae were released before 6:00, but most were released between 6:00 and 9:00; the numbers decreased gradually after 9:00. The mean release time (MRT) was arithmetically calculated with these data; the MRT of the larval release shown in Figure 1 is 6:44.

The results in Figure 1 suggest that the onset of light on that morning could not be a photic stimulus to the larval release because many larvae had been released before 6:00 while still dark. This suggestion is shown to be true in Figure 2. A sponge colony that had been under

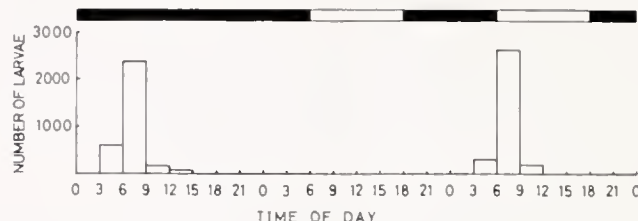


Figure 2. Inhibition of larval release brought about by continuous darkness on the day before. *Callyspongia ramosa* released larvae in the dark on the first day, but did not release on the second day despite illumination from 6:00 to 18:00. MRTs of the 1st and 3rd days are 7:14 and 7:26, respectively.

LD 12:12h cycles was kept in the dark on the first day. On the second and third days it was illuminated under LD 12:12h cycles again. On the first day, the colony released larvae in the dark as if it were still under a LD 12:12h cycle. It did not release on the second day, although it was illuminated from 6:00 to 18:00. This result was not brought about by the loss of release ability of the colony because it released larvae as usual on the third day. These results show that the time of release had been determined by the illumination on the day before. This conclusion is consistent with that of *Halichondria panicea* (Amano, 1986).

Figures 3 and 4 show the results of the experiments designed to determine which photic stimulus, the onset of light or the onset of dark on the day before, triggers larval release. In Figure 3, a colony was illuminated from 12:00 to 18:00; that is putting off of the onset of light by six hours. This putting off of the onset of light delayed the next day's larval release for about 4.5 hours. In Figure 4, a colony was illuminated from 6:00 to 12:00, that is advancing the onset of darkness by six hours. This advance, however, did not significantly advance the time of release on the next day. The delay of the larval release

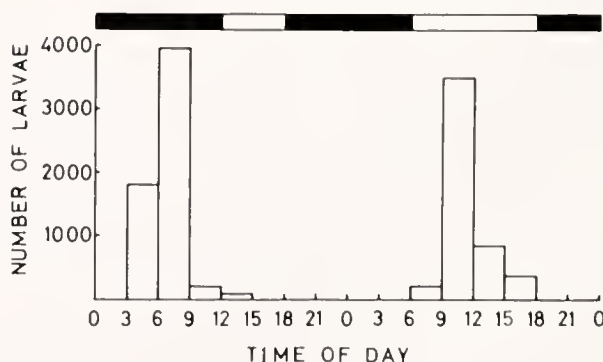
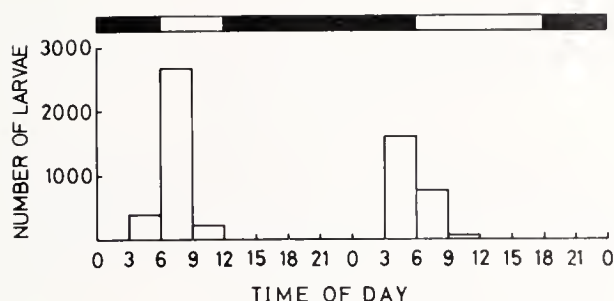


Figure 3. Delay of larval release brought about by six hours' putting off of the onset of light on the first day. The release on the second day was delayed for about 4.5 hours. MRTs of the 1st and 2nd days are 6:47 and 11:18, respectively.



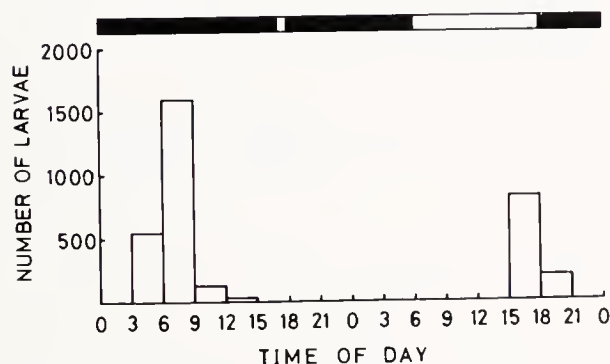


**Figure 4.** Although the onset of darkness was advanced for six hours, the colony released larvae about the same time on the second day as that under LD 12:12 h cycles. MRTs of the 1st and 2nd days are 7:26 and 5:32, respectively.

in Figure 3 was not a result of the shortening of light period because the colony in Figure 4 was also illuminated for six hours. Thus it is clear from these results that larval release was stimulated by the onset of light on the day before. This conclusion is distinctively different from that of *H. panicea* where the onset of darkness was the stimulus (Amano, 1986).

Results of Figure 5 confirm the above conclusion. If the onset of light is truly a stimulus, the time between photic stimulus and larval release must be about 24 hours because *C. ramosa* released larvae at about 6:00 under LD 12:12h cycles (light period, from 6:00 to 18:00). Instead, if the onset of dark were the stimulus, the duration should be about 12 hours. Preliminary experiments showed that a dark-adapted *C. ramosa* colony reacts to illumination for one hour. Figure 5 shows the result of one such experiment where a colony was illuminated from 17:00 to 18:00. Under these conditions it released larvae around 17:00 on the next day, that is, after about 24 hours.

Table I summarizes the results of the experiments presented in this paper and shows the temporal relationships



**Figure 5.** Larval release brought about by one-hour illumination. The colony released larvae about 24 hours after the illumination. MRTs of the 1st and 2nd days are 6:59 and 17:04, respectively.

**Table I**

Constant temporal relationship of larval release to onset of light on the day before under various illumination regimes in *Callyspongia ramosa*

| Hours from onset of light* | Hours from onset of dark* | Reference |
|----------------------------|---------------------------|-----------|
| 24:44                      | 12:44                     | Fig. 1    |
| 23:18                      | 17:18                     | Fig. 3    |
| 23:32                      | 17:32                     | Fig. 4    |
| 24:02                      | 23:04                     | Fig. 5    |

\* Duration between onset time of light or dark and arithmetical mean of release time.

between larval release and the two possible photic signals. Durations between the onset of light on the day before and larval release are constantly about 24 hours under all illumination regimes tested. On the other hand, the onset of dark has variable temporal relationships to larval release. Thus, at least under these experimental conditions, the larval release of *C. ramosa* is brought about by a photic stimulus, that is, the onset of light on the day before.

### Discussion

Under natural illumination, *C. ramosa* releases larvae in the early morning with apparent diurnal periodicity. It is obvious from the results shown in this paper that the larval release is controlled by the light and does not depend on other possible environmental factors. Larval release is independent of the tidal cycle and the daily change of seawater temperature because it showed no temporal relationship to such factors during this study. Besides, it is not controlled by a circadian rhythm because a release peak occurred only once in the continuous darkness. It must be noted, however, that all of the results shown in this paper are based on laboratory experiments. I have yet to study larval release of a sponge in the field; it might be possible in a particular species only. The morning releases of larvae have been reported in several sponge species: *Halichondria panicea* (Amano, 1986), *Haliclona permolis* (Amano, unpub. data), *Oscarella lobularis*, *Hymeniacidon sanguinea*, and *Halisarca metschnikovi* (Levi, 1951, 1956). Thus the morning release of larvae is likely to be ubiquitous in viviparous sponges.

I have already shown that the onset of light on the day before is the photic stimulus to the larval release of *C. ramosa* (order Haplosclerida) (see Results). In fact, colonies of *C. ramosa* released larvae about 24 hours after the onset of light under all illumination regimes tested (Table I). In nature, the dawning light is probably a stimulus to the larval release of the next day. Therefore *C. ramosa* may release larvae a little earlier even on a dark, cloudy morning if the previous morning was clear. In

*Halichondria panicea* (order Halichondrida), however, the photic stimulus for its larval release is the onset of darkness on the previous day (Amano, 1986). Thus, the onset of light may be a photic stimulus in the order Haploschlerida and the onset of darkness may be a stimulus in the order Halichondrida, although more studies are required to verify this presumption.

Which receives the photic stimulus, a mother sponge or the larvae? The sponge has no ovary; larvae are enveloped by a layer of follicle cells and embedded in the mesohyle. The structure of the follicle and that of the mesohyle seem too simple to receive the photic stimulus and to perform complicated functions associated with larval release. It is more likely that the larvae receive the stimulus directly. Light can reach the larvae through the mesohyle because *C. ramosa* colonies are small and pale in color. When stimulated by the onset of light, mature larvae ready to be released may migrate toward an exhalant canal. Although almost nothing is known about their migration, the larvae must rupture the follicle and move toward an exhalant canal through the mesohyle (Brien and Meewis, 1938; Fell, 1969). *C. ramosa* larvae may require about twenty-four hours to reach the exhalant canal, that is to say, this is probably the lag time before release. To test this hypothesis, the migration of larvae within the mesohyle must be studied in detail during the twenty-four hours following the photic stimulation.

Although sessile animals of aquatic habitat belong to taxa which are very different phylogenetically, they may be grouped together ecologically as producers of dispersive propagules such as planktonic larvae (Sara, 1984). This has been an evolutionary trend, as dispersion and substrate occupation are obviously essential for the success of any sessile animal (Meadows and Campbell, 1972). In the sponge, all viviparous species produce free-swimming larvae as dispersive propagules. It is noteworthy that most intertidal sponges are viviparous and most oviparous sponges inhabit the deeper sea-floor (Reiswig, 1976; Watanabe, 1978; Simpson, 1980). Viviparity appears to be advantageous for the intertidal sponges to occupy a suitable substratum (Bergquist and Sinclair, 1968; Ayling, 1980). If they were oviparous, their eggs and developing embryos might be swept away from the intertidal region by strong currents and waves during a long embryonic period. Free-swimming larvae seem to be able to find and settle promptly on a suitable substratum within the dangerous intertidal region because they respond to light and gravity (Warburton, 1966; Bergquist *et al.*, 1970; Uriz, 1982a, b). The photic controls of larval release shown in this and in a previous paper (Amano, 1986) are probably two instances of the adaptation for

efficient dispersion of the swimming larvae of these sessile sponges in the intertidal region.

### Acknowledgments

Sincere thanks are expressed to Dr. Numakunai and other members of the Asamushi Marine Biological Laboratory for their hospitality and help during my stay. I am grateful to T. Mayama, S. Tamura, and M. Washio who collected the sponges.

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## Characterization of the Molt Stages in *Penaeus vannamei*: Setogenesis and Hemolymph Levels of Total Protein, Ecdysteroids, and Glucose

SIU-MING CHAN\*, SUSAN M. RANKIN\*\*, AND LARRY L. KEELEY

*Laboratories for Invertebrate Neuroendocrine Research, Department of Entomology, Texas A&M University, Texas Agricultural Experimental Station, College Station, Texas 77843*

**Abstract.** The molting cycle of *Penaeus vannamei* juveniles was characterized by distinct and predictable changes in the setae of pleopods. The molt pattern was diecdysic with a relatively short intermolt period (40%) and a long proecdysial period (>53%). The levels of both total protein and ecdysteroids increased in the hemolymph during proecdysis, whereas the level of hemolymph glucose was low at metecdysis and proecdysis and maximal during anecdysis. As revealed by SDS-PAGE, the relative concentrations of two polypeptides (32 kD; 175 kD) changed during the molting cycle.

### Introduction

Molting in arthropods includes not only the act of ecdysis, but also new cuticle formation, apolysis, the immediate postecdysis, and tissue growth (Passano, 1960). This dynamic cycle has been divided into four phases in crustaceans: (1) metecdysis (stages A, B), the period immediately following ecdysis; (2) anecdysis (stage C), a period of tissue growth and accumulation of food reserves; (3) proecdysis (stage D), a period of active morphological and physiological changes in preparation of the next molt; and (4) ecdysis (stage E), the shedding of the old cuticle (Drach, 1939).

Several methods are used to determine the molt stages of crustaceans. These methods include histological examination of the integument, measurement of the size of the gastroliths or the regenerating pereopods, and deter-

mination of setal development on the appendages. Determination of molt stages by the state of setogenesis on the appendages is rapid and inflicts little harm to the animals, even after repeated sampling. Setogenesis is used as a criterion to stage a number of decapods, including the natantians (Scheer, 1960; Kamiguchi, 1968), anomurans (Kurup, 1964), and macrurans (Aiken, 1973). Among the penaeids, criteria for assessing molt stages are described for *Penaeus duorarum* (Schafer, 1968), *Penaeus merguensis* (Longmuir, 1983), *Penaeus esculentus* (Smith and Dall, 1985), *Penaeus stylirostris* (Huner and Colvin, 1979; Robertson *et al.*, 1987), and *Penaeus setiferus* (Robertson *et al.*, 1987).

Molting is stimulated in Crustacea by one or more of a group of closely related steroid hormones, the ecdysteroids (Skinner, 1985). Hemolymph ecdysteroids during the molting cycle have been measured in only a few decapods by use of radioimmunoassay (RIA) (Andrieux *et al.*, 1976; Chang *et al.*, 1976; McCarthy and Skinner, 1977; Keller and Schmid, 1979; Stevenson *et al.*, 1979; Chang and Bruce, 1980; Charmantier-Daures and DeReggi, 1980; Hopkins, 1983; Jegla *et al.*, 1983; Soumoff and Skinner, 1983). No determinations are reported for penaeid shrimp. In most cases, hemolymph ecdysteroid titers increase rapidly during proecdysis; however, precise patterns are species-specific (*e.g.*, Stevenson, *et al.*, 1979; Chang and Bruce, 1980). Other hemolymph parameters, such as the levels of glucose (Telford, 1968) and protein (Dall, 1974) also undergo cyclic changes that correlate with the molt stage.

This paper describes the molting cycle of *Penaeus vannamei*, an economically important shrimp in the mariculture industry of the southern United States. We have characterized molt stages based on setogenesis of the

Received 10 March 1988; accepted 28 July 1988.

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pleopods, determined hemolymph levels of protein, glucose, and ecdysteroids, and analyzed the hemolymph proteins by polyacrylamide gel electrophoresis (PAGE).

### Materials and Methods

#### Animals

*P. vannamei* juveniles were purchased from Ocean Venture Inc. in Port Lavaca, Texas. They were held for two weeks in 250 gallon circular tanks for acclimation at 20–22°C and at a salinity of 28–30‰. Shrimp (11.5–13.0 cm total length) were maintained individually in plastic boxes (23 cm × 12.5 cm × 12 cm) and fed once daily with commercial maturation diet at a rate of 4% total body weight (Rangen Inc., Buhl, Idaho).

#### Setogenesis

To measure setogenesis, the distal third of the pleopod was excised, floated in saline on a microscope slide, and observed at 100× with a compound microscope. Photomicrographs were prepared from pleopods mounted in saline. Setal development was observed at 6-h intervals for 2 days after ecdysis and then twice daily until the next molt.

#### Hemolymph measurements

Forty  $\mu$ l of hemolymph were withdrawn through the arthropodial membrane of the fifth pereopod using a chilled microsyringe. Hemolymph sampling was performed with minimal handling of animals and took <20 s. This brief period of handling precluded induction of an endocrine-dependent stress response that would influence the levels of hemolymph metabolites during the time of sampling. All sampling was performed 2–5 h after the onset of the photophase. Sampling of pleopods and of hemolymph at four-day intervals had no apparent effects on the animals. Results from both sexes were pooled since preliminary studies had indicated no sex-related differences in hemolymph metabolite levels.

Ecdysteroid titers were determined in the hemolymph by RIA. Ecdysone antiserum was a gift from Dr. W. E. Bollenbacher (University of North Carolina, Chapel Hill). 23,24  $^3\text{H}_2(\text{N})$  ecdysone (specific activity 58–60 Ci/mM) was purchased from New England Nuclear (Boston, MA); the ecdysone standard was purchased from Sigma Chemical Co. (St. Louis, MO). Ten  $\mu$ l of hemolymph were added to 150  $\mu$ l methanol. After precipitation of protein for 15 minutes at 4°C, the sample was centrifuged for 5 minutes at 12,000 × *g*. The supernatant was collected and evaporated under nitrogen, and ecdysteroids were measured (Chang *et al.*, 1976).

Hemolymph total protein was measured using the Coomassie brilliant blue test (Bradford, 1976).

Hemolymph glucose was determined by the glucose oxidase procedure (Mark, 1959) using a commercial reagent kit (Sigma Chemical Co.).

#### Polyacrylamide gel electrophoresis (PAGE)

Hemolymph proteins were analyzed by slab gel electrophoresis (Laemmli, 1970). Native PAGE (6%), was performed with hemolymph mixed with a sample buffer (0.125 M Tris-HCl, pH 6.8) containing 0.01% bromophenol blue as the tracking dye. SDS-PAGE (10–15% linear gradient) was performed with hemolymph incubated in sample buffer containing 2% SDS and 1% 2-mercaptoethanol for 15 minutes in a boiling water bath. Electrophoresis was run at 50 V in the stacking gel and 100 V at the resolving phase. Gels were stained with Coomassie blue R for total protein or with dithiooxamide for copper (Whittaker, 1959).

### Results

#### The molting cycle: setogenesis

Setae are external outgrowths from appendages such as uropods, pleopods, and antennule scales. In *P. vannamei*, the degree of setal development was not identical on different appendages and in different regions of the same appendage. For example, if pleopod setae were in stage D<sub>0</sub>, most of the setae on the antennule scales were still in late anecdyesis (C<sub>3</sub>). Similarly, when the setae on the proximal portion of the pleopod entered proecdysis, the setae on the distal half of the pleopods were still at anecdyesis. To standardize the criteria for determining molt stages, setogenesis was based on changes in the setae on the distal third of the pleopods.

*Stage A (metecdysis).* Stage A lasted about one day (Table I), and the newly molted animals were inactive and did not feed. The exoskeleton was soft, parchment-like, and uncalcified. The epidermis was transparent with little pigmentation. Setal lumens were filled with translucent fiber-like matrices (Fig. 1), and the epidermis near the setal base was less granular than that of later stages.

*Stage B (metecdysis).* The exoskeleton hardened, presumably due to deposition of calcium, and epidermal pigmentation increased. At this time, setal matrices appeared granular and began to retract from the setal lumens towards the bases of the setae. An internal cone (conical base) began to form in each seta during later Stage B.

*Stage C (anecdyesis).* Calcification of the exoskeleton was completed. This stage occupied 10 to 15 days (35–40%) of the intermolt period (Table I). Animals were maximally active at this stage and resumed feeding. Most of the setal lumens were clear of setal matrix at this time.

Table I

*Characteristics of the molting stages of juvenile Penaeus vannamei*

| Stage          | Duration        | Feeding/activity              | Exoskeleton                             | Epidermis           | Setal development                                                                     |
|----------------|-----------------|-------------------------------|-----------------------------------------|---------------------|---------------------------------------------------------------------------------------|
| A              | 18–28 h (1–2%)  | none/weak                     | soft                                    | transparent         | granular matrix fills lumen                                                           |
| B              | 23–40 h (3–4%)  | none/restored                 | hardened                                | granular            | granular matrix retracts; internal cone formation begins; setal organs become visible |
| C <sub>1</sub> | 1.5 days (5%)   | restored/maximal              | hard                                    | granular            | granular matrix retraction completed, internal cone formation completed               |
| C <sub>2</sub> | 6–8 days (20%)  | maximal/maximal               | hard                                    | granular            |                                                                                       |
| C <sub>3</sub> | 4–7 days (15%)  | maximal/maximal               | hard                                    | granules very dense |                                                                                       |
| D <sub>0</sub> | 3–6 days (15%)  | decreasing/maximal            | No new cuticle yet                      | retracts (apolysis) |                                                                                       |
| D <sub>1</sub> | 8–10 days (28%) | decreasing/maximal            | New cuticle appears                     | invaginates         | new setae begin to develop                                                            |
| D <sub>2</sub> | 2–3 days (6–7%) |                               | Space forms between old and new cuticle | invaginates         | new setae form barbules                                                               |
| D <sub>3</sub> | 1–2 days (3–4%) | no feeding, water is absorbed | old skeleton soft                       |                     | old setal organs disappear, new setae fold                                            |
| E              | 1–2 min         | no feeding, body expands      | old cuticle is shed                     |                     |                                                                                       |

Formation of internal cones was completed in the C<sub>1</sub> stage (Fig. 3). Setal organs, cylindrical structures that give rise to the setae (see Aiken, 1973), became clearly visible in the C<sub>2</sub> stage (Fig. 4). The C<sub>3</sub> stage differed from the C<sub>2</sub> stage (Fig. 4) in that during C<sub>3</sub> the setal bases were more dense and the setal organs more distinctive (Fig. 5), presumably due to mobilization of granules in the epidermis just before proecdysis.

*Stage D (proecdysis).* Proecdysis lasted 15 to 19 days and could be divided into stages D<sub>0</sub>–D<sub>3</sub>. Early proecdysis began with apolysis (Jenkin, 1966), the separation of the endocuticle from the epidermis. In *P. vannamei*, the process began first in the posterior region in the endopodites (Fig. 6) and was accompanied by the resorption and presumed decalcification of the exoskeleton. New cuticle was not present at the D<sub>0</sub> stage. As the epidermis retracted, it invaginated (D<sub>1</sub>) (Fig. 7) at the setal bases as new cuticle was deposited (D<sub>1</sub>). At the late D<sub>1</sub> stage, the new epidermis continued to invaginate and new setae began to develop and protrude from the new cuticle (Fig. 8). At the D<sub>2</sub> stage (Fig. 9), new setae formed barbules and the setal spines extended into the base of the former seta. The epidermal retraction continued and resulted in large empty spaces between the old and new exoskeletons (D<sub>3</sub>). Setal organs were no longer evident as discrete organs. Presumably as a result of muscular contraction, the new setae folded, and disrupted the regular pattern of setal arrangement (Fig. 10). Immediately before ecdysis, late proecdysis was characterized by absorption of water, expansion of the body, muscular contraction/relaxation,

and breakage of the intercalary sclerites in the abdominal dip.

*Stage E (ecdysis).* As the animal shed the exuvium, the invaginated setae everted. Ecdysis lasted for less than 2 minutes (<1%). Early post-molt animals usually did not feed, although some newly molted animals consumed the old exuviae, possibly to recover calcium and other cuticular components.

#### *The molting cycle: hemolymph ecdysteroids and metabolites*

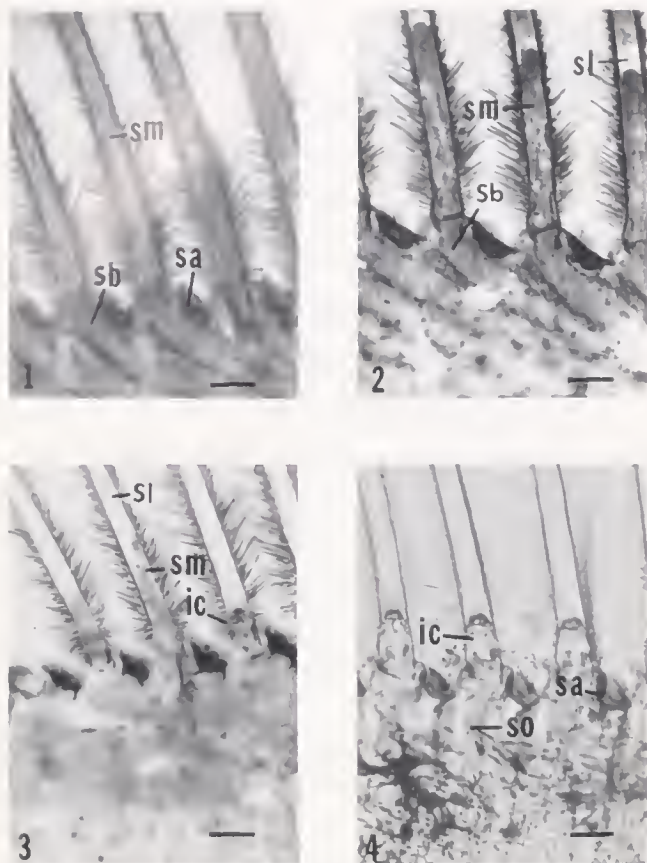
We measured levels of circulating ecdysteroids and metabolites during different stages of the molting cycle as determined by the criteria of setal formation and morphology described above.

Figure 11 shows the ecdysteroid levels in the hemolymph during each molt stage. Ecdysteroid titers were approximately 30 pg/μl during metecdysis (A and B). The titers dropped to a minimum of about 16 pg/μl at stage C<sub>2</sub>, began to increase at C<sub>3</sub> and reached a maximum of approximately 200 pg/μl at D<sub>1</sub>. The increases in ecdysteroid titer correlated with the onset of proecdysis and the events related to new cuticle formation.

The pattern of hemolymph protein levels was similar to that of the ecdysteroids. Hemolymph protein levels were low during metecdysis (20 mg/ml) and anecdysis (C<sub>1</sub>) and increased to a plateau (85–95 mg/ml) at the proecdysis (D<sub>3</sub>) (Fig. 12).

The changes in levels of hemolymph glucose (Fig. 13) during the molting cycle did not resemble the S-pattern





**Figure 1.** Early metecdysis, stage A. Most of the setal lumens are filled with setal matrix (sm). The bases of setae (sb) are agranular and setal articulations (sa) are opaque. (100 $\times$ ), scale bar = 45  $\mu$ m.

**Figure 2.** Metecdysis, stage B. The setal matrix (sm) has begun to retract towards the base of the seta (sb), revealing the setal lumen (sl). (100 $\times$ ), scale bar = 45  $\mu$ m.

**Figure 3.** Anecdyysis, stage C<sub>1</sub>. Retraction of setal matrix (sm) has neared completion and the setal lumens (sl) are almost empty. Formation of internal cones (ic) has been completed in most setae. (100 $\times$ ), scale bar = 45  $\mu$ m.

**Figure 4.** Anecdyysis, stage C<sub>2</sub>. Setal organs (so), which give rise to the internal cones (ic), and setal articulations (sa) are evident. (100 $\times$ ), scale bar = 45  $\mu$ m.

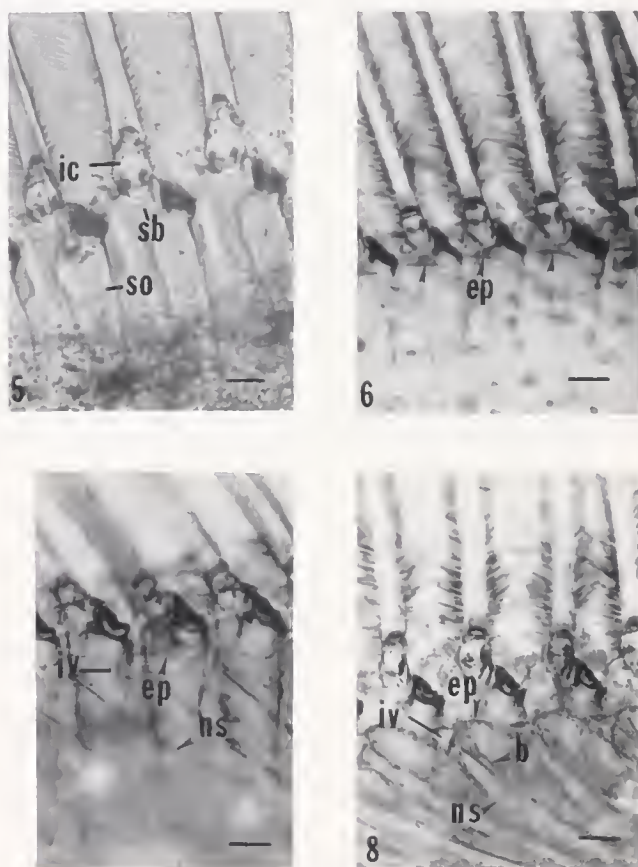
observed for the protein and ecdysteroid titers. The glucose levels were lowest immediately before and after the molt and increased significantly ( $P < 0.05$ ;  $t$ -test) during anecdyysis (C<sub>3</sub>).

Thirteen proteins were detected in stained native gels; the dominant protein, #6, stained positively for copper with dithiooxamide (data not shown) and is therefore likely to be the respiratory protein, hemocyanin. No distinctive changes in proteins during the molting cycle were revealed by native gels. SDS-PAGE of hemolymph proteins of the molt cycle revealed more than 20 polypeptides (Fig. 14). No changes were observed in the major polypeptides; however, of the less abundant polypep-

ptides, one small (32 kD) and one high molecular weight polypeptide (175 kD) changed in relative abundance during the molting cycle. The relative concentrations of these two polypeptides were low during late metecdysis (B) and anecdyysis (C), and increased during proecdysis (D).

## Discussion

The molting cycle in crustaceans is characterized by distinct morphological, physiological, and biochemical events. We have identified and characterized several of these parameters for the South American white shrimp, *P. vannamei*.



**Figure 5.** Anecdyysis, stage C<sub>3</sub>. Setal bases (sb) have become denser and setal organs (so) more distinct. Well-defined internal cones (ic) are evident. (100 $\times$ ), scale bar = 45  $\mu$ m.

**Figure 6.** Proecdysis, stage D<sub>0</sub>. Apolysis. The separation of the epidermis (ep) from the cuticle is evident. (100 $\times$ ), scale bar = 55  $\mu$ m.

**Figure 7.** Proecdysis, stage D<sub>1</sub>. Invagination (iv) of the epidermis (ep) has left a clear space between the old cuticle and the epidermis. The new setae (ns) and cuticle have begun to form. (100 $\times$ ), scale bar = 45  $\mu$ m.

**Figure 8.** Proecdysis, stage D<sub>1</sub>. The epidermis (ep) has continued to invaginate (iv); new barbules (b) have formed on some new setae (ns). (100 $\times$ ), scale bar = 45  $\mu$ m.



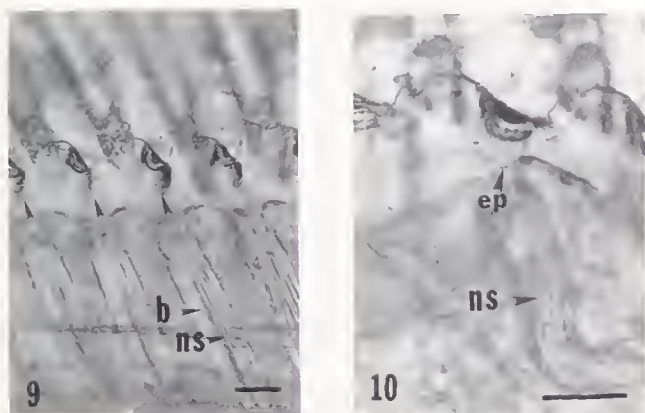


Figure 9. Proecdysis, stage D<sub>2</sub>. New barbules (b) are present on all new setae (ns). (100×), scale bar = 30 μm.

Figure 10. Proecdysis, stage D<sub>3</sub>. The pattern of new setae (ns) is interrupted by folds of the epidermis (ep). The animal is ready to molt in this stage. (120×) scale bar = 40 μm.

### The crustacean molting cycle: setogenesis

Although setogenesis has been used as a criterion for molt staging for many years (see Drach, 1939), species variations in setal morphology and development result in differences among crustaceans in both staging criteria and in easily-defined subdivision of the molt stages. We have established criteria for the molt stages and substages in *P. vannamei*. These criteria include the discernment in the pleopods of the epidermis, setal lumens, internal cones, and setal organs (see Figs. 1–10). Similar criteria have been used to determine stages for the penaeidae shrimp *P. californiensis*, *P. stylirostris* (Huner and Col-

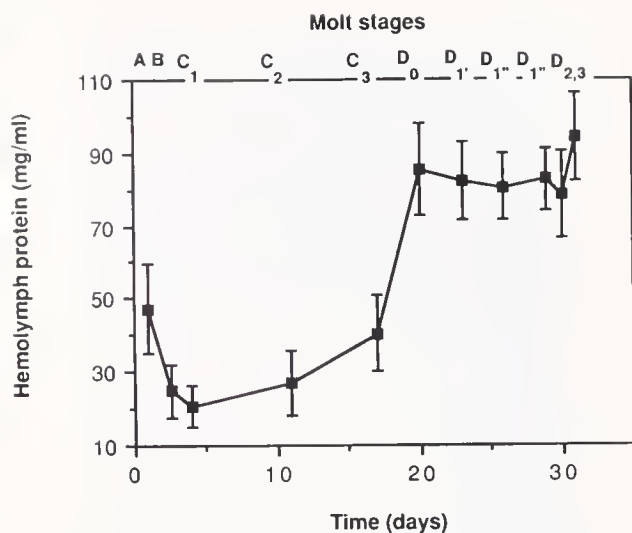


Figure 12. Total protein levels in the hemolymph of juvenile *P. vannamei* during the molting cycle. Values represent mean  $\pm$  S.E.M. ( $n \geq 12$  for each point).

vin, 1979), *P. merguensis* (Longmuir, 1983), *P. duorarum* (Schafer, 1968) and *P. esculentus* (Smith and Dall, 1985). Setogenesis in these shrimp species differs primarily in the degree of pigmentation in the appendages and in the duration of the molt stages. Furthermore, the complete retraction of the setal matrices was observed in other penaeids during anecdysis, whereas in some individuals of *P. vannamei* retention of setal matrices was observed. Deviations in setogenesis are even more pronounced in other decapods. For example, in the lobster *Panulirus marginatus*, internal cones are lacking; thus,

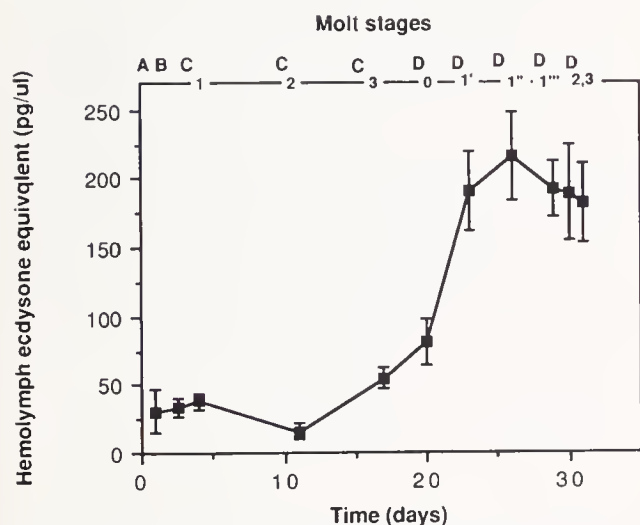


Figure 11. Ecdysteroid titers in the hemolymph of juvenile *P. vannamei* during the molting cycle. Each point represents the mean  $\pm$  standard error of the mean (S.E.M.) ( $n \geq 12$  for each point).

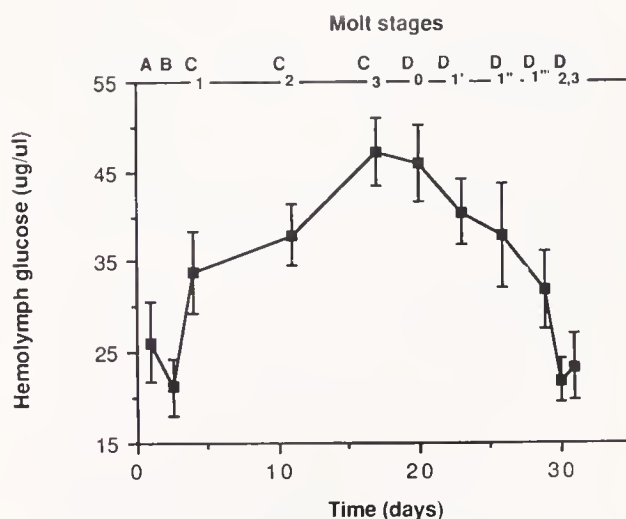
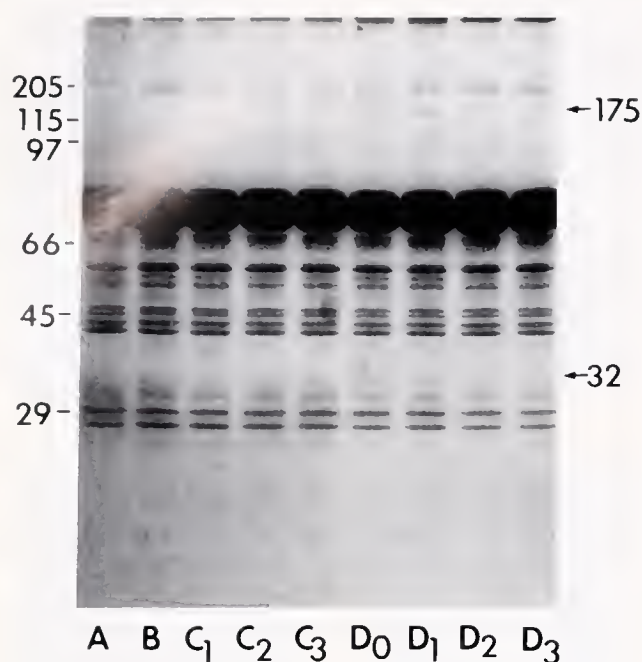


Figure 13. Total glucose levels in the hemolymph of juvenile *P. vannamei* during the molting cycle. Values represent mean  $\pm$  S.E.M. ( $n \geq 12$  for each point).



**Figure 14.** 10–15% linear gradient SDS-PAGE of hemolymph proteins from juvenile *P. vannamei* during the molting cycle. Values in the far left column indicate molecular weight determinations (kD). Arrows indicate polypeptides which increase in relative quantities as the molting cycle progresses. Letters (bottom) indicate the molt stage.

the distinction between stages B and C depends mainly on the thin and hollow appearance of the setal lumen in anecdytic (Lyle and MacDonald, 1983). These examples emphasize that molt staging must rely on a combination of setal characters. Furthermore, substaging varies according to the investigators. We found that the molting cycle in *P. vannamei* was readily divided into stages: A, B, C<sub>1–3</sub>, and D<sub>0–3</sub>. In the crayfish *Astacus leptodactylus*, the molting cycle was divided into A<sub>1–2</sub>, B<sub>1–2</sub>, C<sub>1–4</sub>, and D<sub>0–4</sub> (Van Herp and Bellon-Humbert, 1978). C<sub>4</sub> and D<sub>4</sub> stages were not described in *P. vannamei* because those putative stages were of extremely short duration.

Molt staging may be accomplished using setogenesis in a variety of appendages. These appendages include the pleopods, as demonstrated in *P. marginatus* (Lyle and MacDonald, 1983), *A. leptodactylus* (Van Herp and Bellon-Humbert, 1978) and *Orchestia cavimana* (Graf, 1972); the maxillae in *Chionoecetes opilio* (Moriysau and Mallet, 1986); and the uropods in *Petrolisthes cinctines* (Kurup, 1964), *P. stylirostris* (Huner and Colvin, 1979; Robertson *et al.*, 1987) and *P. setiferus* (Robertson *et al.*, 1987). We have used the pleopods for determination of molt stages in *P. vannamei* because removal of other appendages results in trauma or death, and because the relatively thin cuticle of the pleopods facilitates observations on setal development.

Two molting patterns have been defined for the crusta-

cean molting cycle: (1) anecdytic that has a relatively long intermolt and (2) diecdytic that has a long premolt (Knowles and Carlisle, 1956). In general, crustaceans with an anecdytic molting cycle usually enter a terminal anecdytic (C<sub>4T</sub>) stage (Skinner, 1985). Whether the molting cycle of adult *P. vannamei* (which continue to molt throughout their life) is diecdytic or anecdytic remains to be determined, but in juvenile *P. vannamei*, the premolt period occupied 50–55% of the molting cycle. Thus, the molting cycle of juvenile *P. vannamei* is diecdytic (Table 1). Our observations on durations of molt stages in juvenile *P. vannamei* are similar to those reported for juvenile *P. esculentus* (Smith and Dall, 1985); however, in juvenile *P. merguensis* (Longmuir, 1983), juvenile *P. californiensis*, and juvenile *P. stylirostris* (Huner and Colvin, 1979) the D<sub>0</sub> stage is proportionally much longer.

#### *The crustacean molting cycle: hemolymph ecdysteroids and metabolites*

The titer of hemolymph glucose was low during stages A and B, rose gradually during stage C, reached a maximal concentration in early proecdysis (D<sub>0</sub>, D<sub>1</sub>), then declined in late proecdysis (Fig. 11). A similar situation was reported for *Carcinus maenas* (Spindler-Barth, 1976), although titers of circulating glucose in *C. maenas* were more than twice those reported here for *P. vannamei*. In contrast to this pattern, Telford (1968) demonstrated that hemolymph glucose titers increased shortly before ecdysis in three species of crabs. Maximal levels of glucose in *P. vannamei* during the intermolt probably resulted from an accumulation of food reserves during this period of active feeding. Likewise, the gradual decline in glucose titers during late proecdysis corresponded with reduced feeding. We believe that the glucose titers of *P. vannamei* correlate principally with the feeding pattern and do not reflect concurrent changes in metabolism. Since glucose levels were lowest just before and after ecdysis, it is unlikely that the glucose was essential for either chitin synthesis for the new cuticle or as a source of energy during molting. Gwinn and Stevenson (1973) have speculated that in *Orconectes limosus*, the major energy source is chitin because the chitin resorbed by the epidermis before molting provides sufficient material for both new chitin synthesis and energy for molting.

The use of the RIA to measure hemolymph ecdysteroid titers in decapods has been limited to only a few species, including the crayfish, *Orconectes sanborni* (Stevenson *et al.*, 1979) and *O. limosus* (Keller and Schmid, 1979; Jegla *et al.*, 1983), the lobster, *Homarus americanus* (Chang and Bruce, 1980), and the crabs *C. maenas* (Andrieux *et al.*, 1976), *Callinectes sapidus* (Soumoff and Skinner, 1983), *Gecarcinus lateralis* (McCarthy and



Skinner, 1977) *Uca pugnator* (Hopkins, 1983) *Pachygrapsus crassipes* (Chang *et al.*, 1976) and *P. marmoratus* (Charmantier-Daures and DeReggi, 1980). We have shown that in the shrimp, *P. vannamei*, ecdysteroid levels were low during metecdysis and anecdysis, began to rise at apolysis and reached a maximum during proecdysis (Fig. 11). Similar rapid increases in ecdysteroids occur in *C. sapidus* (Soumoff and Skinner, 1983), *O. limosus* (Keller and Schmid, 1979), *G. lateralis* (McCarthy and Skinner, 1977), and *P. crassipes* (Chang *et al.*, 1976). The increasing ecdysteroid titers at the end of anecdysis presumably initiated apolysis. The high ecdysteroid titer was maintained into late proecdysis (D<sub>3</sub>) but had declined by early metecdysis (A). A similar decrease in ecdysteroid concentrations was reported for *C. sapidus* (Soumoff and Skinner, 1983), *Orchestia cavimana* (Graf and Delbecque, 1986) and *O. sanborni* (Stevenson *et al.*, 1979). The decrease in ecdysteroid concentrations may be in part the result of water uptake to achieve ecdysis. In all reported cases, however, the lowest ecdysteroid titer always occurs during anecdysis (stage C).

Identification of the specific ecdysteroids of *P. vannamei* remains to be determined. In *P. crassipes* (Chang *et al.*, 1976) and *Orconectes* sp. (Carlisle and Connick, 1973), both ecdysone and 20-hydroxyecdysone are found. Only 20-hydroxyecdysone is detected in *O. limosus* (Keller and Schmid, 1979), while both 20-hydroxyecdysone and Ponasterone A are found in *G. lateralis* (McCarthy and Skinner, 1977).

The increase in hemolymph ecdysteroids correlated with an increase in hemolymph protein content (*c.f.* Figs. 11, 12). A comparable pattern was reported for hemolymph protein in *Palaemon serratus* (Baldais *et al.*, 1984), *C. sapidus* (Soumoff and Skinner, 1983), and *O. sanborni* (Stevenson *et al.*, 1979). The increase in hemolymph protein concentration might result from increased protein synthesis (Gorell and Gilbert, 1971), reduced degradation of proteins and/or resorption of cuticular proteins (Travis, 1955).

Hemolymph proteins of several crustacean species have been separated and characterized by gel electrophoresis (Keer, 1969; Fielder *et al.*, 1971). The patterns vary for different species, but hemocyanin is the major protein detected in all cases and accounts for 80–95% of the total hemolymph protein. Since the major protein of juvenile *P. vannamei* stained positively for copper with dithiooximide (data not shown), and was similar in size (74–76 kD) to hemocyanin from *H. americanus* (Senkbell and Wriston, 1980) it is likely that it is hemocyanin. The rest of the proteins may consist of mostly free enzymes (Scheer, 1960). The increase in hemolymph protein observed in *P. vannamei* during the different molt stages (Fig. 12) likely resulted from a general increase in the quantities of the major proteins since no specific

changes were observed in the major polypeptides on SDS-PAGE. However, two minor polypeptide subunits, one of low molecular weight (32 kD) and one of high molecular weight (175 kD), increased in relative abundance during proecdysis (Fig. 14). Although minor in quantities, these polypeptides could have important physiological functions, and play pivotal roles in the events of molting. The sources for any of these polypeptides are unknown.

In conclusion, the developmental stage of setae of the pleopods provides a rapid, accurate indication of the molt stage in juvenile *P. vannamei*. Because it is non-sacrificial, repeated measurements may be taken from the same animal to monitor the rate of development. In *P. vannamei*, setogenesis was used to define the molt stages and used, subsequently, to determine molt-related changes in the hemolymph concentrations of ecdysteroids, proteins, and glucose. It is now possible to use carefully staged animals when examining other physiological events such as reproduction.

### Acknowledgments

This work was supported in part through institutional grant NA 85AA-D-SD128 to Texas A&M University by the National Oceanic and Atmospheric Administration's Sea Grant Program, Department of Commerce and by the Texas Advanced Technology Research Program. The research was conducted by the Texas Agricultural Experimental Station.

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# The Effect of Host Feeding on the Contribution of Endosymbiotic Algae to the Growth of Green Hydra

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**Abstract.** Previous work has shown that the advantage conferred by endosymbiotic algae on the growth of green hydra is most evident during periods of food shortage. This advantage disappears when hydra are amply fed. However, evidence is presented here which suggests that endosymbiotic algae are not sensitive to the nutritional needs of the host. In controlled feeding studies, green hydra produced more bud tissue than did aposymbionts at all feeding levels. *Per capita* algal contribution to host growth was independent of host feeding rate. Starvation had little effect on rates of algal photosynthesis. The algae of unfed hydra translocated a larger proportion of photosynthetically fixed  $^{14}\text{C}$  to the host than did the algae in recently fed hydra. The differences in algal translocation were small, however, and unlikely to significantly affect hydra growth rates. Evidence is presented suggesting that the decrease in the rate of algal translocation in fed hydra may result from an increased algal demand for photosynthate to support the rapid algal growth that follows host feeding.

## Introduction

Studies comparing the budding rates of green and aposymbiotic (algae-free) hydra show that possession of endosymbiotic algae increases the rate of hydra bud production. The advantage conferred by endosymbiotic algae was greatest when hydra received little food. There was no difference in budding rate between amply fed green and aposymbiotic hydra (Muscatine and Lenhoff, 1965a, 1965b, Stiven, 1965). A similar phenomenon occurs in the symbiosis between *Chlorella* and *Paramecium bursaria*. Endosymbiotic algae augment the cili-

ate's growth at low but not high concentrations of bacterial food (Karakashian, 1963). It is generally believed that the algae augment hydra growth by providing organic materials to the host (Muscatine and Lenhoff, 1965b, Smith *et al.*, 1969, Muscatine, 1971, Thorington and Margulis, 1981). The question then arises whether the endosymbiotic algae may increase the rate of photosynthate translocation during periods when the host is without food (Smith *et al.*, 1969, Mews, 1980).

Regulation of algal translocation according to host need suggests a high degree of coevolution between the symbionts. On the other hand, feeding the host stimulates algal growth (McAuley, 1981, 1982, 1985a, 1985b, 1986a, Bossert and Dunn, 1986, Dunn, 1987). If algae need much of their photosynthate to grow, they may necessarily translocate less carbon when growing rapidly (Pardy and White, 1977; Mews, 1980). Mews (1980) showed diminished translocation by the rapidly growing algae repopulating hydra that had been artificially depleted of algae. Thus the rate of algal carbon translocation may increase when the host is without food through a mechanism which involves no algal response to host need *per se*.

To determine if the contribution of algae to host growth is influenced by host nutritional state directly, rather than by algal growth rate, one would like to vary hydra food income while holding specific algal growth rate constant. It may be possible to accomplish this by studying hydra at "steady state" with their food incomes (Otto and Campbell, 1977, Gurkewitz *et al.*, 1980). Otto and Campbell (1977) showed that after ten days on a fixed feeding regime, hydra come to a "steady state" in which the specific growth rate of hydra cells is constant across feeding rates (see also Bosch and David, 1984). In the studies described here, bud production is measured in hydra at steady state with their feeding rates. Under

Received 12 February 1988; accepted 29 July 1988.

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these conditions, the contribution of algae to host bud production was either independent of, or an increasing function of host feeding rate.

One might expect that starving hydra, because of their depressed growth rates, would receive more translocated carbon from their algae than do well fed hydra. Previous examinations of the distribution of photosynthetically fixed  $^{14}\text{C}$  in green hydra have not supported this expectation. Similar percentages of fixed carbon were found to be translocated in hydra starved one and three days (Eisenstadt, 1971), and one and nine days (Mews, 1980). Although the percentage of fixed carbon translocated was unaffected by starvation, if algae increase their rate of photosynthesis during host starvation, the actual mass of carbon translocated may have varied with the rate of algal photosynthesis. This was not measured. In studies presented here, measurements of the percentage of photosynthetically fixed  $^{14}\text{C}$  translocated from algae to host were taken alongside measurements of the rate of algal photosynthesis, measured with a polarographic oxygen electrode. Algal photosynthesis was unaffected by starvation. However, feeding induced small, brief declines in the percentage of  $^{14}\text{C}$  translocated from algae to host to levels below the baseline characteristic of unfed hydra.

Thus: a. the contribution of algae to hydra growth, b. the rates of algal photosynthesis and, c. the percentages of fixed carbon translocated from algae to host, provide no evidence to support the idea that endosymbiotic algae respond according to host nutritional need.

## Materials and Methods

### *Experimental organisms*

The Carolina strain of *Hydra viridissima* was obtained from the Carolina Biological Supply Co. Aposymbiotic clones were derived from individuals whose algae were removed by the method of Pardy (1976).

### *Stock culture conditions*

Hydra were maintained in M solution (Muscatine and Lenhoff, 1965a) minus Tris buffer at 17 degrees C under continuous illumination of 15 to 25  $\mu\text{Em}^{-2} \text{ s}^{-1}$ . Stocks were fed to repletion with freshly hatched *Artemia* nauplii every Monday, Wednesday and Friday for a period of several months before the start of any study. The culture dishes were rinsed 2 hours and 10 hours after feeding and were scrubbed once a week.

### *Maintenance of hydra on fixed feeding regimes*

Experimental hydra were kept singly in 40 ml dishes. They were maintained on a fixed food income by pipetting a given number of freshly hatched *Artemia* nauplii

directly onto the tentacles. Attached buds were not permitted to feed. Thus, bud production reflected parental investment only.

In the first study, 21 green hydra and 18 aposymbionts were fed between 1 and 5 nauplii apiece three times weekly. In the second, 23 green hydra and 20 aposymbionts were fed between 1 and 5 nauplii apiece six times weekly. In all cases the same feeding regime was enforced for ten days prior to the twenty-one day period of data collection. Ten days at a constant feeding rate has been found to be sufficient to equilibrate the size and budding rate of *Hydra attenuata* (Otto and Campbell, 1977). When experimental hydra refused to eat their allotted number of nauplii, subsequent meals were supplemented to compensate.

### *Measurements of hydra bud production*

The number of detached buds was recorded daily for each hydra prior to feeding. On Monday, Wednesday, and Friday detached buds were removed, rinsed in distilled water, and lyophilized. Buds were later weighed individually on a Cahn G-2 microbalance.

### *Estimation of parental tissue*

A positive relationship between parental size and feeding rate is characteristic of hydra at equilibrium with their feeding rates (Otto and Campbell, 1977, Gurkewitz *et al.*, 1980). To verify that this relationship existed in hydra whose bud production was to be measured, parental size had to be measured without harming the experimental hydra. Consequently, parental size was measured photographically. Hydra were photographed at the beginning, middle and end of each feeding experiment. Parental hydra volumes (exclusive of bud tissue) were computed from these photographs as previously described (Slobodkin and Dunn, 1983).

Calibration curves were constructed to convert photographic estimates of volume into masses. Budless adult hydra were each photographed twice, then lyophilized and weighed. Geometric mean regressions (Sokal and Rohlf, 1981) computed from these data explained 90% and 57% of the variation in the masses of green hydra and aposymbionts, respectively. These regressions were then used as calibration curves to estimate the mass of parental tissue of each experimental hydra from its photographic volume measurements.

### *Algal photosynthesis and translocation*

To measure the effect of feeding on translocation of photosynthetically fixed carbon from algae to host, the



rates of photosynthesis and the distribution of photosynthetically fixed  $^{14}\text{C}$  between algae and host were measured before and at various times after feeding.

Photosynthetic oxygen production was measured using a polarographic oxygen electrode chamber (volume = 6.4 ml) fitted with a YSI model 5331 oxygen probe (Dunn, 1986). Illumination from a Bausch and Lomb fluorescent lamp was passed through a Kodak 301A infrared cutoff filter to minimize heating of the incubation chamber. Photon flux at the experimental hydra was approximately  $30 \mu\text{Em}^{-2} \text{s}^{-1}$ , an illumination level that caused no chamber heating. All incubations were done in  $0.45 \mu$  filtered M solution maintained at  $17^\circ\text{C}$  by circulating water from a constant temperature bath through the chamber water jacket.

For each experiment, 5 groups of 30 hydra each were assembled randomly from a pool of standard hydra (hydra with one fully formed bud) which had been unfed for 72 hours. One of these five groups was set aside for measurements on unfed hydra. The remaining 4 groups were fed and sequentially selected for measurement at the time points 12, 24, 36, and 48 hours after feeding. In one experiment hydra were fed one *Artemia* nauplius apiece and in a second, hydra were fed two nauplii apiece.

At each time point, hydra were placed in the respirometer, and all light was excluded from the chamber. Upon equilibration of the chamber temperature a constant rate of oxygen depletion was produced, reflecting respiration. Upon illumination a slower rate of oxygen depletion was immediately established and its slope, reflecting net photosynthesis (the combined rates of respiration and photosynthesis), was recorded for 15 minutes. At the end of this period,  $50 \mu\text{l}$  of  $\text{Na}_2^{14}\text{CO}_3$  (approximately  $1 \mu\text{Ci}$  per  $\mu\text{l}$ ) was injected through a side port. Hydra were incubated in light in this solution for 45 minutes.

At the end of the incubation, the hydra were rinsed and then homogenized in a glass tissue homogenizer at  $0^\circ\text{C}$ . Algae were separated from host tissue by three rounds of centrifugation in M solution (at  $600 g$ ). Host and algal fractions were then frozen for later analyses. An average of  $1.3\%$  ( $\pm 15\%$ , standard error) of the algae were found to be included in the host fraction.

At the end of each incubation, after hydra were removed from the chamber, the oxygen electrode was calibrated by means of measurements taken of air saturated distilled water at  $17^\circ\text{C}$  and of the deoxygenated solution after addition of a mixture of sodium dithionite and  $\text{CoCl}_2$ .

Additional  $^{14}\text{C}$  partitioning data were collected in three more experiments in which hydra were otherwise treated as described above, but no respirometry data were collected. In one experiment, hydra were starved

for 72 hours, then fed a single nauplius apiece. In a second experiment, hydra were starved for 72 hours, then fed *ad lib*. In the third experiment, hydra were starved for 120 hours, then fed *ad lib*.

Triplicate samples of both animal and algal fractions for each time point were prepared for liquid scintillation counting.  $0.2 \text{ ml}$  of  $6 N$  acetic acid was added to  $0.2 \text{ ml}$  aliquots from each fraction. The mixture was then placed in a warm air stream and shaken intermittently over a period of 30 minutes to allow unfixed  $^{14}\text{CO}_2$  to escape. A stable transparent mixture was obtained after addition of  $10 \text{ ml}$  of scintillation fluor (8 grams Omnifluor, 2 liters toluene, 1 liter Triton X-100) and  $1.2 \text{ ml}$  deionized water. Samples were counted with a Beckman LS-100C liquid scintillation counter. Counts per minute were corrected to disintegrations per minute by the external standards channel ratio method.

Of the radioactivity found in the host fraction,  $84\%$  was assumed to have been translocated from the algae, since the host fractions of three samples of green hydra incubated in darkness contained an average of  $16\%$  ( $\pm 2\%$ , standard error) of the radioactivity present in the host fraction of identically treated but illuminated green hydra. Likewise, a sample of aposymbionts incubated with radioactive sodium carbonate accumulated  $16\%$  of the radioactivity present in the host fraction of illuminated green hydra (after correcting for differences in protein content between the two).

#### Measurement of algal growth

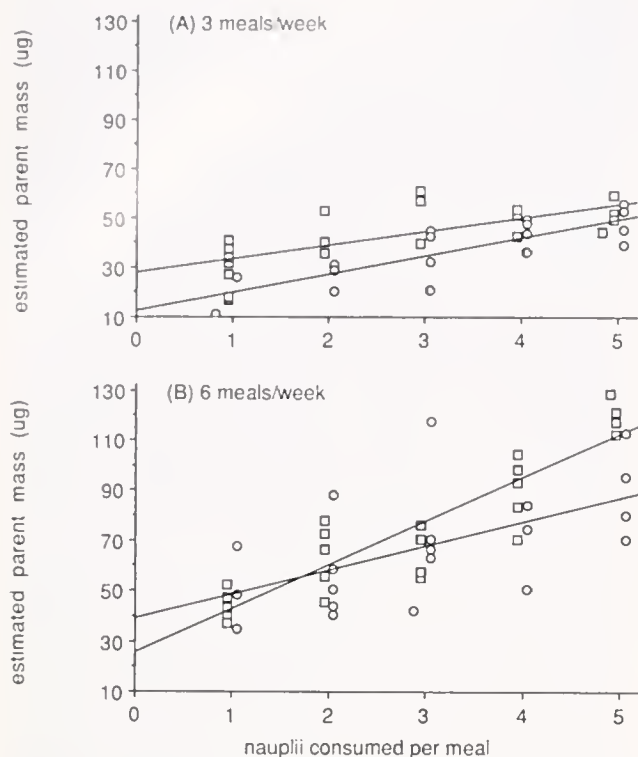
Algal growth was estimated from four to eight hemaeytometer counts of both the host and the algal fractions of a particular sample under  $400\times$  epifluorescence. To avoid bias, samples were analyzed without knowing their identity.

The data in Figure 7 on algal growth are also presented as part of another report (Dunn, 1987).

#### Protein determination

Triplicate protein determinations were made of both host and algal fractions by the method of Lowry *et al.* (1951) using bovine serum albumin as a standard. Sample absorption values were read at  $750 \text{ nm}$  to avoid interference from chlorophyll.

The difference between the protein content of hydra before and 12 hours after feeding (thus following regurgitation) was used as a measure of food intake for hydra fed *ad lib*. In the two experiments described above in which each hydra was fed one nauplius, the protein content of an individual *Artemia* nauplius was calculated according to this procedure to be  $1.6$  and  $1.8 \mu\text{g}$  of protein



**Figure 1.** Estimated masses of green ( $\square$ ) and aposymbiotic ( $\circ$ ) hydra at various steady state feeding rates for hydra fed three times weekly (A) and six times weekly (B). Masses were derived from photographic size estimates of parental tissue only (exclusive of bud tissue) by the method described in Slobodkin and Dunn (1983). Plotted values represent the means of determinations made at the beginning, middle, and end of the 21-day experiment. Least squares regressions (shown) yielded  $R^2$  values of 0.51 and 0.82 for green hydra fed three times and six times weekly, respectively, and 0.69 and 0.33 for aposymbionts fed three times and six times weekly, respectively. In this and following figures, plotted values for green hydra and aposymbionts have been slightly offset horizontally for clarity.

per nauplius. These values agree well with a direct determination of  $1.8 \mu\text{g}$  of protein per nauplius.

## Results

### *The effect of feeding rate on hydra bud production*

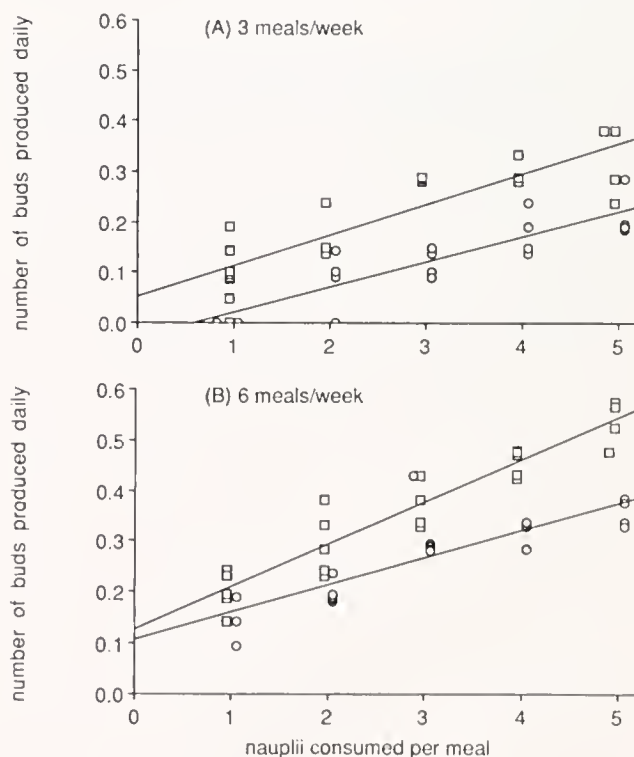
Parental body size of both green and aposymbiotic *Hydra viridissima* was an increasing function of steady state feeding rate (Fig. 1).

The experimental hydra produced more buds when provided with more food. For any food income, green hydra appeared to produce more buds than did aposymbiotic hydra (Fig. 2). (Because some of the cells of these meristic data have zero variance, the statistical significance of these regressions or the differences between them cannot be tested parametrically.) Bud size is like-

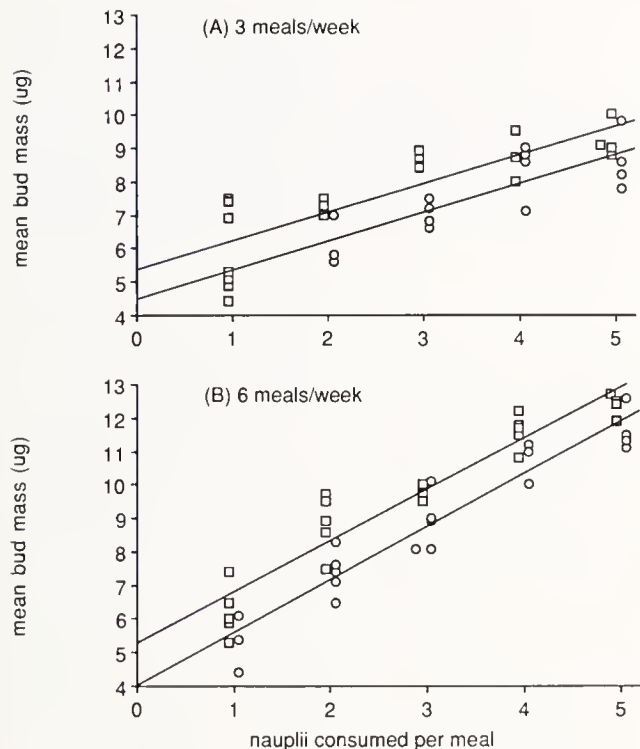
wise an increasing function of feeding rate for both forms of hydra (Fig. 3). The green hydra made larger buds than did the aposymbiont (ANCOVA,  $P < .025$  in the low frequency feeding study,  $P < .001$  in the high frequency feeding study).

To assess the contribution of algae to host growth, estimates of host tissue production were derived from measurements of the mass of buds produced. An average of 63% of the Carolina strain's protein was found in the host fraction when algae were removed by centrifugation. McAuley (1986b) showed that another 17% of hydra protein is lost by the host fraction to the algal fraction by this procedure. Accordingly, I assumed that 80% of the total protein produced by the Carolina strain is of host origin. Assuming that this protein ratio is proportional to the biomass ratio of host tissue to total hydra tissue, rough estimates of host tissue production can be made. Note that these estimates could be confounded by systematic variation in the biomass ratio with feeding rate (see Discussion).

When hydra were fed daily, the beneficial effect of algae on host tissue production was evident at all food lev-



**Figure 2.** The numbers of buds produced by green ( $\square$ ) and aposymbiotic ( $\circ$ ) hydra during 21 days at various steady state feeding levels for hydra fed three times (A) and six times weekly (B). Least squares regressions (shown) yielded  $R^2$  values of 0.75 and 0.90 for green hydra fed three times and six times weekly, respectively, and 0.74 for aposymbionts fed three times or six times weekly, respectively.

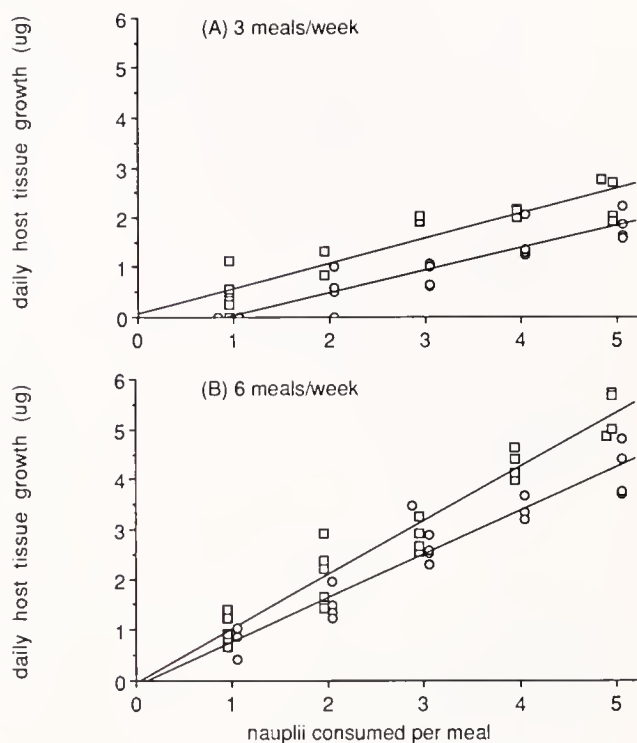


**Figure 3.** Mean masses of buds produced by green ( $\square$ ) and aposymbiotic ( $\circ$ ) hydra during 21 days at various steady state feeding levels for hydra fed three times (A) and six times weekly (B). Least squares regressions (shown) yielded  $R^2$  values of 0.70 and 0.90 for green hydra fed three times and six times weekly, respectively, and 0.65 and 0.91 for aposymbionts fed three times and six times weekly, respectively.

els (Fig. 4b), and the benefit increased as food level increased (slopes differ significantly,  $P < .05$ , ANCOVA). For hydra fed every other day (Fig. 4a), green hydra produced more animal tissue than did aposymbionts ( $P < .001$ , ANCOVA), but the increment of difference was independent of food level (slopes do not differ significantly,  $P > .25$ , ANCOVA). There is no evidence at either feeding frequency that the contribution of algae to host tissue production increases as host food level decreases.

#### *The effect of host feeding on algal photosynthesis*

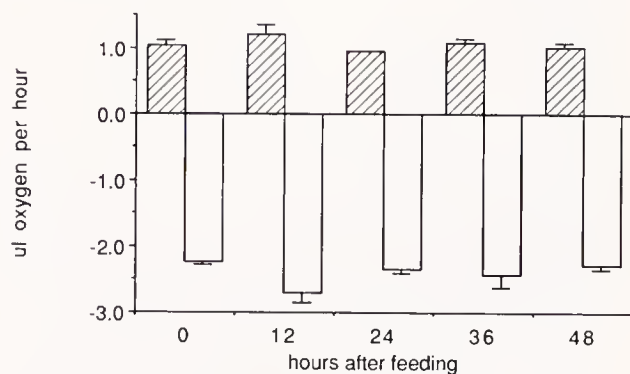
The rates of photosynthesis and of respiration were measured as a function of time after feeding for hydra consuming either one or two *Artemia* nauplii apiece. For each sample, the rate of gross photosynthesis was derived by subtracting the rate of oxygen depletion in the dark (due to respiration) from the rate of oxygen depletion in the light (due to the combination of respiration and photosynthesis). As shown in Figure 5, feeding appeared to have little effect on either the photosynthetic rates or the



**Figure 4.** Estimated total amount of host tissue produced by green ( $\square$ ) and aposymbiotic ( $\circ$ ) hydra during 21 days at various steady state feeding levels for hydra fed three times (A) and six times weekly (B). Least squares regressions (shown) yielded  $R^2$  values of 0.85 and 0.94 for green hydra fed three times and six times weekly, respectively, and  $R^2$  of 0.82 and 0.90 for aposymbionts fed three times and six times weekly, respectively.

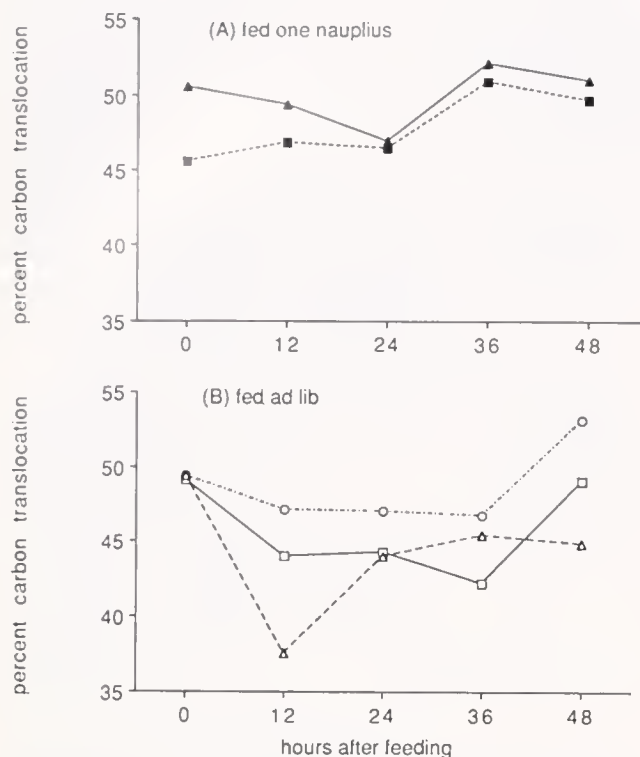
respiratory rates of starved hydra, at least at the level of resolution of twelve hours.

In all cases, hydra consumed oxygen, indicating that



**Figure 5.** Gross photosynthetic oxygen production (hatched bars) and respiratory oxygen consumption (open bars) of samples of 30 hydra apiece at various times after feeding. Data shown are from two experiments. In one, hydra were fed a single *Artemia* nauplius apiece, in the other, hydra consumed an average of two nauplii apiece. Since no differences between the two feeding conditions were apparent, the data were pooled to yield the means and standard errors shown.





**Figure 6.** Percentage of photosynthetically fixed  $^{14}\text{C}$  found in the host fraction of 30 hydra at various times after feeding. A. Each hydra fed one *Artemia* nauplius apiece. B. Hydra fed *ad lib*, each consuming two nauplii (○), or an average of three nauplii (△), or four nauplii (□). Hydra were starved for three days prior to experimental feeding in all cases except for the hydra which ate an average of three nauplii, which had been starved for five days.

$30 \mu\text{Em}^{-2} \text{ s}^{-1}$  is below this association's light compensation point. Phipps and Pardy (1982) report a compensation point of  $175 \mu\text{Em}^{-2} \text{ s}^{-1}$  for the Florida strain of *H. viridissima*.

#### *The effect of feeding on photosynthate partitioning between host and algae*

The percentage of fixed  $^{14}\text{C}$  translocated from the algae to the host in a 45 minute incubation is plotted against time after feeding for five experiments (Figs. 6a, b). Initial values reflect translocation in hydra starved for three days (four experiments) or five days (one experiment).

The *ad lib* feeding experiments were characterized by a decline in the percentage of  $^{14}\text{C}$  translocated during the interval from 12 to 36 hours following a meal (Fig. 6b). The mean percentage translocated during that interval varied inversely with both the average meal size of the hydra (Fig. 7a) and with the net algal growth rate during the interval (Fig. 7b). However, the mean percentage

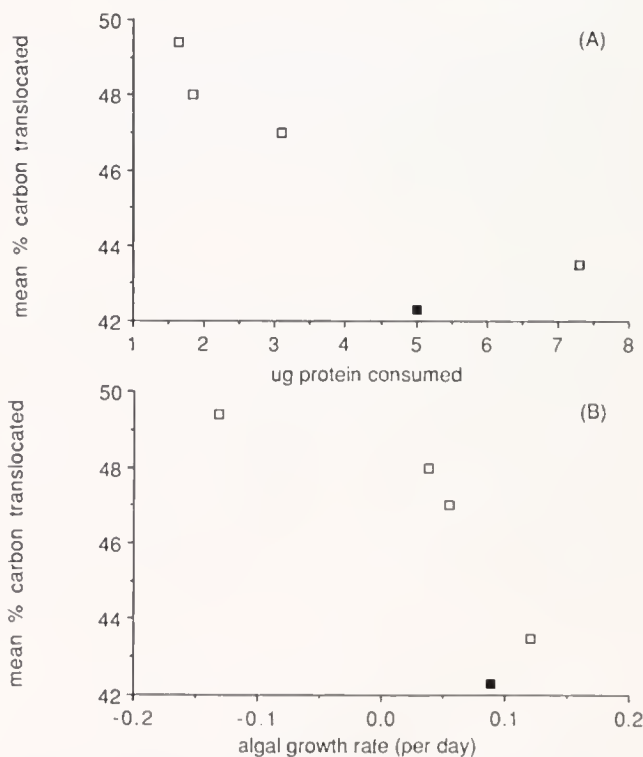
translocated was not related to the algal mitotic index (data not shown).

As in the studies of Eisenstadt (1971) and Mews (1980), extending the period of starvation beyond two days had little or no effect on translocation rates in hydra studied here; within 48 hours after feeding *ad lib*, translocation appears to stabilize near 50% ( $51.0 \pm 2.1\%$  at 48 hours,  $48.7 \pm 1.1\%$  at 72 hours, and  $49.4\%$  at 120 hours, one sample).

#### Discussion

##### *The effect of feeding rate on the contribution of endosymbionts to the growth of green hydra*

Previous studies showed that the contribution of endosymbiotic algae to hydra budding increased at low food intakes. In the steady state feeding studies presented here, the difference in budding rate between green and aposymbiotic forms of the Carolina strain was either independent of, or an increasing function of, feeding rate. It may be that well-fed hydra enjoyed a greater benefit



**Figure 7.** Mean percentage of photosynthetically fixed  $^{14}\text{C}$  translocated to the host during the period from 12 to 36 hours after feeding as a function of the average amount of protein ingested by hydra in the immediately preceding meal (A), and as a function of the interval average algal growth rate (B). Hydra were starved for three days prior to experimental feeding in all cases except for one (■), in which hydra had been starved for five days.

from their algae by virtue of larger algal populations concomitant with their larger size.

Stiven (1965) found that the efficiency of bud production in green hydra (computed as calories of buds produced per calorie of *Artemia* nauplii consumed during a given interval of time, using the conversion coefficients given in Slobodkin, 1964) increased from 50% when fed daily to 61% when fed every other day. The beneficial effect of endosymbionts on the budding of the steady state hydra of the present study is likewise more obvious when feeding frequency is reduced from daily to semi-daily. However, it is less a consequence of an increase in the efficiency of green hydra than a consequence of the adverse effect of infrequent feeding on aposymbionts. Decreasing the feeding frequency from daily to semi-daily slightly increases the efficiency (calculated as above) of green hydra from 66% to 68% (not significant,  $P > .75$ , ANCOVA), but lowers aposymbiont budding efficiency from 43% to 35% (nearly significant,  $.05 < P < .1$ , ANCOVA).

For quantifying the beneficial effect of algae on the growth of hydra, the rate of host tissue production is an arguably better criterion than is the rate of bud production, which includes both host and algal components. However, the conclusion remains the same regardless of whether hydra growth is quantified in terms of number of buds, mass of buds, or mass of host tissue produced per day; the augmentation of hydra growth caused by algae is either independent of, or an increasing function of, hydra feeding rate.

However, it should be noted that an implicit assumption in the correction applied to convert the mass of buds produced into mass of host tissue produced is that the ratio of algal to host biomass is independent of hydra feeding rate. Large systematic variation in this ratio could seriously confound this correction. While no evidence exists that directly pertains to this, it is generally noted that starvation increases the ratio of algal to host biomass (Muscatine and Pool, 1979; Douglas and Smith, 1984; McAuley, 1985a; Muller-Parker and Pardy, 1987). If the same sort of variation occurs in steady state hydra such that the amount of algal tissue increases relative to host tissue at low feeding rates, it would only serve to accentuate the results already found, that is, the beneficial effect of algae increases with hydra feeding rate.

Many of the experimental hydra developed testes during the daily feeding experiments. This sexuality may have resulted from the constant feeding regime imposed on the hydra (Rutherford, *et al.*, 1983) since non-sexual animals were chosen for the experiment. Sexuality did not measurably affect asexual reproduction by these hydra. The proportion of the experimental interval in which hydra were observed to exhibit testes was not cor-

related to the efficiency of bud production of either form of *H. viridissima* (correlation coefficients were not significantly different from zero,  $r^2 = .04$  for each form, arc-sine-square-root transformed proportions).

The results suggest an increased contribution of endosymbionts to bud production seen at low host food levels (Muscatine and Lenhoff, 1965a, b; Stiven, 1965) may pertain only to hydra that are not at steady state with their feeding rates. The cell-specific growth rate of steady state hydra is independent of host feeding rate (Otto and Campbell, 1977), but the cell-specific growth rate of non-steady state hydra may vary with feeding rate. If this is true, it is possible that the beneficial effect of algae on host budding depends less on feeding rate *per se* than on the specific cellular growth rate of one or both symbionts.

#### *The effect of host feeding on the rate of algal carbon translocation*

The translocation data presented here qualitatively fit the model presented earlier in which algae translocate more carbon during periods of slow growth than during periods of rapid growth; the algae of unfed or poorly fed hydra translocated a larger proportion of their fixed carbon than algae in recently fed and well-fed hydra, respectively. The simplest interpretation of these data is that the algae in well-fed hydra retain more of their photosynthate to support their own rapid growth. Since the carbon fixation rates of endosymbionts are relatively constant regardless of host nutritional condition, slowly growing algae in poorly fed hydra may translocate more of their photosynthate.

Using  $^{14}\text{C}$  as a tracer to estimate the rates of carbon translocation from algae to host incurs certain errors (see Mews, 1980; Muscatine *et al.*, 1984 for discussion). In particular, the specific activity of newly fixed carbon or of translocated carbon may both vary (*e.g.*, when hydra respiratory  $^{12}\text{CO}_2$  production changes or if translocated carbon contains some variable fraction of previously fixed algal  $^{12}\text{C}$ ). Consequently, the amount of  $^{14}\text{C}$  translocated may not be strictly proportional to the total amount of carbon translocated. Translocated carbon is quantified here as the percentage of fixed  $^{14}\text{C}$  translocated from algae to host, a variable that is independent of variations in the specific activity of newly fixed carbon. Mews (1980) showed that the specific activity of translocated maltose varied with time of incubation as well as with intensity of illumination. These variables were carefully controlled in my studies, but comparisons of percent translocation rates in different incubations may still be compromised if the specific activity of translocated carbon varied despite these precautions. Muscatine *et al.* (1984) suggest that while the  $^{14}\text{C}$  tracer technique may

be adequate for "short term relative comparisons," their "growth rate method" is to be preferred for estimation of absolute amounts of translocation integrated over protracted periods of time.

Considered quantitatively, the changes in percent translocation rates with host nutritional state were small. It seems unlikely that they explain the dramatic changes in the algal contribution to budding seen in the non-steady state feeding studies of Muscatine and Lenhoff (1965a, b) and Stiven (1965). During the 36 hour decline in percent translocation following feeding, the algae of hydra ingesting an average of four nauplii apiece translocated approximately 44% of their photosynthate, while those in hydra eating only one nauplius translocated approximately 49%. Assuming equivalent carbon fixation rates at these two feeding levels, this represents an 11% increase in the amount of photosynthate translocated. From Stiven's (1965) regressions, green hydra and aposymbionts produced buds at essentially the same rate when fed four nauplii a day. Green hydra receiving one nauplius a day produced buds 2.5 times as fast as did comparably fed aposymbionts. It seems unlikely that an 11% difference in carbon translocation could alone account for such a difference in host growth.

Muscatine and Lenhoff (1965b) found that populations of aposymbionts and green hydra grow identically when fed daily, but green hydra grow twice as fast as aposymbionts when fed every two days. We assume that hydra fed every other day receive translocated carbon as in the *ad lib* feeding experiments shown in Figure 6. Assuming that daily feeding maintains translocation rates at the levels observed from 12 to 24 hours after feeding, daily fed hydra receive only 5% less translocated carbon than hydra fed every 2 days. Again, it seems unlikely that a 5% difference in translocation rate could have such a dramatic effect on hydra growth rate.

Even considering possible differences in culture conditions between this and previous studies, these calculations suggest that the enhanced beneficial effect of algae on host budding (Muscatine and Lenhoff, 1965a, b; Stiven, 1965) at low feeding frequencies may depend on an algal factor other than carbon translocation. The importance of algal carbon translocation to the growth of regularly fed green hydra has been questioned by Mews and Smith (1982) and Muller-Parker and Pardy (1987). Mews and Smith (1982) found no relation between the rates of translocation and host budding in several artificial green hydra associations. In studies of an artificial association between aposymbiotic *H. viridissima* and algae isolated from symbiotic *Paramecium bursaria*, Muller-Parker and Pardy (1987) found that hydra raised at  $30 \mu\text{Em}^{-2} \text{s}^{-1}$  fixed almost four times as much carbon as those raised at  $5 \mu\text{Em}^{-2} \text{s}^{-1}$ , but grew only 10% faster.

They concluded that "the growth rates of fed hydra are regulated by factors other than light-dependent carbon fixation."

The light intensity in the studies reported here was below the association's compensation light intensity where photosynthetic oxygen production matches respiratory oxygen consumption. Therefore, hydra satisfied a certain fraction of their respiratory carbon requirement through feeding. If the experiments had been conducted at a higher illumination, algal photosynthesis and translocation may have satisfied the association's respiratory needs so that heterotrophy would not be required for maintenance. However, as discussed above, increased carbon translocation might not result in increased hydra growth.

The amount of algal disintegration in green hydra can be substantial and may increase when hydra are underfed (Dunn, 1987). Disintegration of algae may provide the host with a variety of nutrients, some of which may be more limiting to bud production than reduced carbon. Insofar as disintegrating algae are incapable of fixing carbon, this form of nutrient translocation will not be detectable in short-term radioactive carbon partitioning assays.

### Acknowledgments

This research was supported by research grants from the National Science Foundation, the Mellon Foundation, and the Hudson River Foundation to L. B. Slobodkin and a grant from Sigma Xi to Kenneth Dunn. I am grateful to Leonard Muscatine for his encouragement and guidance during a nine-month stay in his laboratory at UCLA. I thank Heidi Chapnick, Jay Fader, and John LeGuyader for technical assistance. I also thank John McDonald for suggested improvements in the manuscript.

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## Egg Capsule Catechol Oxidase from the Little Skate *Raja erinacea* Mitchill, 1825\*

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**Abstract.** A phenoloxidase was demonstrated in extracts of egg capsules tanning *in utero* and of nidamental glands from spawning little skate, *Raja erinacea*. The enzyme was identified as a catechol oxidase based on its ability to oxidize the *ortho*-diphenols pyrocatechol, 4-methylcatechol, 3,4-dihydroxyphenylalanine, 3-hydroxytyramine and *N*-acetyldopamine to their corresponding *ortho*-quinones and its relative inactivity against monophenols. 4-methylcatechol was oxidized at the greatest rate, while 3,4-dihydroxyphenylalanine, 3-hydroxytyramine and *N*-acetyldopamine were oxidized at slower rates. The nidamental gland enzyme was inhibited by cyanide, nitrogen, and diethyldithiocarbamate. Oxidase activity in crude extracts from nidamental glands was enhanced by addition of  $\alpha$ -chymotrypsin, suggesting that the enzyme is produced in a latent form. Ammonium sulfate fractionation of nidamental gland and capsule extracts resulted in a fifteen-fold purification of the enzyme. This partially purified catechol oxidase from the nidamental gland exhibited optimal rates of oxidation at 0.5 M NaCl and pH 7.0. The enzyme, however, showed a wide tolerance for elevated salinity and alkaline pH. These observations indicate that the oxidase acts principally *in utero*, but may remain active in seawater following oviposition of the capsule. This enzyme plays a pivotal role during the formation of skate egg capsules by catalyzing the oxidation of capsular catechols to highly reactive quinones forming dark pigments which tan the capsular matrix.

### Introduction

Oviparous elasmobranchs encapsulate eggs in curiously shaped, leathery capsules produced by specialized

nidamental glands in the upper oviduct (for review of the structure and composition of these capsules see Hunt, 1985). These glands are highly developed during spawning and in some species are the predominant organs in the reproductive tract. The early structural studies of Perreux (1884), Henneguy (1893), Borcea (1904, 1905), and Widakowich (1906) established that the nidamental glands of *Scyliorhinus canicula* and several other oviparous species have distinct glandular regions each with an extensive tubular system leading to lamellae at the luminal surface. Typically, three regions were discriminated: an albumen-secreting zone, a mucous-secreting zone, and a shell-secreting zone. The tubules in the shell-secreting zone were bordered by epithelial cells which contained abundant cytoplasmic granules filled with the precursors of capsules. During capsule formation, these granules are secreted from the epithelial cell into the lumen where they coalesce and then are transported to the lamellae by ciliated tubule cells (Filhol and Garrault, 1938). Borcea (1905) and Widakowich (1906) showed how these lamellae mould the newly secreted capsular material and produce the layered organization of the capsular wall. This basic structure appears common to nidamental glands from both oviparous sharks and skates, having now been described in *Scyliorhinus canicula* (Perreux, 1884; Henneguy, 1893; Borcea, 1904, 1905; Widakowich, 1906; Filhol and Garrault, 1938; Metten, 1939; Threadgold, 1957; Krishnan, 1959; Rusaouën, 1978), *Chiloscyllium griseum* (Nalini, 1940), *Raja batis*, and *Raja miraletus* (Filhol and Garrault, 1938). Although reduced in overall complexity and size, the nidamental glands of ovoviviparous and viviparous species also show similar tubular organization and lamellar systems (Borcea, 1905; Filhol and Garrault, 1938; Nalini, 1940; Prasad, 1945a, 1945b, 1948).

Rusaouën (1978) and Rusaouën *et al.* (1976) provided

Received 19 May 1987; accepted 28 July 1988.

\* Portions of this work have appeared in abstract form; see Koob & Cox, 1984, 1985, and 1986a.

ultrastructural and histochemical evidence that six zones of secretory activity containing five types of secretory granules could be distinguished in the nidamental glands of *Scyliorhinus canicula*. Histochemical tests identified neutral and sulfated mucopolysaccharides, sulfated glycoproteins rich in tyrosine, a fibrillar collagenous protein, sulfhydryl groups, indole radicals, peroxidase, and phenoloxidase activities, each localized in granules of specific regions and cell types within the glands. In the shell secreting zone alone, Rusaouën (1978) found all of these components except the mucopolysaccharides. Her studies provide convincing evidence that the nidamental gland is an extremely complex organ which synthesizes a variety of secretory products and that capsule formation and composition are equally complex.

Formation of skate egg capsules begins in the nidamental gland with the secretion and assembly of capsular precursors. These materials are white when assembled but then gradually develop color with time *in utero*, eventually producing the deep greenish brown characteristic of skate capsules at oviposition. In *Raja erinacea*, the tanning of capsules *in utero* is coincident with the introduction of catechols into the capsular matrix (Koob and Cox, 1986b). An enzymic activity able to oxidize catechols to quinones has been demonstrated histochemically in tanning capsules and nidamental glands from several oviparous elasmobranchs. Brown (1955) reported that sections of newly formed *Raja* egg capsules turned brown upon incubation with tyrosine and that this reaction could be blocked by potassium cyanide. She believed that these results demonstrated a polyphenol oxidase which would oxidize the polyphenol present in the capsule to quinone which, in turn, would tan the capsule. A polyphenoloxidase was demonstrated histochemically in shell glands of *Scyliorhinus canicula* by incubating sections of fixed glands with catechol (Threadgold, 1957). Krishnan (1959) showed that both capsular material and sections of frozen glands from *Chiloscyllium griseum* oxidized catechol and that the capsule had chemical properties like other quinone tanned matrices. He suggested that capsule formation involved a form of quinone autotanning (*sensu* Smyth, 1954) catalyzed by a phenoloxidase. In *Scyliorhinus canicula* this enzyme is localized both to a narrow zone in the upper region of the nidamental gland and to a broad band in the caudal region (Rusaouën, 1978). Further information regarding the nature of this oxidase activity is lacking.

Therefore we set out to characterize the biochemical properties of the oxidase involved in forming egg capsules of the little skate, *Raja erinacea*. We were especially interested in defining the substrate specificity of the oxidative activity and in determining the sensitivity of this activity to inhibitors, salinity, pH, and urea to gain in-

sight into the conditions within the tanning capsular matrix.

## Materials and Methods

### Selection of animals

Females of *Raja erinacea* were selected from otter trawl catches on the basis of ovarian size and color as viewed through the translucent ventral body wall. Bumpus (1898) showed that ripe females can be discriminated in this way. We found that females so selected will produce egg capsules during short term captivity (Koob *et al.*, 1986). Females landed with capsules *in utero* were also selected. Skates were maintained in 2400 l aquaria supplied with fresh circulating seawater and were fed Maine Gulf shrimp. Every twelve hours females were palpated for egg capsules in the uterus. Only females that produced eggs were used for collection of nidamental glands.

### Egg capsule preparation

A female which had just completed secretion of egg capsules was sacrificed and the oviducts containing newly formed capsules were excised *in toto*. Later examination revealed that the egg capsules were fully formed but untanned at the anterior, more recently secreted end. The oviducts were ligated at the cervix and just cephalad to the nidamental gland to isolate the tanning capsule within the uterine portion of the oviduct. Ten ml of 1.0 M NaCl, 0.05 M NaH<sub>2</sub>PO<sub>4</sub>, pH 7.5 chilled to 4°C were introduced into each uterine lumen with a syringe *via* the cervical canal. After manipulating the buffer to thoroughly wash the uterine contents, it was collected through the opened upper oviduct. This uterine flush contained much particulate which was removed by centrifugation at 3,000 rpm and 4°C for 10 minutes. The capsules were then removed from the oviduct and placed into 60 ml of the same salt buffer at 4°C with occasional stirring for 15 minutes. This capsular wash was centrifuged as above to remove particulates. The attachment fibers from these capsules were then removed from the lateral seams and homogenized on ice in 30 ml of the 1.0 M NaCl buffer using a glass homogenizer. The homogenate was centrifuged at 37,000 × *g* for 15 minutes at 4°C. The supernatants from the uterine flush, capsular wash, and attachment fiber extract were assayed directly for oxidase activity.

A second, partially tanned capsule was removed from the uterus and extracted directly with 1.0 M NaCl, 0.05 M NaH<sub>2</sub>PO<sub>4</sub>, pH 7.0 by homogenization with a polytron (Brinkmann Instruments Inc., Westbury, New York). Following centrifugation at 37,000 × *g* and 4°C for 30



minutes, the extract was fractionated by differential ammonium sulfate precipitation as described below.

#### *Nidamental gland preparation*

Nidamental glands from spawning females were excised from the oviduct, minced over ice and disrupted with a glass homogenizer in 1.0 *M* NaCl, 0.05 *M* NaH<sub>2</sub>PO<sub>4</sub>, pH 7.0. The homogenate, which appeared gelatinous and slightly pink, was centrifuged at 37,000 × *g* and 4°C for thirty minutes. The pinkish supernatant was collected and analyzed directly for oxidase activity. This 1.0 *M* NaCl extract was subsequently fractionated by sequential precipitation at 5, 10, 20, 30, and 40% ammonium sulfate at neutral pH. Precipitates were collected by centrifugation at 4°C and 37,000 × *g* for thirty minutes, and redissolved in 1.0 *M* NaCl, 0.05 *M* NaH<sub>2</sub>PO<sub>4</sub>, pH 7.0. Not all the precipitate formed at low ammonium sulfate concentrations dissolved in the buffer, therefore it was necessary to clarify these solutions by centrifuging at 25,000 × *g* and 4°C for 15 minutes. Protein determinations were performed on diluted aliquots of the original extract and on the redissolved ammonium sulfate fractions (Lowry *et al.*, 1951).

#### *Enzyme assay*

Oxidase activity was measured in the various enzyme preparations by incubating diluted aliquots with 1 mM substrate in 0.5 *M* NaCl, 0.025 *M* NaH<sub>2</sub>PO<sub>4</sub>, pH 7.5 at ambient temperature and spectrophotometrically monitoring for increases in absorbance at product specific wavelengths. Substrates generally employed for oxidase assays were 3,4-dihydroxyphenylalanine (l-dopa) or 4-methylcatechol; other substrates tested were *p*-cresol, 3-hydroxytyramine, *N*-acetyldopamine and tyrosine (all obtained from Sigma Chemical Co., St. Louis, Missouri). Extinction coefficients for the substrates were from Waite (1976). For each assay the enzyme solution and diluent were mixed in 1 ml cuvettes. The reaction was initiated by adding substrate in a 0.01 *M* HCl stock solution and mixing. The change in absorbance at product specific wavelengths was recorded for periods up to 120 minutes. All assays were performed at room temperature in a reaction volume of 1 ml. The change in absorbance in the enzyme solutions was compared to that in control incubates which contained boiled enzyme, substrate, and buffer.

The kinetic parameters  $K_m$  and  $V_{max}$  were estimated by direct linear plots (Eisenthal and Cornish-Bowden, 1974) using only the initial, briefly linear reaction velocities from assays performed as described above. This limited the usable portion of such kinetic assays to about 30 seconds.

Inhibitors of other catechol oxidases were tested for

effect on skate enzyme preparations. KCN or diethyldithiocarbamate was added to a final concentration of 50  $\mu$ M and incubated 15 minutes at room temperature prior to the addition of 4-methylcatechol. In all other respects the assays were performed as above. Results from these assays were used to determine the type of inhibition observed and to estimate  $K_i$ , both by means of direct linear plots (Eisenthal and Cornish-Bowden, 1974). The effect of nitrogen on oxidase activity was estimated by extensive purging of reaction solutions prior to 4-methylcatechol addition and by assaying the reactions under nitrogen.

To examine the effects of salinity, pH, and urea on oxidase activity, the desired concentrations were effected by diluting the enzyme solution with concentrated stock buffers. NaCl concentration was varied from 0.25 *M* to 1.0 *M* in 0.05 *M* NaH<sub>2</sub>PO<sub>4</sub>, pH 7.0. pH was varied from 4.5 to 9.0 using three buffers: pH 4.5 to 6.0 in 0.05 *M* sodium acetate; pH 6.0 to 7.5 in 0.05 *M* sodium phosphate; and pH 7.5 to 9.0 in 0.05 *M* Tris. Urea concentrations were varied from 0 to 4.8 *M* in 0.05 *M* sodium phosphate, pH 7.0.

#### *Gel electrophoresis*

Discontinuous gel electrophoresis was performed by a modification of the method of Laemmli (1970) either with or without sodium dodecyl sulfate. Acrylamide and *N,N'*-methylene-bisacrylamide concentrations for the separating gel were 5% and 0.13% (w/v), respectively, those for the stacking gel were 3% and 0.08% (w/v). Electrode buffer was 0.025 *M* Tris, 0.192 *M* glycine, pH 8.3. To estimate protein molecular weights, gels and reservoir buffer included 0.1% SDS. Prior to such electrophoresis, samples and molecular weight standards (Pharmacia Inc., Piscataway, New Jersey) were heated for three minutes at 100°C in 2% SDS and 5%  $\beta$ -mercaptoethanol. Insoluble material was removed by centrifugation at 12,000 × *g* for three minutes. Electrophoresis was carried out at 15 mA per slab for 30 minutes after which current was doubled for three hours. During electrophoresis the apparatus was maintained at ambient seawater temperature (approximately 15°C). Proteins were visualized by fixing and staining gels overnight at ambient temperature in 0.5% (w/v) Coomassie brilliant blue G-250 dissolved in methanol:acetic acid:water (40:15:45, v/v), and were subsequently destained first in methanol:acetic acid:water (45:10:45, v/v) and then in 5% acetic acid. Catechol oxidase activity was localized by immersing unfixed native gels for one hour in a solution of 1 mM 4-methylcatechol, 0.5 *M* NaCl, 0.05 *M* NaH<sub>2</sub>PO<sub>4</sub>, pH 7.0.

#### **Results**

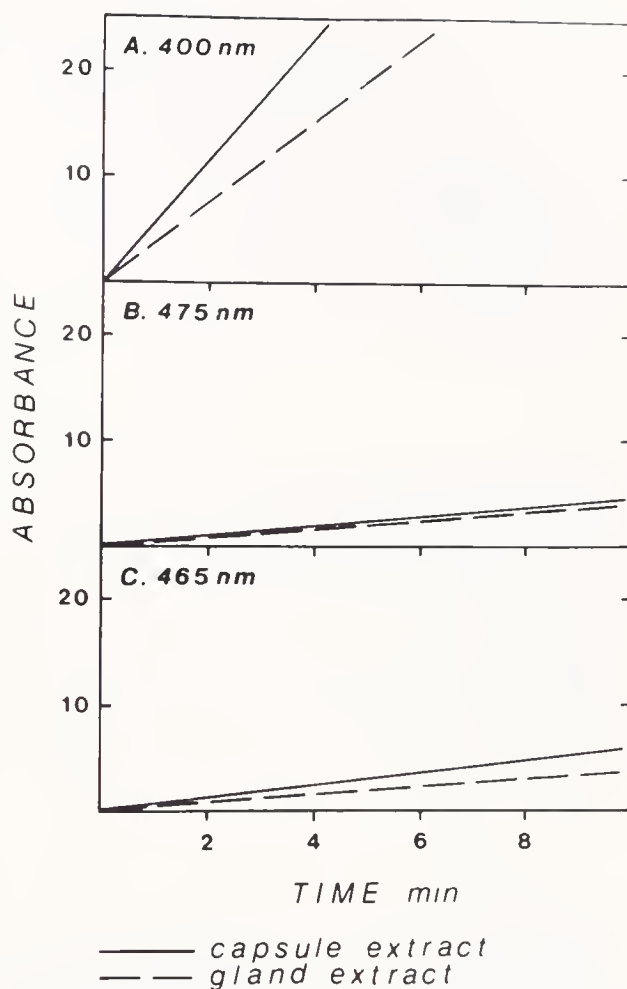
The uterine flush, capsular wash and attachment fiber extract catalyzed the conversion of 3,4-dihydroxyphen-

nylalanine to dopaquinone. The oxidase in the uterine flush oxidized 4-methylcatechol, l-dopa and *N*-acetyldopamine. All preparations showed an initial low rate of oxidation which eventually increased and became linear at the later time points. Boiling the extracts for one minute destroyed this activity indicating the enzymic nature of the oxidizing principle. These measurements showed that an oxidase was associated with tanning capsules *in utero*. Since this enzyme could be flushed from the uterine lumen without mechanical disruption of the capsular material, the enzyme obtained must have been on the capsule surface, on the surface of the uterine epithelium, or free in the uterine lumen.

Extracts of nidamental glands and tanning capsules from spawning females oxidized a variety of catechols (Fig. 1). Ammonium sulfate fractionation of 1.0 *M* NaCl extracts resulted in a significant enrichment in enzyme specific activity (Table I for data on shell gland extract). Catechol oxidase activity was found predominantly in precipitates formed at 5 and 10%  $(\text{NH}_4)_2\text{SO}_4$ . Most of the protein precipitated at higher concentrations. Based on specific activity, the enzyme was purified 15–20 fold with respect to the initial homogenate. Since both the 5% and 10% ammonium sulfate precipitates contained active catechol oxidase, they were combined for further characterization of the enzyme.

Discontinuous gel electrophoresis (Fig. 2) showed that ammonium sulfate fractionation produced a substantial purification of the shell gland catechol oxidase. The precipitate formed in 5% ammonium sulfate consisted mostly of material aggregated at the bottom of the sample well and several proteins having an apparent molecular weight around 63,000 daltons (Lane 2, Fig. 2). Material precipitated by 10% ammonium sulfate also contained aggregates in the sample well (Lane 3, Fig. 2), in addition to a predominant band with an estimated molecular weight of 85,000 daltons. Using 4-methylcatechol as substrate, catechol oxidase activity in this 10% ammonium sulfate fraction was localized not to the major protein, but in a slower migrating diffuse band between 440,000 and 230,000 daltons. This band was not visible when stained with Coomassie blue (Fig. 2). This indicated that the active enzyme preparation contains relatively little protein having catechol oxidase activity and that the predominant protein could be a contaminant or a reduced or inactive form of the enzyme. Most of the protein in the original extract precipitated at ammonium sulfate concentrations higher than 10%, appearing predominantly in the 20% fraction (Lane 4, Fig. 2). These data confirm the substantial purification of the enzyme by ammonium sulfate fractionation.

Partially purified oxidases in 1.0 *M* NaCl extracts of tanning capsules and nidamental glands were compared with respect to substrate specificities. The two enzymes



**Figure 1.** Oxidation of (A) 4-methylcatechol, (B) 3,4-dihydroxyphenylalanine, (C) 3-hydroxytyramine by partially purified extracts of tanning capsule and nidamental glands. Aliquots of redissolved 10%  $(\text{NH}_4)_2\text{SO}_4$  precipitates of these extracts were incubated with 1 *mM* substrate in 0.5 *M* NaCl, 0.05 *M*  $\text{NaH}_2\text{PO}_4$ , pH 7.5 at ambient temperature. Absorbance was monitored at the indicated wavelengths. Values shown are means of triplicate analyses.

showed similar oxidative activities against 4-methylcatechol, 3-hydroxytyramine, and 3,4-dihydroxyphenylalanine (Fig. 1). Tyrosine was little affected by these enzymes.

The substrate specificity of the nidamental gland extract was examined in greater detail by determining its  $K_m$  and  $V_{max}$  for selected catecholic and phenolic compounds (Table II). Using the ratio  $V_{max}/K_m$  as an index of substrate preference (Segal, 1976), 4-methylcatechol was clearly the most favored substrate followed distantly by *N*-acetyldopamine and 3-hydroxytyramine. These data indicate that the nidamental gland enzyme has a strong preference for a methyl group substituted in *para* orientation to the first aromatic hydroxyl group. Chemical modification of the  $\alpha$ -carbon by charged moieties

Table I

Catechol oxidase activity in ammonium sulfate fractions of the 1.0 M NaCl extract of *Raja erinacea* shell glands

| Sample                                  | Oxidase activity<br>( $\mu\text{M}/\text{min}/\text{ml}$ ) | Protein<br>(mg/ml) | Specific activity<br>( $\mu\text{M}/\text{min}/\text{mg}$ ) | Purification factor<br>(Fold) |
|-----------------------------------------|------------------------------------------------------------|--------------------|-------------------------------------------------------------|-------------------------------|
| 1.0 M NaCl extract                      | $26.4 \pm 0.8$                                             | 12.5               | $2.1 \pm 0.1$                                               | 1                             |
| ( $\text{NH}_4\text{SO}_4$ ) fractions: |                                                            |                    |                                                             |                               |
| 5%                                      | $152.4 \pm 7.2$                                            | 3.8                | $39.7 \pm 1.9$                                              | 18.91                         |
| 10%                                     | $160.4 \pm 5.1$                                            | 5.1                | $31.4 \pm 0.2$                                              | 14.95                         |
| 20%                                     | $40.4 \pm 1.2$                                             | 19.0               | $2.1 \pm 0.1$                                               | —                             |
| 30%                                     | trace                                                      | 9.0                | —                                                           | —                             |
| 40%                                     | —                                                          | 5.5                | —                                                           | —                             |

such as the carboxyls or amines of dopa and 3-hydroxytyramine or even by the *N*-acetyl ethyl side chain of *N*-acetyldopamine markedly diminished the enzyme's catalytic efficiency. Monophenols such as *p*-cresol and tyrosine were little affected by the shell gland enzyme within the assay period.

Table III shows effects of inhibitors on the nidamental gland catechol oxidase. Like other phenoloxidases, the nidamental gland enzyme was inhibited by oxygen competitors such as cyanide and nitrogen. Diethyldithiocar-

bamate, a metal chelator especially effective against copper-containing enzymes, also inhibited the enzyme.

Oxidase activity was sensitive to the pH of the reaction mixture (Fig. 3). Both partially purified extracts from nidamental gland and tanning capsules exhibited maximal oxidation rates at pH 7.0–7.5. Little oxidation occurred at or below pH 5.0. Enzyme in the capsule extract was also sensitive to alkaline pH, retaining only a small portion of its activity towards 4-methylcatechol and l-dopa at pH 8.0–8.5 (Fig. 3). The nidamental gland enzyme was apparently less sensitive to alkaline pH. Oxidation rates of 4-methylcatechol by the partially purified nidamental gland enzyme were substantially above the natural oxidation rate of this substrate (Fig. 3). Even at pH 9.0 the enzyme retained some of its activity. At alkaline pH these catechols rapidly oxidize, so measurement of enzymic activity at pH 8.0 to pH 9.5 is only an estimate. While it is clear that oxidase activity from both capsule and nidamental gland declines above pH 7.5, some oxidative activity is retained at the pH of seawater (8.0–8.5).

The concentration of sodium chloride in the catechol oxidase assay was varied from 0.25 M to 1.0 M in 0.05 M  $\text{NaH}_2\text{PO}_4$ , pH 7.0. Enzymatic activity at NaCl concentrations below 0.25 M could not be accurately measured because of the substantial increase in turbidity re-

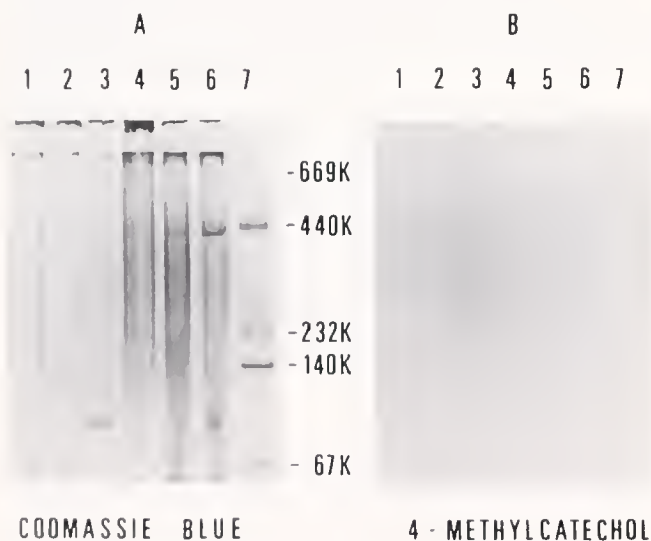


Figure 2. Polyacrylamide gel electrophoresis of ammonium sulfate fractions from nidamental gland extract. A. Coomassie blue R-250 stained SDS gel electrophoresis of mercaptoethanol reduced nidamental gland samples, as follows: Lane (1) original extract; (2) 5% ammonium sulfate precipitate; (3) 10% ammonium sulfate precipitate; (4) 20% ammonium sulfate precipitate; (5) 30% ammonium sulfate precipitate; (6) 40% ammonium sulfate precipitate; and (7) molecular weight standards as indicated (from highest to lowest: thyroglobulin, ferritin, catalase, lactate dehydrogenase, and bovine serum albumin). B. 4-methylcatechol (1 mM) staining of a gel without detergent was carried out for 1 h at pH 7.5 and room temperature. Sample lane order is the same as for Coomassie blue stained gel.

Table II

Substrate preference of shell gland catechol oxidase from *Raja erinacea*

| Substrate                | $K_m$ (mM)     | $V_{max}^*$    | $V_{max}/K_m$ |
|--------------------------|----------------|----------------|---------------|
| <i>p</i> -Cresol         | 0              | 0              | 0             |
| L-Tyrosine               | 0              | 0              | 0             |
| Pyrocatechol             | $3.1 \pm .05$  | $6.9 \pm .24$  | 2.2           |
| 4-Methylcatechol         | $1.1 \pm .19$  | $45.5 \pm .46$ | 41.4          |
| L-Dopa                   | $1.37 \pm .07$ | $3.1 \pm .20$  | 2.3           |
| Dopamine                 | $0.11 \pm .01$ | $0.7 \pm .10$  | 6.1           |
| <i>N</i> -Acetyldopamine | $0.60 \pm .22$ | $4.3 \pm .48$  | 7.2           |

\*  $\mu\text{moles oxidized}/\text{min}/\text{mg protein}$ .  
n = 3.



Table III

Inhibitors of shell gland catechol oxidase. 4-methylcatechol was used as substrate in all assays

| Inhibitor              | Type           | $K_i$ ( $\mu M$ ) |
|------------------------|----------------|-------------------|
| Cyanide                | Noncompetitive | $4.02 \pm .03$    |
| Diethyldithiocarbamate | Noncompetitive | $166 \pm .04$     |
| $N_2$                  | Probably n.c.  | not measured      |

n = 3.

sulting from protein precipitation. NaCl concentrations above 0.25 M had little effect on the rate of oxidation of 4-methylcatechol by the nidamental gland extract (Fig. 4). A slight increase in the oxidation rate was observed at 0.5 M and this was statistically different from the rate at 0.4 M and 1.0 M.

Urea inhibited catechol oxidase in the partially purified nidamental gland extract in a concentration-dependent manner (Fig. 5). At the lowest concentration examined, 0.15 M, a slight reduction in oxidase activity was detected. Fifty percent inhibition occurred at approximately 4.0 M urea. At the concentration of urea generally maintained in elasmobranch tissues the oxidation rate of 4-methylcatechol was reduced by about 10%.

Typically oxidation did not commence immediately upon addition of the substrate, but rather occurred only after a brief delay. The duration of this delay was reduced by incubating the extract with  $\alpha$ -chymotrypsin prior to adding substrate to initiate the reaction (Fig. 6). When crude nidamental gland extracts were stored at 4°C for several hours, their oxidative activity increased. These results suggest that the enzyme is produced in a latent form and that some endogenous factor in the crude extract is able to activate the latent enzyme.

### Discussion

These observations confirm the presence of a catechol oxidase in tanning capsules and mature nidamental glands of the little skate, *Raja erinacea*, and thus support previous reports that this type of enzyme might be involved in the formation of elasmobranch egg capsules. We biochemically identified this enzyme as a catechol oxidase on the basis of its ability to catalyze the conversion of *ortho*-diphenols to their corresponding quinones. The nidamental gland enzyme is markedly inhibited by both cyanide and nitrogen, as expected of any oxidase. The enzyme is also inhibited by diethyldithiocarbamate which suggests that like other phenoloxidases it may contain copper. The enzyme prefers catechols bearing a methyl side chain which lacks exposed charged groups. These substrate prejudices are similar to those reported

for catechol oxidases from mussel byssus (Waite, 1985) and periostracum (Waite and Wilbur, 1976). While the native substrate for the catechol oxidase in the egg capsule has not been characterized, we have recently detected 3,4-dihydroxyphenylalanine in hydrolyzates of freshly oviposited capsules of *Raja erinacea* (Cox *et al.*, 1987). In addition, Hunt (1985) has reported identification of three catechols, including 3,4-dihydroxyphenylalanine, in hydrolyzates of egg capsules of *Scyliorhinus canicula*. It is uncertain whether these catechols are in-

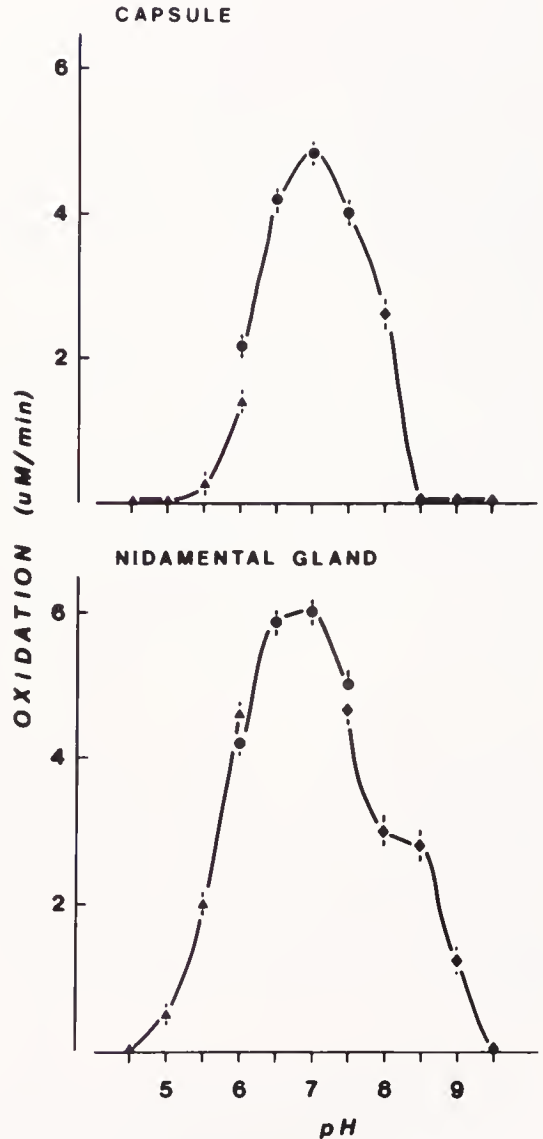


Figure 3. Effects of pH on oxidation rate of 4-methylcatechol by the capsule extract and nidamental gland extract. pH was varied from 5.0 to 8.5 using the three buffers: pH 4.5–6.0 in 0.05 M sodium acetate; pH 6–7.5 in 0.05 M sodium phosphate; pH 7.5–8.5 in 0.05 M Tris-HCl. Values shown are means of triplicate analyses of experimentals and boiled controls, and bars show the S.E.M.

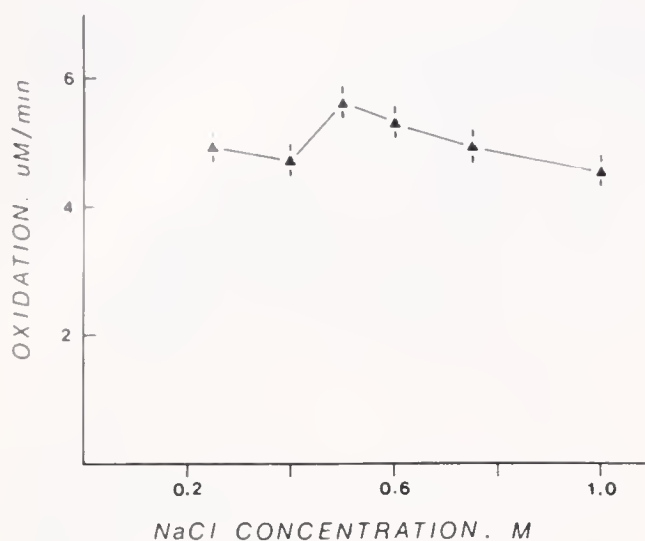


Figure 4. Effects of NaCl on the oxidation rate of 4-methylcatechol by the partially purified nidamental gland extract. NaCl was varied in 0.05 M  $\text{NaH}_2\text{PO}_4$ , pH 7.0. Values are means  $\pm$  S.E.M. of triplicate analyses.

roduced as free amino acids or occur covalently bound to capsular proteins. Spectral analyses of intact capsular material suggested that the catechol in *Raja erinacea* capsules at oviposition is peptide bound (Koob, 1987). We have also shown that catechols are introduced into the capsular matrix following secretion and assembly of capsule precursors, while the formed capsules move into and reside in the uterine lumen. This accumulation of catechol is coincident with color development (Koob

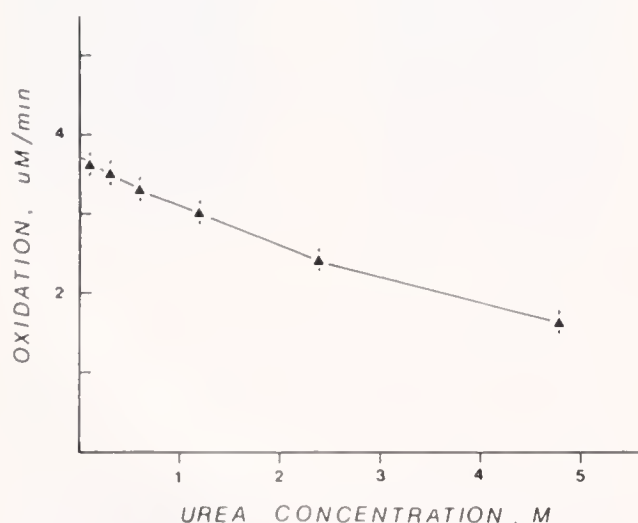


Figure 5. Effects of urea on the oxidation rate of 4-methylcatechol by the partially purified nidamental gland extract. Urea was varied in 0.5 M NaCl, 0.05 M  $\text{NaH}_2\text{PO}_4$ , pH 7.0. Values are means  $\pm$  S.E.M. of triplicate analyses.

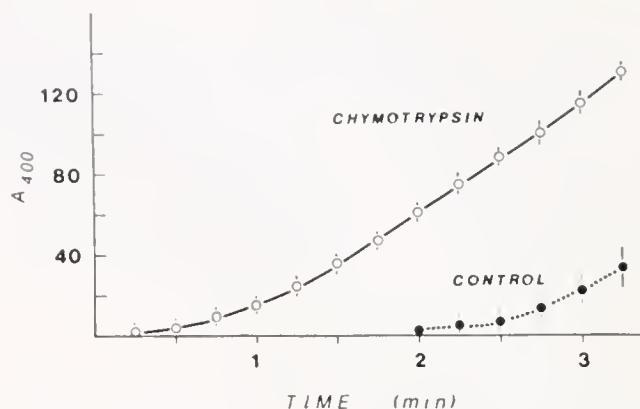


Figure 6. Initial rates of oxidation of 4-methylcatechol by extracts of nidamental glands with and without 40  $\mu\text{g}$  of  $\alpha$ -chymotrypsin. Aliquots of the 1.0 M NaCl extract were preincubated for 20 minutes at room temperature with 40 mg of  $\alpha$ -chymotrypsin. Controls were incubated in parallel. Final assay conditions were 0.5 M NaCl, 0.05 M  $\text{NaH}_2\text{PO}_4$ , pH 7.5, and values presented are means  $\pm$  S.E.M.

and Cox, 1986b). The presence of catechol oxidase in tanning capsules indicates that once catechols are introduced, they are susceptible to oxidation. These observations support Brown's (1955) original contention that this enzyme plays a pivotal role during the formation of skate egg capsules by catalyzing oxidation of catechols to highly reactive quinones forming dark pigments which tan the capsular matrix.

These experiments also establish the optimal conditions for assay of catechol oxidase from *Raja erinacea* nidamental glands. The partially purified enzyme exhibited maximal activity at 0.5 M NaCl and pH 7.0. Whether these conditions obtain in capsular material during tanning is not known, however, they closely resemble the osmolality and pH generally maintained in elasmobranch tissues. The sensitivity of the enzyme to urea is expected since renal and branchial enzymes from other elasmobranchs show identical inhibition by urea (Mályusz and Thiemann, 1976). We do not know whether urea is present in fluid bathing the tanning capsule or in the capsular material itself. The chemical conditions within the capsular matrix could be established during secretion of capsule precursors or alternatively could result from regulation of the intrauterine milieu.

The wide tolerance of nidamental gland catechol oxidase to alkaline pH and elevated salt concentrations provides evidence that the enzyme might remain active in seawater following oviposition of the capsule. Egg capsules of the little skate continue to tan during incubation by a process which may involve catechol oxidation (Koob, 1987). While it appears from the data presented here that catechol oxidase operates principally during capsular tanning *in utero*, it could also play a role in post-ovipositional tanning of the capsule.

One well characterized quinone tanning system that operates in seawater is the tanning of the attachment disc and byssus of *Mytilus edulis*. The byssal catechol oxidase displays optimum activity in salinity and pH near those of seawater (Waite, 1985). This enzyme's pH optimum (8.0) is slightly above that of the skate egg capsule enzyme, suggesting that the byssal enzyme may be more effective in seawater. Further study will be necessary to determine whether the egg capsule catechol oxidase in fact retains activity in seawater following oviposition. Such experiments will also furnish additional evidence regarding the role of this enzyme in the incubation-related tanning of the capsule.

The ability of  $\alpha$ -chymotrypsin to shorten the delay in commencement of catechol oxidation by extracts of niddamental glands suggests that the egg capsule catechol oxidase is produced in a latent form which can be activated by proteolytic cleavage. Since this enzyme appears to auto-activate during storage as well as in the presence of substrate, these results also suggest that the gland produces a native activator. Whether the native activator resembles bovine  $\alpha$ -chymotrypsin is not yet known. These observations are consistent with reports of latent phenoloxidases from both invertebrate and other vertebrate tanning systems.

For example, during sclerotization of the silkworm (*Bombyx mori*) cuticle, a latent phenoloxidase is activated by a serine protease (Dohke, 1973a, 1973b; Ashida and Dohke, 1980). A similar protease activates the prophenoloxidase of the arthropod immune response to invasive parasites (Ashida, 1971; Söderhäll, 1982; Ashida and Soderhall, 1984; Dularay and Lackie, 1985; Yoshida and Ashida, 1986; Saul and Sugumaran, 1987, 1988; for a review see Götz and Boman, 1985). In addition, *Mytilus edulis* produces a catechol oxidase that is latent towards catecholic substrates without prior activation by  $\alpha$ -chymotrypsin (Waite, 1985). Among vertebrate tanning systems, the tyrosinase of amphibian skin is known to be produced in a latent form. Wittenberg and Triplett (1985a, b) have shown that detergents activate the latent tyrosinase from *Xenopus laevis*. This evidence is consistent with the preliminary data presented here. Together they implicate an activation process involving a zymogen of catechol oxidase during the formation of skate egg capsules.

Catechol oxidases have been detected in materials investing germ cells from many species and widely divergent taxa. Among fungi increases in phenoloxidase activity coincident with the development of fruiting-bodies have been noted for many species and have been investigated particularly in *Neurospora crassa* (Hirsch, 1954; Horowitz *et al.*, 1961), *Hypomyces solani* (Wilson, 1968), *Schizophyllum commune* (Phillips and Leonard, 1976, 1977; Leslie and Leonard, 1979), and *Agaricus*

*bisporus* (Lindeberg, 1950; Turner, 1974; Rast *et al.*, 1981). Latent catechol oxidase has been identified as the predominant form of the enzyme in fruiting-bodies of *A. bisporus* (Yamaguchi *et al.*, 1970), though the mechanism of its activation has not been determined. The fruit-body phenoloxidase initiates melanization of propagule walls which is thought to confer protection from desiccation (reviewed by Sussman, 1968), lysis by other microorganisms (Potgieter and Alexander, 1966; Kuo and Alexander, 1967), and damage from ultraviolet light and other radiations (Sussman, 1968).

Seed coats of several wild legumes contain catechol oxidase. In *Pisum elatius*, for example, catechol oxidase activity in the seed coat rises sharply during the later developmental stages, especially during dehydration of the seed coat (Marbach and Mayer, 1975). The enzyme is believed to catalyze the generation of specific physico-chemical properties important for dormancy and subsequent germination.

Catechol oxidases have also been detected in the eggshells and reproductive tracts of various invertebrates. Phenoloxidase activity has been histochemically demonstrated in both eggshells and vitellaria of many monogenetic and digenetic trematodes, and in certain cestodes (for a review see Clegg and Smyth, 1968; and Smyth and Halton, 1983). Recently, a dopa-rich eggshell precursor protein from the vitellaria of *Fasciola hepatica* has been purified and characterized (Waite and Rice-Ficht, 1987). Catechol oxidase activity has also been detected in the *Fasciola* vitellaria, and this enzyme has been partially purified (Cox and Waite, unpub. results). Like the elasmobranch egg capsule, the eggshells of many trematodes and cestodes appear to be stabilized by a form of sclerotization involving phenoloxidase catalyzed quinone tanning.

Egg cocoons of the leech *Erpobdella octoculata* undergo post-ovipositional hardening and darkening suggestive of some form of tanning. Knight and Hunt (1974) found the cocoons to be insoluble in the solutions used by Brown (1950a) with the exception of sodium hypochlorite. They also reported that the cocoons contain 3,4-dihydroxyphenylalanine and a catechol oxidase. Quinone tanning may occur in the egg cocoons of other annelids, but the evidence is as yet circumstantial (Brown, 1950b).

Among the Arthropoda, oothecae and left colleterial glands of the cockroaches *Blatta orientalis* (Pryor, 1940) and *Periplaneta americana* (Brunet, 1952) contain catechol oxidase which is believed to catalyze oxidation of 3,4-dihydroxybenzoic acid during the sclerotization of the ootheca. Likewise, eggshells of the house cricket, *Acheta domestica*, reportedly contain a catechol oxidase (MacFarlane, 1960), while presence of the enzyme in shells of the mosquito, *Aedes aegypti*, has been in-



ferred (Walker and Menzer, 1969). Although several *o*- and *p*-diphenols have been extracted from eggshells and reproductive tracts of other insects, relatively little is known about the corresponding synthetic enzymes (for review of oothecal and eggshell proteins see Hinton, 1981).

To our knowledge, this is the first report of a catechol oxidase in egg capsules and oviduct of a vertebrate. The identification of catechol oxidase in egg capsules of *Raja erinacea* broadens the view that the products of this pathway possess particular properties adaptable to the needs of developing germ cells of fungi, plants, and animals.

#### Note added in proof

Inclusion of proteinase inhibitors (EDTA, benzamide, N-ethylmaleimide and PMSF) during extraction of nidamental glands virtually eliminated catechol oxidase activity. The crude extract of one gland containing proteinase inhibitors contained less than 10% of the catechol oxidase activity found in the paired control gland extracted without proteinase inhibitors. These results support our suggestion that the egg capsule catechol oxidase is produced in a latent form requiring proteolytic activation.

#### Acknowledgments

These studies were supported in part by the Lucille P. Markey Charitable Trust and Mount Desert Island Biological Laboratory.

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# Reciprocation, Reproductive Success, and Safeguards Against Cheating in a Hermaphroditic Polychaete Worm, *Ophryotrocha diadema* Åkesson, 1976

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**Abstract.** *Ophryotrocha diadema*, a simultaneous hermaphroditic polychaete worm, forms pairs in which both partners regularly alternate sex roles and trade eggs. Since *O. diadema* has a protandrous phase, safeguards against cheating by a non-reciprocating partner, either male or hermaphrodite, have evolved. Results of a mate choice experiment indicate that protandrous males are generally discarded as mates because they are unable to reciprocate with eggs. Reproductive success (measured by estimating the mean number of egg masses per individual per day) of hermaphrodites paired with males was significantly lower than the reproductive success of hermaphrodites paired with hermaphrodites. This indicates that *O. diadema* is able to time spawning activity according to the sexual condition of its partner. On the other hand, oogenesis and the production of multiple batches of mature oocytes is independent of the presence of a partner. Worms did not discard mates with substantially fewer eggs. The small size of clutches and the short interval between successive spawnings could be considered a form of egg parcelling, which would prevent exploitation of hermaphroditic individuals by partners unable to reciprocate.

## Introduction

*Ophryotrocha diadema* Åkesson, 1976, is a small (4 mm long) simultaneous hermaphrodite which was discovered in 1976 by Reish and Åkesson among the fouling fauna in Los Angeles Harbor. Larvae are released from the egg case at a body length of 4 setigerous segments. Its sexual life begins with a protandrous phase, which lasts two to three weeks. The simultaneous her-

maphroditic phase begins at the fourth week of life, at a body length of 14 setigers. Sperm are produced throughout life in the third and fourth setigers only, and eggs are produced from the fifth setiger onwards. Fecundity is age-dependent (Åkesson, 1976). In the first week of reproduction, cocoons contain 15–17 eggs. Maximum reproductive output (30–40 eggs/day) occurs four weeks after spawning begins and then slowly declines (Åkesson, 1982). Cocoons are released at intervals of about three days.

The main features of the mating system of *O. diadema* were described by Sella (1985) and can be summarized as follows:

- (1) Pairs are formed preferentially between simultaneous hermaphrodites. Spawning synchronization is achieved by means of close mutual contact during a courtship lasting several hours.

- (2) Partners repeatedly alternate sex roles with the same partner at intervals of about 30 hours. As one partner releases its eggs, the other fertilizes them. This alternate egg laying has been defined as egg trading by Fischer (1980) and Maynard Smith (1982, p. 160).

- (3) Both partners care for the developing embryos.

This paper addresses the following question: does the mating system of *O. diadema* represent an evolutionarily stable strategy (ESS), *sensu* Maynard Smith (1982, p. 12) and Parker (1978), *i.e.*, a strategy such that, if all the members of a population adopt it, no mutant strategy is likely to invade it under the influence of natural selection? In the case of *O. diadema*, the mutant strategy would be reproducing only as a male. *O. diadema* eggs require greater nutritive resources than sperm (Sella, in prep.), so reproducing only as a female would probably be selected against.



A mating system involving reciprocity would not be stable if safeguards against non-reciprocating individuals had not evolved. Two partners have a common interest in reciprocating but there is a potential advantage in non-reciprocation. Since eggs require greater nutritive resources than sperm, a hermaphrodite could increase its fitness by fertilizing the eggs of other individuals on days when it has no mature eggs to give. For reciprocal spawning to be critical to reproductive success, there should be strong constraints on reproducing only as a male in both the protandrous and hermaphroditic phases. Therefore, reproductive success is expected to be significantly lower than average for those individuals that fail to reciprocate egg exchange. In *O. diadema* at each spawning, ovaries release all their mature eggs. Therefore, in order not to be a loser in egg trading, a hermaphrodite is expected to have evolved an ability to perceive how many eggs its partner has and, eventually, to discard a partner with substantially fewer eggs than it has.

To assess the evolutionary stability of the mating system of *O. diadema* I estimated the probability that subadults will succeed in mating with hermaphrodites, compared the reproductive success of a hermaphrodite mated to a male with that of a hermaphrodite paired to another hermaphrodite, and investigated whether worms can perceive egg loads of their partners and discard partners having fewer stored eggs.

### Materials and Methods

Animals used in experiments were taken from laboratory populations originating from specimens of *O. diadema* collected in Los Angeles Harbor (Åkesson, 1976). Populations were reared according to the methods described by Åkesson (1976) and Sella (1985). They were placed in filtered sea water with a salinity of 34‰ at a constant temperature of 20°C and fed parboiled spinach.

A genetically determined yellow or white coloration of eggs permitted identification of the partners in a pair since both eggs and embryos are colored according to the mother's phenotype (Sella and Marzona, 1983). Therefore, color of eggs was used to infer which partner spawned eggs or fertilized them in a white and yellow worm pair. No difference in the mean number of eggs per cocoon was observed between yellow and white individuals (Åkesson, 1976; Sella, unpub.).

In all experiments, only virgin individuals of the same age were used, since both fecundity and fertility are age dependent in *O. diadema* (Åkesson, 1982). To obtain virgin individuals, individual males were reared in separate containers until they reached the length (14–15 setigers) at which oocyte production begins. Only when their first oocyte batch was mature, were they used in experiments. Therefore, the term "virgin worm" refers

to a simultaneous hermaphrodite which is ready to spawn its first batch of eggs. Since body walls are transparent and ripe oocytes measure approximately  $180 \times 200 \mu\text{m}$ , oocyte growth (as well as embryo development) could be easily observed with a stereomicroscope at low magnification.

Although "reproductive success" generally is defined as the number of progeny that survive to reproduce, this number is often impossible to measure. When pairs are formed between two simultaneous hermaphrodites, approximately 95% of the eggs are fertilized and develop (Sella, in prep.). The mean number of eggs per cocoon per week has a standard error never greater than 1 (Åkesson, 1976). Therefore, if individuals of the same age are paired, reproductive success can be indirectly estimated by counting the cocoons released in a given time interval. The term "young male" refers to subadults 7–8 setigers long which have not yet reached the simultaneous hermaphroditic phase and release only sperm.

The following three experiments were conducted.

#### Mate selection

To study the intensity of sexual selection against males, 44 bowls each containing a yellow virgin hermaphrodite, a white virgin hermaphrodite, and a male six setigers long, were set up. Each hermaphrodite could choose to pair either with the male or with the other hermaphrodite. Animals were observed until a pair was formed in each bowl and courtship terminated with egg spawning by one of the two partners.

#### Reproductive success and failure to reciprocate

If reciprocal spawning is critical to mating success, reproductive success of a hermaphrodite mated to a young male is expected to be significantly lower than that of a simultaneous hermaphrodite paired with another simultaneous hermaphrodite. The reproductive success (number of cocoons spawned in 10 days) of a set of 72 pairs of yellow and white hermaphrodites was compared to a set of 72 pairs of young males and either yellow or white simultaneous hermaphrodites. In the latter situation, the simultaneous hermaphrodites can act only as females, since alternation of sex roles and reciprocation of fertilization is precluded. Each pair was kept in a separate container.

#### Ability to perceive partner's eggs load

There are two ovaries per setiger in *O. diadema*. Each ovary produces one or two mature oocytes at a time. Eggs are spawned only if a partner able to fertilize them is present. Isolated individuals accumulate ripe eggs in the coelomic cavity because they do not spawn. The experi-

Table I

Reproductive success (mean number of egg masses per individual) in 10-day interval (A) and mean day interval between two successive spawnings (B) of simultaneous hermaphrodites paired with a simultaneous hermaphrodite or with a young male

| Kind of pairs                                              | (A)            |                                     | (B)            |                                                |
|------------------------------------------------------------|----------------|-------------------------------------|----------------|------------------------------------------------|
|                                                            | N <sub>A</sub> | $\bar{x}$ egg masses/<br>individual | N <sub>B</sub> | $\bar{x}$ days between<br>successive spawnings |
| ♂ × ♂                                                      | 144            | 2.1 ± 0.02                          | 54             | 2.97 ± 0.20                                    |
| ♂ × ♂                                                      | 72             | 1.8 ± 0.07                          | 34             | 5.24 ± 0.26                                    |
| (A) One-way ANOVA, $F_{1,142} = 7.58$ ; $0.005 < P < 0.01$ |                |                                     |                |                                                |
| (B) One-way ANOVA, $F_{1,86} = 6.26$ ; $0.02 < P < 0.01$   |                |                                     |                |                                                |

N<sub>A</sub> = number of sampled hermaphrodites; N<sub>B</sub> = number of individuals from sample N<sub>A</sub> which spawned twice during the experiment.

ment takes advantage of these characteristics to determine if an individual is able to perceive the number of eggs held by its partner and whether it can modulate its spawning behavior accordingly. Worms are expected to discard potential partners with fewer stored eggs.

Three equal groups of hermaphrodites with a different number of eggs in their coelomic cavity were allowed to choose their partner according to the number of mature eggs it had. The experiment lasted 124 hours. To obtain virgin individuals with different numbers of ripe oocytes, 3 groups of 100 virgin worms were isolated in separate containers for: (A) two weeks, (B) one week, and (C) one day, respectively, from the day the first ripe oocytes were visible through their body walls.

The experimental set up for matching individuals from groups A, B, and C was the following: in each of 50 Petri dishes filled with 30 ml of seawater, 6 individuals (2 from each group) were put together. A cellulose triacetate disc of the same size as the dish, printed with a millimeter grid, was placed beneath each dish to record the location of each pair upon its formation.

## Results

### Mate selection

Pairs between males and hermaphrodites formed in 14 bowls (32%) and pairs between hermaphrodites formed in 30 bowls (68%) out of 44. These values are significantly different than those expected (29.2 and 14.6) if mating choice had been random. (G test = 39.54;  $P < 0.001$ ).

When hermaphrodites could choose between a male and another hermaphrodite, they preferred to mate with the hermaphrodite, *i.e.*, with a partner able to reciprocate eggs.

### Reproductive success and failure to reciprocate

The mean number of egg masses each partner spawned in the set of simultaneous hermaphrodite pairs was significantly greater than the mean number each hermaphrodite spawned in the set of pairs between hermaphrodites and young males (Table I). Moreover, the mean number of days between successive spawnings of a simultaneous hermaphrodite mated to another hermaphrodite is significantly fewer than the mean interval between successive spawnings of an adult mated with young males (one-way ANOVA on data transformed in a  $\sqrt{x + 0.5}$  scale) (Table I).

Therefore, the reproductive success of a simultaneous hermaphrodite is significantly influenced by the sexual phenotype (either male or hermaphrodite) of its partner. The number of spawned cocoons by a simultaneous hermaphrodite decreases when it cannot reciprocate because it has a young male as a partner.

### Ability to perceive partner's egg load

Isolation treatment led to a significantly different number of ripe eggs accumulated in the coelomic cavity of worms from groups A, B, and C. (Table II). *A posteriori* comparisons among mean numbers of eggs (SS-STP test, Sokal and Rohlf, 1981, p. 245) from individuals which spawned eggs in groups A, B, and C are highly significant (Table II), thus indicating that egg accumulation in the coelomic cavity is significantly affected by the length of the isolation period.

The first pairs formed at the end of the first day after the beginning of the experiment and the first egg spawnings were observed during the second day.

Although the aim of this experiment was not to study reciprocation, it is worth observing that at the end of the experiment 67 of 110 pairs had reciprocated and that the

TABLE II

Mean number of eggs per cocoon laid by individuals isolated for 2 weeks (A), 1 week (B), and 1 day (C), after the first maturation of oocytes

|                                                             | A                     | B            | C            |
|-------------------------------------------------------------|-----------------------|--------------|--------------|
| $\bar{x} \pm S.E.$                                          | 32.35 ± 1.51          | 24.46 ± 1.42 | 17.54 ± 1.03 |
| N                                                           | 60                    | 53           | 46           |
| One-way ANOVA, $F_{2,149} = 25.34$ ; $p < 0.001$            |                       |              |              |
| <i>A posteriori</i> comparisons among means, (SS-STP test): |                       |              |              |
| comparisons                                                 | SS                    |              |              |
| A versus B                                                  | 1362.264 significant  |              |              |
| B versus C                                                  | 1227.5642 significant |              |              |
| C versus A                                                  | 4986.718 significant  |              |              |
| critical SS                                                 | 591.43                |              |              |



Table III

Frequency of pairs formed between individuals belonging to groups A, B, and C, in 6 days

| Kinds of pairs | A × A | A × B | A × C | B × C | B × B | C × C | total |
|----------------|-------|-------|-------|-------|-------|-------|-------|
| observed       | 9     | 33    | 33    | 30    | 3     | 2     | 110   |
| expected       | 12.2  | 24.4  | 24.4  | 24.4  | 12.2  | 12.2  | 110   |

 G-test,  $G = 31.12$ ,  $P < 0.01$ 

Numbers of individuals, out of 100, involved in pair formation either as males or as females from

group A = 84

group B = 69

group C = 67

 Heterogeneity G-test,  $G_2 = 9.3$ ,  $P = 0.01$ .

proportions of individuals acting only as fertilizers was not significantly different in all three groups (heterogeneity G test,  $G_2 = 1.19$ ). Most fertilizers of pairs formed in the last two days of the experiment did not have the opportunity to reciprocate by spawning.

Expectations about pair formation were not fulfilled by the experimental data (Table III). Animals of group A, with the greatest number of oocytes, became involved in pair formation in a significantly greater number than animals of groups B and C, but they did not pair more frequently among themselves than with worms with a lower number of oocytes belonging to groups B and C. During the experiment no individual paired twice.

Pairing was not random. An excess of pairs were formed by individuals belonging to different groups and a deficiency of pairs were formed by individuals belonging to the same group compared with frequencies expected under the hypothesis of random mating.

Worms released all the eggs they currently had without parcelling them, irrespective of how many eggs their partners had, thus indicating that *O. diadema* does not select its mate by number of eggs.

## Discussion

Simultaneous hermaphroditism with juvenile protandry would be an evolutionarily unstable reproductive strategy if males, competing for fertilization with hermaphrodites, had greater reproductive success than simultaneous hermaphrodites. This does not seem to happen in the mating system of *O. diadema* because there are strong constraints limiting reproductive success either as a non-reciprocating hermaphrodite or as a male. Hermaphrodites, which did not reciprocate because they mated with young males, laid fewer cocoons than reciprocating hermaphrodites. Males experience the following three selective constraints: (1) they are generally discarded as mates because they are unable to reciprocate; (2) if they are paired to a hermaphrodite, the number of egg masses laid by the hermaphrodite per day is less than

the number of egg masses laid by a hermaphrodite paired with another hermaphrodite; and (3) they do not fertilize eggs as efficiently as hermaphrodites (Sella, in prep.). Therefore, one would expect a very brief protandrous phase, or for such a phase to be completely absent in the early part of the life cycle of *O. diadema*, because of the selective constraints against it. Indeed, the protandrous phase lasts about  $\frac{1}{4}$  of the most fertile period of the life of *O. diadema*. Testes are comparable in size to ovaries, but, since they are present only in the third and fourth setigers throughout life, investment in testicular tissue may be much less. The return of this investment in juveniles is small, because they do not have many opportunities to pair, compared to the return of the same investment during the hermaphroditic phase, where opportunities to fertilize eggs are regularly offered to reciprocating individuals.

Despite the constraints on reproducing only as a male, 32% of young males engaged in mating competition with hermaphrodites succeeded in pairing with hermaphrodites. In another mate choice experiment described by Sella (1985), males succeeded in being the first to pair with hermaphrodites in three out of sixteen bowls. The difference between frequencies of males paired with hermaphrodites in both experiments is not statistically significant (heterogeneity G test,  $G_1 = 1.04$ ). The only apparent competitive advantage that young males might have over hermaphrodites is their greater mobility, which could help them in searching for and courting a partner if population density were low. Ghiselin (1974, p. 194) advanced a similar hypothesis to explain the existence of small males associated with hermaphrodites in many animal groups; when adults have a mode of life in which motility is restricted, small males, able to capitalize on their motility, tend to evolve. Hermaphrodites of *O. diadema* and adults of other *Ophryotrocha* species have a restricted motility since they spend much of their time in egg brooding. Ghiselin (1974, p. 210), Gould (1977, p. 330), and Charnov (1982, p. 250) observed that



selective pressures favoring progenesis in males concomitantly increase as population density decreases. A hermaphrodite living in a low density population would incur some metabolic cost in searching for a better mate, and, at a certain stage of oogenesis, might be hormonally programmed to mate with the first available mate. In general, interstitial fauna are present at low densities (Svedmark, 1964). Unfortunately, nothing is known about the population structure of *O. diadema* in the field, apart from the original observation in Los Angeles Harbor that only 2 individuals of *O. diadema* were collected with more than 300 individuals of *O. labronica pacifica*. Since then, no additional specimens have been found in the same locality (D. Reish, pers. comm.).

It is difficult not to expect some form of egg parcelling in *O. diadema*, since it would prevent exploitation of hermaphroditic individuals by partners with no eggs. Although the excess of matings between individuals with a different number of oocytes observed in the third experiment merits further investigation, the results indicate that in *O. diadema* each partner releases all of its ripe eggs. The higher frequency of pairing by group A individuals may be explained by the greater number of accumulated eggs compressing coelomic walls and causing release of all the eggs. Results of the second experiment indicate that there is, at least, a sort of temporal parcelling of cocoon production: (1) time intervals between acts of egg laying by a worm are briefer if immediately reciprocated by an egg laying partner; (2) conversely, longer intervals between acts of egg-laying occur in matings with partners that do not lay eggs. The small size of the clutches and the short intervals between them can be considered a form of egg parcelling. It is interesting to observe that in other simultaneous hermaphroditic species of *Ophryotrocha* [i.e., *O. hartmanni*, *O. maculata*, and *O. bacci* (Åkesson, 1973a, b)], egg masses contain only 20–40 eggs and in *O. gracilis* even fewer (Westheide, 1984). Intervals between successive spawnings in these species are not known. In contrast, in gonochoric and sequential hermaphroditic species of *Ophryotrocha*, egg masses contain a hundred eggs and are spawned only once every one or two weeks. Therefore, the previous doubt about the ability of *O. diadema* to parcel its clutches according to the reproductive behavior of its partner (Sella, 1985) needs to be corrected in light of these considerations.

In the simultaneous hermaphroditic coral reef fish *Hypoplectrus nigricans* a graded strategy of laying eggs in small batches, rather than all at once, has evolved to guard against cheating (Fischer, 1980). According to Maynard Smith (1982, p. 160), this form of egg parcelling can be regarded as a game of incomplete information, since each fish knows whether it has eggs to trade, but not if its partner has eggs. In *O. diadema*, sufficient

information on the sexual condition of conspecifics (males, simultaneous hermaphrodites, or hermaphrodites temporarily out of eggs) could be obtained through pheromonal signals. In *Ophryotrocha puerilis*, the existence of sex attractants emanating from females has been observed repeatedly (Pfannenstiel, 1977, 1984; Marchionni and Rolando, 1981; Franke and Pfannenstiel, 1984; Grothe and Pfannenstiel, 1986; Berglund, in prep.). In the gonochoric species *O. robusta* and *O. labronica* (Rolando, 1984), as well as in *O. macrovifera* (Sella, unpub. obs.), pheromones have been hypothesized as the most likely explanation for sexual induction phenomena.

As both Axelrod and Hamilton (1981) and Maynard Smith (1982, p. 169) observed, an ability to discriminate between other members of the same species may extend the range of stable cooperation. This discrimination allows individuals to handle interactions with many other conspecifics in different ways. In *O. diadema*, the ability to recognize the sexual condition of the partner, and to time spawning activity accordingly, could both greatly reduce the risk of being cheated and enhance the evolutionary stability of the mating system.

### Acknowledgments

This research has greatly benefited from critical comments by M. Ghiselin, B. Åkesson, W. D. Hamilton, from suggestions by an anonymous referee on an earlier paper (Sella, 1985), and by referees of the present paper. Financial support was supplied by the Italian Ministero della Pubblica Istruzione.

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# Geographically Widespread, Non-hybridizing, Sympatric Strains of the Hermaphroditic, Brooding Clam *Lasaea* in the Northeastern Pacific Ocean

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**Abstract.** We have studied phenotypic variation in six enzymes of *Lasaea*, a taxonomically complex genus of small brooding clams, from nine northeastern Pacific sites. Each of the individuals examined produced one of five combinations of electromorph patterns. *Lasaea* phenotypes could be differentiated into two main types, one containing two and the other three phenotype combinations. Samples from each population contained from one to three phenotype combinations and there was no evidence for crossbreeding among phenotypes. These results are strongly at variance with random mating expectations and indicate that the phenotype combinations represent reproductively isolated strains. This is substantiated by a more detailed study of the McNeill Bay, British Columbia, population where both main strains coexist. Electrophoretic characterization of *Lasaea* from individual 100 cm<sup>2</sup> samples of barnacle cover revealed that strains are not spatially segregated. Progeny of (1) pair mating experiments, (2) brooding field individuals, and (3) specimens that reproduced in isolation, all perpetuated the maternal electromorphs. Data from previous studies of reproduction in northeastern Pacific *Lasaea* suggest that the formation of non-hybridizing strains has resulted either from the predominance of self-fertilization or as a result of pseudogamy combined with meiotic parthenogenesis. We currently favor the former hypothesis. The two main strains are largely conserved between geographically distant sites, despite the lack of a planktonic larval stage. Separation of the main strains in

Victoria, British Columbia, populations on the basis of shell color phenotype is to some degree possible, but is often equivocal. Electrophoretic analysis (especially of reddish specimens) is necessary for their reliable identification.

## Introduction

Members of the taxonomically complex bivalve genus, *Lasaea*, are reproductively specialized, minute, intertidal, crevice dwellers (Keen, 1938; Oldfield, 1964; Glynn, 1965; Ponder, 1971) with a near-cosmopolitan distribution (Chavan, 1969). A prominent reproductive/developmental dichotomy exists within the genus. *Lasaea australis* (Lamarck, 1818) engages in random mating and broods its young to a straight-hinged, planktotrophic veliger stage of development (Ó Foighil, 1988). All congeners studied to date, release their young as crawl-away juveniles (Pelseneer, 1903; Oldfield, 1964; Glynn, 1965; Rosewater, 1975; Booth, 1979; Kay, 1979). There is as yet no evidence for cross-fertilization in *Lasaea* that have crawl-away juveniles (Crisp *et al.*, 1983; Ó Foighil, 1986a, Crisp and Standen, 1988), which together form a complex assemblage of nominal species and subspecies of unknown phylogenetic affinity.

Molluscan systematists have conventionally relied heavily on shell morphology to distinguish between species. There is great individual variation in *Lasaea* valves (Dall, 1899; Ponder, 1971; Roberts, 1984; Ó Foighil, 1986a), even among those collected from any particular site, and this poses a difficult taxonomic dilemma. Keen (1938) lists >40 species of *Lasaea*, distinguished from each other on the basis of slight differences in shell morphology and color. However, subsequent workers, have been unable to separate many of these nominal species

Received 24 February 1988; accepted 29 July 1988.

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(Soot-Ryen, 1960; Barnard, 1964; Dell, 1964; Ponder, 1971; Haderlie and Abbott, 1980; Beauchamp, 1985). In the northeastern Pacific, Keen recognized two species, *L. subviridis* Dall, 1899 and *L. cistula* Keen, 1938. In a more recent worldwide review of *Lasaea*, Ponder (1971) concluded that most of the nominal species, including *L. subviridis* and *L. cistula*, are merely regional subspecies or ecotypes of the type species *L. rubra* (Montagu, 1803).

Population genetic studies of European *Lasaea* have revealed the existence of a variety of non-hybridizing, sympatric, genetic strains capable of reproducing in isolation (Crisp *et al.*, 1983; Crisp and Standen, 1988). These results have important implications for understanding morphological variation and systematic relationships within the genus. Crisp *et al.* (1983) concluded that the populations studied were composed of female, apomictic (*i.e.*, ameiotic) clones. However, Oldfield (1961) described European *Lasaea* as simultaneous hermaphrodites with minute sperm production, recently confirmed by McGrath and Ó Foighil (1986) and by D. J. Crisp and associates (pers. comm.). Crisp and Standen (1988) proposed that European *Lasaea* reproduce by a combination of pseudogamy and apomixis. As yet there is no available data on spawning and gamete interaction in European *Lasaea*. Thiriott-Quévieux *et al.* (1988) found an unusually large chromosome complement ( $100 \leq 2n \leq 120$ ) in Kerguelen *Lasaea* and proposed that this resulted from an apomictic mode of reproduction. However, re-examination of their histological material has revealed that Kerguelen *Lasaea* are simultaneous hermaphrodites with disproportionately tiny testicular *versus* ovarian tissue (Ó Foighil, unpub.). The presence of sperm in European and Kerguelen *Lasaea* leaves open the possibility of reproductive modes besides apomixis, including self-fertilization.

Considerable data are available on the reproduction of northeastern Pacific *Lasaea*. They brood their young to a crawl-away juvenile developmental stage (Keen, 1938; Glynn, 1965; Beauchamp, 1986; Ó Foighil, 1986b), are simultaneous hermaphrodites (Glynn, 1965; Ó Foighil, 1985a; Beauchamp, 1986), and can reproduce in isolation (Ó Foighil, 1986b, 1987). Isolated individuals simultaneously spawn sperm and eggs into the suprabranchial chamber, sperm attach to eggs by an acrosomal reaction, the male pronucleus is incorporated into the egg cytoplasm and the oocyte produces two polar bodies before first cleavage (Ó Foighil, 1987). A relatively tiny amount of testis is produced in the ovotestis, sperm occupy approximately 5% of gonadal volume, the rest being devoted to oogenesis (Ó Foighil, 1985a). This pattern of reduced sperm production is theoretically consistent with the hypothesis that self-fertilization is common (Heath, 1979; Fischer, 1981; Charlesworth and Charlesworth, 1981). Indeed, sperm production in the randomly

mating *L. australis* is an order of magnitude greater (approximately 50% of gonadal volume) than in northeastern Pacific congeners (Ó Foighil, 1988). Several observations imply that cross-fertilization might be a relatively rare phenomenon in northeastern Pacific *Lasaea* populations. Sperm are present only in small numbers and have reduced motility (Ó Foighil, 1985a), and there is an apparent absence of specialized sperm transfer mechanisms typically found in cross-fertilizing brooding bivalves, such as spermatophores/spermatozeugmata (Coe, 1931; Ó Foighil, 1985b), dwarf/complemental males (Turner and Yakovlev, 1983; Ó Foighil, 1985c), and pseudocopulation (Townsend *et al.*, 1965).

In this study, we examined isozyme variation of four British Columbia (B. C.), Canada, and five California, U. S. A. populations of *Lasaea*. The F<sub>1</sub> progeny of adults from a B. C. site, either resulting from pairs or single individuals that reproduced when placed in isolation, or from broods collected from field individuals, were also characterized electrophoretically. We used isozyme data from adults and juveniles (1) to help identify the breeding system of *Lasaea* by comparing observed phenotype frequencies to random mating expectations and (2) to assess the systematic status of *Lasaea* populations using shell and protein phenotypes.

## Materials and Methods

After collecting, adult nonbrooding animals were either analyzed electrophoretically within 2 days following storage in seawater tables or placed at  $-70^{\circ}\text{C}$  until processed. Electrophoresis was performed at Friday Harbor Laboratories using 13% starch (Sigma hydrolyzed potato starch) gels, standard power supplies, and horizontal electrophoretic apparatus. Whole animals were individually homogenized with glass rods in an approximately equal volume of gel buffer and gels were run, not exceeding 200 volts, until the front had reached a preset "destiny" 80 mm from the origin. A single discontinuous Tris-citrate buffer system (electrode: 18.55 g boric acid/l and 2.4 g sodium hydroxide/l, pH 8.2; gel: 9.21 g tris/l and 1.05 g monohydrate citric acid/l, pH 8.7) was used for the following enzymes: esterase with  $\alpha$ -naphthyl acetate substrate (EST; nonspecific), (leucine) aminopeptidase (LAP; E.C. 3.4.11.1), peptidase with glycyl-leucine substrate (PEP-GL), peptidase with leucyl-glycyl-glycine substrate (PEP-LGG), and peptidase with leucyl-valine and leucyl-tyrosine substrate (PEP-LVLT). In addition, phosphoglucosomutase (PGM; E.C. 2.7.5.1) and glucose-phosphate isomerase (GPI; E.C. 5.3.1.9) were investigated using Crisp *et al.*'s (1983) discontinuous Tris-citrate buffer system. Most individuals showed no activity for PGM and the results for this enzyme are not presented. Enzyme staining assays for EST, LAP, and PGM

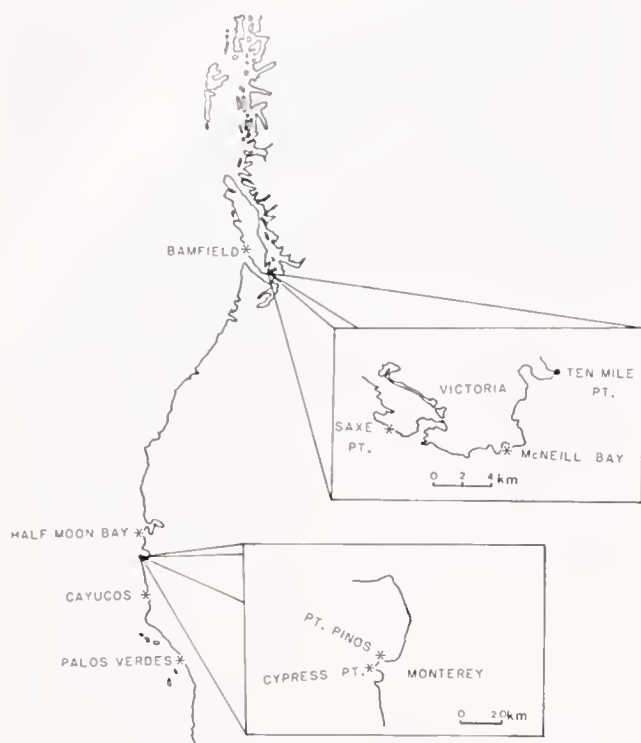


Figure 1. Sampling sites for northeastern Pacific *Lasaea* used in the electrophoretic study.

were as described by Ayala *et al.* (1972) and for GPI by Tracey *et al.* (1975). The PEP-GL, PEP-PP and PEP-LVLT staining assays consisted of 30 ml of a 2% agar solution (60°C) added to 20 mg of peptide substrate, 2000 units of peroxidase, 10 mg of O-Dianisidine, 5 mg of *Corotulus adamanteus* toxin, 0.5 ml of 0.1 M  $MnCl_2$  and 20 ml of 0.1 M  $Na_2HPO_4$  buffer.

At least one McNeill Bay individual of known protein phenotype was included per gel to provide a standard electromorph, and specimens from all nine populations were repeatedly run together on the same gels to verify electromorph scoring. The right valves of 73 McNeill Bay specimens were retained and analyzed for possible correlations of shell morphology and color to protein phenotype.

Subsequent to the initial electrophoretic survey of *Lasaea* from the nine study sites (Fig. 1), a more detailed investigation of the McNeill Bay population was performed. This involved electrophoretic characterization of the progeny of (1) pair mating experiments, (2) specimens that reproduced in isolation, (3) individuals that reproduced in the field. The degree of spatial overlap between strains in the McNeill Bay study site was also electrophoretically assessed.

In February 1987, non-brooding *Lasaea* adults were sampled from McNeill Bay and specimens were sorted according to shell color phenotype. Thirty pairs, each

containing one white and one red shelled individual, were placed in separate culture vials containing 20 mls of 1  $\mu m$  filtered seawater. *Lasaea* are positively thigmotactic (Morton, 1960) and each pair was positioned in close physical contact to ensure mutual attachment with byssal threads to facilitate potential cross-fertilization. The culture vials were maintained at 18°C and the clams were fed on cultured *Thalassiosira pseudonana* (strain 3H) and had salt water changes twice weekly. All individuals were checked weekly for brooding activity using a dissecting microscope (broods are visible through the translucent shells). Once a brooding individual was detected, the non-brooding partner was preserved at -70°C, as was the brooding parent when all the  $F_1$  juveniles had been released from the brood chamber. The  $F_1$  juveniles were cultured under the same conditions until September 1987, when parents, non-brooding partners, and the  $F_1$  progenies of pairs containing adults of different enzyme phenotypes were electrophoretically analyzed. Originally, it was hoped to type the offspring (0.7–1.2 mm in valve lengths) using both LAP and PEP-GL enzyme assays, however, results were obtained only for the latter.

Ten red-shelled non-brooding adults collected from McNeill Bay in February 1987 were placed individually in culture vials and maintained in the laboratory, as previously described, until they released juveniles. In May 1987, 30 *Lasaea* adults brooding early embryos were selected from pooled McNeill Bay samples. They were also individually raised in laboratory conditions until juvenile release. Both sets of parents were then frozen at -70°C and electrophoretically characterized together with their offspring in September 1987 for the PEP-GL enzyme phenotypes.

Twenty five random 100 cm<sup>2</sup> samples of barnacle cover containing *Lasaea* were removed from the McNeill Bay site in October 1987 to investigate the degree of spatial overlap between strains. Samples were collected from the mid to high intertidal along an 80 m stretch of shore and were representative of the various crevice habitats available to *Lasaea* at the study site. All individuals in each sample were characterized for the PEP-GL phenotype after embryos had been dissected from brooding individuals.

## Results

The electromorphs obtained from the survey of nine populations for EST, LAP, PEP-GL, and PEP-LGG are presented diagrammatically in Figure 2. GPI was monomorphic in all individuals, PEP-LVLT phenotypes for each specimen were identical to the combined PEP-GL and PEP-LGG electromorphs for that individual and only one esterase presumed locus (EST) was consistently



**Table I**

Composite electromorph composition of the five *Lasaea* strains observed in B.C. and California populations. Refer to Figure 2 for diagrammatic representation of electromorph patterns

|     | Strains | Composite electromorph pattern |         |     |     |
|-----|---------|--------------------------------|---------|-----|-----|
|     |         | PEP-GL                         | PEP-LGG | LAP | EST |
| "A" | 1       | 1                              | 1       | 1   | 1   |
|     | 2       | 2                              | 1       | 2   | 1   |
| "B" | 3       | 3                              | 2       | 3   | 2   |
|     | 4       | 3                              | 2       | 4   | 2   |
|     | 5       | 3                              | 3       | 4   | 2   |

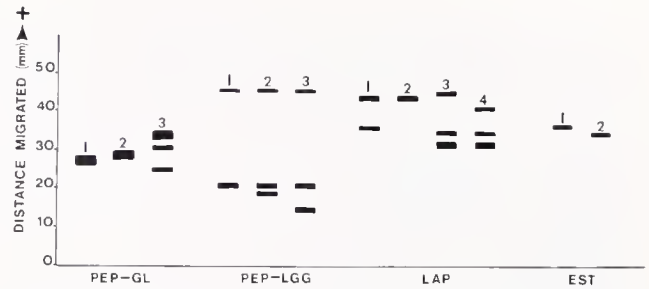
resolved in this study. Each *Lasaea* individual examined produced one of only five different composite electromorph patterns observed in this study (Table I). These patterns could be differentiated into two main sets ("A," "B") on the basis of similarity of the protein phenotypes. Patterns 1, 2 are of the "A" set and share identical PEP-LGG and EST phenotypes; "B" patterns 3, 4, 5 produce identical PEP-GL and EST phenotypes. Table II shows the distribution of the five composite electromorph patterns in the nine populations studied. The Bamfield, Pt. Pinos, and Palos Verdes samples were fixed for patterns 1, 4, and 2 respectively, while the remaining samples were polymorphic. The two main electromorph patterns ("A," "B") were respectively represented by patterns (1, 4) in both B. C. and California populations.

Genetic interpretation of these complex protein phenotypes is affected by the absence of expected heterozy-

**Table II**

Distribution of the five composite electromorph patterns (strains) in the nine *Lasaea* populations studied. *n* = number of individuals analyzed per population

| Population     | n   | Frequency of <i>Lasaea</i> with each composite electromorph pattern per population |     |      |      |      |
|----------------|-----|------------------------------------------------------------------------------------|-----|------|------|------|
|                |     | "A"                                                                                |     | "B"  |      |      |
|                |     | 1                                                                                  | 2   | 3    | 4    | 5    |
| Bamfield       | 84  | 1.0                                                                                | —   | —    | —    | —    |
| Saxe Point     | 78  | 0.15                                                                               | —   | 0.71 | 0.14 | —    |
| McNeill Bay    | 140 | 0.29                                                                               | —   | 0.63 | 0.08 | —    |
| Ten Mile Point | 79  | 0.56                                                                               | —   | 0.42 | 0.03 | —    |
| Half Moon Bay  | 19  | —                                                                                  | —   | —    | 0.63 | 0.37 |
| Point Pinos    | 50  | —                                                                                  | —   | —    | 1.0  | —    |
| Cypress Point  | 25  | —                                                                                  | —   | —    | 0.64 | 0.36 |
| Cayucos        | 54  | 0.04                                                                               | —   | —    | 0.72 | 0.24 |
| Palos Verdes   | 14  | —                                                                                  | 1.0 | —    | —    | —    |



**Figure 2.** Diagrammatic representation of the total electromorph variation observed over four polymorphic gene-enzyme systems for northeastern Pacific *Lasaea*. Each electromorph pattern is individually numbered. For instance, the three PEP-GL protein phenotypes are labelled PEP-GL1, PEP-GL2, and PEP-GL3, respectively.

gous or homozygous phenotypes from polymorphic populations. For example, populations containing both "A" and "B" EST phenotypes (Table II) lacked obvious heterozygotes. Because all specimens had a single GPI band of identical mobility, we assume that all were homozygous at a single GPI coding locus. Genetic interpretation was more difficult for other, multiple-banded patterns and are thus provisional in nature. The three-banded PEP-GL 3 pattern (Fig. 2) probably does not represent a heterozygous phenotype for a dimer protein because the middle band is neither equidistant from, nor twice as prominent as, the two outlying bands (see Fig. 6). PEP-GL 1, 2 electromorphs share identical staining characteristics with the fastest of the three PEP-GL 3 bands and they may represent three alleles of a single locus (Fig. 2). The two slower PEP-GL 3 bands may represent a heterozygous monomer or two homozygous loci. PEP-LGG patterns 1, 2, and 3 all share an identical fast band which may represent a distinct locus (Fig. 2). It is unclear whether the slower PEP-LGG bands are products of one or more loci. Similarly, LAP 1 may represent a heterozygous monomer condition or else these individuals may be homozygous at two LAP loci (Fig. 2). The other LAP patterns 2, 3, and 4 do not appear to be consistent with a uniform heterozygous condition. Three potential loci may be represented by LAP 3 and 4; the three-banded patterns do not have the characteristics of a heterozygous dimer, the two slower bands are composed of a relatively weak faster band and a more pronounced slower one and probably do not represent a heterozygous monomer condition (Fig. 2).

None of the five composite electromorphs appeared to be the result of matings among electromorphs in any of the individuals characterized from the six populations that contained >1 strain. Assuming that these electromorph patterns have a similar genetic basis to that observed in other organisms, this is markedly at variance with random mating expectations. The observed and



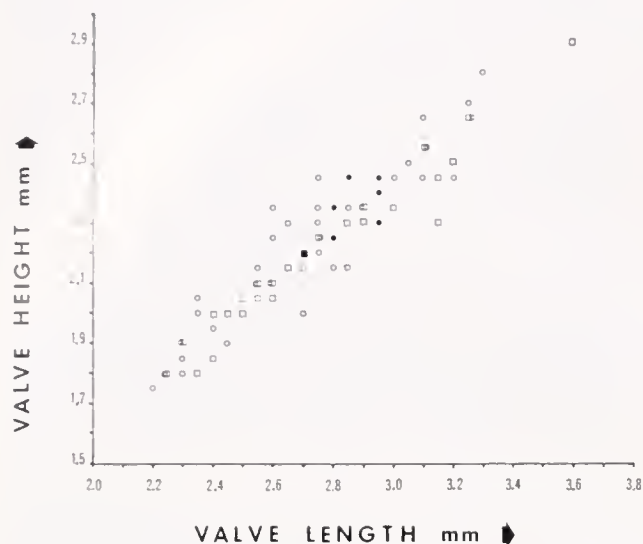


Figure 3. Plot of valve height against valve length for 73 McNeill Bay *Lasaea* characterized according to protein phenotype. Squares represent strain 1 animals ( $n = 27$ ), solid squares are double scores, correlation coefficient ( $r$ ) = 0.976. Circles represent strain 3 animals ( $n = 46$ ), solid circles are double scores,  $r = 0.921$  ( $0.01 < P < 0.02$ ). When the large strain 1 individual (3.6 mm in length) is excluded, strain 1  $r = 0.967$  is not significantly different from 0.921 ( $0.05 < P < 0.10$ ).

Hardy-Weinberg-Castle expected genotype frequencies for the EST locus of 140 McNeill Bay individuals characterized during the initial electrophoretic survey (Table II) are very different ( $P < 0.001$ ). In addition, the apparent gametic disequilibrium between sympatric strains indicates that they are reproductively isolated.

The height and length of the right valves were positively correlated for 73 individuals from McNeill Bay, characterized according to protein phenotype and shell coloration. Although the height/length dimensions of strains 1, 3 overlap substantially (Fig. 3), their respective correlation coefficients (0.976 and 0.921) are significantly different ( $0.01 < P < 0.02$ ) due to the presence of an unusually large (3.7 mm in length) strain 1 specimen. When this specimen is removed from the calculation, a correlation coefficient of 0.967 is obtained for strain 1, which is not significantly different from that of strain 3 ( $0.05 < P < 0.10$ ). Individuals varied in shell coloration from totally white to totally red. Intermediate forms commonly occurred which were dorso-laterally red with white ventral patches. Some individuals appeared to have switched abruptly from forming a red shell to secreting a lighter zone of white growth. The height/length correlation coefficients do not differ significantly ( $P > 0.50$ ) among white and predominantly red-shelled individuals (0.942 and 0.953 respectively) (Fig. 4). Anterior-dorsal shell margins varied considerably in shape within each strain and shell color group, e.g., see specimens 2, 4, 5 and 31, 37, 38 (Fig. 5). There was no consistent

difference in the maximum sizes attained by either protein phenotype or shell color groupings.

The relationship between shell color and protein phenotype was also investigated for *Lasaea* from Victoria's McNeill Bay population. Figures 5 and 6 show the right valves and the respective PEP-GL electromorphs for forty specimens (20 white; 20 predominantly red). The 20 white-shelled individuals, sorted before electrophoretic analysis, all exhibited the PEP-GL 3 electromorph. Four hundred and forty-one (441) adult specimens from McNeill Bay were typed for the PEP-GL 1 enzyme during the initial electrophoretic survey, and the subsequent investigation of spatial overlap between the two main strains. Of these, 272 and 169, respectively, expressed PEP-GL 3 and PEP-GL 1 phenotypes. If shell color is independent of PEP-GL protein phenotype, a ratio of 12.34 PEP-GL 3 to 7.66 PEP-GL 1 for the 20 white specimens in Figure 5 is expected (when analyzed by Chi square test:  $P < 0.001$ ). The 19 predominantly red-shelled animals for whom electromorphs were obtained, contained both PEP-GL 1 (11 specimens) and PEP-GL 3 (8 specimens) patterns (expected ratios: 11.72 PEP-GL 3, 7.27 PEP-GL 1; Chi square test:  $0.25 < P < 0.50$ ). Of these 19 predominantly red animals, 11 were uniform in color and 8 had some white patches. Both of these two color subgroupings, however, were heterogenous in protein phenotype expression. Eight of the totally red specimens had the PEP-GL 1 pattern and four were type PEP-GL 3. Four of the eight mixed color individuals were PEP-GL 1 and the rest PEP-GL 3. Similar results were obtained when shell coloration and protein phenotypes of the Ten Mile Pt. population were investigated; white

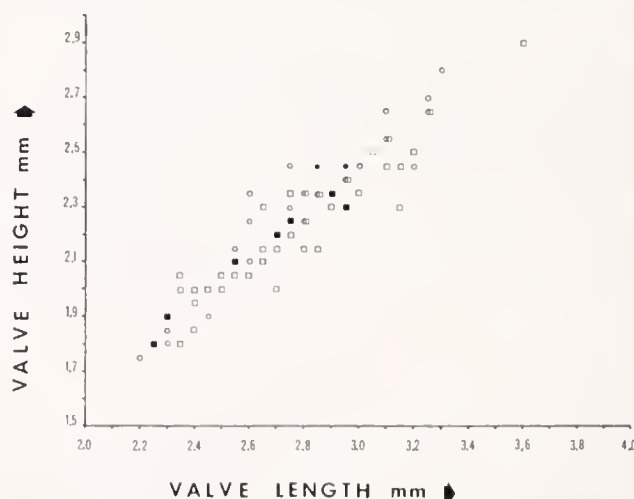


Figure 4. Plot of valve height against valve length for 73 McNeill Bay *Lasaea* characterized according to shell color. Squares represent predominantly red individuals ( $n = 48$ ), solid squares are double scores, correlation coefficient ( $r$ ) = 0.953. Circles represent white shells ( $n = 25$ ), solid circles are double scores,  $r = 0.942$ , ( $P > 0.50$ ).



**Figure 5.** Right valves of 40 *Lasaea* individuals from McNeill Bay, Victoria. Specimens 1–20 (top two rows) have white shells and specimens 21–40 (bottom two rows) are predominantly or totally red. Scale = 5 mm.

individuals all expressed the PEP-GL 3 phenotype (15 specimens) and totally red or mixed color specimens produced either the PEP-GL 1 or PEP-GL 3 phenotypes (64 specimens).

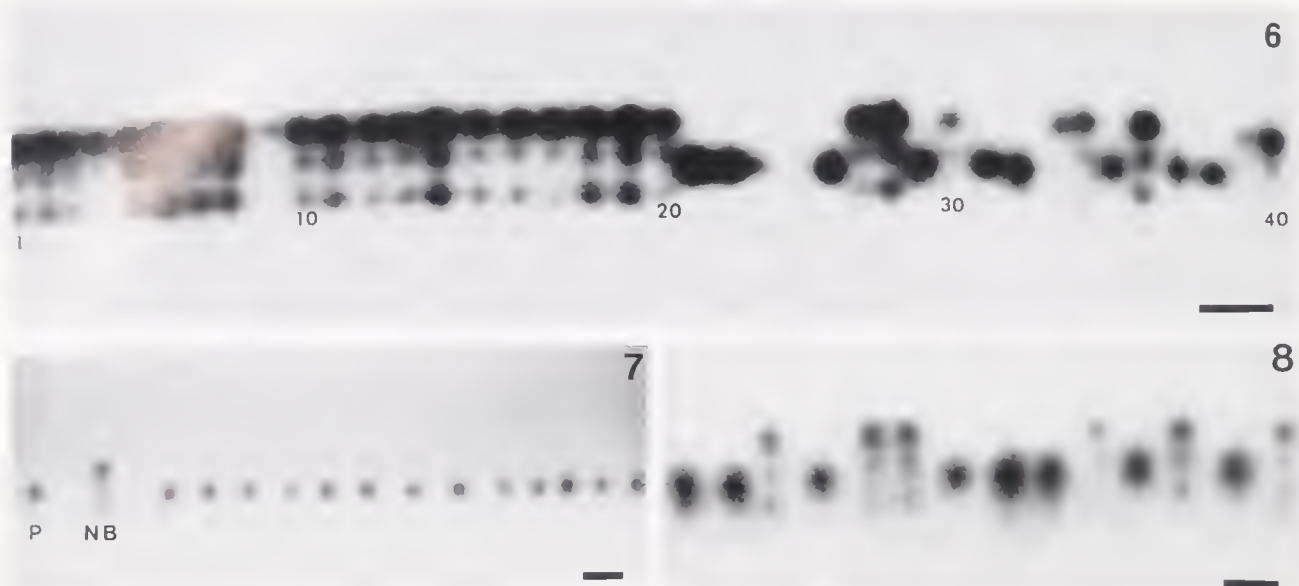
No mortality of adult McNeill Bay *Lasaea* occurred during laboratory culture. Less than 5% of  $F_1$  progeny died in culture, mainly as a result of premature release from the brood chamber before the development of valve opposition. Four of the 30 *Lasaea* pairs used in the pair mating experiments did not spawn while in the laboratory. One member of all remaining pairs released  $F_1$  progeny, however, in eight of these pairs both adult specimens expressed the PEP-GL 3 electromorph. The remaining 18 pairs were composed of individuals exhibiting different PEP-GL phenotypes and in 17 of these cases the individual that initiated brooding expressed a PEP-GL 1 electromorph. When analyzed by Chi square test, this result is significantly different ( $0.005 < P < 0.01$ ) from an expected ratio of 9 PEP-GL 1 to 9 PEP-GL 3, if precedence in the onset of brooding were independent of PEP-GL phenotype. Mean brood size was  $17.8 \pm 6.4$  S.E. and a total of 330  $F_1$  progeny were typed for the PEP-GL enzyme. In all cases, the  $F_1$  PEP-GL phenotypes were identical to those of the confirmed parents (brooding individuals) and did not reveal any evidence of cross-fertilization by the potential sperm donors (non-brooding partners) (see Fig. 7).

The 10 adult McNeill Bay *Lasaea* maintained in isolation during laboratory culture reproduced successfully. Eight and 2 individuals, respectively, expressed the PEP-

GL 1 and PEP-GL 3 electromorphs. All 146  $F_1$  progeny (mean brood size of  $14.6 \pm 5.0$  S.E.) perpetuated the parental PEP-GL phenotypes, including the 26 progeny of the 2 PEP-GL 3 parents. Assuming that the isolated PEP-GL 3 phenotype parents reproduced by self-fertilization, as previous cytological evidence would suggest (Ó Foighil, 1987), the result for the 26  $F_1$  progeny is significantly different from the 1:2:1 phenotype ratios expected if the PEP-GL 3 electromorph represented a heterozygous dimer protein, or if the 2 slow bands represented a heterozygous monomer protein (Chi square test,  $P < 0.001$ ).

Eleven of the 30 brooding adults sampled in McNeill Bay in May 1987 expressed a PEP-GL 3 phenotype and the remainder exhibited the PEP-GL 1 electromorph. Altogether, 435  $F_1$  progeny (mean brood size =  $14.5 \pm 8.4$  S.E.) were typed and all perpetuated the parental phenotypes, with a single exception. This exceptional individual occurred in a brood of 21 juveniles, 20 of which expressed the maternal PEP-GL 3 electromorph, the other produced the PEP-GL 1 phenotype. It is more likely that this individual resulted from inadvertent transfer between cultures, rather than from cross-fertilization between the strains, because it totally lacked the maternal phenotype for this brood.

Three hundred and one (301) individuals were characterized for the PEP-GL enzyme from the 25 samples of barnacle cover ( $100^2 \text{ cm}^2$ ) taken from McNeill Bay in October 1987. Both main *Lasaea* strains co-occurred in 18 of these samples (Figs. 8, 9) and there was no signifi-

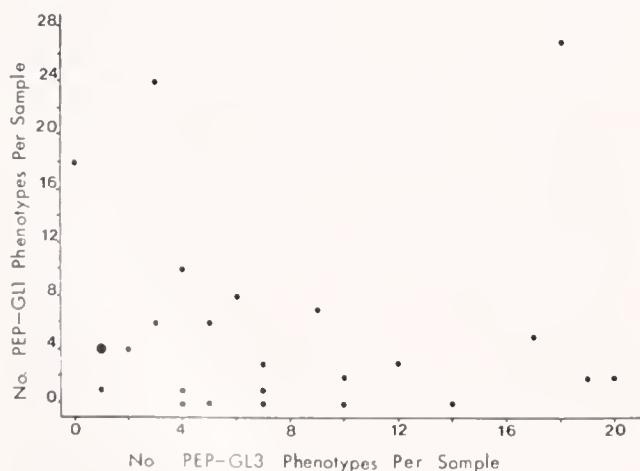


**Figure 6.** PEP-GL electromorphs of the same 40 *Lasaea* individuals in Figure 5. Specimens 1–20, 27, 28, 30, 33, 34, 36, 39, and 40 produced a PEP-GL 3 electromorph pattern. Individuals 21–23, 25, 26, 29, 31, 32, 35, 37, and 38 gave a PEP-GL 1 electromorph pattern. Specimen 24 gave no detectable result and specimen 25 was too faint to photograph well.

**Figure 7.** PEP-GL phenotypes of McNeill Bay *Lasaea* pair mating experiment showing parent (P), non-brooding partner (NB) and the 13 F1 progeny. Note that all offspring perpetuate the electromorph of the confirmed parent.

**Figure 8.** PEP-GL phenotypes of 14 McNeill Bay *Lasaea* recovered in a 100 cm<sup>2</sup> sample of barnacle cover. Both PEP-GL 1 (8) and PEP-GL 3 (6) phenotypes are expressed.

cant correlation between the distributions of the main strains ( $r = 0.0399$ ,  $P > 0.5$ ). It appears that the 2 main *Lasaea* strains are not segregated in McNeill Bay on this spatial scale and show a high degree of overlap.



**Figure 9.** Relative numbers of PEP-GL 1 and PEP-GL 3 phenotypes in 25 100 cm<sup>2</sup> samples of barnacle cover from McNeill Bay, Victoria, B. C. Large circle = double score. Correlation coefficient ( $r$ ) 0.0399,  $P > 0.5$ .

## Discussion

The absence of putative intermediate protein phenotypes and the consequent deviations from random mating expectations in spatially overlapping, sympatric field populations and in the progeny of pair mating experiments suggest that (1) several to many strains of *Lasaea* coexist and are widespread along the west coast of North America, and (2) if mating occurs between the various strains, it must be very rare. Population genetic structure of our study populations resemble those described by Crisp *et al.* (1983) and Crisp and Standen (1988) for European *Lasaea* but are markedly different from the randomly mating *L. australis* which has a planktotrophic larval development (Ó Foighil, 1988).

Formation of non-hybridizing, genetic strains can result from a number of reproductive modes, including apomixis, autosegregation, pseudogamy, and self-fertilization (Bell, 1982). Identifying the reproductive mode employed by *Lasaea* that lack dispersive larvae is proving to be problematical, due in part to the difficulty of identifying sperm in the ovotestis. In populations examined, a minute quantity of testis (averaging approximately 5% of gonadal volume) is produced in the postero-ventral lobe of the ovotestis; the sperm heads vary



in shape and exhibit incomplete nuclear condensation (Pelseneer, 1903; Oldfield, 1961; Ó Foighil, 1985a, 1987; McGrath and Ó Foighil, 1986; Beauchamp, 1986). It is now apparent that both British and Kerguelen *Lasaea*, respectively, described by Crisp *et al.* (1983) and Thiriot-Quiévreux *et al.* (1988) as female, apomictic clones, are actually simultaneous hermaphrodites which appear identical to northeastern Pacific *Lasaea* in their gonadal and sperm morphologies (Oldfield, 1961; Ó Foighil, 1985a; McGrath and Ó Foighil, 1986; Ó Foighil, unpub.). Despite their unusual morphology, these sperm appear to be functionally mature and each is capable of swimming and undergoing an acrosomal reaction, binding to, and penetrating an egg (Ó Foighil, 1987).

Important data on post-spawning cytological events in isolated northeastern Pacific individuals are available (Ó Foighil, 1987), as reviewed in the introduction. These data, especially the evidence for production of two polar bodies before first cleavage, indicate that individuals from populations we studied reproduce not by apomixis but by automixis. Extrapolation to all northeastern Pacific strains assumes that results from these isolated individuals of unknown electrophoretic phenotype represent the norm. Additionally, since sperm were seen to penetrate eggs (Ó Foighil, 1987), these data suggest that the particular automictic mode must be either self-fertilization or meiotic parthenogenesis with some form of pseudogamy. In practice, self-fertilizing organisms and meiotic parthenogens are distinguished because the former produce both sperm and eggs, giving differentiation of gender at the gametic level (Bell, 1982).

Ideally, electrophoretic examination of the progeny of isolated heterozygous (Aa) adults could provide strong evidence for self-fertilization, or for some types of automictic parthenogenesis that have similar consequences to selfing (White, 1973; Suomalainen *et al.*, 1987), if the progeny do not deviate significantly from an expected 1AA:2Aa:1aa ratio. However, lack of segregation of the protein phenotypes in sampled populations makes positive identification of heterozygous loci difficult, because multiple bands on a gel that resemble typical heterozygous monomers or dimers could also be explained as the results of multiple loci. Similarly, if all progeny resemble their parent at a particular locus, as they did in our study and as reported by Crisp *et al.* (1983), this is not conclusive evidence for apomixis. Only a detailed study of male and female pronuclear interaction is likely to resolve the reproductive mode in these cases.

Crisp and Standen (1988) used a different buffer system from the one used by Crisp *et al.* (1983) and found non-segregating protein phenotypes they interpreted as suggestive evidence for fixed-heterozygosity at PGM and GPI loci of European *Lasaea* (*L. rubra*). They then con-

cluded that *L. rubra* reproduces by a combination of pseudogamy and apomixis, citing Ó Foighil's (1987) description for sperm penetration in *L. subviridis* in support of this view. Although this remains a possibility, a number of important points need to be clarified before Crisp and Standen's (1988) interpretation can be accepted. Ó Foighil (1987) rejected apomixis in *L. subviridis*, not because of sperm penetration, but because the egg apparently undergoes a meiotic division before first cleavage, producing two polar bodies. It is quite possible that European *L. rubra* eggs are likewise meiotic. However, this remains to be established by observation of cytological events that occur before first cleavage. An alternate interpretation of the protein phenotype patterns observed by Crisp and Standen (1988) is that they represent multiple homozygous loci. C. Thiriot-Quiévreux (pers. comm.) found very high chromosome numbers in *L. rubra*, and these represent potential sources of such loci. Finally, pseudogamy is employed by all-female clones (Moore *et al.*, 1956; Kallman, 1962; Uzzell, 1964; Schultz, 1971, 1977; Kiester *et al.*, 1981; Stenseth *et al.*, 1985) or hermaphrodites (*e.g.*, the enchytraeid oligochaete *Lumbricillus*, see Christensen and O'Connor, 1958; Christensen, 1980) that are sexual parasites of closely related cross-fertilizing species and are incapable of reproducing in isolation. *L. rubra* can reproduce in isolation to at least an F2 generation (Crisp *et al.*, 1983). The combination of pseudogamy with apomixis proposed by Crisp and Standen (1988) would indeed be noteworthy, if further substantiated, because we are presently unaware of any species where individuals are known to use their *own* sperm to initiate parthenogenic development.

Thiriot-Quiévreux *et al.* (1988) concluded that Kerguelen *Lasaea* were apomictic based on the absence of sperm and meiotic stages. It is now known that Kerguelen *Lasaea* are simultaneous hermaphrodites with greatly reduced sperm production (Ó Foighil, unpub.). Because of the relatively tiny testis, it is possible that sperm were overlooked by Thiriot-Quiévreux *et al.* (1988) in their chromosome preparations. We consider that a self-fertilizing mode of reproduction has yet to be ruled out for European and Kerguelen *Lasaea* populations. As is the case for northeastern Pacific congeners, a detailed cytological study is needed to firmly establish the reproductive mode of these populations.

Our present working hypothesis is that northeastern Pacific *Lasaea* reproduce by automixis, probably by self-fertilization. This interpretation is more parsimonious than that of pseudogamy combined with automictic parthenogenesis and, as discussed above, pseudogamy is only known to be employed by sexual parasites incapable of reproducing in isolation. If self-fertilization is in-

deed the predominate norm in northeastern Pacific *Lasaea* populations, heterozygous loci should be extremely rare within each strain, and each band in the complex multi-banded PIP-GL, PEP-LGG and LAP electromorph patterns recorded in this study should represent a separate homozygous locus. Each "B" strain typically yielded a greater number of protein phenotypes (*i.e.*, bands) than did each "A" strain in our study. One interesting possibility is that the strains differ in ploidy and perhaps one or more ploidy duplication events has been responsible, in part, for the reproductive isolation(s) of *Lasaea* strains (C. Moritz, pers. comm.). The only published work to date on *Lasaea* karyology is that of Thiriot-Quiévreux *et al.* (1988) who found a record (though variable) number of chromosomes for the class Bivalvia in Kerguelen *Lasaea* ( $100 \leq 2n \leq 120$ ). A similarly high chromosome complement may be present in northeastern Pacific *Lasaea* (especially the "B" strains) and may represent a source of multiple homozygous loci.

Species that reproduce by self-fertilization still, in theory, retain the potential for cross-fertilization, and exclusively self-fertilizing populations [*e.g.*, *Rivulus marmoratus* (Kallman and Harrington 1964; Vrijenhoek, 1985)] are thought to be extremely rare in nature (Bell, 1982). A mixed self- and cross-fertilizing mating pattern has the potential of creating a wide variety of new genotypes, as occasional outcrossing between inbred lineages will result in highly heterozygous progeny (Antonovics, 1968; Bucklin *et al.*, 1984). Cross-fertilizations in northeastern Pacific *Lasaea* populations might be exceptionally rare or absent, judging from the lack of intermediate protein phenotypes encountered in this study and the apparent absence of sperm transfer and sperm storage mechanisms that enable other suprabranchial brooding bivalves to cross-fertilize (Coe, 1931; Townsley *et al.*, 1965; Turner and Yakovlev, 1983; Ó Foighil, 1985b, c). Still, even rare cross-fertilization could provide an alternative mechanism, besides ploidy duplication, for the creation of new strains.

The majority of marine invertebrates including bivalves cross-fertilize, so it is interesting to consider how predominate self-fertilization might arise. Various genetic models of the evolution of self-fertilization predict that a history of inbreeding predisposes originally cross-fertilizing populations to the development of automixis (self-fertilization) by removing recessive deleterious alleles (Charlesworth and Charlesworth, 1981; Lande and Schamske, 1985; Uyenoyama, 1986). This forms the premise for Strathmann *et al.*'s (1984) hypothesis to account for the association of simultaneous hermaphroditism and brooding of young to a crawl-away juvenile stage in many marine invertebrate taxa. Strathmann *et al.* suggest that reduced dispersal promotes inbreeding which lowers heterozygosity, exposing deleterious homozygous

combinations to selection and eventual elimination. Thus prolonged inbreeding dilutes the genetic penalty of inbreeding depression caused by self-fertilization. If a self-fertile individual happens to arise in an already inbred population, and it additionally produces relatively few sperm, it would then be at a reproductive advantage, because of the lowered cost of spermatogenesis and at a genetic advantage due to the reduced "cost of meiosis" (Williams, 1975; Maynard Smith, 1978; Bell, 1982). Indeed, the genetic advantage of a reduced "cost of meiosis" implies that once developed, the evolution of completely self-fertilizing lineages may be an irreversible step (Bull and Charnov, 1985). Strathmann *et al.* (1984) were concerned primarily with explaining hermaphroditism in groups that are normally gonochoric (*e.g.*, echinoderms, anemones, chitons, sipunculans, etc.), but they also point out that the basic model should apply to explaining exceptional self-fertilizers in hermaphroditic taxa that normally have effective blocks to self-fertilization (*e.g.*, tunicates, nudibranchs, certain bivalve taxa including *Lasaea*). The lack of a planktonic dispersive stage, simultaneous hermaphroditism, and apparent high level of self-fertilization of northeastern Pacific *Lasaea* are consistent with the proposal (Strathmann *et al.*, 1984; Eernisse, 1988) that having crawl-away offspring can lead to departure from cross-fertilization.

Cross-fertilizing marine invertebrate species that are typically sedentary as adults and lack a planktonic larval stage show significant interpopulational genetic divergence on a relatively small geographic scale (Berger, 1973, 1977; Snyder and Gooch, 1973; Campbell, 1978; Ward and Warwick, 1980; Bulnheim and Scholl, 1981; Burton, 1983; Janson and Ward, 1984; Palmer, 1984; Grant and Utter, 1988). Intropopulational genetic drift in these cases may originate from a founder effect (Holgate, 1966; Nei *et al.*, 1975) during an initial colonization, or a later genetic bottleneck event, that may be maintained and enhanced by infrequent genetic exchange with other populations over time. In contrast, our results for northeastern Pacific *Lasaea* show that some strains (1, 4) are present in geographically distant sites. A number of potential factors may have contributed to this, including alternative dispersal techniques such as byssus drifting (Lane *et al.*, 1985) and rafting (Highsmith, 1985) (short and long distances respectively), low rates of mutation, and a predominantly self-compatible reproductive mode. Self-fertilization is genetically conservative because new alleles formed by mutation are rapidly expressed in homozygous combinations and are thus directly exposed to selection (Bell, 1982). Newly formed populations will not experience a founder effect if the initial colonizers previously existed as reproductively isolated strains at the source site.

Taxonomic interpretations based on a small number



of loci can lead to a potentially serious bias (Nei, 1972). Even though we examined relatively few loci, it is clear that northeastern Pacific *Lasaea* populations are composed of a variety of reproductively isolated strains as Crisp *et al.* (1983) found for British populations. These strains are readily distinguishable by electrophoretic analysis.

Diagnostic separation of strains from Victoria, B. C. populations on the basis of shell phenotype appears unreliable, except for some apparent color differences between the strains. We can predict with a high degree of confidence that white-shelled *Lasaea* from Victoria will express particular protein electromorphs. However, specimens with the same protein phenotypes may also have mixed shell coloration (red/white) or totally red shells. Shell pigmentation is known to be light-induced in juvenile mussels (Trevelyan and Chang, 1987), and, in *Lasaea rubra linemora*, the extent of red coloration in the valves is thought to be related to the degree of exposure to sunlight (Ponder, 1971). This may also be the case for at least strain 3 of northeastern Pacific *Lasaea* because specimens recovered from deep crevices had the whitest shells. There may be a partial habitat difference between the two main strains in Victoria, with strain 3 occurring in both deep and shallow crevices and strain 1 found only in shallow crevices. Data from the laboratory pair mating experiments hint at physiological or spawning differences between the two main strains: 17 of the first individuals to spawn from the 18 heterogeneous pairs expressed a PEP-GL 1 phenotype.

Criteria used by Keen (1938) to separate two nominal *Lasaea* species in Californian populations are inadequate when applied to Victoria *Lasaea* of known protein phenotype. Keen (1938) distinguished *L. cistula* from *L. subviridis* by its smaller size, less oblique outline, darker color, higher umbones and by its more abrupt slope from the umbone to the anterior margin. However, Ponder (1971) and Beauchamp (1985) could not distinguish on morphological grounds two different forms of *Lasaea* in Californian populations. As in this present study, Ponder (1971) found Keen's (1938) distinguishing morphological characteristics to be highly variable among individual shells. For the present, it would appear that electrophoretic analysis is necessary for the reliable identification of northeastern Pacific *Lasaea* strains. Whether the two main strains detected in this study correspond to *L. subviridis* and/or *L. cistula* will require careful comparisons of any diagnostic morphological distinctions, should they be found, with existing type material for northeastern Pacific *Lasaea*.

There is as yet no evidence for cross-fertilization between the strains found in British (Crisp *et al.*, 1983) and northeastern Pacific *Lasaea* populations. Therefore the biological species concept (Mayr, 1957, 1963), which ap-

plies best to randomly mating organisms, would seem inappropriate for these populations. Ponder (1971) concluded that most nominal species of *Lasaea* are merely regional subspecies, or ecotypes of the type species *L. rubra*. Alternatively, one could conclude that every distinct electrophoretic strain is a distinct historical entity or, perhaps, species. Efforts to determine the level at which the category "species" best applies would best wait for reproductive investigations of other *Lasaea* populations and a better understanding of *Lasaea* historical relationships, which are undoubtedly complex among *Lasaea* that lack a dispersive larval stage (Ponder, 1971; Crisp *et al.*, 1983; Ó Foighil, 1986a; Thiriott-Quévieux *et al.*, 1988). Resolution of these relationships will require a multidisciplinary approach applied to a variety of populations of this near-cosmopolitan genus.

### Acknowledgments

Funding was provided by a University of Victoria graduate student fellowship and a Friday Harbor Laboratories post-doctorate fellowship to D. Ó Foighil; and NSF grant OCE-8415258 to R. R. Strathmann and D. J. Eernisse. We are indebted to D. J. Crisp for sending us an unpublished manuscript and to C. Thiriott-Quévieux for letting us examine her histological material. A. R. Palmer, R. Grosberg, C. Moritz, F. Sly, R. R. Strathmann, and anonymous reviewers gave valuable comments on earlier drafts. We thank A. O. D. Willows, director of FHL, for the use of facilities.

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## Allelochemical Interactions Between Sponges and Corals

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**Abstract.** The existence of chemical-biological interactions is routinely invoked to explain patterns of coexistence between neighboring organisms. This study characterizes the consequences of these interactions in interspecific space competition between the neighboring species *Plakortis halichondroides*, the liver sponge, and *Agaricia lamarki*, the sheet coral. This sponge/coral association was studied *in situ* both at points of natural contact and following manipulations that artificially brought the sponge and coral together. *Plakortis* kills *Agaricia* upon direct contact and upon indirect contact (*i.e.*, waterborne metabolites only). *Plakortis* creates a dead zone of coral around its base as it overgrows the coral. The effect of either direct or indirect contact by *Plakortis* is to reduce: (1) the number of zooxanthellae in *Agaricia*, (2) the weight of chlorophyll *a* per unit area of the coral, and (3) the weight of tissue nitrogen per unit area of the coral.

The necrotic effect also evidences itself as changes in oxygen flux characteristics such as significant increases in the compensation point and the nocturnal respiration rate, and significant reductions in the maximum net and gross photosynthetic rates. As a consequence, the diel integrated production to respiration ratio falls below unity for *Agaricia* colonies in contact with *Plakortis*; this does not occur for coral without neighboring sponges.

Because direct contact between *Plakortis* and *Agaricia* is not necessary to effect stress in the coral, the presence of active chemical metabolites from *Plakortis* is suggested. Thus, mechanical abrasion is excluded as the sole mechanism of dominance by the sponge.

### Introduction

Sessile and sedentary coral reef organisms frequently compete for space and food, adopting mechanisms to

minimize fouling or overgrowth by epibionts and maximize their own space-capture abilities. Several biological mechanisms that mediate ecologically significant interactions among coral reef organisms have been described. For example, scleractinian corals effect extracoelenteric damage to neighbors via extended mesenterial filaments and long sweeper tentacles (Francis, 1973; Lang, 1973; Richardson *et al.*, 1979; Wellington, 1980; Sheppard, 1982). Likewise, hydrocorals and octocorals can move onto and spread across scleractinians, and thereby compete successfully for space with reef-building corals (Wahle, 1980; La Barre and Coll, 1982; Tursch and Tursch, 1982). Bryozoans employ sweeper appendages which are effective in competition and in prevention of fouling (Jackson, 1977). These structures are used in specific behaviors that involve the recognition of potential competitors and the direction of interference mechanisms against them.

Chemical defense mechanisms have also been suggested. These mechanisms have demonstrable effects on species distributions and individual survivorship in terrestrial plant communities (Fraenkel, 1969; Whittaker and Feeny, 1971; Rosenthal and Janzen, 1979; Meinwald, 1982; Targett and Isman, 1986), and have recently been reviewed in ecological contexts for marine communities (Barbier, 1981; Bak *et al.*, 1982; Fenical, 1982; Norris and Fenical, 1982; Palumbi and Jackson, 1982; Colwell, 1983; Faulkner and Ghiselin, 1983; Steinberg, 1984; Scheuer, 1985; Bakus *et al.*, 1986).

Unusual secondary metabolites have been isolated from numerous sessile solitary and colonial coral reef organisms (Tursch *et al.*, 1978; Cimino *et al.*, 1983; Sullivan *et al.*, 1983; Coval *et al.*, 1984; Bandurraga and Fenical, 1985; Kashman *et al.*, 1985; and Coll *et al.*, 1985). Animals that contain unusual secondary metabolites of-



ten prove to be toxic in bioassay examinations (Bakus and Thun, 1979; Bakus, 1981; Coll *et al.*, 1982a, 1983; Targett *et al.*, 1983; Gerhart, 1984; McCaffrey and Eidean, 1985; Thompson, 1985; Thompson *et al.*, 1985; LaBarre *et al.*, 1986a, b). In addition to their role in organism defense, secondary metabolites are also implicated in the maintenance living space (Jackson and Buss, 1975; Green, 1977; Jackson, 1977; Sheppard, 1979, 1982; Sammarco *et al.*, 1983).

Sponges are remarkable because they lack specialized organs and behaviors, and yet are successful in an environment where such adaptations are common. However, sponges do contain a variety of bioactive secondary metabolites. More than three dozen compounds with lethal or growth inhibitory properties are described from tropical sponges in reviews by Russell and Saunders (1967), Sigel *et al.* (1969), Martin and Padilla (1973), Baker and Murphy (1976), Hollenbeak *et al.* (1976), Faulkner (1977), Cimino (1977), Minale (1978), Kaul and Sinderman (1978), and Hashimoto (1979). These researchers characterize the compounds chemically and occasionally list effects on organisms of direct interest to man, but rarely include data on the ecological importance of the compound or demonstrate effects on other marine organisms likely to have frequent encounters with the species. Recent work continues to identify unusual secondary metabolites from sponges (*e.g.*, Fusetani *et al.*, 1981; Tachibana *et al.*, 1981; Carmely *et al.*, 1983; Cimino *et al.*, 1983; Gonzalez *et al.*, 1984; Nakatsu *et al.*, 1983, 1984; Manes *et al.*, 1985; Braekman *et al.*, 1985; Walker *et al.*, 1985; Nakamura *et al.*, 1986; Mayol *et al.*, 1986) and also identifies several ecological contexts in which the metabolites might function (Thompson *et al.*, 1982; Cimino *et al.*, 1982).

Sullivan *et al.* (1983) demonstrate that the burrowing sponge *Siphonodictyon* sp. secretes a guanidine-containing sesquiterpene, siphonodictidine, in its mucus. This compound kills adjacent coral tissue, thereby preventing the coral from overgrowing the sponge's oscular chimneys. The compound stimulates coral respiration and it has been speculated that increased respiration rate and decreased photosynthetic rate would probably result in death for the coral. However, Sullivan *et al.* (1983) point out that because so many factors can affect hard corals under aquarium conditions, one must be cautious in extrapolating to the long-term effects of siphonodictidine at subacute concentrations. Environmental information on the effects of these kinds of compounds *in situ* is needed.

In this paper, we characterize the consequences of chemical-biological interactions in interspecific space competition between the coral *Agaricia lamarcki* (Milne Edwards & Haime, 1848) and the sponge *Plakortis halichondroides* (Wilson, 1902). Interactions between *Pla-*



Figure 1. Natural associations of the sponge *Plakortis halichondroides* and the coral *Agaricia lamarcki* show a bleached area at the zone of contact. The necrotic area is evident in this photograph on the left side of the coral along the region adjacent to the sponge and above the 1.0 cm scale bar in the center. (Salt River Canyon, St. Croix, 25 m depth; photograph by R. S. Smith).

*kortis* and *Agaricia* are common in St. Croix, U. S. Virgin Islands, and in Jamaica where *Plakortis* overgrows living coral, utilizing the newly dead coral skeletons as a primary point of attachment and growth (N. Targett, J. Neigel, J. Porter, unpub. data). Upon physical contact or proximity of less than five centimeters to the sponge, *Agaricia* bleaches and shows a marked necrosis in the region of contact (Fig. 1).

Using the *Plakortis/Agaricia* interaction as a basis for study, we: (1) describe the *in situ* effect of *Plakortis halichondroides* on *Agaricia lamarcki* both at points of natural contact and following manipulations that artificially bring together the sponge and coral, (2) quantify the *in situ* effects of direct sponge contact and indirect sponge contact (*i.e.*, exposure to whole sponge exudates only)

on photosynthetic and respiratory oxygen fluxes in the coral, and (3) suggest the long-term effects on tissue biomass, growth rate and survival of *Agaricia* colonies growing in association with *Plakortis* sponges.

## Materials and Methods

### Site description

This research was conducted utilizing the NOAA underwater habitat, Hydrolab, located at a depth of 17 m on the north coast of St. Croix, U. S. Virgin Islands. Experimental material and line transect data were collected from the east coral reef slope of the Salt River Canyon within the research area available from the habitat.

### Field studies

Transects ten meters in length and two meters wide (for a total of 20 m<sup>2</sup> in each transect area) were layed parallel to depth contours at 20 and 30 m. The number of *Plakortis halichondroides* colonies within one meter of the line were counted. The number of times *P. halichondroides* grew within five centimeters of living tissue of *Agaricia lamarecki* was also noted. Additionally, a swimming census covering 1000 m<sup>2</sup> was conducted between the 20 and 30 m depth contours to record all species of scleractinian corals adjacent to *P. halichondroides* colonies.

### Biomass determinations

Coral tissue was removed from the surface of the coral skeleton using a Water Pik (Johannes and Wiebe, 1970) with filtered seawater. The tissue slurry was homogenized for one minute in a blender and triplicate aliquots were removed for analysis of nitrogen content, zooxanthellae cell density, and chlorophyll *a* concentration. Standard protocols for sample preparation and analysis were used for fluorometric determination of chlorophyll *a* (Holm-Hansen *et al.*, 1965), hemocytometric counts of zooxanthellae (Muscatine *et al.*, 1984), and micro-Kjeldahl nitrogen digestions (Parsons *et al.*, 1984) as described for coral tissue analysis in Porter *et al.* (1984). Coral surface area was determined for *Agaricia lamarecki* using Marsh's (1970) aluminum foil overlay technique.

### Oxygen flux determinations

Oxygen flux in three experimental chambers and ambient PAR light flux on a 4- $\pi$  quantum sensor were recorded on a Datel magnetic tape data logger at four minute intervals during the 24-hour experimental incubations. Tapes from each experiment were read into a Tektronics 4054 microcomputer where consecutive readings were subtracted from one another. The result

was then multiplied by the volume of water in the incubation chambers to give net rates of oxygen production or consumption. These oxygen flux values were normalized to biomass units of surface area, and mass of nitrogen and chlorophyll *a*.

Coral pieces were cut from larger colony rosettes and were used in physiological experiments after they had acclimated for 24 hours. Experimental corals were monitored prior to treatment as well as after treatment, and therefore each served as its own pre-treatment control.

Photosynthesis *versus* irradiance curves were constructed for each control or experimental condition by computing average fluxes over small intervals of irradiance (maximum interval 50  $\mu\text{Em}^{-2} \text{ s}^{-1}$ ). These points were fitted to a hyperbolic tangent function (Jassby and Platt, 1976) which represented the best-fit for patterns of coral productivity (Porter, 1980; Chalker, 1980). The program derived from this function allowed accurate statistical determinations of (1)  $\alpha$  the initial slope of the photosynthesis-irradiance curve, (2)  $I_c$ , the light compensation point, (3)  $I_k$ , the break point, where the P:I curve approached  $p_{\text{max}}$ , (4)  $p_c$  net max and  $p_c$  gross max, the maximum net and gross coral head production, (5)  $r_c$ , coral nocturnal respiration rate, and (6) an integrated, diel P/R ratio. We have fully described the interpretive model for coral photosynthesis/respiration ratios elsewhere (Muscatine *et al.*, 1981; Muscatine *et al.*, 1984; Porter, 1985).

### Experimental design

Eleven null hypotheses were formulated to investigate the consequences to *Agaricia lamarecki* of association with *Plakortis halichondroides*. These null hypotheses included information on biomass patterns (9–11), physiological rates (1–6), and carbon budget totals (7–8) for corals before and after contact with sponges (direct contact) or with sponge exudates (indirect contact). The following null hypotheses were advanced:

1.  $\alpha$  Before =  $\alpha$  After Exposure
2.  $I_k$  Before =  $I_k$  After Exposure
3.  $I_c$  Before =  $I_c$  After Exposure
4.  $p_c$  net max Before =  $p_c$  net max After
5.  $p_c$  gross max Before =  $p_c$  gross max After
6.  $r_c$  night Before =  $r_c$  night After
7. P/R Ratio Before = P/R Ratio After
8. P/R Ratio Exceeds 1.0 Before; Less Than 1.0 After
9. [Zooxanthellae] Before = [Zooxanthellae] After
10. [Pigment] Before = [Pigment] After
11. [Nitrogen] Before = [Nitrogen] After

The four experimental treatments listed below were designed to test these hypotheses, *i.e.*, they were designed to reject the contention that there were no significant



effects on coral biomass or oxygen flux patterns after contact with sponges. The *in situ* manipulations included:

1. Control, no contact or history of contact between *Plakortis halichondroides* and the examined *Agaricia lamarcki* colonies.
2. Indirect contact, *A. lamarcki* colonies in contact with seawater containing exudates from coarsely mashed *P. halichondroides*.
3. Direct contact, *A. lamarcki* colonies in physical contact with pieces of *P. halichondroides* cut from the reef and tied to the coral colonies for 24 hours prior to the oxygen flux measurements.
4. Direct contact, *A. lamarcki* colonies naturally occurring adjacent to uninjured *P. halichondroides* colonies on the reef.

Experimental condition (4) was of greatest ecological interest since, of the three experimental treatments, it most closely recorded conditions of natural contact on the reef.

Oxygen consumption by the electrodes (all experiments) and the injected sponge water (Experiment 2 only) were determined just prior to the experimental incubations. These chemical oxygen demands were added to oxygen fluxes inside the chambers to give the metabolic activity of the coral alone.

The injured and uninjured sponges used in the last two treatments (3 and 4, respectively) were removed from the coral's surface prior to oxygen flux measurements on the coral colony. Since sponge metabolic rates *per se* were not of direct interest in the experimental design, and since Reiswig (1971) has shown that small chambers are unsuitable for the determination of oxygen flux characteristics for sponges like *Plakortis* with high pumping rates, the metabolic activity of *Plakortis* was not determined.

As a control on the experimental method employed, the effects of contact with sponges such as *Agelas*, *Haliclona*, and *Verongula*, which did not cause bleaching and necrosis, were also monitored. Finally, to examine metabolites released from *P. halichondroides*, an *in situ* chemical sampling pump concentrated organic compounds from the water surrounding uninjured colonies of this species.

## Results

### Field studies

All coral species found in the transect area are overgrown and killed by *Plakortis halichondroides* (Table I). These fourteen species are from eight of the nine Caribbean coral families (the remaining family, the Acroporidae, was not found in this reef zone). The overgrown cor-

Table I

*Hermatypic scleractinian corals killed in situ by Plakortis halichondroides* (Wilson, 1902)

|       |                                                                   |
|-------|-------------------------------------------------------------------|
| I.    | Family Astrocoeniidae Koby, 1890                                  |
| 1.    | <i>Stephanocoenia michelinii</i> Milne Edwards & Haime, 1848      |
| II.   | Family Pocilloporidae Gray, 1842                                  |
| 2.    | <i>Madracis decactis</i> (Lyman, 1859)                            |
| III.  | Family Agariciidae Gray, 1847                                     |
| 3.    | <i>Agaricia agaricites</i> (Linnaeus, 1758)                       |
| 4.    | <i>Agaricia lamarcki</i> Milne Edwards & Haime, 1851              |
| IV.   | Family Siderastreidae Vaughan & Wells, 1943                       |
| 5.    | <i>Siderastrea siderca</i> (Ellis & Solander, 1786)               |
| V.    | Family Poritidae Gray, 1842                                       |
| 6.    | <i>Porites astreoides</i> Lamarck, 1816                           |
| VI.   | Family Faviidae Gregory, 1900                                     |
| 7.    | <i>Diploria labyrinthiformis</i> (Linnaeus, 1758)                 |
| 8.    | <i>Diploria strigosa</i> (Dana, 1846)                             |
| 9.    | <i>Colpophyllia natans</i> (Houttuyn, 1772)                       |
| 10.   | <i>Montastraea annularis</i> (Ellis & Solander, 1786)             |
| 11.   | <i>Montastraea cavernosa</i> (Linnaeus, 1766)                     |
| VII.  | Family Meandrinidae Gray, 1847                                    |
| 12.   | <i>Meandrina meandrites</i> (Linnaeus, 1758)                      |
| VIII. | Family Nussidae Ortmann, 1890                                     |
| 13.   | <i>Mycetophyllia lamarckiana</i> Milne Edwards & Haime, 1848-1849 |
| 14.   | <i>Mycetophyllia ferox</i> Wells, 1973                            |

als are more similar morphologically than taxonomically: they are all horizontally flattened, and as such, provide a level substratum on which *Plakortis* can grow. The only exception to this pattern is the finger coral, *Madracis mirabilis*, which is killed at the base of its branches in contact with the sponge.

Approximately one-third ( $34.0 \pm 6.8\%$ ) of all *Plakortis* colonies occur on or directly adjacent to living coral (Table II). The remaining members of the population grow on stable substrata, many of which are recently dead coral plates. Almost half of the corals with sponges on or near them show signs of bleaching and necrosis ( $40.8 \pm 3.4\%$ , Table II), but all coral specimens show tissue death in the area directly underneath the sponge.

### Biomass

Our results clearly demonstrate a marked effect on algal densities, algal pigment concentration, and coral tissue mass for all of the experimental conditions relative to the control condition (Table III). The number of zooxanthellae per unit area, and as a consequence, the chlorophyll *a* per unit area of coral, decreases by a factor of three after contact with injured sponges, uninjured sponges, and sponge water (Table III). The amount of chlorophyll *a* per algal cell does not follow a consistent pattern under the experimental treatments; only after exposure to sponge water does the mass of chlorophyll *a* per algal cell decline significantly (Table III).



Table II

*Plakortis halichondroides* population density and interactions with scleractinian corals ( $\bar{x} \pm$  one S.D.,  $n = 4$ )

| Station name | Total number of sponge colonies per 20 m <sup>2</sup> | Total number of sponge colonies within 5 cm of coral | % of sponge population in contact with coral | Number of coral colonies within 5 cm of sponges | Number of coral colonies showing visible signs of stress | % of contacted corals showing signs of stress |
|--------------|-------------------------------------------------------|------------------------------------------------------|----------------------------------------------|-------------------------------------------------|----------------------------------------------------------|-----------------------------------------------|
| 20m Right    | 62                                                    | 18                                                   | 29                                           | 16                                              | 6                                                        | 38                                            |
| 30m Left     | 39                                                    | 13                                                   | 33                                           | 13                                              | 5                                                        | 38                                            |
| 30m Right    | 105                                                   | 32                                                   | 30                                           | 33                                              | 15                                                       | 45                                            |
| 20m Left     | 90                                                    | 40                                                   | 44                                           | 36                                              | 15                                                       | 42                                            |
|              | 74 $\pm$ 29                                           | 26 $\pm$ 12                                          | 34.0 $\pm$ 6.9                               | 24 $\pm$ 12                                     | 10 $\pm$ 5                                               | 40.8 $\pm$ 3.4                                |

Nitrogen mass per unit area of coral tissue decreases by a factor of two (Table III). This effect cannot be explained solely by the loss of zooxanthellae since they constitute only 7% of the coral tissue biomass (Porter and Muscatine, in prep.). Coral tissue lysis also occurs (Fig. 2). The effect of these combined plant and animal tissue responses is to create a bleached zone, or necrotic halo, on the coral in the vicinity of the sponge that is visually obvious from a meter away (Fig. 1).

#### Oxygen flux

Contact with *Plakortis* effects both oxygen production and oxygen consumption (Fig. 3). Oxygen consumption more than doubles for corals in direct contact with injured or uninjured sponges, rising from approximately 6 to 12  $\mu\text{gO}_2\text{cm}^{-2}\text{h}^{-1}$  (Table IV). Coral respiration rate stays the same during injection of sponge water in the short-term indirect contact experiments. However, the maximum net photosynthetic rate drops by almost half for both direct contact with injured or uninjured sponges and for indirect contact with sponge water. These lowered photosynthetic rates occur within eight minutes of injecting sponge water, suggesting that sponge metabolites rapidly diminish this coral species' photosynthetic

capacity. Rising respiration rates and diminishing production rates tend to offset one another mathematically. And hence, only for indirect contact with sponge water, where production falls but respiration does not rise concomitantly, does the maximum gross production show a significant decline.

The compensation light intensity is significantly higher under all experimental treatments relative to the control (Fig. 3; Table IV). This demonstrates that more light is needed for corals near or adjacent to sponges to meet their basal metabolic demands through photosynthesis than for corals at a distance from sponges. Further, it suggests that coral production balances coral respiration later in the morning and stops earlier in the afternoon for corals near sponges (Fig. 3).

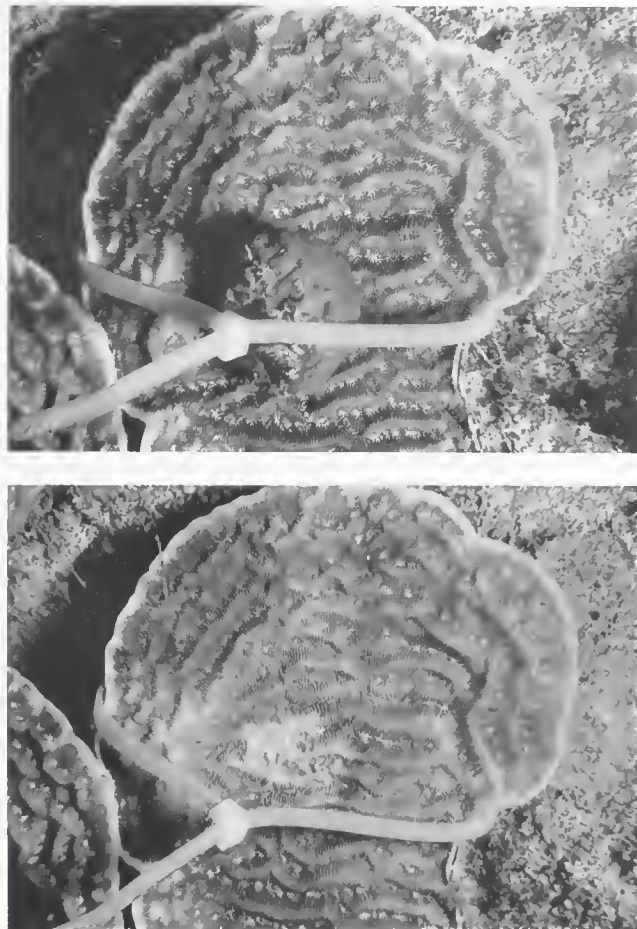
Given the lowered production rates and increased respiration rates observed under the experimental treatments, it is not surprising that coral P/R ratios are also substantially lower among corals in contact with sponges (Table IV). The overall effect is that while the integrated P/R ratio is always at or above unity in control corals, it is always below 1.0 in corals exposed to injured or uninjured sponges under field conditions (Table IV). P/R ratios below 1.0 are never found for this species in Jamaica,

Table III

Biomass variation ( $\bar{x} \pm$  one S.D.,  $N = 3$ ) for colonies of the sheet-coral, *Agaricia lamarcki* under different exposures to the liver sponge, *Plakortis halichondroides* (see Figs. 1 & 2)

| Characteristic | Units                                  | Experimental treatment |                           |                             |                               |
|----------------|----------------------------------------|------------------------|---------------------------|-----------------------------|-------------------------------|
|                |                                        | No contact (Control)   | Contact with sponge water | Contact with injured sponge | Contact with uninjured sponge |
| Zooxanthellae  | 10 <sup>6</sup> cells cm <sup>-2</sup> | 1.04 $\pm$ 0.08        | 0.36 $\pm$ 0.08*          | 0.38 $\pm$ 0.06*            | 0.40 $\pm$ 0.14*              |
| Pigments       | pg Chl <i>a</i> cell <sup>-1</sup>     | 10.02 $\pm$ 0.10       | 5.82 $\pm$ 0.93*          | 9.81 $\pm$ 4.05             | 10.57 $\pm$ 1.88              |
| Pigments       | $\mu\text{g Chl } a\text{ cm}^{-2}$    | 10.39 $\pm$ 0.86       | 2.08 $\pm$ 0.13*          | 3.79 $\pm$ 1.61*            | 2.84 $\pm$ 1.00*              |
| Nitrogen       | mg TN cm <sup>-2</sup>                 | 0.57 $\pm$ 0.06        | 0.25 $\pm$ 0.03*          | 0.25 $\pm$ 0.16             | 0.25 $\pm$ 0.10*              |

\* Means significantly different from the Control ( $P \leq 0.05$ , ANOVA).



**Figure 2.** After two hours of experimentally induced contact between *Agaricia lamarcki* and *Plakortis halichondroides* (a, top), a bleached, necrotic area appears on the coral (b, bottom). (Salt River Canyon, St. Croix, 25 m depth; photograph by N. M. Targett).

even over greater depth ranges (Porter and Muscatine, in prep.), and therefore these values for coral colonies in contact with sponges are indicative of unsustainable carbon deficits.

In all cases, the differences observed between control and experimental coral oxygen flux patterns demonstrate significantly reduced photosynthetic capacity in coral colonies in contact with sponges or their metabolites. Therefore, the null hypotheses (no discernable effect) must be rejected for hypotheses (3) compensation point, (4) net production, (5) gross production, (6) nocturnal respiration rate, and (7) integrated P/R ratio under either field conditions or idealized "cloudless day" illumination. Hypothesis (8)  $P/R \text{ ratio} > 1.0$  is also falsified.

Other species of sponges (*Agelas conifera*, *Haliclona rubens*, and *Verongula* sp.) were also placed in contact with *Agaricia lamarcki*. They did not bleach the coral, thus indicating that mechanical irritation and pressure

are not responsible for the effect observed with *P. halichondroides*.

Crude organic extracts were isolated from *Plakortis halichondroides* and coated onto synthetic cellulose pads, "tuffy sponges." When tied to living coral, these extract-soaked pads caused bleaching within 24 hours. Control pads (uncoated or coated with ether solvent only) produce no effect. While the exact nature of these organic compounds is still unknown, comparative thin layer chromatography of crude extracts from whole *P. halichondroides* and compounds isolated from waters surrounding uninjured *Plakortis* suggests that compounds in the surrounding water are the same as those produced naturally by the sponge.

### Discussion

The sponge *Plakortis halichondroides* actively inhibits the metabolism and tissue survival of adjacent corals. Inhibition results from both direct and indirect contact (waterborne metabolites only) suggest that *Plakortis* uses allelochemicals as one means to secure and occupy space on the reef.

*Plakortis halichondroides* bleaches *Agaricia lamarcki* and causes marked tissue necrosis. The effects on coral biomass and coloration are sufficiently dramatic that it is possible to survey these interactions visually from some distance above the reef surface. The loss of zooxanthellae from corals following exposure to sponges or sponge exudates parallels the loss of symbiotic algae during other natural stresses such as abnormal temperatures, salinity fluctuations, or high rates of sedimentation (Porter, 1987).

These coral biomass reductions contribute to the profound effects that sponges have on coral oxygen metabolism. Paralleling the loss of zooxanthellae is a significant decline in primary production. Although the algae that remain appear to have normal concentrations of photosynthetic pigments, the few remaining zooxanthellae cannot compensate for the overall loss of algae. Further, despite the fact that there is significantly less coral tissue per unit area on corals adjacent to sponges than on corals without sponge contact, the respiration rate is still significantly higher. This respiratory increase suggests a stress or repair-metabolism response to the active sponge metabolites.

The overall effect on the P/R formula of decreasing the numerator and increasing the denominator is to lower the ratio below one for *Agaricia lamarcki*. These suboptimal values are not found in this photoautotrophic coral species. For example, even to depths of 50 m, *Agaricia* has an annual integrated P/R ratio of 1.13 (Porter and Muscatine, in prep.).

The ecological role of specific secondary metabolites



Table IV

Variation ( $\bar{x} \pm 95\%$  confidence limits,  $n = 6$ ) in photosynthesis-light utilization characteristics for *in situ* colonies of *Agaricia lamarcki* under different exposure to *Plakortis halichondroides* (see Fig. 3)

| Characteristic             | Units                                                                                          | Experimental treatment |                           |                             |                               |
|----------------------------|------------------------------------------------------------------------------------------------|------------------------|---------------------------|-----------------------------|-------------------------------|
|                            |                                                                                                | No contact (Control)   | Contact with sponge water | Contact with injured sponge | Contact with uninjured sponge |
| $\alpha$ ( $\text{cm}^2$ ) | $\mu\text{gO}_2 \text{ cm}^{-2} \text{ h}^{-1} \mu\text{E}^{-1} \text{ m}^{-2} \text{ s}^{-1}$ | $0.222 \pm 0.046$      | $0.140 \pm 0.058$         | $0.266 \pm 0.141$           | $0.303 \pm 0.196$             |
| $I_k$ ( $\text{cm}^2$ )    | $\mu\text{Em}^{-2} \text{ s}^{-1}$                                                             | $137.82 \pm 9.16$      | $129.64 \pm 27.93$        | $109.40 \pm 25.10$          | $101.32 \pm 36.12$            |
| $I_c$ ( $\text{cm}^2$ )    | $\mu\text{Em}^{-2} \text{ s}^{-1}$                                                             | $27.69 \pm 1.70$       | $60.54 \pm 15.58^*$       | $76.90 \pm 21.00^*$         | $85.84 \pm 19.68^*$           |
| $p_n$ net max              | $\mu\text{gO}_2 \text{ cm}^{-2} \text{ h}^{-1}$                                                | $24.88 \pm 1.95$       | $10.64 \pm 2.22^*$        | $17.90 \pm 4.19^*$          | $15.05 \pm 7.12^*$            |
| $p_g$ gross max            | $\mu\text{gO}_2 \text{ cm}^{-2} \text{ h}^{-1}$                                                | $30.66 \pm 1.95$       | $14.78 \pm 2.22^*$        | $29.16 \pm 4.19$            | $30.98 \pm 7.12$              |
| Average $r_c$ night        | $\mu\text{gO}_2 \text{ cm}^{-2} \text{ h}^{-1}$                                                | $5.77 \pm 0.21$        | $4.14 \pm 0.29$           | $11.26 \pm 0.80^*$          | $15.93 \pm 1.09^*$            |
| $P_c$ gross/ $R_c$ 24 h    | Ratio (field)                                                                                  | $1.98 \pm 0.51$        | $1.37 \pm 0.31$           | $0.83 \pm 0.34^*$           | $0.73 \pm 0.23^*$             |

\* Means significantly different from the Control ( $P \leq 0.05$ ).

in marine organisms is known in only a few cases (Webb and Coll, 1983; Sullivan *et al.*, 1983; Morse and Morse, 1984; Targett *et al.*, 1986; Pawlik, 1986). In the boring sponge *Siphonodictyon*, mucus-borne metabolites kill corals (Sullivan *et al.*, 1983). Our study demonstrates that chemical-biological interactions are important in non-boring sponge species and in over-growth, not just anti-fouling, processes.

Several toxic exudates from soft corals appear to be

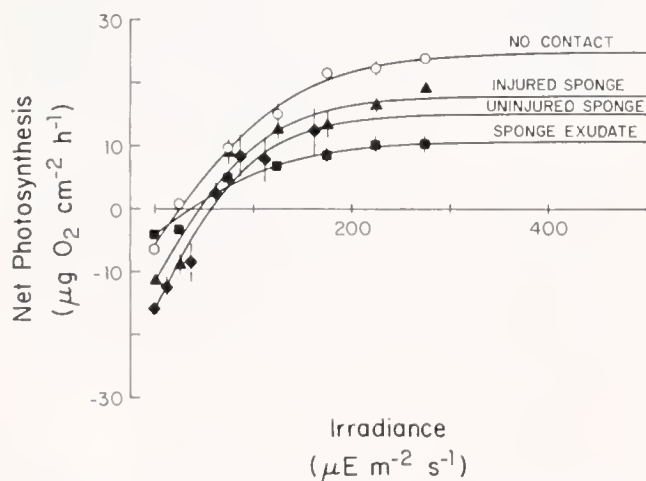


Figure 3. Net photosynthesis-irradiance curves are graphed for *Agaricia lamarcki* colonies ( $n = 3$ ) from 20 m depth under different levels of contact with *Plakortis halichondroides*. The curves are fitted to a hyperbolic tangent function (see Table IV for the statistical comparisons between curves). Experimental Condition 1: control, no contact with *Plakortis* (open circles). Experimental Condition 2: indirect contact with water containing exudate from coarsely mashed *Plakortis* (solid squares). Experimental Condition 3: direct contact with injured *Plakortis* (solid triangles) (see Fig. 2). Experimental Condition 4: direct contact with uninjured *Plakortis* (solid diamonds) (see Fig. 1). Experimental Condition 4 most closely mimics conditions of natural contact on the reef.

responsible for causing localized mortality in hard corals, since extensive mortality of hard corals can occur even when direct contact is not established with the soft coral (Sammarco *et al.*, 1985). Coll *et al.* (1982b) isolated secondary metabolites from the water surrounding two species of octocorals that were identical to those isolated from the octocorals themselves. Flexibilide, dihydroflexibilide, sarcophine, and sarcophytoxide were isolated from crude extracts of *Simularia flexibilis* and *Sarcophyton crassocaule*, respectively, and from their surrounding water when sampled *in situ* (Coll *et al.*, 1982b). Flexibilide and sarcophytoxide cause death in scleractinian corals at concentrations  $> 10$  ppm (Webb and Coll, 1983). These compounds are also structurally related to simulariolide, a potent algal growth inhibitor (Tursch, 1976).

The sponge *Plakortis halichondroides* contains numerous unusual secondary metabolites such as cyclic peroxides and aromatic lactones (Faulkner *et al.*, 1979; Stierle and Faulkner, 1980). It is possible that the cyclic peroxides cause the bleaching response described above and that the phenolic compounds contribute to tissue lysis. The closely related congener, *Plakortis zygompha*, contains (Z)-7-methyl-4-octen-3-one and several derivatives of 3-hydroxy-4-hydroxymethyl-4-pentenoic acid (Faulkner and Ravi, 1980). These may be involved in both the coral biomass changes and the oxygen flux modifications observed for the *Plakortis/Agaricia* association.

Between 30 and 40 percent of all *Plakortis* colonies on the reef are contiguous with scleractinian corals. Of these contacts, half show bleaching and necrosis on the coral tissue near the sponge; all show death of the coral tissue underneath the sponge. More importantly, based on the results of our *in situ* manipulations, the same effect is observable prior to the establishment of direct sponge-



coral contact. This implies that the sponge exudes waterborne metabolites which have a detrimental effect on coral respiration and photosynthesis. If even naturally low concentrations of these waterborne metabolites are effective at suppressing coral photosynthesis, then there is the distinct possibility that sponge exudates may influence ecosystem productivity in the deeper zones of the reef.

*Plakortis* kills and colonizes the dead skeletons of at least 14 scleractinian coral species. These species are distributed in all 8 Caribbean coral families located in the 20–30 m survey area. Our demonstration that toxins from *Plakortis* are so effective against corals, the otherwise most successful order of benthic invertebrates on the reef, suggests that further investigation of this interaction is warranted. Future research should focus on the mechanisms of action and chemical structure of the compounds that inhibit photosynthesis, stimulate respiration, and reduce coral colony biomass. The range of potency and the half-life of these allelochemicals once released into the water column is also of critical interest. Finally, information on the long-term survival of corals at varying distances from sponges, and the sources of mortality for *Plakortis* would be of great value to our understanding of the chemical ecology of this association. Allelochemistry gives *Plakortis* a measurable advantage in space competition with scleractinian corals, but is only one factor among many other biotic and abiotic factors which determine its population structure and dynamics.

### Acknowledgments

We thank the Hydrolab staff for their support during Missions 84-10 and 85-4, and particularly thank aquanauts George Schmahl, Joe Schubauer, Paul Preston from 84-10, and Nick Vrolijk, Ray Jakubczak, and Robbie Smith from 85-4. We are also grateful to topside scientists Hany Salam (84-10 and 85-4) and Susan Sennett (85-4). Dr. Willard D. Hartman identified our *Plakortis* specimens. This work is supported by grants from the Hydrolab Program of NOAA and Office of Naval Research Grant Number N-000-14-84-K-0571.

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# A New Type of the Manifestation of Colony Specificity in the Compound Ascidian, *Botrylloides violaceus* Oka<sup>1</sup>

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**Abstract.** A new type of colony specificity (= allogeneic recognition) is shown for *Botrylloides violaceus*. All botryllid ascidians previously studied for colony specificity show allo-recognition reactions, manifested as fusion or nonfusion (rejection), both at the colonial margin (= growing edge) and at the cut surface. By contrast allo-recognition in *Botrylloides violaceus* is absent at the cut surface, but present at the growing edge. Juxtaposition of cut surfaces resulted in fusion of the colonies regardless of origin, while juxtaposition of natural growing edges resulted in fusion or rejection, according to the genetic combination of colonies. Similar results occurred among the sibling colonies derived from the same mother colony, in which pairs tunic necrosis was observed in areas where the two colonies partially fused. These features of allogeneic rejection in *B. violaceus* were very similar to those of "nonfusion" in *Botrylloides simodensis*. In inter-specific combinations between *B. violaceus* and *B. simodensis*, a remarkable necrotic reaction was observed in the zone of contact when two colonies touched at their cut surfaces. When brought into contact at their growing edges, they resulted in "nonfusion" without a particular reaction.

## Introduction

Colony specificity represented by allograft rejection has been demonstrated for many colonial forms of animals, from sponges to ascidians. Colony specificity in some compound ascidians is manifested by fusibility between colonies; two colonies either form a single mass (fusion) or do not fuse (rejection), when they come into contact. Some ascidian species do not show colony speci-

ficity, while others exhibit it (Koyama and Watanabe, 1982). In those that do, isogeneic colonies are always fusible at their natural growing edges, and allogeneic colonies are either fusible or not fusible.

Colony specificity in compound ascidians has been studied primarily in species of the family Botryllidae (botryllid ascidians). These species form sheet-like colonies in which zooids are buried in a gelatinous tunic. Zooids are arranged in rosettes or ladder-like systems with common cloacal apertures, and are connected to one another by a ramifying network of blood vessels which terminate in sausage-shaped *ampullae* at the periphery of the colony. All botryllid ascidians that have been studied so far exhibit colony specificity. In some of them, genetic control of their fusibility has been demonstrated (Oka and Watanabe, 1957, 1960, 1967; Sabbadin, 1962, 1982; Scofield *et al.*, 1982).

The morphology and cell biology of fusion and nonfusion (rejection) in botryllids have been studied in detail in four species: *Botryllus scalaris* (Saito and Watanabe, 1982), *B. primigenus* (Oka and Watanabe, 1967; Tanaka and Watanabe, 1973; Tanaka, 1973; Katow and Watanabe, 1980; Taneda and Watanabe, 1982), *B. schlosseri* (Milanesi *et al.*, 1978; Scofield and Nagashima, 1983), and *Botrylloides simodensis* (Mukai and Watanabe, 1974; Saito, 1976; *cf.* Saito *et al.*, 1981). The course of fusion is essentially the same in all these species. By contrast, the rejection reaction is initiated at distinctly different stages of fusion in different species. These facts imply that comparative studies of the processes of fusion and nonfusion in various species might be useful for analyzing the mechanism of allo-recognition, as well as for considerations of the evolution of colony specificity in botryllid ascidians. Here we have investigated the processes of fusion and nonfusion in the Japanese species *Botrylloides violaceus*, and found that this species shows

Received 7 January 1988, accepted 26 July 1988.

<sup>1</sup> Contribution number 484 from the Shimoda Marine Research Center.

Table 1

*Fusion experiments in Botrylloides violaceus at the cut surface*

| Combination                                 | No. combination | Fusion | Nonfusion |
|---------------------------------------------|-----------------|--------|-----------|
| Between colonies of the Shimoda population  | 112             | 112    | 0         |
| Between colonies of the Asamushi population | 27              | 27     | 0         |
| Between the two populations                 | 33              | 33     | 0         |

a new and instructive type of colony specificity previously undescribed for compound ascidians.

### Materials and Methods

Colonies of *B. violaceus* were collected in the Shimoda Floating Aquarium (Shizuoka Prefecture) and in the vicinity of the Asamushi Marine Biological Station (Aomori Prefecture). The two collecting sites are about 1100 km apart in linear distance. *B. simodensis* colonies were also collected in the vicinity of the Shimoda Marine Research Center (Shizuoka Prefecture). To facilitate handling of the colonies, they were fixed on glass plates and reared in the culture boxes floated in the bay near the Shimoda Marine Research Center.

The fusibility of colonies was tested by fusion experiments. Procedures for fusion experiments were as follows: a piece was cut out of each of the two colonies between which fusibility was to be tested. The two similarly sized pieces (about 15 zooids) were placed in juxtaposition on a glass slide, and they were brought into contact with each other either at the cut surfaces or at the growing edges. The colonies on the glass slide were then kept in a moisture chamber for 30 minutes, so that the colonies might attach to the glass slides prior to their placement in running seawater. The experimental animals were observed under a binocular stereomicroscope each day. The paired colonies showed clear fusion or nonfusion reactions. Fusion here means the establishment of a common vascular system between the two colonies, and nonfusion the absence of it. In the latter case, several trials with the same combination of colonies were carried out to avoid the possibility of accidental failure of fusion.

Oozoids of *B. violaceus* were obtained from some colonies from the Shimoda Floating Aquarium population. In the fusion experiments of sibling colonies, a pair of oozoids derived from the same mother colony were placed on a glass slide so that they came into contact by their growing edges. Further experimental methods were the same as those just mentioned.

For histological studies, specimens in the process of fusion or nonfusion were fixed in a solution containing 2.5% glutaraldehyde and 0.45 M sucrose buffered with

0.1 M cacodylate at pH 7.4. The fixed specimens were then dehydrated through a butanol series, embedded in paraplast, sectioned at 5  $\mu$ m and stained with Congo red, Delafield's hematoxylin, and eosin-orange G.

### Results

#### *Fusion experiments at the cut surface*

When colonies of *Botrylloides violaceus* were apposed by their cut surfaces, we were surprised to note that all colonies fused (Table I). This was true both in intrapopulational and in interpopulational combinations. However, separation of such fused colonies between which the common vascular system had been established for a few days or more was occasionally observed. This suggests the presence of a kind of long term (or induced) allo-recognition. It should be noted, however, that in these experiments it was difficult to determine whether separation was caused by allo-recognition or by unsuitable conditions for long-term observation. By contrast, a rapid, necrotic xeno-graft rejection was observed between similarly juxtaposed pieces of *B. violaceus* and *B. simodensis* colonies. Blood cells from the blood vessels clustered in the tunic at the boundary between the colonies. The clusters of cells were observed as a clear *black line* along the boundary (Fig. 1). The area with the cell infiltration eventually degenerated and was apparently cleared from the area.

#### *Fusion experiments at the growing edge*

By contrast to the results with cut colonies, apposition of colonies by their naturally growing edges resulted either in fusion or nonfusion, depending on the particular combination of colonies employed. In the case of fusion,

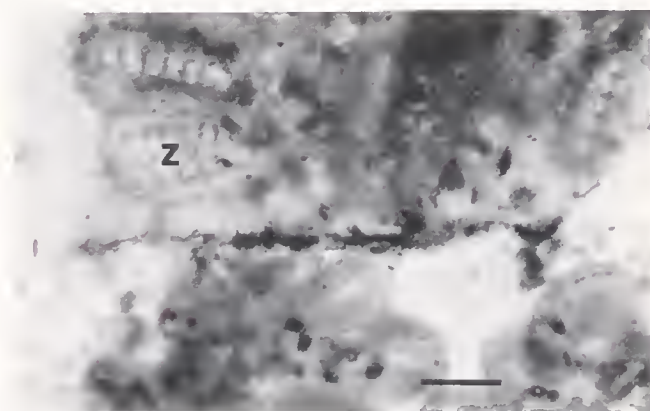


Figure 1. Xeno-rejection at the cut surface. Upper colony is *Botrylloides violaceus*, and lower colony is *B. simodensis*. Necrosis is observed along the boundary between the two colonies. z, zooid. Scale bar = 1 mm.

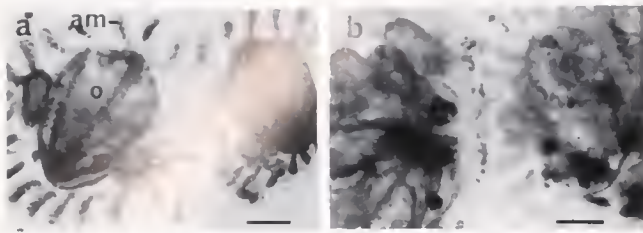


Figure 2. Fusion and nonfusion at the growing edge between sibling colonies of *Botrylloides violaceus*. a: Fusion, four days after settlement of the oozoids. Common vascular system has been established. b: Nonfusion, a week after settlement. am, ampullae; o, oozoid. Scale bars = 0.5 mm.

tip to side contacts occurred between ampullae of the two colonies, and then fusion took place at those sites to produce a common vascular system. In the case of nonfusion, ampullae of both colonies pushed against each other, but never penetrated the tunic of the facing colony. Although signs of rejection were not clearly visible in the contact area under the binocular stereomicroscope, the necrotic rejections were evident in subsequent histological sections of the rejection zone (below).

Similar experiments between sibling oozoids gave similar results (Fig. 2). Out of the 32 combinations studied, 14 resulted in fusion and 10 resulted in nonfusion. The results of the remaining eight combinations could not be assessed because of the degeneration or mechanical separation of the colonies.

All xenogeneic combinations between *B. violaceus* and *B. simodensis* resulted in nonfusion reactions similar to those in incompatible intraspecies pairs.

#### Histological observations

A frontal section of normal ampullae at the periphery of a colony is shown in Figure 3. The ampullae are buried in the tunic. Many blood cells are seen in the ampullar lumen, and are not usually seen in the tunic. Tunic (or "test") cells are dispersed throughout the tunic, and can be distinguished from blood cells by their morphology and staining characteristics. A cuticular layer is differentiated at the external surface of the tunic.

The histological features of fusion and nonfusion at the growing edge of *B. violaceus* were very similar to those of *B. simodensis* as reported by Saito (1976). In the case of fusion the boundary between the two colonies

disappeared and was filled with continuous test matrix containing normally distributed tunic cells (Fig. 4a–c). Fragments of cuticle were sometimes observed in the original boundary zone (Fig. 4b). In the case of nonfusion, the tunic layers sometimes fused, but only in small areas along the boundary. In the fused areas, tunic cells were considerably more abundant than usual (Fig. 4d). In addition, blood cells (particularly morula cells) infiltrated the tunic from the blood vessels in the contact areas (Fig. 4e). These cells were found clustered and disintegrated in the rejection zone. The disintegrated cells subsequently were released from the tunic in the form of massive aggregations (Fig. 4f).

#### Discussion

In *Botrylloides violaceus*, short term allo-recognition appears to be absent at the cut surface and present at the growing edge. This type of colony specificity has not been described previously. In compound ascidians previously studied, mechanisms of allo-recognition have been studied in *Didemnum moseleyi* (Mukai and Watanabe, 1974), *Perophora japonica* (Koyama and Watanabe,

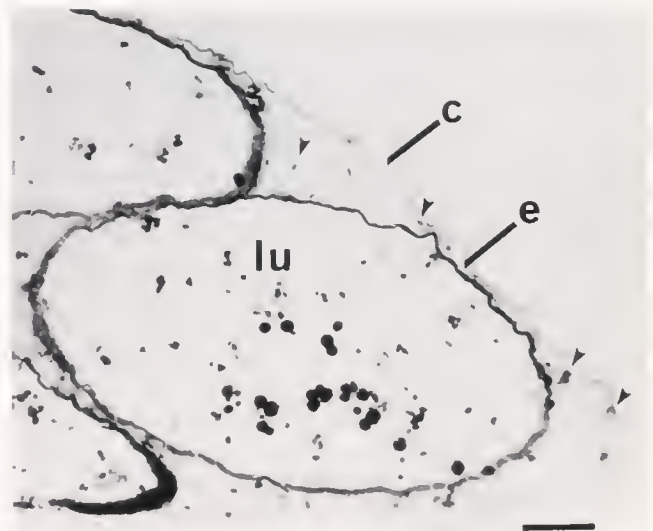
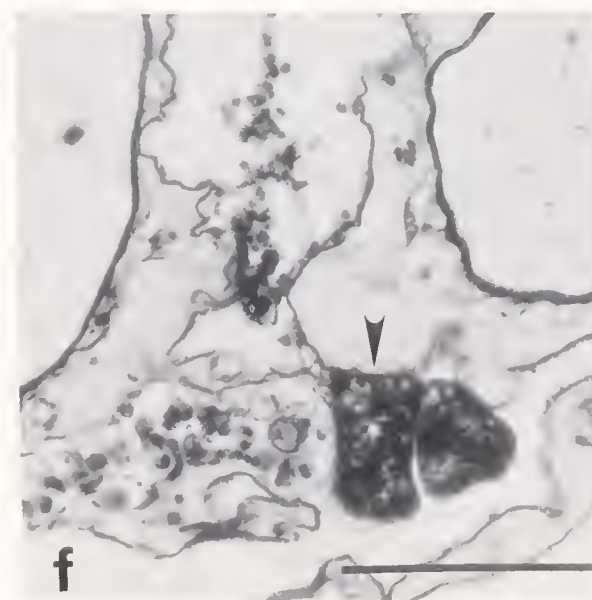
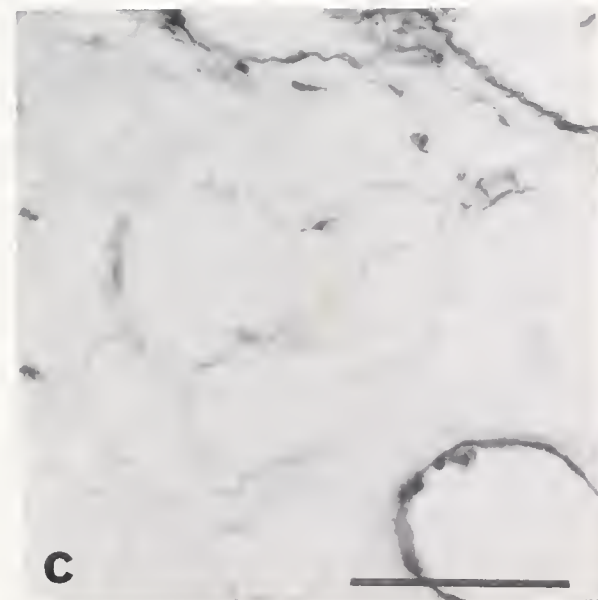
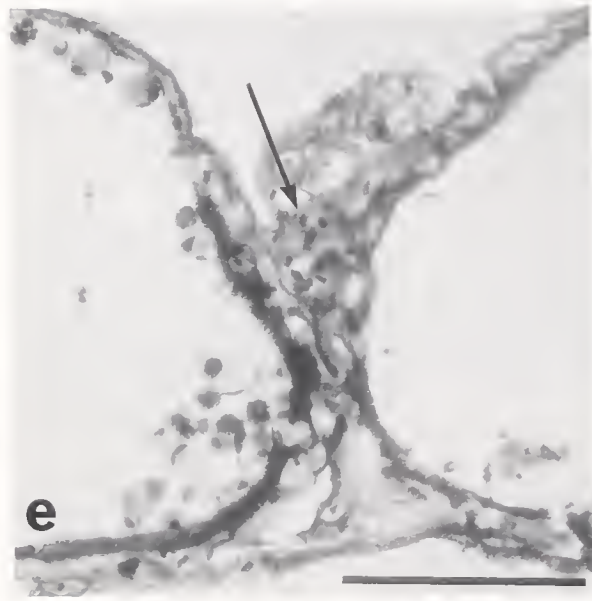
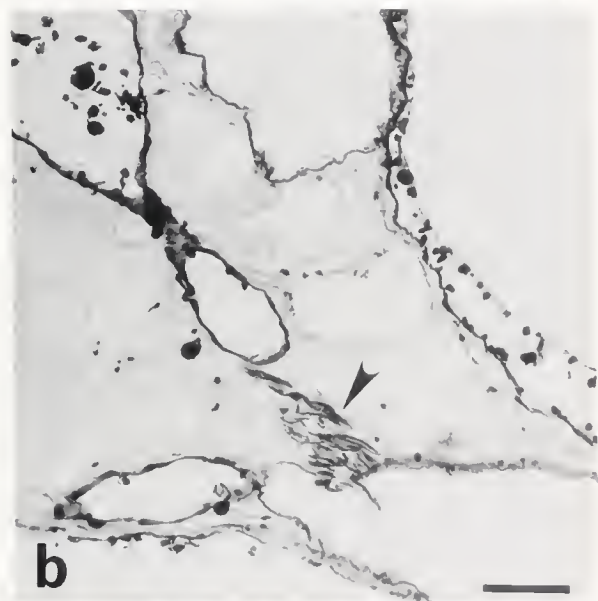
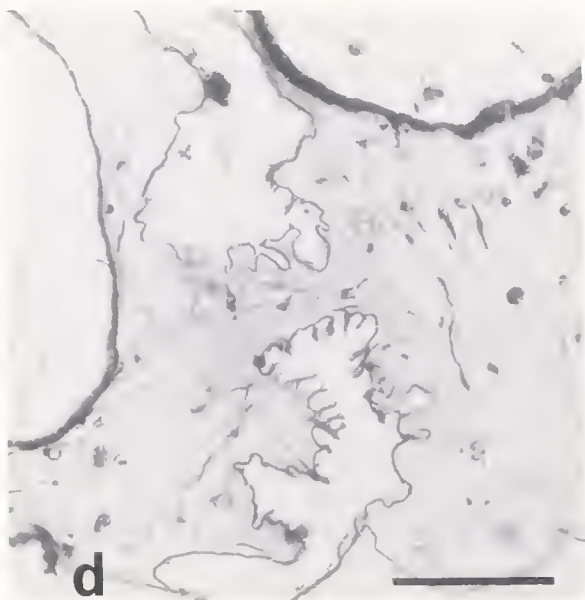
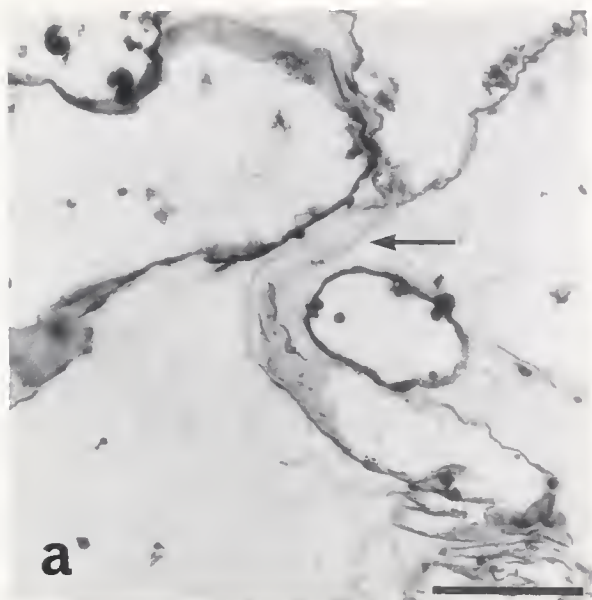


Figure 3. Frontal section through the periphery of a colony of *Botrylloides violaceus*. The substratum is to the bottom and the colony is growing to the right. Some of the tunic cells are pointed by arrow heads. c, cuticle; e, epithelium of ampulla; lu, lumen of ampulla with blood cells. Scale bar = 50  $\mu$ m.

Figure 4. Histological aspects of fusion and nonfusion at the growing edge between sibling colonies of *Botrylloides violaceus*. a–c: Fusion. (a) Contact of colonies. Dissolution of cuticular layers occurs at the contact area (arrow). (b) Fusion of colonies. Fragments of cuticle are still observed (arrow head). (c) Completely fused tunic. d–f: Nonfusion. (d) Partially fused tunic in which there are many tunic cells. (e) Blood cells infiltrate into the tunic and form a mass of cells (arrow heads). (f) The mass of destructed cells is removed to the outside of the tunic (arrow head). Scale bars = 50  $\mu$ m.





1981), *P. sagamiensis* (Koyama and Watanabe, 1982), *Symplegma reptans* (Mukai and Watanabe, 1974), *Botryllus scalaris* (Saito and Watanabe, 1982), *B. primigenus* (Oka and Watanabe, 1957), *B. schlosseri* (Bancroft, 1903; Saito and Taneda, 1962; Scofield and Nagashima, 1983), and *Botrylloides simodensis* (Mukai and Watanabe, 1974). Although allo-recognition at the cut surface is uncertain in the two species of *Perophora* and *B. schlosseri*, all the other ascidians listed clearly have the capacity for allo-recognition, both at the cut surface and at the growing edge (reviewed by Watanabe and Taneda, 1982; Taneda *et al.*, 1985). On the other hand, in the species we and others found lacking colony specificity, *e.g.*, *Polycitor proliferus* (Oka and Usui, 1944; *cf.* Tokioka, 1953) and *Perophora multiclathrata* (Mukai and Watanabe, 1974; *cf.* Nishikawa, 1984), two colonies never fuse naturally at their growing edges, but invariably fuse at their cut surfaces, regardless of their origin. In *B. violaceus*, a colony invariably fuses with any other member of the same population or of another population at the cut surface. At the growing edge, however, colonies show fusion or nonfusion depending on the combination of colonies. From this we conclude that *B. violaceus* has a new type of colony specificity.

The nonfusion reaction observed at the growing edges of *B. violaceus* is similar to that of *B. simodensis*, which sometimes has been called "indifference." This term means nonfusion without particular reaction, such as the nonfusion of the ascidians lacking colony specificity, *e.g.*, *Perophora multiclathrata* (Mukai and Watanabe, 1974). In the nonfusion of both *B. simodensis* and *B. violaceus*, the necrotic reaction between two colonies is barely observable under a binocular stereomicroscope, but is clearly observed histologically. Consequently, the nonfusion of these species should be placed in a category other than indifference. We propose, therefore, to use the term "sub-cuticular rejection" to describe nonfusion reactions in these two species. In sub-cuticular rejection, the necrotic reaction with blood cell infiltration is limited to the tunic along the boundary between colonies, and never occurs in the ampullae and blood vessels.

In sub-cuticular rejection, allo-recognition appears to occur in the sub-cuticular region of the colonial margin because the reaction is limited to that area. In fact, *B. violaceus* may conduct allo-recognition *only* in the sub-cuticular region, since allo-recognition is absent at the cut surface in this species. The sub-cuticular region of tunic consists of tunicin fibers and tunic cells. Therefore, the tunic cells might play an important role for allo-recognition.

All botryllid ascidians studied with regard to colony specificity are capable of natural allo-recognition at the growing edge. The fusion reaction is essentially the same in all species studied, but the nonfusion reaction is initi-

Table II

The stage at which the nonfusion reaction is initiated at the growing edge

| Species              | Stage                   | Reference                 |
|----------------------|-------------------------|---------------------------|
| <i>B. scalaris</i>   | ampullar fusion         | Saito and Watanabe, 1982  |
| <i>B. primigenus</i> | ampullar penetration    | Taneda and Watanabe, 1982 |
| <i>B. simodensis</i> | partial fusion of tunic | Saito, 1976               |
| <i>B. violaceus</i>  | partial fusion of tunic |                           |

ated at different stages of the fusion process according to species (Table II). Taneda and his colleagues (1985) suggested that these differences depend upon the site in which allo-recognition initially occurs, and that the site has shifted to the surface of the colony during evolution. The nonfusion reaction initiated after ampullar fusion (in *B. scalaris*) seems to be a fundamental (or "primitive") type of allo-recognition in botryllids, and sub-cuticular rejection (in *B. violaceus* and *B. simodensis*) may be more advanced. Several species of botryllids, including *B. scalaris*, *B. primigenus*, *B. simodensis*, and *B. violaceus*, have also been studied in detail from the view point of life history (Saito and Watanabe, 1985), and their likely phylogenetic relationships have been outlined using rejection type as a reference point (Taneda *et al.*, 1985). From the presence of subcuticular rejection in this species, we deduce that *B. violaceus* may be an "advanced" species.

In *B. violaceus*, colony specificity is absent at the cut surface, although it is present at the growing edge. In this species, it is possible that the cells which have the capacity for allo-recognition distribute restrictedly in the sub-cuticular region. Therefore, in the case of cut surface contact, the cells having the capacity may not be exposed to allogeneic tissues, since the subcuticular region has been cut off. Then, the fusion would be allowed between allogeneic colonies. In the other botryllids, allo-recognition at the cut surface seems to occur mainly in blood vessels, but it can also occur between tunic cells (Tanaka and Watanabe, 1973; Tanaka, 1973). In *B. violaceus*, it appears that contact between allogeneic cells alone does not elicit rejection. In light of the phylogenetic position of this species, we suggest that the distribution of the allo-recognition site of botryllid ascidians has been extended from the blood cells to the sub-cuticular region, after which it has been lost except for the sub-cuticular region in *B. violaceus*.

In allogeneic fusion resulting from the contact of cut surfaces in *B. violaceus*, a natural separation of fused colonies was occasionally observed. This separation may be a manifestation of long term allo-recognition. If so, the separation may be comparable to that in *Botryllus scalaris* (Saito and Watanabe, 1982).

In xeno-grafts made at the cut surfaces between *B. vio-*



*laceus* and *B. simodensis*, necrosis occurred in both species. Thus, short term xeno-recognition is present between the two species.

A "single locus and multiple alleles model" has been proposed for the colony specificity of *B. primigenus* and *B. schlosseri*. (Oka and Watanabe, 1957, 1960, 1967; Sabbadin, 1962, 1982; Scofield *et al.*, 1982). According to this model, each colony has two alleles at one locus governing colony specificity, and colonies having at least one allele in common are fusible with one another. Mukai and Watanabe (1975) suggested that colony specificity in *B. simodensis* could also be explained by this model. The genetic system governing the colony specificity in *B. violaceus* is expected to be similar to this model, but further studies are required before reaching a definite conclusion.

### Acknowledgments

We thank Professor H. Mukai for reading the manuscript and for providing critical comments. We also thank Mr. K. Anazawa for his assistance in performing fusion experiments, Dr. K. Numakunai of the Asamushi Marine Biological Station of Tohoku University and the members of the Shimoda Floating Aquarium for supplying *Botrylloides violaceus*, and the staff of the Shimoda Marine Research Center for their assistance throughout this experiment. This study was supported by Grant-in-Aid for General Scientific Research 61490004 to H. Watanabe from the Ministry of Education, Science and Culture of Japan.

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# A New, Disjunct Species of Triclad Flatworm (Turbellaria: Tricladida) From a Spring in Southern New England

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**Abstract.** An undescribed species of flatworm belonging to the genus *Polycelis* (family Planaridae) is reported from a spring in western Massachusetts. The new species represents the first recorded occurrence of *Polycelis* in eastern North America. The morphological uniqueness and geographical disjunction of the new species suggests that it has been isolated from congeneric forms for a considerable length of time. However, it is alternatively possible that the species' existence in western Massachusetts is the result of introduction from some as yet unknown "natural" range elsewhere. If in fact naturally occurring in New England, the new species could be a survivor of a pre-glacial fauna that survived glacial advances by living in groundwater habitats under the ice sheet.

## Introduction

The freshwater flatworm genus *Polycelis*, as recently defined by Gourbault (1972) and Kenk (1973), is characterized by certain morphological features which vary little among described species. Within the genus, two subgenera are recognized (*Polycelis* and *Seidlia*), the basis of distinction being founded in the degree of musculature associated with the genital atrium (Kenk, 1953, 1973). Only a few species are presently assigned to *Seidlia*, in which the atrium is provided with an extensive and thick musculature. Apart from the differences in atrial musculature separating *Polycelis* (*sensu stricto*), without extensive atrial musculature, and *Seidlia*, the distinctions among the several known species in the genus are limited to differences in the shape and position of various com-

ponents of the male reproductive system and, to a lesser extent, the presence or absence of muscular prostatic organs also known as adenodactyls.

The distribution of *Polycelis* covers a large portion of the Northern Hemisphere (Kenk, 1953; Ball, 1975). In North America, the known species are restricted to the western third of the continent. Kenk (1953) proposed that the North American distribution of *Polycelis* is a result of pre-glacial dispersal from Asia across the Bering Strait when a land bridge existed during periods of lower sea stands. According to Kenk (1953), subsequent glaciations have controlled or adjusted the distribution of certain species. Kenk's (1953) synthesis of *Polycelis* distribution has been adopted by Ball (1975).

Recent investigations of springs in western Massachusetts, in the northeastern United States, have revealed the existence of an undescribed species of *Polycelis*. The new species possesses, among other distinctive morphological features, a specialized gland situated near the reproductive structures, termed the ventral gland, which is unlike that of any other described species of *Polycelis* or any other known North American triclad species. This paper provides a description of the new species and attempts to explain the disjunct occurrence of the new species in New England.

## Materials and Methods

The spring in which the new species was found is in Sunderland, Franklin County, Massachusetts and represents the type locality. The spring is the principal source of water for a state-owned fish hatchery. Specimens were collected on 25 August, 22 September, 30 October, 1987.

and 13 January, 1988. One hundred and fifty specimens were collected and examined. Of the series, 34 animals had fully formed reproductive organs.

Most specimens were killed in 2% nitric acid and fixed in FAA. A few specimens were maintained in laboratory conditions for behavioral observations. Twelve specimens were serially sectioned using conventional techniques and stained with Delafield's or Ehrlich's hematoxylin and eosin. Though most sections were of the sagittal plane, cross sections were prepared in two cases. An additional three specimens were dissected. The Holotype and the Paratypes (all slides) and a series of whole specimens have been deposited into the collections of the Museum of Comparative Zoology, Harvard University, Cambridge, Massachusetts. The remaining specimens and prepared slides have been deposited into the Museum of Zoology, University of Massachusetts, Amherst, Massachusetts.

### Systematic Account and Results

Family Planariidae Stimpson, 1857

Genus *Polycelis* Ehrenberg, 1831

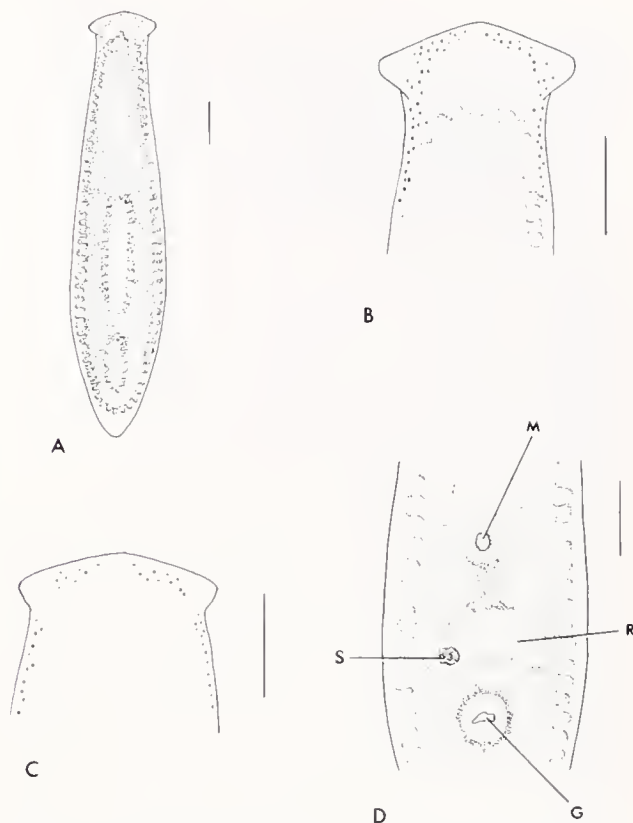
*Polycelis remota*, new species

### Diagnosis

*Polycelis remota* is a medium sized species (maximum length, 17 mm) demonstrating characteristics representative of the genus, including the presence of an arc of eyespots following the anterior contour of the body of the animal, distinct cephalic auricles produced anterolaterally, testes situated ventrally, and pre-pharyngeal and paired oviducts uniting posteriorly of the penis bulb to form a common oviduct which descends to the genital atrium. Otherwise, it is distinct from all known species of *Polycelis* by the possession of a large, transverse muscular gland with a single exterior sucker-like organ situated always on the right ventral side of the animal, anterior and separate from the gonopore and its associated atrial cavities. The new species is further distinguished from all other known species of *Polycelis* by the peculiar seminal vesicle, by having a greatly elongated penis bulb, and by the position of the copulatory bursa which extends anterior of the posterior margin of the pharyngeal cavity.

### Description

**General characteristics of Holotype (living animal, 11.0 mm) (Fig. 1A, B).** The anterior margin of the head forms a low inverted "V," and continues laterally with sub-triangular auricles. The neck region is posterior to auricles with slight constriction. The body widens posteriorly, reaching its greatest width in the region of pharynx.



**Figure 1.** External characteristics of *Polycelis remota*: A, dorsal view of living animal; B, anterior end of living animal; C, anterior end of preserved animal; D, ventral view of posterior portion of preserved animal. Scale line equals 1 mm; G = gonopore, M = mouth pore, R = region of ventral gland, S = "sucker."

ynx. The posterior part of the animal tapers to a rounded point. Eyes are present and numerous, and extend across the margin of the head and along the lateral margins, exclusive of auricles, some distance posterior to auricles. The arc is interrupted at the midpoint of the anterior margin. The dorsal surface anterior to the pharyngeal region with the low median keel extending anteriorly to the apex of the anterior margin. The pharynx is single, medially placed, and occupies about one third of the length of the animal. The inner muscle layers of pharynx are composed of an outer, thin longitudinal layer and an inner, thick circular layer. Rhabdites are present along the margins of the animal. Both mouth and genital pores open ventrally on the midline. The color of the dorsal surface of animal, exclusive of the area of digestive caeca, is olive, and the digestive caeca are brown to yellowish-brown. The pharyngeal region is a lighter whitish-yellow. Ventrally, the animal is grayish-white, and the region of the atrium and gland is lighter. The pharynx is unpigmented.

**Anatomy of the Holotype (Figs. 1D, 2, 3a-d).** In the



Figure 2. Sagittal view of posterior male and female reproductive structures of *Polycelis remota*, semi-diagrammatic: A = atrium, B = bursa, BD = bursa duct, CO = common oviduct, ED = ejaculatory duct, GP = gonopore, M = mouth pore, PB = penis bulb, PH = pharynx, PP = penis papilla, PV = papilla of vesicle, SV = seminal vesicle, VD = vas deferens (narrowed spermiductal vesicle), VG = ventral gland; stipple indicates presence of muscle tissue.

female reproductive system, the ovaries are situated ventrally and just posterior to first lateral digestive caecum and slightly to side of midline. Oviducts pass posteriorly and ventrally, medially to nerve cords, to a point just posterior of the ventral gland, under which they pass, and ascend on either side of the penis bulb. The right oviduct passes between the bursa duct and penis bulb. The two oviducts join posterior to the penis bulb to form a common oviduct which proceeds to female portion of the atrium. The copulatory bursa is a lobate, somewhat flattened sac placed dorsally and to right of the pharyngeal cavity. The bursa stalk or duct proceeds posteriorly from the bursa to the right of the penis bulb and joins the atrium ventrally along side the penis papilla. The histology of the bursa duct changes during its course. The duct wall is anteriorly similar to the bursa wall and contains a thick cellular lining composed of a tall, spacious, and densely staining columnar epithelium without a detectable muscle coat. The posterior portion of ventral gland is surrounded by a coat of connective tissue fibers; the inner epithelium is characterized by thin columnar cells which stain densely only at their bases.

The male reproductive system contains separate testes extending ventrally from between second and third digestive caeca posteriorly to near base of the pharynx. Up to eight testicular masses are evident at one time. The two vasa deferentia pass ventrally and posteriorly, and medially to the nerve cords near the distal third of the pharynx where the ducts enlarge to form spermiductal vesicles. The vesicles narrow somewhat and separately enter the seminal vesicle at approximately opposite lateral points on the vesicle. The seminal vesicle is large, oval, and situated immediately posterior to the pharyngeal cavity and has a spacious lumen. The vesicle wall is thinly lined with connective tissue, but a distinct muscle layer is not evident. The lumen is penetrated by lobes of

villus-like tissue containing large, vacuolated cells presumed to be secretory. Ventrally, the lumen extends through a broad papilla into the ejaculatory duct, which is enclosed in a long and thickly muscularized penis bulb. The penis bulb extends posteriorly over the ventral gland and terminates in an expanded tip of a very short, conical, and unarmed penis papilla. The distal portion of the penial apparatus veers to the right, ending with the papilla facing and in close proximity to the opening of the bursa duct and near site of the gonopore. The genital atrium is narrow but cavernous; the region of the penis papilla has muscular walls. Glandular cells occupy deep pockets of the atrium to either side of penis papilla.

A structure, herein termed the ventral gland, occupies a position anterior of midpoint between the posterior pharyngeal cavity wall and the posterior tip of animal. The gland is large and crescent shaped; the apex of the crescent is pointed anteriorly. The structure is enclosed entirely by mesenchyme tissue. Its width equals about one half the body width of the animal. From left to right the gland is characterized by a thick muscle layer, at first opening dorsally, then closing to form a ring enclosing a nearly solid capsule. The muscle fibers are parallel to the long axis of the gland. The interior of the capsulated gland is comprised of bands of densely staining, apparent glandular cells arising in groups from the inner muscle margin and radiating inward, leaving a small, granule-filled lumen at the center. Invaginations are lined with large, cuboidal cells occasionally present on the inner muscle margin. Farther to the right of the animal, the dorsal musculature of gland capsule reopens, the ventral musculature splits, and the inner free portions of the ventral layer descend ventrally to form a secondary capsule communicating with the exterior. At the right extremity of gland, the secondary capsule, believed to represent a sucker-like organ, acquires an intrinsic thin musculature enclosing the sucker capsule and penetrating to basal papillae-like extensions that communicate with the exterior. Papillae-like extensions interrupt the epidermis and basement membrane of the body wall and contain, in addition to muscle fibers, intercellular spaces and elongated, apparent glandular cells.

## Discussion

### Variations

Lengths of animals collected ranged from 5 to 17 mm, however, only specimens 10 mm or greater in length had fully formed reproductive organs. The dorsal surface color, exclusive of the digestive caeca, varied from a very light olive in smaller specimens to brown in larger specimens. The area of the digestive caeca varied from reddish-brown to yellowish-brown, the color probably in-



fluenced by the contents of the caeca. Upon preservation, the anterior auricles become reduced and the eyes at the bases of the auricles become obscured (Fig. 1C). Within the female reproductive system, the ovaries are situated just slightly to the right or left of the midline. The size and the position of the copulatory bursa varies somewhat. The principal lobe is placed either to the left or right of the dorsal midline. The bursa duct passes either dorsally or laterally (right side) of the penis bulb. The male reproductive system of certain animals may have fewer testes, and lobes of the testes may occasionally extend dorsally. The posterior, enlarged vas deferens (spermiductal duct) sometimes continues a short distance posterior to the seminal vesicle before turning upward and anterior towards the point of union with the vesicle. Additional observations on cross sections of animals (Fig. 3e, f) confirm the position of the bursa duct on the right side of the penis bulb and the posterior orientation of the penis bulb and papilla. A single specimen contained two distinct penial complexes, neither functional, as the one embedded in the dorsal mesenchyme was connected to the single seminal vesicle whereas the one existing at the site of the gonopore originated blindly.

#### *Ecology and habitat*

The species was living in the spring and in the open stream and concrete lined raceways downstream from the spring for about 90 meters. Within this habitat the water temperature varied between 8.5° and 9.0°C on each collecting date. Specimens lived on the undersides of stones and cobbles and were more concentrated toward the spring itself. Farther downstream two other species of triclad were encountered. *Phagocata morgani morgani* (Stevens and Boring) was common in the 9.0° to 10.0°C zone and *Phagocata woodworthi* Hyman was found in the 10.0°C and higher zone. A certain amount of overlap was observed for all three species.

Living specimens moved by gliding over the substrate with their somewhat mobile auricles held slightly aloft. Copulation was not observed, however, unstalked cocoon-like structures were deposited by animals held in captivity. The capsules were bean shaped, translucent, and measured  $0.3 \times 0.7$  mm.

#### *Systematics and distribution*

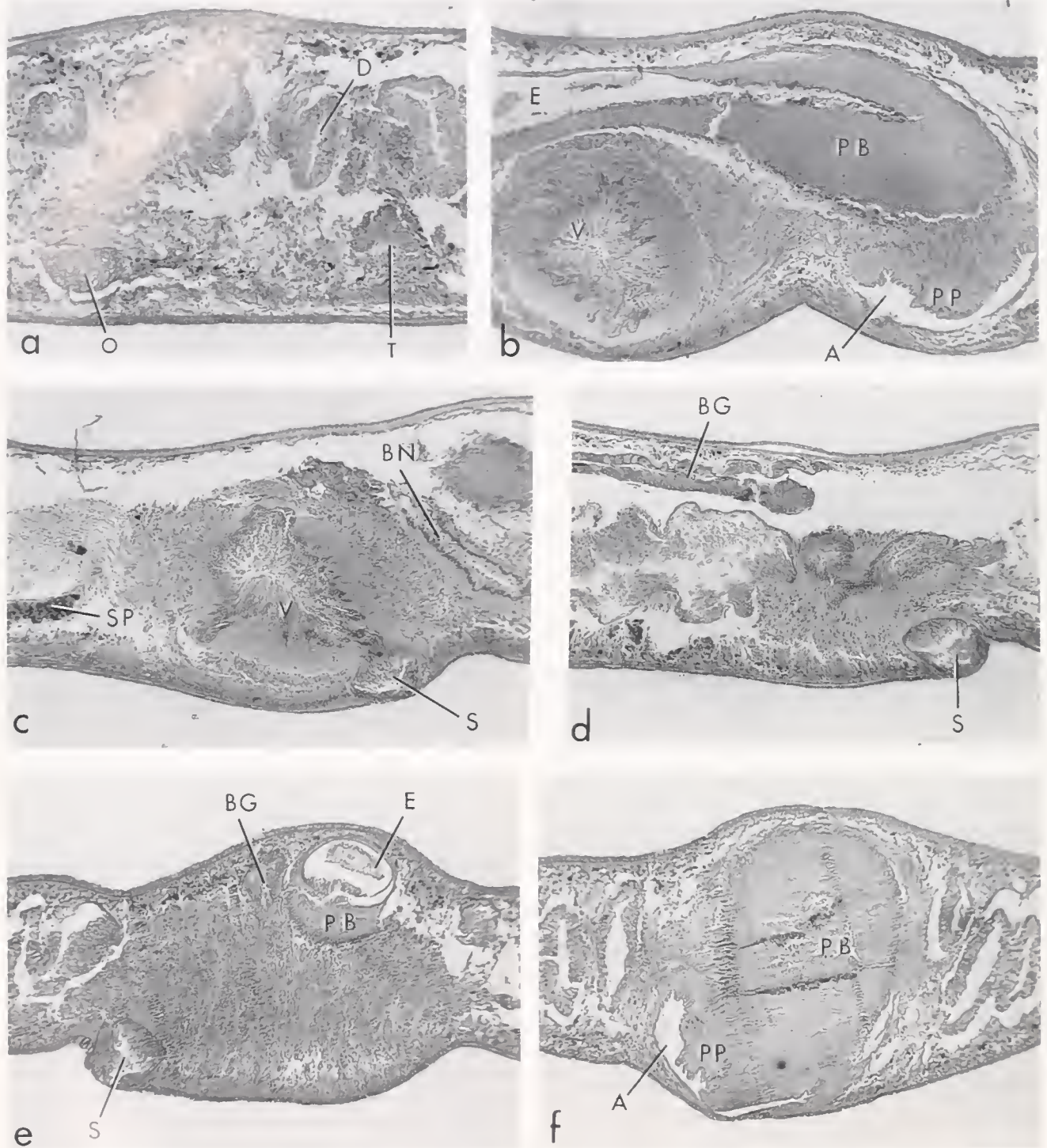
Little argument can be made to remove *P. remota* from *Polycelis* (*sensu lato*) as all the characters defining the genus are found without deviation in the described species. Similarities with other *Polycelis* species cease below the genus level, and *P. remota* can not be assigned to either of the recognized subgenera. Based on the mor-

phological characters of *P. remota* assessed in this study, the species stands apart from all other described species of *Polycelis* to such an extent as to make phylogenetic hypotheses regarding its intergeneric relationships difficult.

Concerning the male reproductive system, the morphology of the seminal vesicle and its connection with the penis bulb is distinctive and cannot be easily derived from any known *Polycelis* species. Generally, in species of *Polycelis*, the seminal vesicle is enclosed in a definite muscle coat and empties directly into the ejaculatory duct without first passing through a papilla as found in *P. remota*. Probably the most problematic character in terms of evolutionary relationships is the ventral gland. The ventral gland may function as both a secretory gland and a holdfast that is employed during copulation; but this assumption remains to be proven as neither *in vivo* nor *in vitro* copulation has been observed. The gland can not be easily homologized with a true adenodactyl, as described by Kenk (1930) and Hyman (1951), because it is not associated with a cavity of any sort nor does it contain an apical, conical style or papilla typical of the solid adenodactyls found in other *Polycelis* species. Of the species of *Polycelis* in which typical adenodactyls are found, *P. felina* is the only one with adenodactyls situated away from the reproductive atrial cavity. However, in *P. felina*, these organs are posterior of the reproductive complex (Vandel, 1921; Dahm, 1958), whereas in *P. remota* the ventral gland is anterior.

Histologically and morphologically the ventral gland appears similar to the frontal musculo-glandular adhesive organs of dendrocoelid triclad as described by Hyman (1951). The morphology of the capsulated adhesive organ of *P. remota* somewhat resembles the anterior adhesive organ of *Procotyla fluviatilis* (Hyman, 1951; Fig. 21D). Ball (1974) argued that adhesive organs are derived features within the Tricladida. If this is true then there is little reason to dispute the derived nature of the ventral gland in *P. remota*. Thus, assuming that the ventral gland has evolved secondarily, the extreme length of the penis bulb and the displacement of the bursa to a more anterior position may have been merely a morphological adjustment to accommodate the ventral gland. Additionally, the size and complexity of the ventral gland represents more than a simple aberration or modification of some previously existing organ such as an adenodactyl or similar gland. It must be concluded that *P. remota*, though retaining the basic suite of *Polycelis* characters, has nonetheless undergone specialization during a long period of isolation.

Regarding the female reproductive system, the Palearctic species *P. nigra* and *P. tenuis* contain a bilobed bursa (Lender, 1936), which overlaps the pharyngeal



**Figure 3.** Reproductive anatomy of *Polycelis remota*, a-d, Holotype, sagittal view; a, anterior, left of midline; b, posterior, near midline; c, posterior, right of midline; d, posterior, right side; e-f, cross sections of adult specimen, posterior of pharynx, all figures  $\times 95$ : A = atrium, BG = glandular portion of bursa duct, BN = nonglandular portion of bursa duct, D = digestive caecum, E = ejaculatory duct, O = ovary, PB = penis bulb, PP = penis papilla, S = "sucker," SP = spermiductal vesicle, T = testes, V = ventral gland.



cavity, an apparently unique feature in Planariidae besides *P. remota*. Whether the overlap of the pharyngeal cavity by the bursa in *P. remota* and the two Palearctic forms indicates a relationship among the three species is debatable. In *P. remota*, the overlap can be attributed to the position of the ventral gland which has displaced the seminal vesicle and the bursa farther anteriorly. In fact, the position and shape of each component of the entire posterior male and female secondary reproductive complex has been no doubt affected to some extent by the presence of the ventral gland.

Understanding the zoogeographical history of *P. remota* is complicated by not only unclear relationships within the genus, but by the fact that the species is thus far known from only the type locality. As discussed earlier, the species exists in a geographical area not previously known to be inhabited by *Polycelis*. Its presence in New England, an area presumably well sampled for most animal groups, can be explained by introduction from some presently unknown area or indicative of a natural but very restricted distribution. With respect to the possibility of introduction, artificial transfers of freshwater triclad flatworms are generally considered rare (Reynoldson, 1966) and have occurred for only a few wide ranging, ecologically generalistic species. Furthermore, species known to have resistant cocoons have not been found outside of their natural range (Ball and Fernando, 1969; Ball, 1974). Nonetheless, the terricolan triclad *Bipalium kewense* was first described from introduced material (Hyman, 1951) and its natural distribution was not understood for many years (Winsor, 1981).

The *P. remota* locality is the source of water for a fish hatchery that for many years has reared exotic species of trout (*Salmo trutta* and *S. gairdneri*). The former trout species was originally imported from Europe while the latter came from western North America, both regions known to have *Polycelis* species. The possibility of introduction with trout species declines as details of the distribution of *P. remota* within the spring are revealed. *P. remota* occurs only in the coldest section of the hatchery drainage, at or near the spring source, where the water temperature is well below regimes in which trout are maintained. The trout pens, farther downstream, have *P. m. morgani* and *P. woodworthi* populations, but rarely is *P. remota* found, and this species only occasionally occurs at the upstream most portion of the first pens in the system. Although introduction from some area containing as yet undiscovered populations of *P. remota* remains possible, the natural distribution theory has equally attractive arguments.

As previously discussed, the distinctive morphology of the reproductive system of *P. remota* suggests that the species has existed outside of the main body of the distri-

bution of the genus for some time. Geographically, New England is situated far from regions where *Polycelis* spp. are found. Within North America, New England has been isolated hydrologically from other physiographic provinces for long periods of time by ancient boundary mountains of Paleozoic age. Species or species groups able to gain entry into provincial New England drainages during rare stream capture events could subsequently evolve independent of gene flow from contemporary forms elsewhere. In support of the prediction that the geographical and geological history of the New England province has favored the evolution of distinct forms is the presence of certain other freshwater invertebrate species apparently endemic to New England. The taxonomic and zoogeographic uniqueness of *P. remota* is characteristic of a group of relatively non-vagile endemic, plus a few non-endemic, invertebrates which have been hypothesized to have survived in the Pleistocene glaciers in subsurface environments (Holsinger, 1978, 1981; Smith, 1986) or periglacial refugia in southeastern New England (Smith, 1982, 1983). The species in this group that are geographically restricted to New England are likely relics of a preglacial fauna, possibly quite diverse, that was peculiar to the New England province. *Polycelis remota* is arguably a member of this group and survived the Pleistocene ice advances by existing in water laying near the surface or water continuously discharging from seepage environments beneath the glacial ice sheet.

### Acknowledgments

I thank Roman Kenk for reading an early draft of the manuscript. I also thank the Massachusetts Division of Fish and Wildlife for allowing access to the Sunderland fish hatchery where the species was found.

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# Temperature and Relative Humidity Effects on Aerial Exposure Tolerance in the Freshwater Bivalve *Corbicula fluminea*

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**Abstract.** The exposure tolerance, aerial respiratory behaviors, and the rates of water loss of the Asian freshwater clam, *Corbicula fluminea*, were assessed under three temperature conditions (15°, 25° and 35°C) and five relative humidity (RH) treatments (5%, 33%, 53%, 75% and 95%).

*C. fluminea* displayed low tolerance to aerial exposure (range of median tolerance times: 23.8–24.9 h at 35°C, 71.4–78.2 h at 25°C, and 248.5–341.6 at 15°C). Relative humidity had no effect on median tolerance time except at 15°C. Body size was reciprocally related to water loss rate at all temperatures, and on longevity at 25° and 35°C. Cumulative rates of water loss at 95% and 75% RH were lower than the other humidities at 15°C, but no differences were found at 25° or 35°C. Mantle edge exposure behavior was inhibited by low humidity and high temperature. Exposing mantle tissues did not increase rate of water loss except at humidities below which the behavior was very rare. The occurrence or extent of the behavior did not affect individual clam longevity. The results suggest that *C. fluminea* can detect rates of desiccation and make behavioral adjustments.

## Introduction

The Asian clam, *Corbicula fluminea* (Müller) is a common inhabitant of freshwater habitats in the southern United States (McMahon, 1982, 1983a). Its migration to freshwater is relatively recent and evidence for its estuarine past exists in its higher blood osmolality and differ-

ent ion ratios compared to other freshwater bivalves (Dietz, 1979). The adaptations displayed by *C. fluminea* for survival in freshwater must be recently evolved and may have arisen from estuarine/marine adaptations (Gainey, 1978). Furthermore, the adaptations of corbiculids are derived independently of those of another major freshwater bivalve family, the unionids.

Freshwater bivalve molluscs inhabiting shallow lentic or lotic habitats are subject to periodic emersion as water level drops. Typically, reduced water levels commonly occur during summer months when rainfall is lower and temperatures are higher. Emersion periods are not predictable in their duration or timing. As an adaptation to this stress, some freshwater bivalve species are capable of surviving up to a year out of water (Hiscock, 1953). McMahon (1979) showed that survivability of *C. fluminea* in air is affected by temperature and relative humidity. Associated with emersion are an array of behaviors which include gaping or mantle exposure. This appears to be associated with an aerial respiratory function (McMahon, 1979; McMahon and Williams, 1984). There is evidence that other freshwater bivalves can obtain oxygen directly across the valves (Collins, 1967; Dietz, 1974). Many intertidal marine bivalves maintain aerobic metabolism by continually gaping valves and allowing direct exchange of gasses with the atmosphere (Bayne *et al.*, 1976; Brinkhoff *et al.*, 1983; Shick *et al.*, 1986; for a review see McMahon, 1988). Exposure of large soft tissue surfaces to air should lead to water loss. Under prolonged aerial exposure conditions, *C. fluminea* must balance the advantages of maintaining an aerobic metabolic mode against the requirement to conserve water.

The aims of this study are to investigate the interrelationships of temperature and relative humidity on sur-

Received 20 February 1988; accepted 27 July 1988.

Abbreviations: RH: relative humidity, LSL: log<sub>10</sub> shell length, ME: proportion of time spent with mantle exposed.

vivability of *C. fluminea* in air. We examined the effects of body size, and the occurrence and frequency of aerial respiratory behavior on water loss rates under these environmental conditions. We are particularly interested in the interplay between the requirement of preventing dehydration which necessitates valve closure and the metabolic advantages of maintaining contacts with the external environment.

### Materials and Methods

Specimens of the Asian clam, *C. fluminea*, for all experiments were collected in June, 1985, from an outflow of Lake Benbrook, Tarrant County, Texas. Animals were maintained in filtered, aerated tapwater at laboratory temperatures (20°–23°C) for at least one week prior to use. A sample of 300 animals was used for the experiments, 20 per relative humidity/temperature combination. Each animal was identified by a lightly etched number on the left valve. The etchings removed the outer periostracal layer to reveal the light colored mineral underneath. It is unlikely that the etchings would have affected gas permeability. Specimens were blotted dry, weighed to the nearest 0.1 mg, and placed onto a desiccator plate in desiccators maintained at the appropriate relative humidity and temperature.

Five relative humidities were chosen, <5%, 33%, 53%, 75%, and >95%. These humidities were maintained in closed desiccators (plate diameter 190 mm) by using silica gel (<5%), supersaturated solutions of  $\text{MgCl}_2 \cdot 6\text{H}_2\text{O}$  (33%),  $\text{Mg}(\text{NO}_3)_2 \cdot 6\text{H}_2\text{O}$  (53%), NaCl (75%), and water (>95%). Salt solutions used to establish the various humidity values are from Wexler and Hasegawa (1954). Relative humidity was measured using a hygrometer (Airguide) and remained  $\pm 10\%$  of the starting value during the experiments. Experiments were performed at 15°, 25°, and 35°C ( $\pm 0.5^\circ\text{C}$ ), temperatures chosen to represent a range comparable with those experienced in the environment. Field observations show that individuals of this population when aerially exposed lie just beneath the surface of the sandy substratum, exposing little of the shell. Around 70–80% of the ventral aspect of the valve junction may be exposed. Under these conditions the effects of wind on evaporative loss are reduced and our design, which does not involve air movement, is appropriate.

Animals were reweighed at approximately 3, 6, or 12 hour intervals for 35°, 25°, and 15°C treatments, respectively. Prior to removing animals from the desiccators, the number of individuals exposing the mantle edge, a respiratory behavior, was noted, as were occasions when obvious quantities of fluid had been expelled. At death, the soft tissue was excised, dried to constant weight (>48 h, 90°C) and weighed. The shell was blotted dry and weighed. The experiment continued until all animals were dead.

The total available water [total weight at beginning of the experiment – (wet shell weight + dry tissue weight)], was calculated for each individual. Water loss was expressed as cumulative percent water lost ( $100 \times \text{weight lost since the start of the experiment} / \text{total available water}$ ). The points on the curves of the time course of cumulative water loss (Figs 1B, 2B, and 3B) represent values for a standard (grand mean sized) animal of 20.3 mm shell length. Values were derived by performing regression analyses of  $\log_{10}$  shell length versus cumulative water loss at each sampling interval, and deriving an estimate for a 20.3 mm animal from the regression equation. The number of animals used for the regression analyses (the survivor number) ranged from 20 at the beginning to 3 near the end of the experiments. Of the approximately 150 separate regressions performed, 66% were significant ( $P < 0.05$ ). A significant size relationship to cumulative water loss was more prevalent at 15°C and 25°C (85 and 93% of regressions performed, respectively) than at 35°C (33%). If no significant relationship was indicated ( $P > 0.05$ ) then the mean water loss was utilized.

Hourly rates of water loss were calculated as the percent water lost/sampling interval duration and were categorized as to whether the animal was exposing mantle edge tissues, had closed valves, or had expelled fluid during the sampling interval. Analyses of variance using a repeated measures design on  $\log_{10}$  transformed rates were performed, followed by Duncan's multiple range tests to determine differences between temperatures. Analyses of covariance were run with  $\log_{10}$  shell length as the covariate and Duncan's multiple range tests were performed to detect relative humidity effects within each temperature. Student's *t*-tests were performed on paired comparisons of water loss rates when valves were closed, when exposing mantle tissues, and when fluid was expelled for each animal at each temperature/relative humidity combination. An index of individual clam behavior is the proportion of time a clam spends with mantle exposed (ME), exclusive of periods during which mantle fluid was expelled. A multiple regression relating clam longevity to relative humidity,  $\log_{10}$  shell length, and ME was performed.

Median tolerance times (TL<sub>m</sub> or LT<sub>50</sub>, the elapsed time to 50% mortality) were calculated from the mortality data using the SAS PROBIT procedure (SAS, Cary, NC). Probit analysis transforms the sigmoid time/mortality curve to a linear shape and, by means of linear regression, a mid-point or median value can be derived. Significant differences in median tolerance times were detected by non-overlap of 95% fiducial limits. Other statistical tests also utilized SAS procedures.

### Results

There was a significant ( $P < 0.05$ ) temperature effect on median tolerance time (TL<sub>m</sub>) (Table 1) resulting in



Table I

Median tolerance times, time elapsed (hours) to 50% mortality as determined by probit analysis, for *Corbicula fluminea* under various conditions of temperature and relative humidity

| Temp. | Median tolerance times (hours)<br>relative humidity |         |         |         |         |
|-------|-----------------------------------------------------|---------|---------|---------|---------|
|       | <5%                                                 | 33%     | 53%     | 75%     | >95%    |
| 15°C  | 259.7 A                                             | 248.5 A | 253.0 A | 305.4 B | 341.6 C |
| 25°C  | 72.2 A                                              | 71.4 A  | 73.4 A  | 78.2 A  | 75.6 A  |
| 35°C  | 23.8 A                                              | 24.0 A  | 24.0 A  | 24.4 A  | 24.9 A  |

Different letters within a temperature treatment (rows) indicate non-overlap of 95% fiducial limits between those relative humidity treatments.

an approximately threefold increase in TLM with every 10°C decline in temperature. At 15°C, the TLM's at 75% and at 95% RH were significantly different ( $P < 0.05$ ) from one another and both were higher than those at the other relative humidities. No such relationship of relative humidity to TLM was found at either of the other temperature treatments.

During emersion, *C. fluminea* displayed three categories of behavior. The first was simply the closed condition with valves tightly shut, displaying no tissue directly to the environment. The second behavior was that described by McMahon (1979) where the leading edge of the mantle tissue is protruded past slightly gaping valves so that moist tissue is exposed. This mantle edge exposure behavior has been linked to possible aerial oxygen uptake (McMahon and Williams, 1984). Under conditions of lower relative humidity the exposed tissues may appear dry, or a hardened mucus may form between the parted valves. Clams displaying these conditions also were scored as exposing mantle tissues. The third category of behavior was a complete or partial emptying of the mantle cavity water store. This was evidenced at higher humidities by a pool of fluid around the animal. At lower humidities the fluid may have dried, but evidence in the form of dried matter around the animal was an indication that fluid had been expelled.

Mantle edge exposure was influenced both by temperature and relative humidity (Figs 1A, 2A and 3A). High temperature (35°C) inhibited exposure (Fig. 3A), whereas at 25°C and 15°C mantle edge exposure was controlled primarily by relative humidity (Figs 2A and 1A). At 15°C (Fig. 1A) the behavior was observed at every relative humidity treatment. However, the incidence of mantle edge exposure was inhibited at humidities of 33% or lower. At 95% RH, approximately 40% of individuals were exposing tissue at any time. The initial incidence of mantle edge exposure behavior at 75% RH and 15°C was similar to that at 95% RH (Fig. 1A). At 53% RH mantle

edge exposure behavior was reduced and occurred in two bouts, the first during the initial 100 hours of emersion and a second commenced after 200 hours. During these periods few (<20%) individuals were displaying the behavior. The incidence of the behavior was reduced even more at 33% and 5% RH.

At 25°C mantle edge exposure behavior, with one exception, was confined to relative humidities of 53% or greater (Fig. 2A). A distinct correlation existed between the frequency of mantle edge exposure behavior and relative humidity. Fifty to eighty percent of individuals at 95% RH were displaying the behavior between 24 and 54 hours of emersion. During the same period, individuals at 75% RH were exposing mantle 10–40% of the time, while 5–20% of those at 53% RH were exposing tissues.

At 35°C mantle edge exposure behavior was curtailed (Fig. 3A). The occurrences were limited to 53% RH and

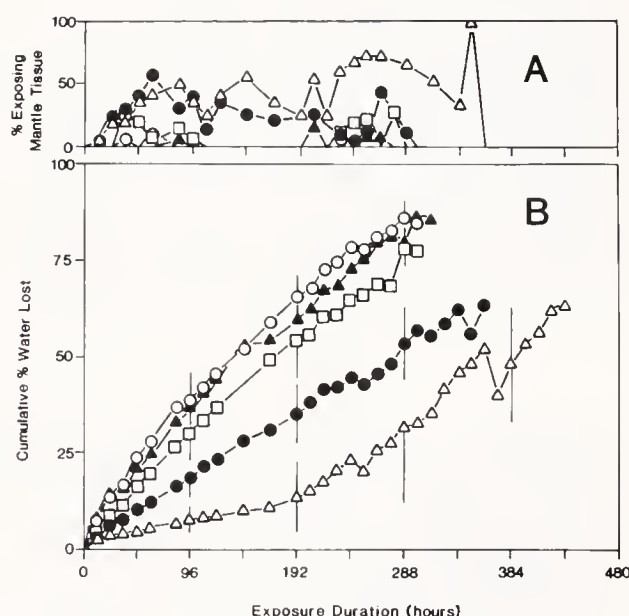
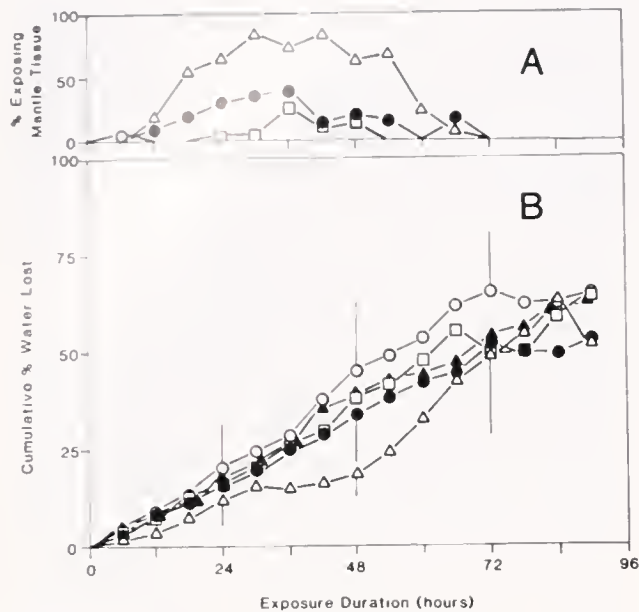


Figure 1. A. Time course of occurrence of mantle edge exposure behavior in *Corbicula fluminea* under five relative humidity treatments at 15°C. Mantle edge exposure behavior is quantified as the percent of individuals observed with mantle protruding at the close of a sampling interval. The abscissal scale is the same as for Figure 1B. Symbols represent  $\Delta$ —>95% RH;  $\bullet$ —75% RH;  $\square$ —53% RH;  $\circ$ —33% RH;  $\blacktriangle$ —<5% RH.

B. Time course of cumulative percent body water lost for a standard sized (20.3 mm shell length) *Corbicula fluminea* under the same five relative humidity treatments at 15°C as in Figure 1A (symbols the same as Fig. 1A). The points represent estimations of the cumulative water lost for this standard sized animal based on linear regressions of  $\log_{10}$  shell length on cumulative percent water lost where these regressions were significant ( $P < 0.05$ , 85% were significant). Where regressions proved not significant mean cumulative water loss is recorded. The number of animals ranged from 20 at the beginning of the experiment to 3 at the close. The vertical bars are standard errors of the estimate. For clarity, error bars were included at intervals. Declines in cumulative water lost were due to mortality.



**Figure 2.** A. Time course of occurrence of mantle edge exposure behavior in *Corbicula fluminea* under five relative humidity treatments at 25°C. Mantle edge exposure behavior is quantified as in Figure 1A. The abscissal scale is the same as for Figure 2B. Symbols represent  $\Delta$ —>95% RH;  $\bullet$ —75% RH;  $\square$ —53% RH;  $\circ$ —33% RH;  $\blacktriangle$ —<5% RH.

B. Time course of cumulative percent body water lost for a standard sized (20.3 mm shell length) *Corbicula fluminea* under the same five relative humidity treatments at 25°C as in Figure 2A (symbols the same as Figure 2A). Methods for calculating curves were the same as in Figure 1B. Approximately 93% of the size-water loss regressions were significant ( $P < 0.05$ ). Declines in cumulative water lost were due to mortality.

above, with only single individuals recorded at 53%. The maximum occurrence was only 30% at 95% RH and less at the lower humidities. The incidences of the behavior were reduced during the period of accelerated water loss (see Fig. 3B), and ceased after 21 hours emersion.

At 15°C the pattern of cumulative water loss showed a distinct relative humidity effect (Fig. 1B). Cumulative water loss values for 5, 33, and 53% RH cluster together and display no differences at any interval. The curves for these treatments are approximately linear throughout the experiment. The curves for 75 and 95% RH are lower than the other relative humidity treatments. The 75% curve was linear whereas the 95% curve showed an initial slow increase followed by an acceleration to a higher loss rate later in the experiment.

At 25°C levels of water loss (Fig. 2B) were 2–6 times those at 15°C. Water loss levels for 5–75% RH were approximately linear and clustered together. At no time during the experiment was any significant difference ( $P > 0.05$ ) found in water loss between the relative humidity treatments.

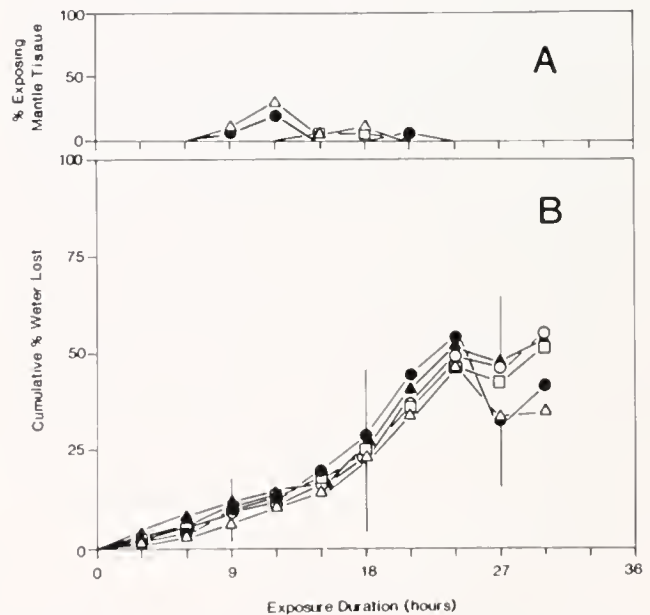
Cumulative water loss from clams at 35°C (Fig. 3B) was 2–3 times the 25°C values. Water loss was similar for

all relative humidities and no significant differences ( $P > 0.05$ ) were found between treatments. The curves of cumulative water loss were non-linear, showing an increase after 15–18 hours exposure, leveling off at 24 hours as mortality increased.

Overall hourly rates of water loss showed a threefold increase from 15°C to 25°C and a doubling from 25°C to 35°C (Fig. 4). The magnitude of these differences was reduced when rates of water loss during periods of valve closure were considered. When examining rates during both mantle exposure and fluid expulsion, in most cases temperature differences were more pronounced. There were significant differences ( $P < 0.05$ ) between temperature treatments for all relative humidities and behavioral categories except for rates when fluid was expelled between 25° and 35°C at 33% and 53% RH.

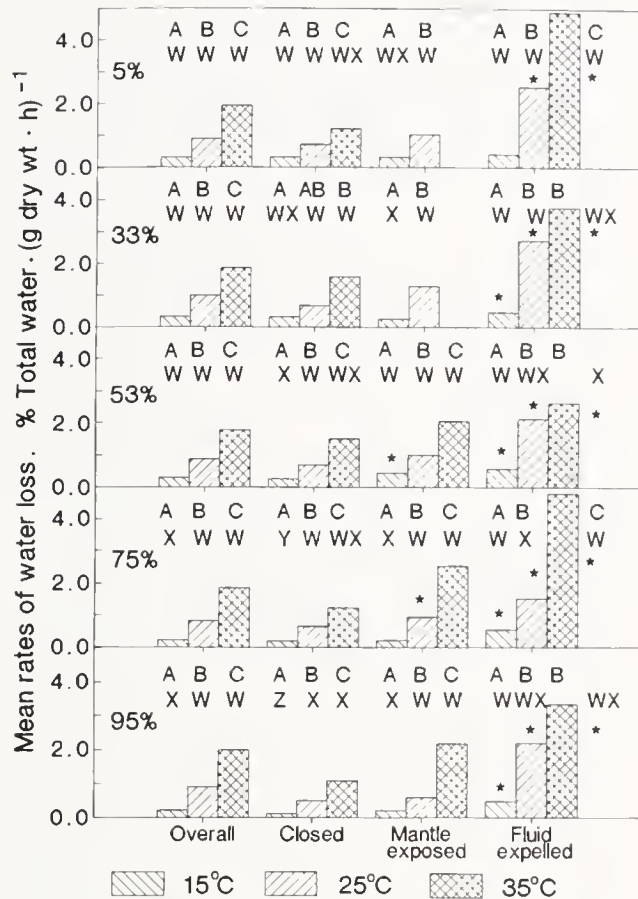
No significant differences ( $P > 0.05$ ) in overall rates of water loss existed between 5% and 53% RH treatments at 15°C (Fig. 4). The water loss rates at 75% and 95% RH were significantly less ( $P < 0.05$ ) than the rates at the lower humidities. At 25°C and 35°C no differences in overall mean hourly water loss rates were found between the relative humidity treatments.

When rates of water loss after periods of valve closure



**Figure 3.** A. Time course of occurrence of mantle edge exposure behavior in *Corbicula fluminea* under five relative humidity treatments at 35°C. Mantle edge exposure behavior is quantified as in Figure 1A. The abscissal scale is the same as for Figure 3B. Symbols represent  $\Delta$ —>95% RH;  $\bullet$ —75% RH;  $\square$ —53% RH;  $\circ$ —33% RH;  $\blacktriangle$ —<5% RH.

B. Time course of cumulative percent body water lost for a standard sized (20.3 mm shell length) *Corbicula fluminea* under the same five relative humidity treatments at 35°C as in Figure 3A (symbols the same as Fig. 3A). Methods for calculating curves were the same as in Figure 1B. Only 33% of size-water loss regressions were significant. Declines in cumulative water lost were due to mortality.



**Figure 4.** Mean rates of water loss for *Corbicula fluminea* at five relative humidity treatments (5%, 33%, 53%, 75%, and 95%) and three temperatures (15°C, 25°C, and 35°C). Rates of water loss are divided into four behavioral categories (overall average, with closed valves, with mantle exposed, and after fluid expulsion from the mantle cavity; see text). The letters A, B, and C refer to results of Duncan's multiple range tests comparing rates between temperatures, within a relative humidity and behavioral category. Categories marked with dissimilar letters denote significant differences ( $P < 0.05$ ). The letters W, X, Y, and Z refer to results of Duncan's multiple range tests comparing rates between relative humidity treatments, within a temperature and behavioral category. Categories with dissimilar letters denote significant differences ( $P < 0.05$ ). The asterisks denote significant differences ( $P < 0.05$ ; paired 't' test) in mean rates between both the "mantle exposed" and "fluid expelled" behavioral categories and the "closed" category, within each temperature and relative humidity treatment.

(Fig. 4) were examined there were distinct relative humidity effects especially at 15°C. At this temperature the mean rate of water loss at 95% RH was only 40% of the 5% or 33% RH rate. These differences were less apparent at 25°C where the rate at 95% RH was significantly less than the other rates, but represented at most only a 30% reduction. At 35°C there was no consistent pattern of relative humidity effects on mean rates of water loss when valves were closed.

Rates of water loss after periods of mantle edge exposure (Fig. 4) showed no significant differences between

relative humidity treatments at 25°C or 35°C, and no consistent relative humidity effect was found at 15°C. Similarly, rates when fluid was expelled showed no significant ( $P > 0.05$ ) relative humidity effect at any temperature.

No significant differences were found between rates of water loss when valves were closed and rates when mantle edge tissue was exposed at 95% RH at any of the temperatures (Fig. 4). At 15°C when the mantle edge was exposed, the water loss rate was significantly higher than the rate at 53% RH when valves were closed, whereas at 25°C the loss rate when mantle was exposed was significantly higher at 75% RH. Rates of water loss when closed and when exposing mantle tissues were not significantly different at 35°C, at which temperature the behavior of exposing mantle tissues is non-existent at relative humidities below 53%, and is uncommon even at higher humidities. In most cases rates when fluid was expelled were significantly ( $P < 0.05$ ) higher than when closed (Fig. 4). Only the rates at 15°C and 5% RH were not significantly different.

The multiple regression equation relating longevity to relative humidity,  $\log_{10}$  shell length, and ME (mantle edge exposure behavior) is shown in Table IIA. Relative humidity had a significant ( $P < 0.05$ ) effect on longevity at 15°C only, as would be expected from the median tolerance time statistics. Animal size had a significant negative effect at both 25°C and 35°C. No significant effect of

**Table II**

Multiple regression variable estimates relating *Corbicula fluminea* aerial longevity (A) and mean water loss rate while closed (B), to relative humidity (RH),  $\log_{10}$  shell length (LSL), and average proportion of time spent with mantle exposed (ME) (longevity only) at 15°, 25°, and 35°C

|                                                                              | Intercept         | RH                   | LSL                | ME             | R <sup>2</sup> |
|------------------------------------------------------------------------------|-------------------|----------------------|--------------------|----------------|----------------|
| A. Longevity (hours)                                                         |                   |                      |                    |                |                |
| 15°C                                                                         | 209.3*<br>(99.3)  | 0.897*<br>(0.294)    | -2.6<br>(75.6)     | 21.7<br>(46.7) | 0.22*          |
| 25°C                                                                         | 122.1*<br>(26.1)  | 0.069<br>(0.060)     | -47.1*<br>(20.1)   | -2.0<br>(6.7)  | 0.08           |
| 35°C                                                                         | 41.2*<br>(6.4)    | 0.006<br>(0.012)     | -17.0*<br>(4.8)    | 1.5<br>(3.1)   | 0.12*          |
| B. Mean water loss rate (valves closed) (% body water · hour <sup>-1</sup> ) |                   |                      |                    |                |                |
| 15°C                                                                         | 0.959*<br>(0.126) | -0.0021*<br>(0.0003) | -0.462*<br>(0.097) |                | 0.52*          |
| 25°C                                                                         | 3.40*<br>(0.39)   | -0.0017*<br>(0.0007) | -2.061*<br>(0.304) |                | 0.36*          |
| 35°C                                                                         | 4.18*<br>(1.22)   | -0.0024<br>(0.0023)  | -2.077*<br>(0.927) |                | 0.06           |

Values in parentheses are standard errors of estimates. Asterisks indicate estimates significantly different from zero ( $P < 0.05$ ).



mantle edge exposure behavior on clam longevity was noted at any of the temperatures.

Larger individuals lose water at a slower rate than smaller clams as there was a consistently significant ( $P < 0.05$ ) negative effect of body size, as measured by the  $\log_{10}$  of shell length, on mean water loss rate when valves were closed at all temperatures (Table IIB). There also was a significant effect of relative humidity at 15°C and 25°C, as would be expected from the results in Figure 4. Relative humidity had a significant effect on water loss after mantle edge exposure at 15°C and 25°C ( $P < 0.05$ ).

### Discussion

Temperature is the major factor affecting water loss rate, exposure tolerance, and mantle edge exposure behavior in *C. fluminea* under conditions of prolonged aerial exposure. The effects of relative humidity are less pervasive but significant. Whether water loss is determined as cumulative loss or mean hourly loss rates, relative humidity has little or no effect at 25 or 35°C. At 15°C a distinct mediating effect of higher relative humidities is detected. The major influence of relative humidity is on the incidence of mantle edge exposure behavior. Although temperature is dominant in determining the extent of this response, within a temperature treatment, increases in relative humidity increase the frequency of mantle edge exposure behavior. This indicates that specimens of *C. fluminea* can perceive desiccation and compensate behaviorally. This ability is further evidenced by examining rates of water loss in individuals with closed valves and after bouts of mantle edge exposure. Under conditions of high relative humidity there is no difference in rates of water loss within a temperature group. Significant increases in water loss rate occur only at relative humidities where mantle edge exposure behavior is transitional between being commonplace and being rare. Thus, under conditions where there are no adverse consequences of exposing tissues with regard to losing water, then the behavior is common. When water loss rates exceed rates when the animal has closed valves, then the behavior is inhibited.

The occurrence of a second period of mantle edge exposure behavior at the lower humidities suggests additional factors become important. When clams had lost 60–70% of body water, which corresponds to the total mantle cavity water store plus some hemolymph water (McMahon, 1979) a second bout of mantle edge exposure behavior is observed. In all cases this behavior is displayed in individuals close to death and might represent a loss of muscle tone associated with a weakened condition. Another possibility is that it represents a final gas exchange event prior to complete shutdown to conserve water.

Clams that expose tissues extensively do not survive

longer than those that remain closed for extended periods. Furthermore, there is no relative humidity effect on median tolerance time at 25°C even though mantle edge exposure is significantly enhanced by higher relative humidity. Thus the adaptive significance to mantle edge exposure is obscure. McMahon and Williams (1984) showed a direct relationship between duration of mantle edge exposure behavior and aerial oxygen uptake. Other experiments (Byrne, unpub.) show that clams prevented from gaping their valves in air have a lower median tolerance time than those permitted to expose tissues (at 20°C and 95% RH the TLM is 154 hours when allowed to open valves, and is only 96 hours with valves prevented from opening,  $P < 0.05$ ).

There are several adaptive explanations of mantle edge exposure behavior. First, it is possible that gas exchange necessary for the maintenance of aerobic metabolism may be accomplished with little exposure of tissues. The measurement of mantle edge exposure utilized here is essentially a "snapshot" of behavior. There is evidence (Byrne, unpub.) that clams, in addition to the more constant mantle edge exposure behavior also perform valve movements which ventilate the mantle cavity. Such behaviors are relatively short in duration and could be missed. Second, being out of water, there would be a buildup of metabolic waste products including dissolved  $\text{CO}_2$  and concomitant acid-base problems as a result of reduction in gas exchange capability. Intermittent mantle edge exposure may aid gas exchange but may not significantly alter hemolymph solute concentrations, which might be the cause of the reduced survival time. Under aerial exposure conditions, blood osmolality increases threefold and calcium levels rise fourfold indicating mobilization of calcium carbonate from the shell (Byrne, unpub.). Third, the behavior may conserve energy stores. Even the most energy "efficient" anaerobic pathways are far less efficient than aerobic metabolism (Hochachka and Somero, 1984). Although the extent of the mantle edge exposure did not increase the survival time in air, the animal might have been conserving limited energy stores by maintaining aerobic metabolism. Whereas aerial survival would not be influenced by mantle edge exposure, subsequent abilities to resume aquatic existence would be enhanced in individuals that conserved substrates (McMahon, 1988).

The effect of body size on the ability to survive aerial exposure is two sided. There is a distinct reciprocal relationship between size and water loss rate. However, at 25 and 35°C there also is a negative relationship between individual clam longevity and size. The temperature effect is most severe at 35°C whereas size-dependent effects on water loss rates are significant at all temperatures. Thus, under short term conditions of aerial exposure, larger clams would have an adaptive advantage over smaller clams because of the larger clam's slower

rate of water loss. The effect of body size is not significant after periods of mantle edge exposure suggesting that evaporative water loss under these conditions is a simple function of the linear size of the exposed mantle, an almost two-dimensional surface, rather than being related to a surface area of the whole clam while valves are closed.

When compared to other freshwater bivalves, *C. fluminea* displays a low tolerance of aerial exposure. McMahon (1979) showed a somewhat longer aerial exposure tolerance for *C. fluminea* than reported here, but also found that relative humidity had an effect on median tolerance time at the lower temperature (20°C). Mantle edge exposure behavior was inhibited completely at the lower humidity (approximately 5% RH), but was common at the higher humidity (ca. 95% RH). The unionid *Ligumia subrostrata* could survive up to 40 days exposure under conditions of high relative humidity at temperatures of 22°–25°C (Dietz, 1974). An Australian unionid, *Hyridella australis*, apparently is capable of withstanding at least 60 days of exposure at room temperatures (Hiscock, 1953). *Sphaerium occidentale*, the fingernail clam, can survive aerial exposure for up to a month; the survival time is related to relative humidity (Collins, 1967). This species undergoes a period of aestivation during which the tolerance to aerial exposure is increased (McKee and Mackie, 1980). Non-aestivating *S. occidentale* has a median tolerance time at 20°C of 1–3 days, and aestivating clams 8–34 days, the range controlled by relative humidity. The record for exposure endurance is held by the African unionid, *Aspatharia petersi*, which has been reported to survive over a year out of water (Dance, 1958).

The lower tolerance of *C. fluminea* to exposure is similar to that of more marine/estuarine species. Median tolerance times for *Cerastoderma edule* at high relative humidity range from 129 h at 15°C to 9.5 h at 35°C (Boyden, 1972). These values are lower than those of *C. fluminea*, but represent an extended tolerance when compared to less-often exposed marine bivalves. *Cerastoderma glaucum*, found subtidally, has TLM's of 86.7, 42, and 9.7 h at 15, 25, and 35°C, respectively (Boyden, 1972). *Cerastoderma edule* gapes almost continuously when emersed and can survive 42.9% of tissue water loss, whereas *C. glaucum* remains closed on exposure and can withstand only 33% tissue water loss (Boyden, 1972). However, the high intertidal bivalve *Modiolus modiolus* air gapes while emersed and has a TLM of 9 days in air at 24.5°C (Lent, 1968). Survival time is associated with the volume of oxygen present in the atmosphere. Desiccation is an unavoidable side effect of an aerial respiratory adaptation (Lent, 1968).

Pulmonate snails represent a group of molluscs that have adapted to a range of habitats from completely terrestrial to obligate aquatic (McMahon, 1983b). Length

of survival in air depends on the rate of water loss from the snail (Machin, 1975). Tolerance of a high loss of body water results in increased tolerance times. *Biomphalaria glabrata* can withstand 70% reduction in total body water and has a TLM of 64 days at 27°C at 96% RH (von Brand *et al.*, 1957). There are sharp distinctions of TLM between relative humidity treatments ranging down to 2.8 days at 15% RH (von Brand *et al.*, 1957). *Melampus bidentatus* can lose up to 78.5% of its body water (Price, 1980) and there is a major effect of body size in that larger snails can withstand in excess of 14 days exposure at 20°C and 97% RH whereas juvenile snails cannot survive 12 hours emersion without free water.

*C. fluminea* when aerially exposed under conditions of low humidity may form a hardened mucus between slightly parted valves. This is superficially similar to the epiphragm sometimes produced by estivating stylommatophoran snails which is thought to function in retarding evaporative water loss by reducing convective movement of air over moist tissues (Machin, 1975). The hardened mucus may perform a similar function in *C. fluminea* while also allowing a diffusive contact with the environment for gas exchange purposes.

It has been noted previously (McMahon, 1979, 1983a; McMahon and Williams, 1984) that *C. fluminea*, because of its relatively recent history in freshwater, displays physiological and behavioral adaptations that seem to be intermediate between those of more ancient freshwater forms such as the unionids and sphaeriids, and its estuarine and marine relatives. Its blood osmolality is higher, and the major hemolymph ions differ from those of unionids (Dietz, 1979). McMahon and Williams (1984) suggested that the respiratory adaptation to aerial exposure is intermediate between the continual gaping of some intertidal bivalves (e.g., *Cerastoderma edule*) and the closed valve response of unionids, or the transvalvular gas exchange capabilities of some sphaeriids. This intermediate nature also is evidenced in this study by the lower exposure tolerances found for this species when compared to most other freshwater bivalves, and the higher tolerance than that reported for most of estuarine/intertidal lamellibranchs.

There are two major problems facing a clam that has been exposed in air. First, there is the problem of maintaining metabolism and homeostasis without the normal modes of waste excretion, gas exchange, and ion regulation. Second, there is the loss of fluid either by passive surface evaporation or by active expulsion. Loss of nutritional opportunities frequently is regarded as not very significant owing to the overriding importance and immediacy of the other factors. However, in *C. fluminea*, carbohydrate stores are limited (Williams and McMahon, 1985) and prolonged emersion might cause severe carbohydrate depletion. Preserving water stores necessitates maintaining closed valves and preventing contact



with the external environment. Ameliorating internal conditions in the face of declining oxygen tensions, increasing carbon dioxide tensions, and increasing concentrations of metabolites requires some gas exchange with the environment and the consequent exposure of living tissues to a more or less arid atmosphere.

*Corbicula fluminea* possesses adaptations which enable it to survive periods of emersion longer than its estuarine relatives. Apparently *C. fluminea* can detect the loss of water and modify its gas exchange behavior accordingly. It can withstand a loss of up to 60% of its available water; however, it does not display the capabilities of some unionid species to withstand very long periods of aerial emersion. Unlike the marine and estuarine environments, freshwater animals may experience periods of emersion that are unpredictable either in timing or duration. Some unionid clams, with their long history in freshwater, appear to have evolved adaptations enabling them to withstand protracted exposure. *C. fluminea* in its own adaptations shows interesting modes of prolonging existence out of water. These adaptations, including the modification of the "gaping" behavior in response to temperature and relative humidity may be regarded as intermediate in nature between those of more ancient freshwater and estuarine forms, but might also be looked upon as novel adaptations to a new environment by a relatively recent invader.

### Acknowledgments

We thank Edith Byrne, David Long, and Bruce Whitehead for assistance in data collection, Dr. Harold Silverman for critically reviewing the manuscript, and Vicki Lancaster for advice on statistics. This study forms part of a dissertation submitted by RAB to the Graduate School of Louisiana State University and A&M College in partial fulfillment of the Ph.D. degree. Supported by research grant from the University of Texas at Arlington to RFM and NSF grants DCB 83-03789 and DCB 87-01504 to THD.

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## Visual Spectral Sensitivities of Bioluminescent Deep-sea Crustaceans

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**Abstract.** The spectral sensitivities of eight species of deep-sea decapod shrimps (Family Oplophoridae) were determined from shipboard measurements of electroretinograms of dark-captured specimens. *Notostomus gibbosus* and *N. elegans* are maximally sensitive at 490 nm, and chromatic adaptation experiments indicate that a single visual pigment is present. Peak sensitivities of *Acantheephyra smithi* and *A. curtirostris* are at 510 nm, a longer wavelength than expected for such deep-sea dwellers. The four photophore-bearing species, *Systellaspis debilis*, *Janicella spinacauda*, *Oplophorus spinosus*, and *O. gracilirostris* have sensitivity maxima at 400 and 500 nm, and chromatic adaptation experiments indicate the presence of two visual pigments. This unusual short wavelength sensitivity may provide the basis for congener recognition based on the spectral bandwidth of luminescence.

### Introduction

The light field in the deep-sea consists of essentially monochromatic light from two sources: (1) dim downwelling light with a chromatic spectrum centering on 475 nm (Jerlov, 1968; Dartnall, 1974; Cronin, 1986); and (2) bioluminescence, with spectra characteristically peaking at 460–490 nm (Herring, 1983; Widder *et al.*, 1983; Latz *et al.*, 1988). It has long been assumed that the visual systems of deep-sea organisms would also have monochromatic sensitivity, with visual pigment absorption maxima blue-shifted (as compared with shallow water species) for maximum sensitivity to the existing light regime (Bayliss *et al.*, 1936; Clarke, 1936; Goldsmith,

1972; Shaw and Stowe, 1982; Cronin, 1986). Almost all studies on deep-sea fish (Denton and Warren, 1957; Munz, 1957; Wald *et al.*, 1957; Denton and Shaw, 1963; Fernandez, 1978; Crescitelli *et al.*, 1985), cephalopods (Hara and Hara, 1979) and crustaceans (Fisher and Goldie, 1958, 1960; Denys and Brown, 1982) support this hypothesis, reporting single visual pigment systems with absorption maxima between 470 and 490 nm, in contrast with maxima of 490–550 for shallow water species (reviewed by Goldsmith, 1972; Lythgoe, 1972). However, these studies were performed on visual pigment extracts or *via* microspectrophotometry (MSP), which provide excellent information on the absorption characteristics of the visual pigments (see Menzel, 1979, for review of problems associated with extracts of invertebrate visual pigments), but may not necessarily reflect the physiological spectral sensitivity. For example, in the crayfish, *Procambarus*, the spectral sensitivity of the dark-adapted eye, measured electrophysiologically, peaks at about 570 nm (Wald, 1968), while the  $\lambda_{\max}$  of the visual pigment is 530 nm; (Cummins and Goldsmith, 1981). Similar, though smaller, red shifts in spectral sensitivity are also found in the lobster, *Homarus*, (Wald and Hubbard, 1957; Wald, 1968) and the shrimp, *Palaemonetes*, (Fernandez, 1965; Wald and Seldin, 1968; Goldsmith and Fernandez, 1968). Goldsmith (1978) found that these shifts could be attributed to the filtering effects of red-leaky screening pigments. It is difficult, from pigment extract and MSP data, to accurately assess the degree of pre-retinal filtering and its effect on spectral sensitivity, but this must be taken into account before making any assessment of an organism's visual capacity.

Recording the electroretinogram, the mass response of a large number of photoreceptor cells to a flash of light, is a simple way to obtain physiologically relevant information about an organism's visual capabilities. For our

Received 24 December 1987; accepted 28 July 1988.

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purposes, it is superior to intracellular recording methods because of the necessity of working on a vibrating, unstable ship. Although data from this method cannot definitively establish whether one or several visual pigments are present, there is excellent evidence that ERG-determined peaks correspond to spectral cell types (Goldsmith and Fernandez, 1968; Stieve *et al.*, 1978; Laughlin *et al.*, 1980; Cummins and Goldsmith, 1981; Goldsmith, 1986) and this method is often the method of choice for comparative studies, particularly on previously untested organisms (Kobayashi and Ali, 1971; Eguchi *et al.*, 1982).

The first evidence for a short wavelength receptor in a deep-sea organism comes from Wald and Rayport's (1977) electrophysiological study of the alciopid worm *Vanadis*. Its dark-adapted spectral sensitivity curve exhibits a violet shoulder in addition to a blue-green peak, and response waveforms to 380 nm light are different from those to 480 nm light, arguing for the presence of two spectral classes of photoreceptor cells.

The fact that this is the only example of enhanced short wavelength sensitivity in deep-sea organisms may be because so few animals from this environment have been studied electrophysiologically. While both pigment extracts and MSP have proven successful in identifying dual red-shifted pigments in deep-sea fish (Denton *et al.*, 1970; O'Day and Fernandez, 1974; Partridge *et al.*, 1987), neither method has led to conclusive identification of the violet visual pigment (whose presence was verified with intracellular recordings) of some shallow water crustaceans (Goldsmith *et al.*, 1968; Goldsmith and Bruno, 1973; Cummins and Goldsmith, 1981; Martin and Mote, 1982; Cummins *et al.*, 1984). This may be due to the small quantity of pigment present, which would be swamped by the dominant pigment or its photoproducts during absorption measurements on extracts, or due to its location in small cells which may be inaccessible to, or overlooked by, MSP measurements.

Deep-sea crustaceans are useful subjects for exploratory electrophysiological studies of receptor systems of deep-sea animals, because they can be retrieved in good condition, and remain viable for many days under the proper maintenance conditions. Soft-bodied fish and invertebrates are generally dead upon retrieval or die within hours of capture. However, electrophysiological techniques have seldom been used with deep-sea crustaceans because they rarely survive transport to land-based labs in good condition. For this reason, we have developed a portable electrophysiological apparatus enabling shipboard measurements of spectral sensitivities of freshly caught specimens. Members of the family Oplophoridae were chosen for this study because (1) this family contains both photophore bearing and non-photophore bearing species, (2) their depth ranges are fairly

well known, and (3) viable specimens could be obtained in sufficient numbers for a comprehensive study. An unexpected result of this work was the discovery of enhanced sensitivity to violet light in the four photophore bearing species examined. Preliminary reports have been presented in abstract form (Frank, 1986).

## Materials and Methods

### *Specimen collection and maintenance*

Specimens of the eight species of deep-sea shrimp used in this study (Table 1) were collected during two cruises on the R.V. *New Horizon* off the southwest coast of Oahu, Hawaii, with an opening/closing 3.1 m Tucker Trawl, fitted with a thermally protected, light-tight collecting container (Childress *et al.*, 1977; Childress and Price, 1978). This container was closed at depth, ensuring recovery of healthy organisms whose eyes had not been irreparably damaged by surface light levels, a well known concern in working with deep-sea species (Loew, 1976; Nilsson and Lindstrom, 1983; Shelton *et al.*, 1985). The container was opened in a light tight room, and animals were sorted under dim red light. Experimental animals were maintained in chilled seawater (5°C) in light proof containers and studied within 24 hours of capture.

Dim red illumination was also used while setting up for experiments. Animals were mounted in a holder suspended in a 5°C seawater bath, allowing enough pleopod movement to maintain respiratory water currents across the gills. Temperature was maintained by pumping -1°C antifreeze from a Lauda cooler through cooling coils submerged in the seawater bath. The eyes were stabilized by gluing (Superglue) to small posts attached to the holding chamber on either side of the head.

### *Electrical recording*

ERGs were recorded with 5  $\mu$ m tip, glass insulated, metal microelectrodes (F. Haer & Co.), placed subcorneally with the aid of a dissecting microscope equipped with an infrared light source (Wratten Filter 89C) and an infrared image converter (FJW Industries). A reference electrode was placed in the other eye, and a silver-chloride electrode grounded the water bath. The electrodes were used with a Grass high impedance probe (Model HIP511,  $10^7$  M ohms impedance) to eliminate electrode polarization artifacts (Kugel, 1977). Signals were amplified with a Grass AC Pre-amplifier (Model HIP511J), with the low frequency filter set for minimal filtering (0.1–0.3 Hz) to minimize distortion due to AC-amplification.



### Optical apparatus

Monochromatic test flashes were provided by an American ISA Monochromator (full width at half maximum intensity [FWHM] = 2 nm) with a tungsten-halogen light source powered by a Weston regulated power supply (Model 7521). Flash duration of 100 ms was controlled by a Uniblitz Shutter (Model 100-2) triggered by a Grass S44 Stimulator. Light intensity was controlled with a neutral density wedge and neutral density filters, and was calibrated at each wavelength with a UDT Opotometer (United Detector Technology Model 61) and radiometric probe, with point calibrations referenced to NBS provided by UDT.

Test flashes were presented to the eye through one end of a branched quartz fiber optic light guide (Welch-Al-len). The 2 mm output diameter of the light guide was large enough to illuminate the whole eye, and experiments showed that this light did not reach the reference eye.

The adapting light source for chromatic adaptation experiments was an incandescent light filtered by a 400 nm broadband filter (Melles Griot BG12, FWHM = 110) for violet adaptation, and a 520 nm broadband filter (M. G. VG6, FWHM = 90 nm) for green adaptation. The adapting light was delivered to the eye through one branch of the light guide, and test flashes were superimposed on this background light through the other branch. This ensured that both the adapting light and the stimulus light acted upon the same group of photoreceptor cells.

### Experimental procedure

The eye was stimulated with 100 ms test flashes of monochromatic light adjusted for intensity until a defined criterion response was attained at each wavelength tested. The criterion was usually set 20  $\mu$ V above baseline noise, ensuring that the intensity of the light flashes used was very near the threshold of sensitivity, so as not to light-adapt the eye. Signals were instantaneously analysed for peak to peak response height after digital conversion by an LSI/PDP 11 computer, and stored on magnetic tape (Lockheed Store 4 Recorder) for later waveform analysis. The order of the flashes was random, and the response to a standard flash of set wavelength and intensity was tested periodically throughout the experiment, to ensure the stability of the eye and to monitor the state of dark-adaptation. Spectral sensitivity measurements were started when the response to the standard flash was stable, for both dark-adapted and chromatically adapted eyes. Spectral sensitivity curves were generated as the reciprocal quanta needed to produce the criterion response at each wavelength. Absorbance spectra were constructed from Dartnall nomograms (Dart-

nall, 1953), using the analysis provided by Cornwall *et al.* (1984).

The inefficiency of the monochromator at shorter wavelengths limited the intensity of the adapting light that could be used. To ensure that a full spectral sensitivity curve could be measured, the intensity of the adapting light was adjusted so that a criterion response to 370 nm test flashes could still be elicited. Due to the varying sensitivity of some species to short wavelength light, the intensity of the adapting light necessarily varied between experiments.

## Results

### *Notostomus gibbosus* and *N. elegans*

The results for *N. gibbosus* and *N. elegans* were identical and will therefore be described together with no distinction made between species. The mean dark-adapted spectral sensitivity curve for *Notostomus* (Fig. 1A) shows that the sensitivity maximum occurred at about 490 nm. The absorbance spectrum for a 490 nm pigment with an optical density (O.D.) of .5 provides an excellent fit to the spectral sensitivity curve. Green chromatic adaptation uniformly depressed sensitivity across the spectrum (Fig. 1B), indicating that only one visual pigment is present in both species.

The dark-adapted response waveforms of the ERGs were identical at all wavelengths (Fig. 1C). Chromatic adaptation of the eyes with green light produced no discernible effects on the waveforms, supporting the conclusion that both species possess a single visual pigment.

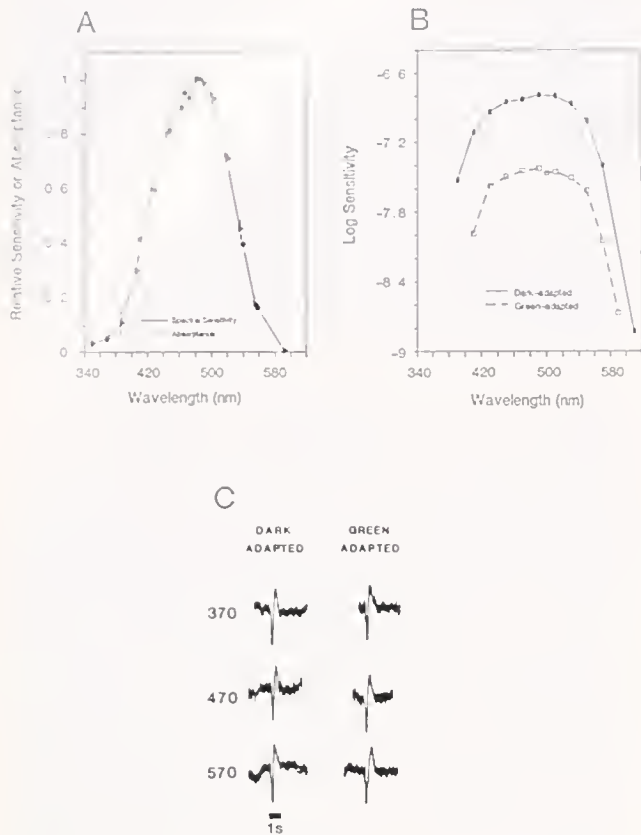
### *Acanthephyra smithi* and *A. curtirostris*

Although these two species have different depth distributions (Table 1), their spectral sensitivities were identical, and will again be described with no distinction between species. Maximum sensitivity in the dark-adapted eye was at 510 nm (Fig. 2A). An absorbance spectrum, constructed based on the known absorption maximum (490 nm) and O.D. (.6) of *A. smithi* visual pigment (Hiller-Adams *et al.*, 1988), was offset from the spectral sensitivity curve by 20 nm.

Chromatic adaptation experiments indicate that only one visual pigment is present, as there were no selective effects of green and violet adaptation on the shape of the spectral sensitivity function: spectral sensitivity decreased uniformly across the spectrum (Fig. 2B, C).

The ERG response waveforms in dark-adapted eyes were identical at all wavelengths for individual specimens and adaptation with violet and green lights had no discernible effects on the shape of the response waveforms (Fig. 3). Lack of wavelength-specific effects of





**Figure 1.** Spectral sensitivity of *Notostomus*. (A) Standardized mean spectral sensitivity curve (solid line) for *N. gibbosus* and *N. elegans* ( $n = 10$ ). Criterion responses ranged from 20–50  $\mu\text{V}$ . Standard errors are represented by vertical bars. Sensitivity is defined as the reciprocal of the quantum flux (photons/ $\text{cm}^2/\text{s}$ ) required to elicit the criterion response at each wavelength. Maximum sensitivity centers on 490 nm. Dashed line represents the absorbance curve for a hypothetical rhodopsin with a  $\lambda_{\text{max}}$  of 490 nm, and an O.D. of .5. (B) Green chromatic adaptation had no effect on the spectral sensitivity of *Notostomus* (data from one specimen displayed). Results from four other specimens are the same. Sensitivity is displayed on a log scale so both curves could be displayed on the same axes. Intensity of adapting light was  $1.1 \times 10^{-6} \mu\text{W}/\text{cm}^2/\text{s}$ . (C) ERG response waveforms, matched for equal amplitude (50  $\mu\text{V}$ ), are identical at all wavelengths in the dark-adapted eye, and were not altered by green chromatic adaptation.

chromatic adaptation support the spectral sensitivity evidence that both species possess a single visual pigment.

#### *Systellaspis debilis*

Of fifteen *S. debilis* tested, 13 showed heightened sensitivity to violet light. Eight actually possessed two distinct peaks in the dark-adapted spectral sensitivity curves. The variation in the relative sizes of the two peaks (possibly due to variability in electrode location) and the absence of the heightened short wavelength sensitivity in two individuals (Fig. 4) made it inadvisable to combine all the dark-adapted data into one averaged curve. How-

**Table 1**

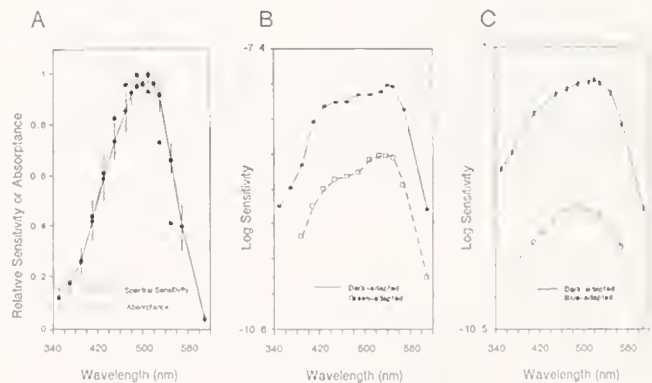
*Depth distribution and bioluminescence mode*

| Species                           | Depth range (m) <sup>1</sup> |                   | Bioluminescence     |
|-----------------------------------|------------------------------|-------------------|---------------------|
|                                   | Day                          | Night             |                     |
| <i>Acantheephyra smithi</i>       | 500–900                      | 200–300           | spew                |
| <i>Acantheephyra curtirostris</i> | 500–1200                     | 500–1200          | spew                |
| <i>Notostomus gibbosus</i>        | >900                         | >900 <sup>2</sup> | spew                |
| <i>Notostomus elegans</i>         | >900                         | >900 <sup>2</sup> | spew                |
| <i>Systellaspis debilis</i>       | 620–900                      | 100–300           | spew<br>photophores |
| <i>Janicella spinacauda</i>       | 500–600                      | 30–250            | spew<br>photophores |
| <i>Oplophorus spinosus</i>        | 490–750                      | 140–375           | spew<br>photophores |
| <i>Oplophorus gracilirostris</i>  | 490–650                      | 60–750            | spew<br>photophores |

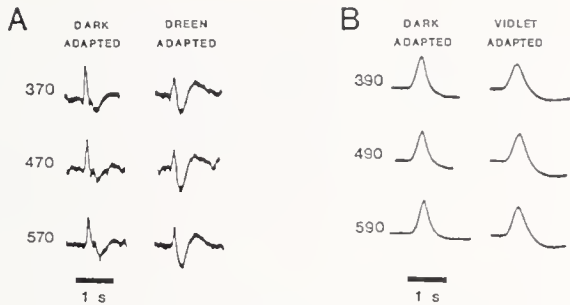
<sup>1</sup> Ziemann, 1975.

<sup>2</sup> J. Childress, pers. comm.

ever, the location of the two sensitivity maxima at 400 and 500 nm, when present, was very consistent, as seen in the average curve for the eight specimens in which two maxima were present (Fig. 5A). Spectral sensitivity did

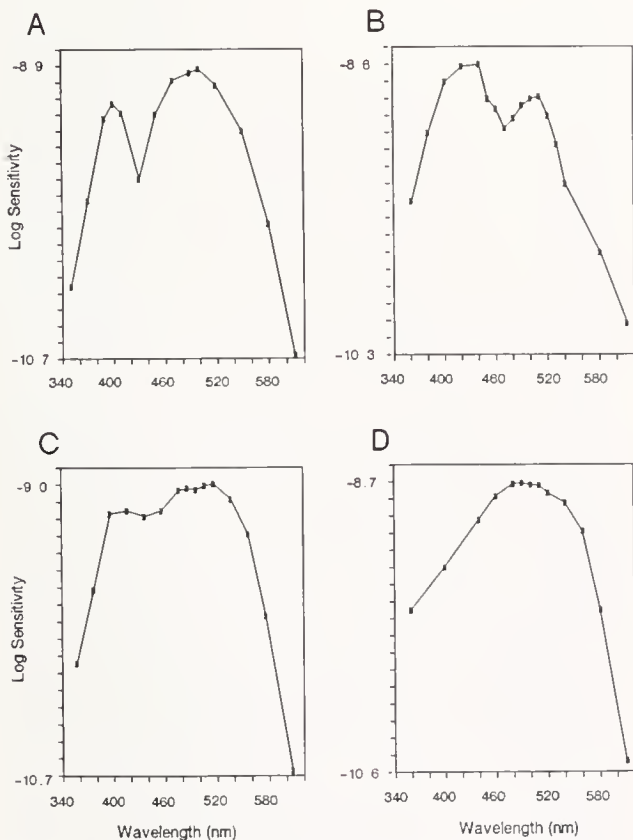


**Figure 2.** Spectral sensitivity of *Acantheephyra*. (A) Standardized mean spectral sensitivity curve for *A. curtirostris* and *A. smithi* ( $n = 14$ ). Criterion responses ranged from 20–60  $\mu\text{V}$ . Peak sensitivity centered on 510 nm. Absorbance spectrum (dashed line), was constructed based on the known absorption maximum (490 nm) and O.D. (.6) of *A. smithi* visual pigment (Hiller-Adams *et al.*, 1988). (B) Green chromatic adaptation did not diminish long wavelength sensitivity with respect to short wavelength sensitivity (data from one specimen). Results from three other specimens are the same. Intensity of adapting light was  $3.2 \times 10^{-6} \mu\text{W}/\text{cm}^2/\text{s}$ . (C) Similarly, violet chromatic adaptation did not enhance long wavelength sensitivity relative to short wavelength sensitivity (data from one specimen). Intensity of adapting light was  $2.4 \times 10^{-4} \mu\text{W}/\text{cm}^2/\text{s}$ .

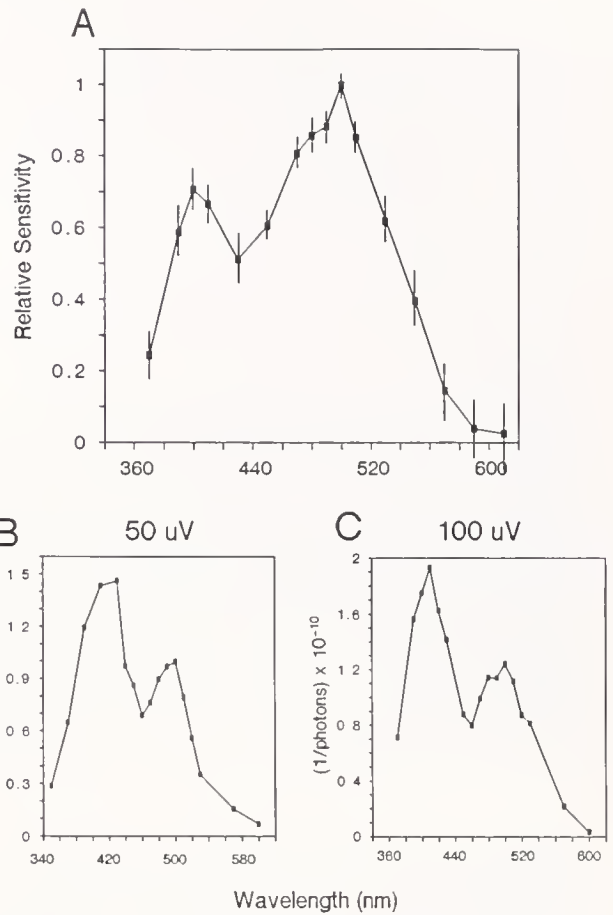


**Figure 3.** ERG response waveforms matched for equal amplitude ( $50 \mu\text{V}$ ) in dark-adapted and chromatically adapted *Acantheephyra*. (A) Waveforms were identical across the spectrum in the dark-adapted eye. Upon green-adaptation, the responses were different from those in the dark-adapted eye, but were identical to each other. (B) Response waveforms from another dark-adapted specimen were also identical across the spectrum and adaptation with violet light did not affect their shape.

not appear to depend on the size of the criterion response for the range of criterion responses used ( $20\text{--}100 \mu\text{V}$ ), as curves generated for an animal using two different criterion response levels were the same (Fig. 5B, C).



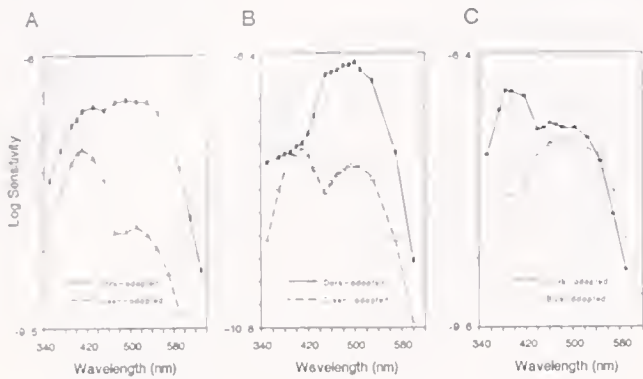
**Figure 4.** Dark-adapted spectral sensitivity curves from four specimens of *Systellaspis debilis*, demonstrating the variability in their spectral sensitivity. Criterion response =  $50 \mu\text{V}$ .



**Figure 5.** (A) Average standardized spectral sensitivity curve from only those dark-adapted *S. debilis* that possessed bimodal spectral sensitivity curves ( $n = 8$ ). The two sensitivity maxima were consistently at 400 and 500 nm. (B, C) Dark-adapted spectral sensitivity curves for one preparation at two different criterion response levels were identical.

Results of chromatic adaptation experiments indicate that two visual pigments may be present. Under green adaptation, the spectral sensitivity curve was markedly depressed in the long wavelength part of the spectrum (Fig. 6A). Green adaptation also brought out the violet peaks in two specimens where there was no evidence of a short wavelength peak in the dark-adapted eye (Fig. 6B). The effect of violet adaptation was to depress the short wavelength peak with respect to the long wavelength peak, although the effects were not equally distinct in all experiments. The strongest effects were seen in those specimens that had large 400 nm peaks in the dark-adapted spectral sensitivity curves (Fig. 6C).

Differences in waveform responses to short *versus* long wavelength light suggest that the two putative pigments are in separate cells. Again, because of variability in electrode placement, the dark-adapted waveforms were not identical from animal to animal. In one specimen, the short wavelength response waveforms were distinctly



**Figure 6.** Effects of chromatic adaptation on the spectral sensitivity of *S. debilis*. (A) Green chromatic adaptation had a greater effect on the sensitivity of the blue-green receptors than the violet receptors, leading to a relative enhancement of the violet peak. (B) The dark-adapted spectral sensitivity curve for another preparation showed no distinct violet peak. Green adaptation depressed the sensitivity of the blue-green receptors, exposing the violet receptors and thereby producing a distinct violet peak in the spectral sensitivity function. (C) Blue adaptation had a greater effect on short wavelength sensitivity, depressing the sensitivity of the violet receptors to such an extent that only the blue-green peak is now visible. Results of four other green adaptation and four other blue adaptation experiments were consistent with the results shown. Intensities of adapting lights were (A)  $1.2 \times 10^{-4}$ , (B)  $1.1 \times 10^{-5}$ , and (C)  $1.2 \times 10^{-5} \mu\text{W}/\text{cm}^2/\text{s}$ .

different from the long wavelength responses in the dark-adapted eye (Fig. 7A). For another specimen, the waveforms were identical in the dark-adapted eye, but upon green chromatic adaptation, the short wavelength responses became markedly different from the long wavelength responses (Fig. 7B). Blue adaptation had either no effect when waveforms were identical in the dark-adapted eye, or actually diminished differences that were initially present in the dark adapted eye (Fig. 7C).

All of these results support the conclusion that *Systellaspis* possesses two spectral classes of receptor cells with different response characteristics; one with maximal blue-green sensitivity and the other maximally sensitive in the violet.

#### *Janicella spinacauda*

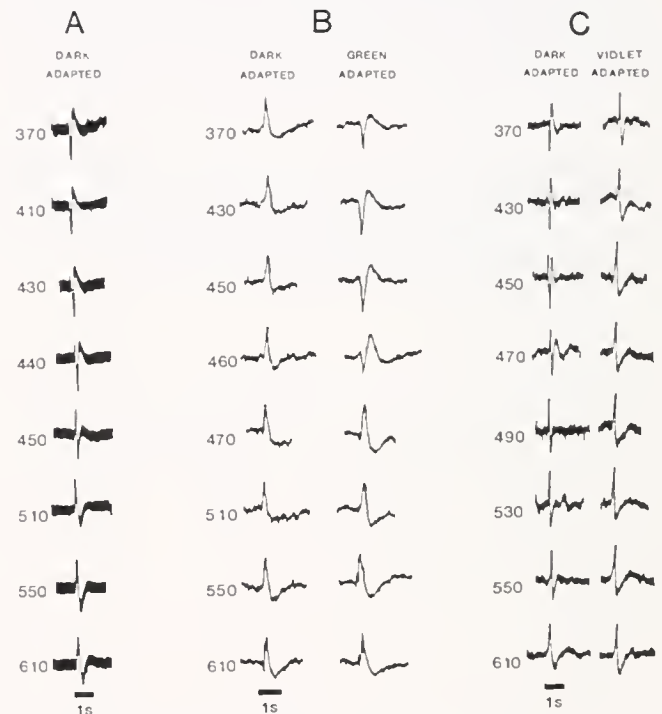
The dark-adapted spectral sensitivity curves of the four specimens tested displayed a consistent maximum at 500 nm in the blue-green, but the position of the short wavelength peak varied from 350 to 420 nm (Fig. 8A, B). No correlation could be found between these results and time of capture or time of experimentation.

The results of two chromatic adaptation experiments indicate that two visual pigments are present. The effect of green adaptation was to depress the blue-green peak with respect to the violet peak, as well as shift the short wavelength maximum from 350 to 410 nm (Fig. 8C).

Violet adaptation selectively depressed the violet peak relative to the blue-green peak (Fig. 8D).

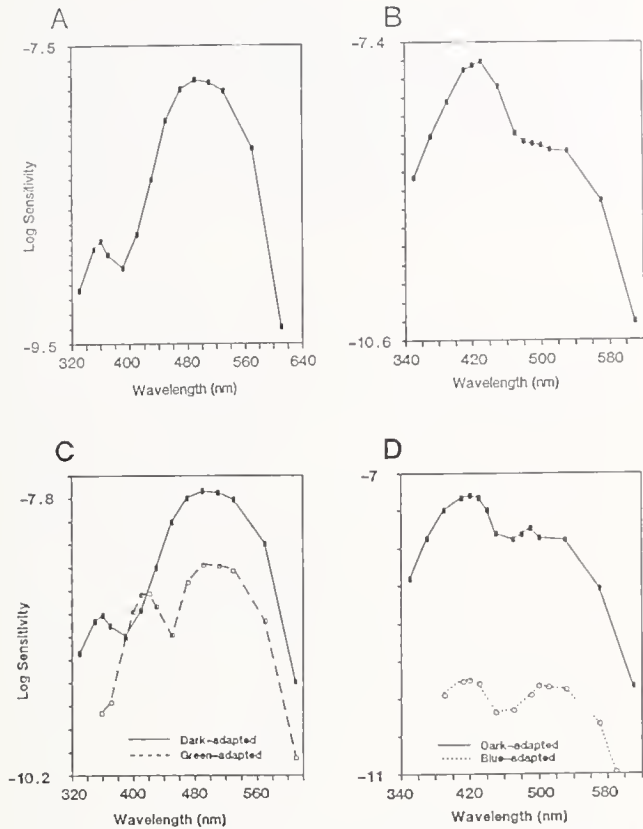
As in *Systellaspis*, the response waveforms were either distinctly different in the dark-adapted eye (Fig. 9A), or were identical in the dark-adapted state, and changed dramatically at the shorter wavelengths upon green adaptation (Fig. 9B). Conversely, violet chromatic adaptation had no selective effects on response waveforms that were identical in the dark-adapted eye (Fig. 9C).

These results indicate that *Janicella* also possesses two spectral classes of receptor cells.



**Figure 7.** Effects of chromatic adaptation on the response waveforms matched for equal amplitudes in *S. debilis*. (A) Response waveforms of this specimen (criterion response =  $40 \mu\text{V}$ ) were distinctly different at different wavelengths. From 370 to 410 nm, the main component of the IERG was corneal positive (downward). Between 430 and 440 nm, a small corneal negative component preceded the larger positive portion. From 450 nm to 610 nm, the main component was corneal negative (upward). (B) Response waveforms ( $30 \mu\text{V}$ ) in another preparation were identical at all wavelengths in the dark-adapted eye, with simple, monophasic, corneal negative waveforms. Green adaptation produced distinct wavelength specific effects. The waveforms from 350 to 450 nm were reversed in polarity, while the responses from 470 to 610 nm remained unchanged, with the transition occurring at 460 nm. (C) Dark-adapted response waveforms ( $50 \mu\text{V}$ ) demonstrate the same differences as described in (A), with waveforms between 370 and 430 nm exhibiting one characteristic waveform, and responses between 470 and 610 nm exhibiting another. The transition from one type to the other occurred at 450 nm. Blue adaptation markedly altered the response waveforms between 370–450 nm; these waveforms became identical to the long wavelength responses, which were unaffected by blue adaptation.





**Figure 8.** Dark-adapted spectral sensitivity curves for individual specimens of *Janicella spinacauda*. (A) The short wavelength sensitivity peaks at about 350 nm, while the long wavelength sensitivity peaks at 500 nm. (B) In another specimen, the short wavelength peak was at 420 nm, while the long wavelength sensitivity maximum was a shoulder rather than a distinct peak. (C) Green chromatic adaptation enhanced the relative size of the violet peak, as well as shifting the sensitivity maximum from 350 to 410 nm. Data from one specimen. Intensity of adapting light was  $1.56 \times 10^{-6} \mu\text{W}/\text{cm}^2/\text{s}$ . (D) Violet chromatic adaptation had a greater effect on short wavelength sensitivity, resulting in a relative enhancement of the blue-green peak. Data from one specimen. Intensity of adapting light was  $1.2 \times 10^{-3} \mu\text{W}/\text{cm}^2/\text{s}$ .

#### *Oplophorus spinosus* and *O. gracilirostris*

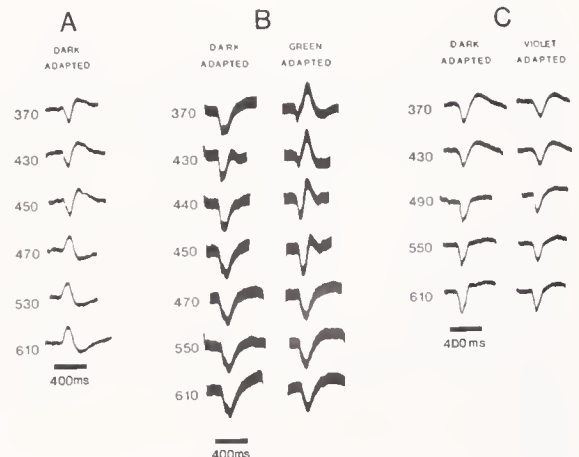
The results for *O. spinosus* and *O. gracilirostris* were the same, and will be discussed together with no distinction between species. Representative examples of dark-adapted spectral sensitivity curves for two specimens are shown in Figure 10 (A, B). The variability in these curves is similar to that seen in the previous two species.

Chromatic adaptation experiments again provide evidence that more than one visual pigment is present. Violet adaptation resulted in a small depression in the violet shoulder (Fig. 10C). The only specimen that had a distinct violet peak in its dark-adapted spectral sensitivity curve (see Fig. 10B) died during the chromatic adaptation experiment; therefore, the effects of violet adaptation are not as apparent as in *Systellaspis* or *Janicella*.

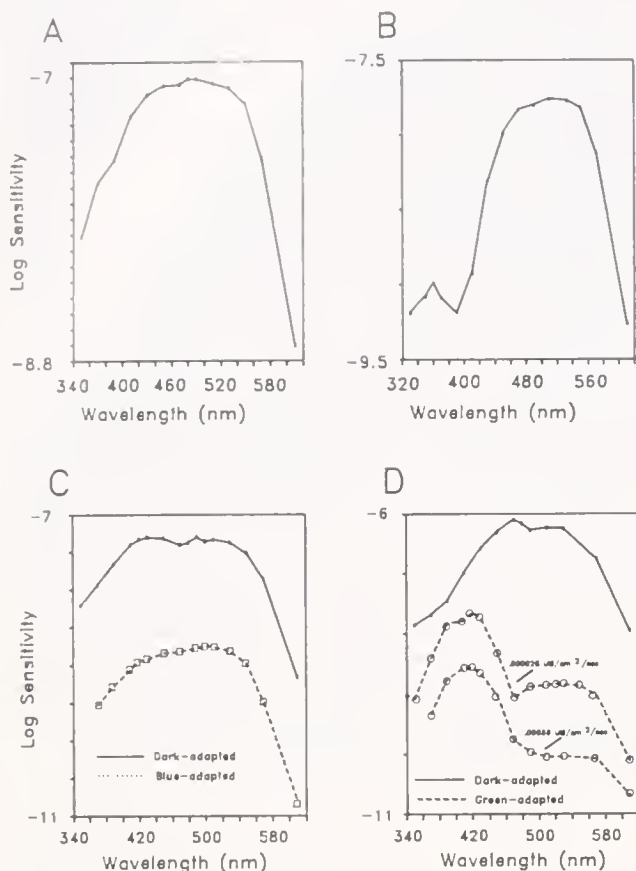
The effects of green adaptation were much more dramatic. The sensitivity to long wavelength light was greatly diminished with respect to the short wavelength sensitivity, resulting in either two peaks, or, with more intense adaptation, a distinct peak at 400–410 nm, and a plateau centering at 500 nm (Fig. 10D).

The shapes of the response waveforms in dark-adapted and chromatically adapted eyes were the same as those described for *Systellaspis* and *Janicella* (Fig. 11C), again pointing to the presence of two spectral classes of receptor cells.

*Oplophorus spinosus* proved to be unusually robust, and in two instances we were able to record responses after the eye had recovered from chromatic adaptation. Green chromatic adaptation distinctly altered the shape of the spectral sensitivity curve as well as the response waveforms (Fig. 11A, C). Both the spectral sensitivity curve and the response waveforms, measured two hours after extinguishing the adapting light, were the same as those measured before chromatic adaptation (Fig. 11B, C). This indicates that waveform changes were due to the effects of the adapting light, and not to changes in electrode position or to degenerative changes in the eye during the course of an experiment.



**Figure 9.** ERG response waveforms matched for equal amplitude in *J. spinacauda*. (A) The response waveforms (amplitude = 30  $\mu\text{V}$ ) in this dark-adapted preparation were markedly different between responses to short versus long wavelength light. The major component of the short wavelength responses (350–450 nm) was corneal positive (shown by the downward deflection), while the major component of the longer wavelength responses was negative. (B) In another preparation, the dark-adapted response waveforms (40  $\mu\text{V}$ ) were virtually identical, and were all corneal positive. Upon adaptation with green light, the waveforms between 370–450 nm were reversed in polarity, while the waveforms from 470–570 nm remained unchanged. (C) Dark-adapted waveforms in another preparation were virtually identical, and remain unchanged under a blue adapting light. Polarity differences in long wavelength response waveforms between different specimens are probably due to differences in the depth of the recording electrode (see Discussion).

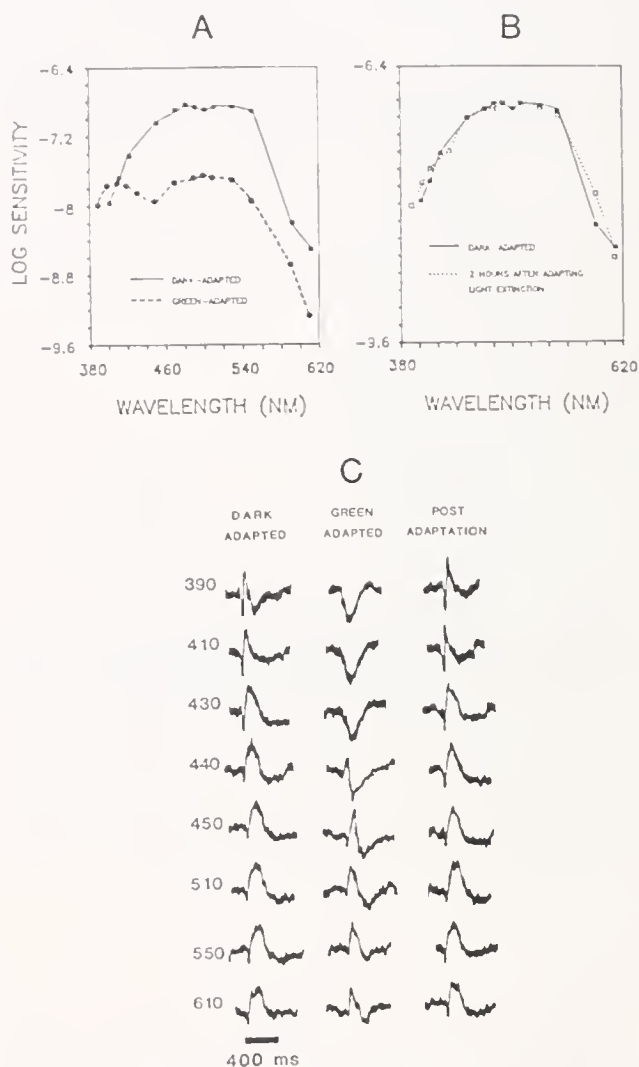


**Figure 10.** Dark-adapted spectral sensitivity curves for *Oplophorus*. (A) Six of the seven specimens tested possessed broad spectral sensitivity curves similar to the one shown, with small variations in sensitivity at the shorter wavelengths. (B) Only one distinctly bimodal spectral sensitivity curve was measured, with peaks at 350 and 500 nm. (C) Selective effects of violet adaptation are small but discernible; sensitivity was slightly more depressed at the shorter wavelengths, diminishing the small violet peak seen in the dark-adapted eye. Intensity of adapting light was  $2.4 \times 10^{-4} \mu\text{W}/\text{cm}^2/\text{s}$ . (D) Green adaptation selectively depressed sensitivity at the longer wavelengths, producing a much larger violet peak relative to the blue-green peak. Under a higher intensity adapting light, the blue-green peak was completely depressed in the same specimen. Results from four other specimens are compatible with those shown.

## Discussion

In clear oceanic waters, the wavelength of maximum light transmittance is 510 nm in the surface layers, with the FWHM covering a spectral range from 440 to 600 nm. At 100 m depth, selective absorption and scattering have shifted the transmission maximum to 475 nm and narrowed the spectral distribution to a FWHM covering 440–500 nm (Jerlov, 1968; Dartnall, 1974; Jerlov, 1976; Cronin, 1986). The possibility that deep-sea organisms may have blue-shifted visual pigments as an adaptation for maximum sensitivity to this light regime (the Sensitivity Hypothesis) was first suggested by Clarke (1936)

and Bayliss *et al.* (1936), and this idea of sensitivity peaks matching ambient light distribution has since been extended to other environments. Although Lythgoe (1968) has shown that the Sensitivity Hypothesis may not necessarily hold true for all shallow water species, which live in a very "complex" visual environment, it has been strongly supported by studies on organisms living in the



**Figure 11.** Effects of chromatic adaptation on spectral sensitivity and response waveforms in *Oplophorus*. (A) Green adaptation selectively depressed the long wavelength sensitivity, leading to a bimodal spectral sensitivity curve with maxima at 400 and 500 nm. (B) Two hours after the adapting light was turned off, the eye had recovered completely from the effects of the adapting light, and the spectral sensitivity function was identical to that of the dark-adapted eye. (C) Dark-adapted response waveforms for the same preparation shown above were slightly different at the shortest wavelengths. Green adaptation altered the response waveforms at the shorter wavelengths as previously described. Two hours after the adapting light was turned off, the response waveforms were again identical to those in the dark-adapted eye. Adapting light intensity =  $2.5 \times 10^{-4} \mu\text{W}/\text{cm}^2/\text{s}$ ; criterion response = 40  $\mu\text{V}$ .

"simpler" deep-sea visual environment. The visual pigments of most deep-sea species studied to date have peak absorption maxima clustered between 470 and 490 nm, which are about 10–20 nm shorter than those of their shallow water counterparts, thus supporting the Sensitivity Hypothesis (reviewed by Goldsmith, 1972; Cronin, 1986).

### Single visual pigment systems

The results from two species in this study, *N. gibbosus* and *N. elegans*, support the Sensitivity Hypothesis. The maximum sensitivity of these species (490 nm) is at shorter wavelengths than those of shallow water crustaceans (510–550 nm), and fall into the same range as those of the deep-sea fish (Denton and Warren, 1957; Munz, 1957; Wald *et al.*, 1957; Denton and Shaw, 1963; Fernandez, 1978; Crescitelli *et al.*, 1985).

The spectral sensitivities of *A. curtirostris* and *A. smithi* peak at 510 nm, seemingly more appropriate for shallow water crustaceans than for species that maintain daytime depths of greater than 500 m. The absorptance spectrum matches the shape of the spectral sensitivity curve, but is offset by 20 nm. This suggests that these species may possess some type of non-moving distal pigment screen, as found in *A. purpurea* (Welsh and Chace, 1937), that would shift the sensitivity maximum away from the visual pigment absorption maximum. In crayfish and lobsters, this pigment screen is believed to be responsible for the 10–30 nm difference between the visual pigment absorption and the spectral sensitivity function (Goldsmith, 1978). Why such a screening pigment shield would be needed, particularly in *A. curtirostris*, which never migrates to shallower waters, remains obscure.

It is unlikely that self-screening by metarhodopsin contributed significantly to the long wavelength shift in spectral sensitivity. Although both species possess metarhodopsins with  $\lambda_{\max}$  at shorter wavelengths (*A. curtirostris*—481 nm; *A. smithi*—483 nm; Hiller-Adams *et al.*, 1988) than those of their rhodopsins, so that self-screening by metarhodopsin would shift the spectral sensitivity to longer wavelengths, our experimental protocol ensured that the eye was fully dark-adapted before starting an experiment. According to Goldsmith (1978), self-screening by metarhodopsin should be negligible if: (1) the eye is dark-adapted, (2) near-threshold flashes are used to stimulate the eye (preventing isomerization of a sizable fraction of rhodopsin to metarhodopsin), and (3) the organism has other mechanisms than photo-regeneration for restoring a full titer of rhodopsin. The first two conditions were met by our experimental protocol, and while these two species have not been studied with respect to dark-regeneration, such a system was found in

another oplophorid occupying the same depth range (Hiller-Adams *et al.*, 1988.) Additionally, specimens tested within three hours of capture demonstrated the same spectral sensitivity as those that were maintained in the dark for 24 hours before testing. Therefore, self-screening by metarhodopsin is not a reasonable explanation for the observed results.

The difference in the polarities of the representative response waveforms shown for *Notostomus* (Fig. 1) and *Acantheephyra* (Fig. 3) may be due to differences in the electrode depths from preparation to preparation. In both genera, preparations were found in which the response waveforms were of the opposite polarity to those shown in the figures, so these polarity differences are not species specific, but probably depend on the recording parameters. Konishi (1955), working with the lobster eye, showed that an electrode just beneath the corneal surface recorded a corneal negative response. With deeper insertion into the eye, the recorded response reversed in polarity to a corneal positive response. Since the depth of electrode penetration varied between preparations in our study, electrode position may explain the ERG polarity differences.

### Dual visual pigment systems

The most interesting visual systems are found in the remaining four species, *S. debilis*, *J. spinacauda*, *O. spinosus*, and *O. gracilirostris*, which appear to possess a violet sensitive pigment in addition to one with maximal sensitivity in the blue-green. The variation in the shapes of the dark-adapted spectral sensitivity curves is much greater than in those of the single pigment species, and this may be due in part to the location of the electrode in the eye, particularly if different parts of the eye have different spectral sensitivities. Regional differences in spectral sensitivity have been found in the eyes of several species of insects (Walther, 1958; Ruck, 1965; Bennett and Ruck, 1970) and results of experiments on these insects are similar to ours. Goldsmith (1960) also reports that the relative contribution of the UV and green receptor systems to the ERG in the honeybee eye could be altered somewhat by moving the electrode to another part of the eye.

Due to our experimental protocol in testing the eye with a standard flash throughout the experiment, in addition to the fact that those crustaceans which had a distinct violet peak exhibited the same overall sensitivity as those which did not (see Fig. 4), we are confident that the differences in shapes of dark-adapted spectral sensitivity curves were not due to differences in the degree of dark-adaptation.

The conclusion that two visual pigments are present in these four species is strongly supported by the differential



effects of the different adapting lights on the shape of the spectral sensitivity curve. The selective effects of violet adaptation were generally not as great as those of green adaptation, and this can be attributed to the fact that all visual pigments possess a  $\beta$ -band that absorbs in the shorter wavelengths, meaning that violet adaptation would affect both receptor systems. However, the fact that violet adaptation had a stronger effect on the short wavelength system, so that differential effects in the shape of the spectral sensitivity functions could be seen, indicates that the violet peak is not due to the  $\beta$ -absorption band on the blue-green pigment as in the woodlouse *Porcellio* (Goldsmith and Fernandez, 1968). If this were the case, the sizes of the two peaks relative to each other would remain the same during all adaptation conditions.

Waveform differences between responses to short versus long wavelength light indicate that the two visual pigments are housed in different receptor cells. Single cells have never been shown to respond differentially to different wavelengths of light (Graham and Hartline, 1935; Naka and Rushton, 1966; Stark and Wasserman, 1974), and if several spectral mechanisms with different time courses contribute to the ERG, equal amplitude responses at all wavelengths can never be matched (Chapman and Lall, 1967). In several species of muscid flies, waveform differences between short and long wavelength responses were initially attributed to the presence of a red sensitive receptor in addition to short wavelength receptors (Autrum and Burkhardt, 1961; Burkhardt, 1962; Mazokhin-Porshnyakov, 1960). However, Goldsmith (1965) found that these differences were due to differences in the sizes of ganglionic on-off effects in the ERG, rather than the presence of several spectral classes of receptor cells. Crustacean ERGs do not exhibit these ganglionic on/off effects, since the ERG is a more purely retinal response (Naka and Kuwabara, 1956; Chapman and Lall, 1967; Goldsmith and Fernandez, 1968), and there is no experimental evidence for any contribution by the optic ganglion to the ERG (Ruck and Jahn, 1954; Konishi, 1955). Therefore, differences in waveform responses to short and long wavelength light in crustaceans, based on current knowledge, can only be attributed to two different populations of receptor cells with different membrane properties (Wald, 1968).

Further support for two spectral classes of receptor cells comes from the wavelength-specific effects of different adapting lights on response waveforms. These results can be explained by assuming that the visual pigments are housed in different receptor cells, and that the numeric distribution of the two receptor classes is not equal. This situation is found in crayfish and lobsters, where the long wavelength pigment occupies seven of the eight retinula cells present in each ommatidium, and the violet pigment occupies just one (Cummins and Gold-

smith, 1981; Cummins *et al.*, 1984). A similar unequal distribution also appears to be present in our deep-sea species. The ERG responses in the dark-adapted eyes were generally characteristic of those attributed to the blue-green receptors. Upon green adaptation, the relative contribution of the violet receptors was enhanced, and distinct differences in response waveforms at the shorter wavelengths were observed. Conversely, violet adaptation had no effect on response waveforms, or diminished differences present at the shorter wavelengths in the dark-adapted eyes, as expected when the minor contribution of the violet receptors was further diminished.

The location of the violet receptor cells in an accessory eye would provide an explanation for the unusual "hyperpolarizing" responses seen to short wavelength light. All known microvillar photoreceptors, which are the type possessed by all crustaceans (Eakin, 1972), depolarize in response to light (reviewed by Jarvilehto, 1979), but an unusual orientation of the short wavelength receptors to the electrode could produce an apparently "hyperpolarizing" response. In the alciopid worm *Torrea*, which also has microvillar photoreceptors, responses from the main retina are depolarizing, while responses contributed by an accessory retina are hyperpolarizing, and this has been attributed to the reversed arrangement of the receptors of the accessory retina relative to the electrode position (Wald and Rayport, 1977).

#### *Functions of two visual pigments in deep-sea organisms*

Many other crustaceans, such as shallow water crabs (Wald, 1968; Hyatt, 1975; Martin and Mote, 1982), lobsters (Cummins *et al.*, 1984), estuarine shrimp (Wald and Seldin, 1968; Goldsmith and Fernandez, 1968), and crayfish (Goldsmith and Fernandez, 1968; Wald, 1968; Waterman and Fernandez, 1970; Cummins and Goldsmith, 1981) appear to possess a short wavelength visual pigment. The purpose of this pigment is not clear, although Hyatt (1975) feels that it may be a mechanism for hue discrimination in the fiddler crab. Even though the rationale for the pigment is not known, all of these species live in shallow or near-surface waters where UV light is abundant and may play some role in their visual environment. In insects, the presence of a UV peak has been closely correlated with some behavioral patterns. Behavioral studies on UV-sensitive pierid butterflies indicate that they visit violet and blue flowers more often than butterflies without the short wavelength sensitivity (Ilse, 1928; Eguchi *et al.*, 1982). Obara and Hidaka (1968) also report that male pierid butterflies approach females after identifying the UV patterns on their wings. However, the reason for a UV visual pigment among some nocturnal moths (Eguchi *et al.*, 1982; Mikkola,

1972) remains obscure, since UV light is absent in moonlight as well as in background galactic light at night (Munz and McFarland, 1973, 1977).

There is a similar absence of UV and near-UV light in the deep-sea. Although UV light may penetrate significantly in the surface layers (Jerlov, 1968; Dartnall, 1974; Jerlov, 1976), it is virtually absent by 500 meters—.09% of the 500 nm light present at the surface remains, while only .00007% of the 400 nm light is still present (Type 1 water, Table XXVI—Jerlov, 1976).

Bioluminescence, the other source of light in the deep-sea, is considered by some to be the major visual stimulus present in this environment (Beebe, 1935; Clarke and Hubbard, 1959; Jerlov, 1968). It is also the logical candidate to provide an explanation for the violet visual pigment, since the four species with the enhanced violet sensitivity possess photophores, while the four species without photophores are not violet sensitive. Examples of unusual visual systems correlated with bioluminescence are found in three species of malacosteid fish, which possess red-shifted visual pigments as an apparent adaptation for enhanced sensitivity to their own red bioluminescence (O'Day and Fernandez, 1974; Denton *et al.*, 1970; Bowmaker and Herring, unpub.). While the vast majority of bioluminescence emission maxima in the deep-sea, including those from the photophores of *S. debilis* and *O. spinosus*, are clustered around the same wavelengths as the downwelling illumination (460–490 nm), with no emission maxima below 430 nm (Herring, 1976; Herring, 1983; Widder *et al.*, 1983; Latz *et al.*, 1988), bioluminescence may still provide the explanation for the unusual violet pigment.

It has been suggested that the presence of two blue-green visual pigments in some species of deep-sea fish may serve as a system for discriminating between different bioluminescent organisms by using the spectral bandwidth as the basis for discrimination (Partridge *et al.*, 1988). The putative violet visual pigment may be serving the same purpose in these oplophorids. Spectra with broader spectral bandwidths would be more efficient in stimulating the violet-receptor, and in this manner could be distinguished from spectra with narrower bandwidths. The spectral emissions from most of the species with similar depth distributions as these oplophorids, including other crustaceans (except euphausiids), siphonophores, fish, and cephalopods, are remarkably similar, with the peaks lying between 465 and 485 nm, and FWHM covering 65–90 nm (Widder *et al.*, 1983; Herring, 1983; Latz *et al.*, 1988). However, the emissions from the photophores of *S. debilis* and *O. spinosus*, while peaking in the same range, have FWHMs of 48–58 nm (Herring, 1983; Latz *et al.*, 1988), and perhaps this difference in spectral bandwidth is enough to facilitate congener recognition. Additionally, the FWHM of their

luminous secretion, which is thought to be used during escape responses, is between 65 and 75 nm, and could potentially be distinguished from the photophore emission to serve as warning signs to congeners.

### Acknowledgments

We thank Dr. James Childress, his laboratory associates, and the captains and crews of the RV *New Horizon* for assistance with animal collection. We also thank Dr. Childress for generously providing shipboard laboratory space; Dr. Steve Bernstein for writing the digitizing program; and Mark Lowenstine, Robert Fletcher, and Joel Dal Pozzo for help in designing and building the portable ERG apparatus. Drs. Thomas Cronin, Edith Widder, and Michael Latz provided helpful comments and suggestions. This work was supported by a grant from the Office of Naval Research (N00014-84-K-0314) to J. F. Case, a National Science Foundation grant (OCE 85-000237) to J. J. Childress, and a UCSB patent fund grant and dissertation fellowship to T. M. Frank.

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## Visual Spectral Sensitivity of the Bioluminescent Deep-sea Mysid, *Gnathophausia ingens*

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**Abstract.** The spectral sensitivity of the deep-sea mysid, *Gnathophausia ingens* (Family Lophogastridae), was measured by electroretinography on intact specimens. High sensitivity to orange light was found. This was an unexpected result for a species whose adult members are never found above 400 m. Results of chromatic adaptation and silent substitution experiments were not compatible with either a one pigment or dual pigment visual system, making this one of the more unusual visual systems ever described.

### Introduction

In this, the second of two papers on the spectral sensitivities of deep-sea crustaceans, we report on the unusual visual system of the deep-sea mysid, *Gnathophausia ingens*, a species whose adult members are found below 400 m. This robust animal survives under laboratory conditions for over two years (Childress and Price, 1978), making it an ideal candidate for electrophysiological studies. Its visual system proved to be unlike any previously described crustacean visual system, including those of the deep-sea crustaceans described in our previous paper (Frank and Case, 1988). Prolonged laboratory maintenance was not responsible for the unusual results, since specimens tested three months after capture possessed identical threshold and spectral sensitivities to those tested within 24 hours of capture.

### Materials and Methods

#### *Animal collection and maintenance*

Specimens of *Gnathophausia ingens* (Family Lophogastridae) were trawled from the deep basins near San

Clemente and Santa Catalina Islands, using techniques described previously (Childress and Price, 1978; Frank and Case, 1988). The light-proof collecting vessel was opened in a light-tight room, and sorting was carried out under dim red light. Specimens were placed in light-proof containers for transport back to land, where they were maintained in a 4°C cold room. Earlier studies by Childress and Price (1978) demonstrated that these mysids could survive for over two years under the proper laboratory conditions, which included maintenance in regularly changed 4°C water and a weekly feeding of salmon and shrimp. Long-term observations of specimens kept in the dark with periodic exposures to white light indicated that, although they were healthy, serious damage to their eyes had occurred. Previously bright golden eyes turned white, and tail-flip responses to red light, present in freshly caught specimens, were no longer seen. Therefore, all specimens used in this study were protected from any exposure to white light. Animals were maintained in individual quart containers placed in a light tight box, and feeding and changing of maintenance water was carried out under infrared light with the aid of an infrared image converter (FJW Industries), or eventually, under dim red light once experiments confirmed very low sensitivity to light past 650 nm. Both sexes, ranging in size from 20 to 40 mm carapace length (instars 6 through 10—Childress and Price, 1983), were used for experiments.

#### *Optical system and recording apparatus*

Electroretinograms (ERGs) were recorded using the experimental set-up described in our previous study (Frank and Case, 1988). Chromatic adaptation experiments were conducted as previously described, with a 400 nm broadband filter (Melles Griot BG12, FWHM

Received 24 December 1987; accepted 28 July 1988.

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= 110 nm) for violet adaptation, a 520 nm broadband filter (M.G. VG6, FWHM = 90 nm) for green adaptation, and a 570 nm short wavelength cut-off filter (M.G. OG570) for orange adaptation.

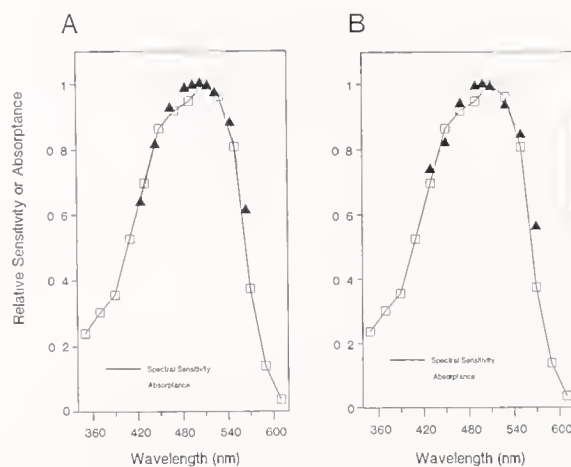
Silent substitution experiments were conducted using a modification of the methods of Forbes *et al.* (1955) and Donner and Rushton (1959). The light output from two monochromators was controlled by two electromagnetic shutters (Uniblitz) connected in such a way that when one shutter opened, the other simultaneously closed. Light from the two monochromators was conducted to the eye through the two branches of a bifurcated light guide, ensuring that upon shifting illumination from one monochromator to the other, the same receptor field was illuminated. Thus, when the two light sources are matched for equal intensity and wavelength, switching from one monochromatic source to the other should produce no visual response; *i.e.*, the substitution should be "silent." Light intensity was controlled with glass neutral density filters and a neutral density wedge.

### Experimental procedure

Test flashes of 100-ms duration were used, and were repeated at one minute intervals. The response to a standard flash of set wavelength and intensity was tested every five flashes to monitor the stability of the preparation. The reciprocal of the quantum flux ( $\mu\text{W}/\text{cm}^2/\text{s}$ ) required to elicit a set criterion response (from 20  $\mu\text{V}$  to 1 mV) at each wavelength, gave the spectral sensitivity function. Absorbance curves were constructed from Dartnall nomograms (1953), using methods described by Cornwall *et al.* (1984), by an iterative process to determine the best fit to the spectral sensitivity curve.

Excellent survivorship in the experimental chamber permitted three successive chromatic adaptation experiments on the same specimens. In these experiments, lasting up to 72 hours, spectral sensitivity curves from dark-adapted and chromatically adapted eyes were measured on the first day. After recovery in the dark for ten to twelve hours, another curve from the dark-adapted eye was measured. If this post-adaptation recovery curve was the same as the pre-adaptation curve (same threshold and spectral sensitivity), a second chromatic adaptation experiment (with a different color adapting light) was performed. Subsequent experiments were conducted as long as the recovery curve and the pre-adaptation curve were the same.

Silent substitution experiments were conducted by initially illuminating the eye with monochromatic light that produced a small response (50–100  $\mu\text{V}$ ). Light of such a low intensity was used to ensure that long term exposure would not completely photoadapt the visual pigment(s). To demonstrate that silent substitution was possible in



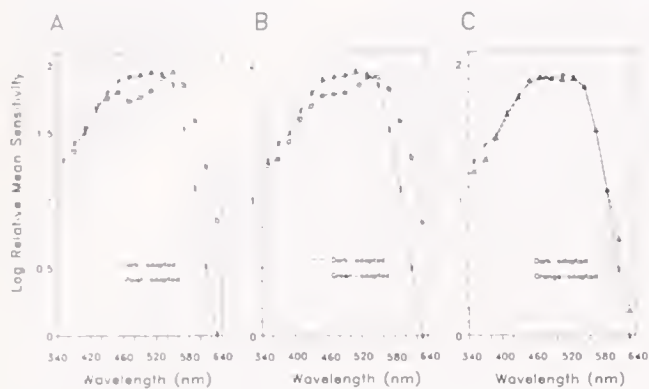
**Figure 1.** Solid line shows dark-adapted mean spectral sensitivity curve for *Gnathopausia ingens* ( $n = 25$ ). Sensitivity is defined as the reciprocal of the relative number of quanta required to elicit a criterion response at each wavelength. Criterion responses were from 20 to 50  $\mu\text{V}$ . Values from individual experiments were normalized before combining data. Standard errors are shown on the linear graph in Figure 3. The sensitivity maximum occurred around 510 nm. (A) Dotted line displays the absorbance spectrum for a hypothetical pigment with a  $\lambda_{\text{max}}$  of 505 nm and an O.D. of 1.2. The absorbance spectrum was calculated from the Dartnall nomogram, and plotted as the ratio of absorbance at selected wavelengths to that at 505 nm. (B) Dotted line shows the absorbance spectrum for two hypothetical pigments with  $\lambda_{\text{max}}$ 's of 490 and 520 nm, and O.D.s of .5. Absorbance curves for the two pigments, obtained from Dartnall's template, were added together, and an absorbance curve was calculated from the result, normalized to the maximum value as above.

this species with this apparatus, light of the same wavelength from another monochromator was substituted at various time intervals, and the intensity adjusted with a neutral density wedge until no response was seen. Subsequent silent substitutions were attempted with other wavelengths of light, using a neutral density wedge to make very small intensity adjustments.

### Regression analysis

The logarithmic spectral sensitivity values, measured at various wavelengths (every 10–20 nm from 350–610 nm) from chromatically adapted individuals, were subtracted from the values recorded from their dark-adapted eyes. The set of difference values from each animal was normalized, and the data from the same (adapting) color class were then averaged together. Linear regression lines were calculated for the mean values (Zar, 1974), and linear regression analyses were performed to determine whether the adapting lights had significant effects on the spectral sensitivities. The F-test was used to generate regressions that best fit the data. The T-test was used to determine whether the slopes of the regressions differed significantly from zero, which would indicate that the





**Figure 2.** Effects of chromatic adaptation on the shape of the spectral sensitivity curve. Standard errors are shown in Figure 3. Values from individual experiments were normalized before combining data. (A) Average violet adapted curve ( $n = 11$ —dotted line) superimposed on the dark-adapted curve (solid line), displays two sensitivity maxima at 450 and 550 nm. (B) The effects of green adaptation ( $n = 7$ ) were similar, but a short wavelength shoulder rather than a distinct peak was present. (C) Orange adaptation ( $n = 9$ ) had no visible effect on the shape of the spectral sensitivity curve.

adapting light had a statistically significant effect on the shape of the spectral sensitivity curve.

## Results

### Spectral sensitivity

Spectral sensitivity curves were measured at criterion response amplitudes ranging from 20  $\mu$ V to .5 mV. Because spectral sensitivity at all response levels was identical, only results obtained using the smallest criterion responses (from 20–80  $\mu$ V) are presented, because more complete chromatically adapted curves were measured at these amplitudes.

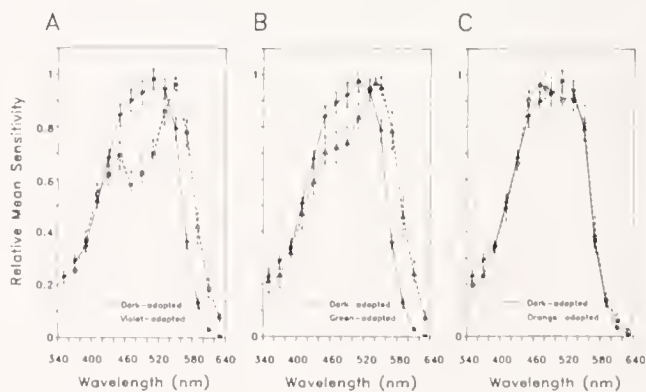
Maximum sensitivity of dark-adapted eyes was at about 510 nm and the spectral sensitivity curve was much broader than those previously published for other crustaceans (see Fig. 2—data displayed on log scale for comparison with other published spectra). The shape of the curve is fairly well approximated by the absorbance spectrum of a single pigment present in a very high concentration (O.D. = 1.2—Fig. 1A) or by an absorbance spectrum arising from the presence of two pigments absorbing maximally at 490 and 520 nm (Fig. 1B).

Violet and green light adaptation altered the shape of the spectral sensitivity curve, but not in a manner consistent with a dual visual pigment system. Violet chromatic adaptation produced a bimodal curve with a small peak at 450 nm and a much larger 550 nm peak (Fig. 2A). Green adaptation affected the curve similarly, although the short wavelength sensitivity maximum was a shoulder rather than a peak (Fig. 2B). The effects of orange

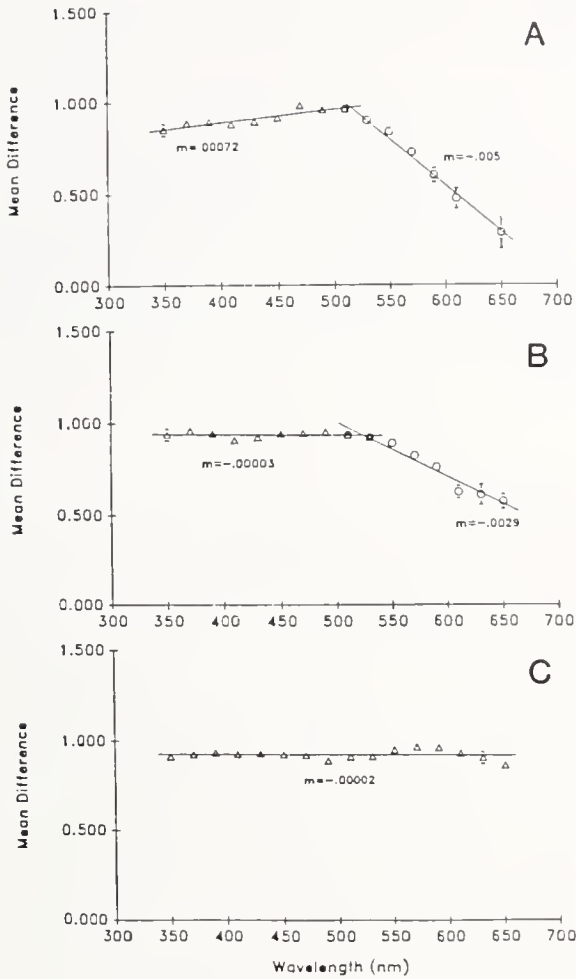
adaptation were very small (Fig. 2C); in individual animals, the curves measured under orange adaptation were identical to those measured in the dark-adapted eyes (see Fig. 5C). The chromatic adaptation results are presented on a log scale to be consistent with previously published spectral sensitivity curves on other crustaceans. However, when presented on a linear scale, the dramatic difference between the effectiveness of green and violet adaptation on altering the shape of the spectral sensitivity curve as compared with orange adaptation is much more visible (Fig. 3).

### Regression analysis

Results of the linear regression analysis on the regression lines calculated for the mean difference values are shown in Figure 4. The fit to a single linear regression was poor for the data set obtained by subtracting values measured under violet adaptation from those measured in the dark-adapted eye, so these data were divided into two groups. The cut-off point for each group was chosen so that the regressions shown provided the best fit according to the F-test. The mean difference values for green adaptation were also best fit by two linear regressions, while a single regression provided the best fit to the mean difference data for orange adaptation. Both the long wavelength and short wavelength regression lines were significantly different from zero under violet adaptation ( $P < .001$ ). Although the curve under green adaptation appears similar to that obtained under violet adaptation (Fig. 2A, B), only the long wavelength regression line (for points past 510 nm) was significantly different from zero. ( $P < .001$ ). This indicates that green light did not have a statistically significant effect on spectral sensi-



**Figure 3.** The effects of chromatic adaptation displayed on a linear scale, to accentuate the differences in the shapes of the chromatically adapted spectral sensitivity curves. Data are the same as those displayed in Figure 2. Bars indicate standard errors. The effects of violet and green adaptation were visibly greater and different from those of orange adaptation. A small shift in maximum sensitivity from 510 to 470 nm under orange adaptation is now visible.



**Figure 4.** Statistical analysis of chromatic adaptation effects on spectral sensitivity. Each point is the mean of the difference values obtained by subtracting log light intensities required to elicit the criterion response during chromatic adaptation from those required in the dark-adapted eyes; difference values from individual animals were normalized before grouping into color classes. Standard errors are shown where they are larger than the point markers (.030). Slopes ( $m$ ) of the regressions appear on each graph. (A) The mean difference values under violet chromatic adaptation ( $n = 11$ ) were best fit by two regression lines. The regressions were generated using an iterative procedure in which data points were sequentially added or subtracted at 490, 510, 530, and 550 nm until the best fit was attained using the F-test. Both slopes are significantly different from zero ( $t = 5.89$ , d.f. = 7;  $t = 20$ , d.f. = 5;  $P < 0.001$ , both comparisons). (B) The mean difference data set for green adaptation was also best fit by two regressions. The slope of the regression from 350–530 nm is not significantly different from zero ( $t = .371$ , d.f. = 8); however, the slope of the line fitting data from 510–650 nm is ( $t = 11.2$ , d.f. = 6;  $P < 0.001$ ). (C) The mean difference data for orange adaptation ( $n = 9$ ) was best fit by one linear regression, the slope of which is not significantly different from zero ( $t = .110$ , d.f. = 14;  $P \gg 0.10$ ).

tivity at the shorter wavelengths, but did significantly alter the shape of the spectral sensitivity curve at the longer wavelengths. The regression for the mean difference data

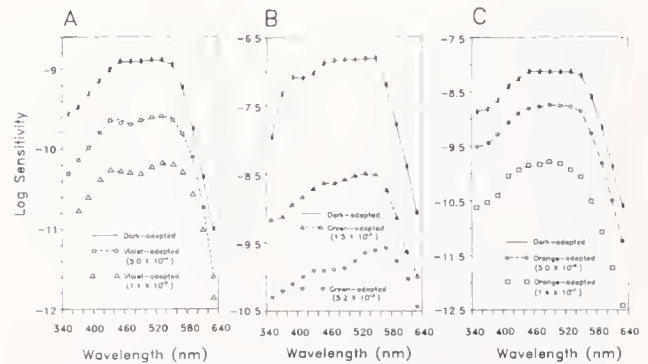
under orange adaptation was not significantly different from zero, indicating that the shape of the spectral sensitivity curve under orange adaptation was the same as that of the dark-adapted eye.

The selective effects of the different colors were not due to intensity differences in the adapting lights. The effects were visible at the lowest intensities, and higher intensities only slightly enhanced these differences (Fig. 5). Selective effects were also not due to animal variability, as demonstrated by the results from three different chromatic adaptation experiments on one specimen (Fig. 6). This experiment also demonstrates that the results seen were not due to degenerative changes in the eye over time: complete recovery to the pre-adapted level after a sufficient dark interval can be seen (Figs. 6B, C).

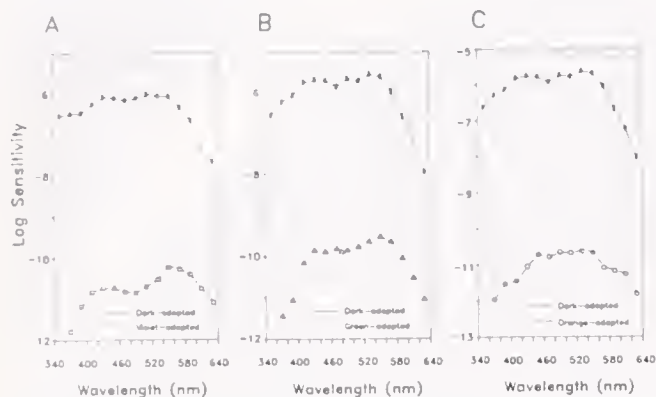
### Response waveforms

Analysis of the response waveforms indicates that a dual receptor system may be present, although again, the evidence is inconclusive. The ERGs were generally simple, monophasic, corneal-negative signals, characteristic of crustacean visual systems. Waveforms matched for equal amplitude in the dark-adapted eye were either similar (Fig. 7F), or, more commonly, were noticeably different only at the longest wavelengths (Fig. 7D). ERGs at the shorter wavelengths were simple in form, while at 550 to 570 nm, additional small waves appeared prior to the large wave. Occasional small positive shoulders preceding the larger negative waves were found at shorter wavelengths (Fig. 7A).

Violet, green, and orange adaptation had the same



**Figure 5.** The effects of varying intensities of adapting lights on the spectral sensitivity function. Each graph represents data from one animal. The numbers below the plots indicate the quantum flux of the adapting light in  $\mu\text{W}/\text{cm}^2/\text{s}$ . (A) Violet adaptation at two different intensities produced essentially the same results. (B) The selective effects of green adaptation were more evident at the higher intensity, but were still visibly different from violet or orange adaptation at the lower intensity. (C) Selective effects of orange adaptation were not visible at either intensity, although the threshold was depressed by the same amount (1–2 log units) as under violet adaptation in A.



**Figure 6.** Selective effects of chromatic adaptation on the spectral sensitivity of one specimen. Each chromatically adapted curve is shown with the dark-adapted curve measured just prior to that chromatic adaptation experiment. The effect of the adapting light was to lower the sensitivity by approximately 4–5 log units in each experiment. The selective effects of the adapting lights are consistent with the results seen in the grouped data. (A) Blue adaptation produced two peaks at 450 and 550 nm. (B) Green adaptation similarly produced a relatively bimodal curve, with peak sensitivities at 430 and 550 nm. (C) Orange adaptation had no apparent effect on the shape of the spectral sensitivity function.

effects on the response waveforms. Under chromatic adaptation, all waveforms became biphasic, with a very small first wave (or cusp at the shortest wavelengths) followed by a second larger wave (Fig. 7B, E, G). The size of the first wave increased with increasing wavelength after 570 nm. Upon extinction of the adapting light, the response waveforms recovered to the pre-adapted state, indicating that the alteration in waveform was due to the adapting light (Fig. 7C).

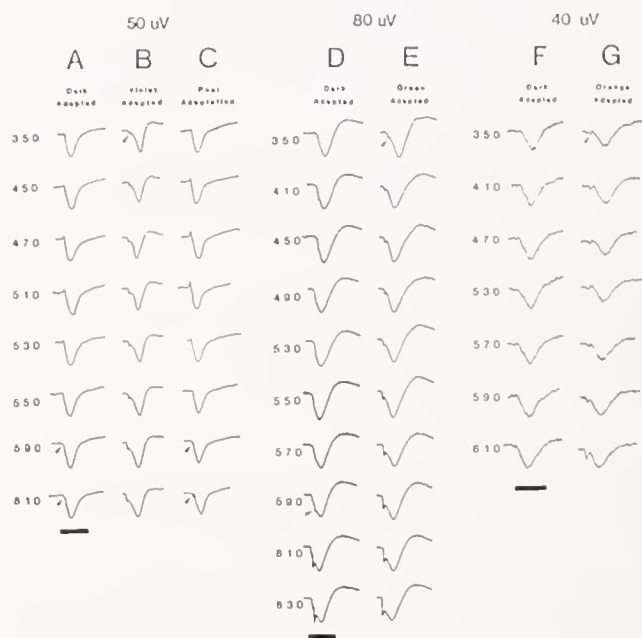
### Silent substitution

Substituting light from one monochromator to another did not produce a discernible dark period for *G. ingens*, since light of the same color could always be substituted without eliciting a response as long as the intensities were matched (Fig. 8A). When intensities were not properly matched, a distinct electrical signal was seen, the polarity of which depended on whether the substituting light was of a lower or a higher intensity. Silent substitution of 500 nm light for 400 nm light was always possible (Fig. 8B), indicating that the same receptor system was operating at these wavelengths. However, silent substitution was never possible between 500 and 600 nm (Fig. 8C), or 400 and 600 nm (Fig. 8D). An “on” response never completely disappeared before the “off” response became apparent. Increasing or decreasing the intensity only increased the size of the electrical signal. These results support the premise of two spectral classes of receptor cells, one dominating the responses in the

blue-green, and the other operating primarily in the orange.

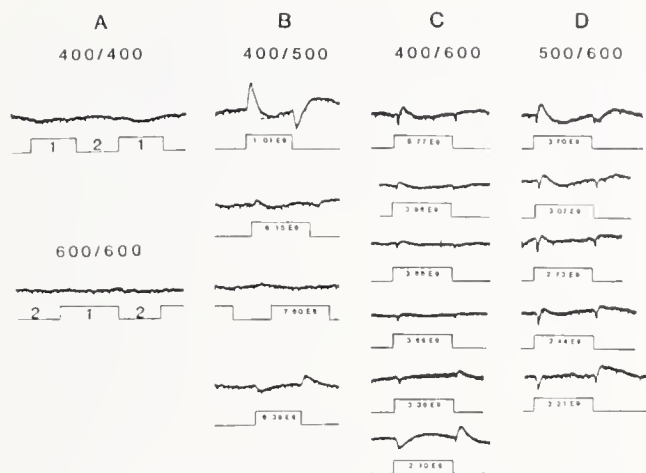
### Discussion

*Gnathopausia ingens* is a deep-sea mysid whose depth distribution depends on its life history stage. The members of the size classes studied here (Instars 6–10) have a daytime depth range of 650–750 m (Childress and Price, 1978). They do not undergo a typical vertical migration, but instead disperse at night to depths between 400 and 900 m. This species possesses the typical crusta-



**Figure 7.** Response waveforms matched for equal intensity within one preparation. Data from three individuals are shown. The criterion response is shown at the top of each data set. Time bar designates 400 ms. (A) Dark-adapted waveforms were monophasic corneal-negative responses, indicated by the downward deflection, with a small corneal positive wave preceding the larger negative component at the shorter wavelengths, and a small corneal negative wave present at the longer wavelengths (arrows). (B) Violet adaptation changed all the response waveforms such that a small negative wave was present at all wavelengths (arrow), with the size of the wave increasing at the longer wavelengths. (C) Waveforms recorded two hours after extinction of the adapting light were identical to those in the dark-adapted eye. (D) The response waveforms recorded in the dark-adapted eye of another specimen were distinctly different at the longer wavelengths (arrow). The simple monophasic waveforms seen at the shorter wavelengths developed a small cusp by 590 nm, and two distinct waves were present at 610 and 630 nm. (E) The effects of a green adapting light were identical to those of the violet adapting light; the large corneal negative waves were preceded by a small corneal negative wave (arrow). The size of the small wave again increased with increasing wavelength. (F) The waveforms in this dark-adapted eye were virtually identical at all wavelengths. (G) Orange adaptation also produced the small corneal negative wave (arrow) at all wavelengths, and the small wave again increased in size at the longer wavelengths.





**Figure 8.** Results of silent substitution experiments for one specimen. The first number at the top of the graphs is the wavelength setting for Monochromator 1 (M1); the second number is for Monochromator 2 (M2). The square waves designate which monochromator was illuminating the eye for the responses seen. (A) Silent substitution was possible when M1 and M2 were set for the same wavelength, demonstrating that no discernible dark period was present during the substitution. The (1) under the square wave indicates that M1 was on at this time; when the square wave reverses polarity, light from M2 was substituted. (B) Silent substitution was also possible between 500 and 400 nm. The top figure shows the response when the intensity of the light from M1 was too high. There was a discernible "on" response at the start of the substitution, and an "off" response at the end. Responses were corneal positive in this specimen, as they were in several others, which can be attributed to the depth of the recording electrode (Konishi, 1955). The response was not a maintained "on" response for the duration of the stimulus due to the amplifier time constant. The intensity of the light from M1 is shown below the square wave pulse in photons/cm<sup>2</sup>/s. With decreasing intensity of light, responses diminished until an intensity was reached at which no response was discernible ( $7.8 \times 10^8$  photons). For comparison, the last figure shows the off response when the intensity from M1 was too low and the on response upon substituting in light from M2. (C) Silent substitution was not possible between 400 and 600 nm light. Distinct on and off responses are visible in the first figure. With decreasing intensity, the on and off responses became smaller, but never disappeared. The smallest response was seen at  $3.66 \times 10^9$  photons. Increasing the intensity increased the size of the on response, while decreasing the intensity produced a discernible off response. (D) Silent substitution was also not possible between 500 and 600 nm. The smallest response was at  $2.73 \times 10^9$  photons, and increasing or decreasing the intensity from this value increased the size of the electrical signal.

cean spherical compound eye, but its visual physiology appears to be very unusual, and the results of this study are not entirely compatible with either a single or dual visual pigment system.

### Spectral sensitivity

The absorbance curves in Figure 1 suggest two possible explanations for the unusually broad dark-adapted spectral sensitivity curve. A single pigment present in

very high concentrations could give rise to such a broad spectral sensitivity curve, due to self-screening by rhodopsin. Such high concentrations of visual pigment have been found in several species of deep-sea fish (Denton and Warren, 1957) and crustaceans (Hiller-Adams *et al.*, 1988), and may increase sensitivity to light, a useful adaptation in the dimly lit deep-sea environment. However, two pigments with fairly close absorption maxima (490 and 520 nm) could also give rise to a broad spectral sensitivity curve, as demonstrated by the absorbance curve in Figure 1B.

The effects of chromatic adaptation do not support the single pigment/self-screening hypothesis. Only the response to orange adaptation is compatible with this hypothesis. The shift in maximal sensitivity is not significant, and the shape of the curve under orange adaptation is identical to the curve recorded from dark-adapted eyes in individual specimens. Under this hypothesis, the effects of green and violet adaptation should be the same as those of orange adaptation—decreasing sensitivity but not changing the position of peak sensitivity. However, violet and green light produced visible changes in the shape of the spectral sensitivity curve, and these changes were significant. Even when the adapting lights decreased the overall sensitivity by the same amount (see Fig. 6), the different colors had different effects on the spectral sensitivity. Therefore, a single visual pigment with a high optical density cannot alone explain the spectral sensitivity of *G. ingens*.

The spectral sensitivities of some shallow water lobsters, shrimp and crayfish are markedly shifted from the absorption maxima of their visual pigments (De Bruin *et al.*, 1957; Wald and Hubbard, 1957; Kennedy and Bruno, 1961; Goldsmith and Fernandez, 1968; Wald, 1968; Bruno *et al.*, 1977) and this has been attributed to selective filtering by screening pigments (Goldsmith, 1978; Cummins *et al.*, 1984). Although the eye of *Gnathophausia ingens* has not been investigated histologically, Elofsson and Hallberg (1977) and Hallberg (1977) have studied seven other species of deep-sea and shallow living mysids, and found several common characteristics. All possessed superposition eyes with a layer of red pigment cells around the proximal part of the rhabdom and below the basement membrane. In the deep-living species, the red pigment cells appeared to replace the darker reticular pigment found in other species.

Our gross histological examination of unfixed *G. ingens* eyes also revealed an abundance of red pigment cells. The eye also had a large eyeglow area, probably due to the presence of a tapetum or reflecting pigments. The eyeglow area did not change upon light adaptation, as it does in many insects and shallow living crustaceans with mobile proximal screening pigments (reviewed by Sta-

venga, 1979). This suggests that a dark proximal screening pigment is probably also absent in this species.

Due to the apparent lack of a proximal screening pigment in *Gnathophausia ingens*, the following discussion is based on the assumption that the red screening pigment is located around the proximal ends of the rhabdoms, as it is in the other deep-sea mysids lacking the proximal screening pigment (Elofsson and Hallberg, 1977; Hallberg, 1977). In this configuration, the red pigment would be in a position to filter light before it reached the visual pigment. MSP on a similar red pigment in the crab *Leptograpsus* showed that maximal absorbance was at 500 nm, with low absorbance in both the UV and red (Stowe, 1980); i.e., UV and red light are not blocked by this pigment. Stowe has estimated that under strong light adaptation, when the pigment extends one third to one half way up the rhabdom, (as it does in the deep-sea mysid *Erythrops*—Hallberg, 1977), a "kink" would be seen in the spectral sensitivity curve at 380 nm. This is not the case in *G. ingens*; neither the dark-adapted or chromatically adapted eyes ever showed a violet sensitivity peak. Additionally, the long wavelength peak in *G. ingens* under violet and green adaptation always occurred between 530 and 550 nm. If a red-leaky screening pigment is responsible for the chromatically adapted spectral sensitivity (as it is in several species of muscid flies—Goldsmith, 1965), a red peak should be visible between 600 and 650 nm. Therefore, based on what is known about mysid screening pigments, the leaky screening pigment hypothesis also cannot provide an explanation for the spectral sensitivity of *G. ingens*.

In other species where there is good evidence for dual visual pigment mechanisms, the selective effects of chromatic adaptation are dramatic and undeniable under adapting lights that depressed sensitivity by 1–2 log units (Chapman and Lall, 1967; Goldsmith and Fernandez, 1968; Wald, 1968; Frank and Case, 1988). The effects of chromatic adaptation on *G. ingens* were not as distinct. Green and violet adaptation significantly changed the shape of the spectral sensitivity curve compared to orange adaptation (Fig. 4), but adapting lights that depressed sensitivity up to five log units never produced effects of the magnitude seen in other crustaceans. Additionally, we were never able to completely depress the short wavelength peak, which should be possible if a short wavelength pigment was present. Lall and Cronin (1987) describe a similar situation in the purple land crab (*Gecarcinus lateralis*). The spectral sensitivity of this species was much broader than the absorption maximum of a single visual pigment, but chromatic adaptation with different colors did not have pronounced selective effects. They suggest that this alone does not preclude the possibility of two receptor classes. Receptors containing visual pigments adjacent in the spectrum (such as blue

versus green) would be difficult to isolate with ERGs, which are gross responses from the whole eye, and this problem would be compounded if one receptor class were numerically significantly inferior to the other class, as in the blue crab *Callinectes* (Martin and Mote, 1982).

### Response waveforms

The shapes of the ERG response waveforms in the dark-adapted eye and under chromatic adaptation point towards the presence of a dual receptor mechanism, but there are inconsistencies. Under the dual receptor system hypothesis, at the shorter wavelengths, the 490 nm receptor cells would dominate the ERG. At longer wavelengths, the contribution of these shorter wavelength receptors would be diminished, and the contribution of the 520 nm receptors would become evident. The differences in response waveforms to long wavelength light (570–630 nm) and shorter wavelengths in the dark-adapted eye of *G. ingens* are consistent with this hypothesis (Fig. 7). Responses to shorter wavelengths were simple, monophasic corneal negative (downward) waveforms. At the longer wavelengths, the waveforms became more complex, with a very small negative wave preceding a much larger one. The fact that these small waves were only visible at the longest wavelengths in the dark-adapted eye, and that they became larger with increasing wavelength (Fig. 7A, D), supports the premise that they are due to the contribution of the long wavelength receptor system to the ERG.

The effects of green and violet chromatic adaptation on response waveforms are also compatible with this hypothesis. Green and violet adapting lights should and did selectively diminish the contribution of short wavelength receptors to the ERG, unmasking the contribution of the long wavelength receptors. Under these adapting lights, all waveforms were composed of a small wave preceding a larger wave, and the size of the small wave increased with increasing wavelength (Fig. 7B, E). Conversely, orange adaptation should diminish the contribution of the long wavelength receptors, and eliminate the small first waves that may have been present in the dark-adapted eye. However, this is not what occurred. The effects of orange adaptation were identical to those of violet and green adaptation; a small first wave was visible at all wavelengths and increased in size at longer wavelengths (Fig. 7G). This indicates that the small first waves are not produced by long wavelength receptor cells. These effects of orange adaptation are not consistent with the dual receptor system hypothesis, but there is currently no other physiological explanation for waveform differences in crustacean ERGs.

In insects, wavelength-specific waveform differences have been attributed to differences in the size of the gan-



glionic on/off effects in the ERG due to a leaky screening pigment. If a red leaky screening pigment is present, as in several species of muscid flies, then red stimulation would stimulate more receptors than expected, producing a larger on/off effect (Goldsmith, 1965). However, the ERG recorded in crustaceans is a more purely retinal response, with no evidence for any ganglionic component (Naka and Kawabara, 1956; Burkhardt, 1962; Chapman and Lall, 1967; Goldsmith and Fernandez, 1968). The small waves also occur only at the beginning of the response, indicating that they are not due to ganglionic contributions, since the "off" response is missing. Currently, the only explanation for waveform differences in dark-adapted crustacean eyes is that two different classes of receptor cells with different response characteristics are contributing to the ERG (Chapman and Lall, 1967; Fernandez and Goldsmith, 1968; Wald, 1968).

### *Silent substitution*

The best evidence for a dual receptor mechanism in this species comes from the silent substitution experiments. The idea behind silent substitution (as described by Forbes *et al.*, 1955, and Donner and Rushton, 1959) is that if an eye is adapted to a steady monochromatic light, and this is replaced by a light of the same color from another source, a response will be seen if the intensity difference is within a detectable range for the eye. The substitution will only be silent when the eye can no longer detect an intensity difference, provided that the act of substitution does not produce a detectable dark period. If the lights are of different colors, the result will depend on the type of receptors contributing to the visual response. If only one type of receptor cell is present, then any two lights equally absorbed by the pigment can be silently substituted. Hence, in a single visual pigment system, an intensity can be found at each wavelength at which substitution will be silent. If more than one receptor type is contributing to the response, each with its own response characteristics, then in principle, substitution cannot be silent at all wavelengths. A response will be seen due to cessation of excitation of cells already responding, and the excitation of cells (with different membrane characteristics) previously not responding.

With our experimental design, we demonstrated that silent substitution was possible if monochromatic lights of the same colors were matched for equal intensities, indicating that an instrumental dark period was not discernible during the switch. Silent substitution was also possible between 400 and 500 nm, indicating that responses to these wavelengths are dominated by the same receptor cell class. However, 400 nm and 600 nm light could not be "silently" substituted at any intensity. Similarly, the substitution of 500 for 600 nm light always

produced a discernible response. These results support the hypothesis that two receptor systems are present.

Autrum and Stumpf (1953) described the presence of a red receptor in *Musca*, basing their hypothesis partly on their heterochromatic flicker results that red light always elicited a response when substituted for blue or green light, while blue and green light intensities could be adjusted to achieve silent substitutions. However, this wavelength dependence was later attributed to stimulation of different numbers of ommatidia by red *versus* green light, due to the presence of a red leaky screening pigment (Goldsmith, 1965). Goldsmith found that it was possible to produce receptor components of equal size to green and red stimulation, but the on/off components, which are ganglionic in origin and depend on the number of receptors stimulated, could never be matched. This interpretation cannot explain our results, however, because we were working with a crustacean. As stated above, the ERG in crustaceans is a more purely retinal response, with no on/off component. Without the complicating on/off component, if a red-leaky accessory pigment was present, light intensities could be found at which weak stimulation of many receptors under red light would produce the same size response as stronger stimulation of fewer receptors under green light.

Unusual effects of adapting lights on portunid crabs (Wald, 1968; Leggett, 1979) have been attributed to the presence of a single visual pigment, whose absorption is modified by different colored filters abutting different rhabdoms upon light adaptation. Our results argue against this mechanism, as the difference in waveform responses and the inability to silently substitute between 400–500 nm *versus* 600 nm cannot be explained by a single photoreceptor class with colored filters.

We are confident that these remarkable results are not consequences of the experimental procedure. The identical apparatus was used to measure the spectral sensitivity of deep-sea oplophorids (Frank and Case, 1988) and provided clear evidence for either single or double visual pigment systems in those species, comparable to published results for shallow water crustaceans. The capture and maintenance of *Gnathophausia ingens* was identical to that of the oplophorids. The only difference is that some *G. ingens* specimens had been maintained in the laboratory for up to five months. However, both the spectral sensitivity and threshold sensitivity of specimens maintained for months in the laboratory were identical to those of specimens tested within twenty-four hours of capture, eliminating laboratory maintenance as an explanation for our unusual results.

The presence of a single highly concentrated visual pigment can be readily correlated with the deep-sea habitat of this organism, as mentioned above. However, the explanation for the long wavelength shift of peak sensi-



tivity to 510 nm, and therefore enhanced sensitivity to orange light, remains unknown. The life history of *Gnathophausia* provides no answer. The size classes used in this study are never found above 400 m, and although smaller specimens are found at shallower depths, they are always deeper than 100 m, where the spectral distribution of light has already significantly narrowed towards the bluer wavelengths (Jerlov, 1968; Dartnall, 1974; Cronin, 1986).

The rationale for the presence of two visual pigments, if they are indeed present, is even more difficult to conceive. Unexpected dual visual pigment systems have been found in several species of deep-sea fish (Denton *et al.*, 1970; O'Day and Fernandez, 1974; Bowmaker, Dartnall and Herring, unpub.; Partridge *et al.*, 1988), and may be present in some deep-sea crustaceans as well (Frank and Case, 1988). All these species possess photophores, and the cited authors have suggested that possession of dual visual pigments may play a role in congener recognition. However, *G. ingens* does not possess any photophores. It does emit a bioluminescent spew from the oral region with a peak spectral emission at 485 nm (Illig, 1905; Frank *et al.*, 1984). This is close to the peak sensitivity of one of its proposed visual pigments, but is also the same as most of the bioluminescence that has been measured from organisms obtained from these depths (Herring, 1976; Widder *et al.*, 1983), which would make congener recognition based on bioluminescence difficult.

In summary, various aspects of the visual physiology of *Gnathophausia ingens* support the premise of a dual receptor system, with maximum sensitivity at 490 nm and 520 nm. These are: (1) the unusually broad dark-adapted spectral sensitivity function; (2) the selective and statistically significant effects of violet and green adaptation on the shape of the spectral sensitivity curve; (3) wavelength specific differences in response waveforms in the dark-adapted eye; (4) effects of violet and green adaptation on the response waveforms; and (5) the inability to silently substitute between 400 and 600 nm light, and 500 and 600 nm light. However, other observations argue against this conclusion. These are: (1) the inability to significantly depress the 490 nm peak; (2) the insignificant effect of orange adaptation on the shape of the spectral sensitivity curve; and (3) the identical effects of violet, green, and orange adaptation on the shape of the response waveforms. We are left with the enigma of a deep-sea crustacean with unusually high sensitivity to orange light that cannot be explained by known combinations of visual and/or screening pigments.

#### Acknowledgments

We thank Dr. James Childress, his laboratory associates, and the captains and crews of the RVs *New Horizon*

and *Velero IV* for assistance with animal collection. We thank Dr. Steven Bernstein, Mark Lowenstine, Robert Fletcher, and Joel Dal Pozzo for assistance with designing the experimental apparatus. Drs. Fred Crescetti, Bruce Robison, and Edith Widder provided helpful comments and suggestions. This work was supported by a grant from the Office of Naval Research (N00014-84-K-0314) to J. F. Case, a National Science Foundation grant (OCE 85-000237) to J. J. Childress, and a UCSB research grant and dissertation fellowship to T. M. Frank.

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## Fiber Types in the Limb Bender Muscle of a Crab (*Pachygrapsus crassipes*)

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**Abstract.** The bender muscle in the walking limb of the Pacific shore crab (*Pachygrapsus crassipes*) is composed of fibers with different structural (sarcomere length) and histochemical (NADH diaphorase and myofibrillar ATPase) properties. Slow fibers are located along the dorsal margin of the muscle and along the ventral margin in the distal portion of the muscle. The remaining bender muscle is composed of intermediate-type fibers, which can be differentiated into two groups based upon the pH sensitivity of the myofibrillar ATPase activity and the polysaccharide content of the fibers.

### Introduction

Vertebrate motor neurons are considered to have a trophic influence on their target muscle fibers, since their physiological characteristics have a profound effect on the physiological properties and structural integrity of the muscle (Guth, 1968; Gutmann, 1976). Altering the firing activity or changing the motor supply can alter the contraction speed (Buller *et al.*, 1960; Buller and Lewis, 1965; Lomo *et al.*, 1974; Luff, 1975), calcium uptake (Sreter *et al.*, 1975), and energy metabolism (Buller *et al.*, 1969; Barany and Close, 1971; Pette, 1984) of the muscle fibers. Recently, work on invertebrates involving the fast excitor to the crayfish claw closer muscle has revealed that changing the firing patterns causes changes in the physiological (Lnenicka and Atwood, 1985; Pahapill *et al.*, 1986) and morphological (Lnenicka *et al.*, 1986) properties of the synapses (review: Atwood and Wojtowicz, 1986). As a prelude to a study of the effects of changing motor axon firing patterns on the properties of target muscle fibers, we have examined certain morphological and histochemical properties of the bender mus-

cle in pristine walking limbs of the crab *Pachygrapsus crassipes*.

The skeletal muscles of crustaceans may be innervated by as few as three motor neurons (Wiersma and Ripley, 1952) and some evidence for trophic interactions between nerve and muscle comes from a close matching between motor neuron and muscle properties (Atwood, 1973). In the claw closer muscle, for example, there is a matching between muscle fiber type and the innervation patterns of the two excitatory motor neurons. In lobster, the innervation patterns of the two excitatory motor axons are matched with the oxidative capacity of the muscle fibers (Lang *et al.*, 1980). The oxidative capacity of fibers is low when innervated only by the fast axon, high when innervated only by the slow axon, and intermediate when innervated by both axons. In the crab closer muscle, a more detailed study has been performed on a small number of identifiable closer muscle fibers (Maier *et al.*, 1984). In this study the fast fibers in the crab closer muscle were divided into three sub-groups on the basis of the pH sensitivity of myofibrillar adenosine triphosphatase (ATPase) activity (Tse *et al.*, 1983). The slow and some fast (group II) closer muscle fibers are innervated by the fast and the slow excitatory axons, while the remaining fast muscle fibers are innervated by only the fast closer excitor.

In the present study we have examined certain structural and histochemical properties of fibers in the bender muscle in the walking limbs of the Pacific shore crab (*Pachygrapsus crassipes*). The limb bender muscle is innervated by two excitatory motor axons and by branches of the common inhibitor (Wiersma and Ripley, 1952). We show that the bender muscle is composed of slow and two types of intermediate fibers, and that these different types of fibers are regionally distributed within the bender muscle.

### Materials and Methods

Crabs (*P. crassipes*) were obtained from the Pacific Biomarine Laboratories, Venice, California, and were

Received 13 October 1987; accepted 27 July 1988.

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kept in the laboratory at 24°C. The animals were kept individually, and feeding (Purina rabbit chow) and subsequent seawater changes were performed every 2 to 3 days. Observations were made using autotomized, second and third walking limbs removed from crabs that had been acclimated to laboratory conditions for at least 4 weeks.

Certain histochemical properties were examined in frozen sections of the bender muscle. The cuticle of the carpus was reduced in thickness with a dental drill. The stretcher muscle was removed, and the bender muscle plus the remaining thin cuticle were mounted on a chuck in *Histo Prep* (Fisher Scientific) and immersed in liquid nitrogen; the tissue was allowed to equilibrate to -25°C in the cryostat. Sections (20  $\mu$ m thick) were mounted on glass slides and air dried for 15 to 60 min.

Sections were stained for activity of the mitochondrial enzyme nicotinamide dehydrogenase (NADH) diaphorase using the method of Ogonowski and Lang (1979), and for calcium-activated myofibrillar adenosine triphosphatase (ATPase) activity with acidic or basic preincubation, using a modified procedure of Padykula and Herman (1955). Acidic preincubation was performed in a solution of 100 mM KCl and 100 mM Na-acetate at pH 5.0 for 10 min at room temperature. Preliminary experiments using pH 4.6 pre-incubation (Maier *et al.*, 1984) did not produce differentiation of muscle fibers in sections of the bender muscle. Alkaline preincubation was carried out in a solution of 18 mM  $\text{CaCl}_2$  and 25 mM Na barbitol at pH 9.4 for 5 min, then transferred to the same solution containing 0.05% mercaptoethanol for 20 s. For both preincubation regimes, myofibrillar ATPase activity was determined as described by Maier *et al.* (1984). Sections were dehydrated in a graded series of ethanol, cleared in xylene, and mounted in Permount.

The polysaccharide content of bender muscle fibers was determined in unfixed, frozen sections using the method of Lillie and Fullman (1976); no counterstain was used.

Sarcomere length measurements were made from single bender muscle fibers fixed at resting length (O'Connor *et al.*, 1982). The cuticle over the stretcher muscle was removed. The underlying stretcher muscle, limb nerve, and connective tissue layer were carefully removed to expose the bender muscle. The preparation was bathed in a calcium-free, high magnesium crab saline for 1 h in an attempt to reduce muscle contraction during fixation. The carpus-propus joint was positioned so that the bender muscle was stretched and the preparation was immersed in Bouin's fixative for 36 h. The bender muscle was removed from the carpus and stored in 70% ethanol.

Sarcomere length measurements were made from single muscle fibers that had been teased into myofibrils in a drop of 70% alcohol on a glass slide. The myofibrils

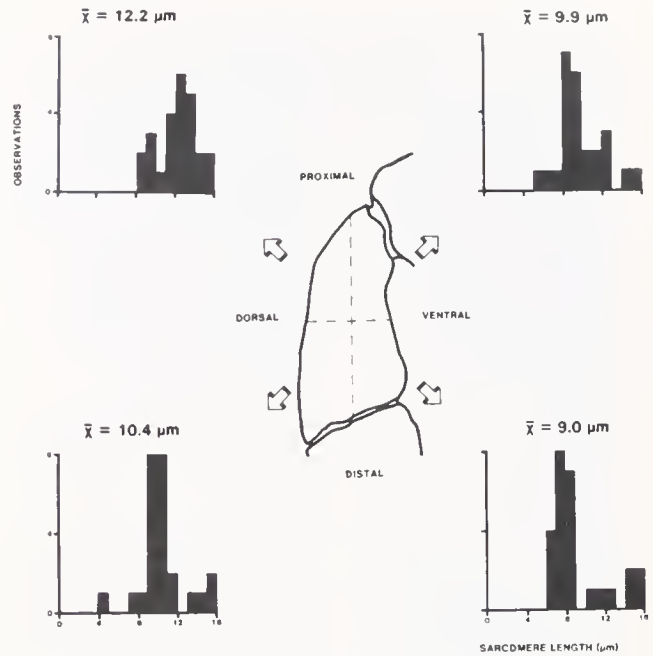


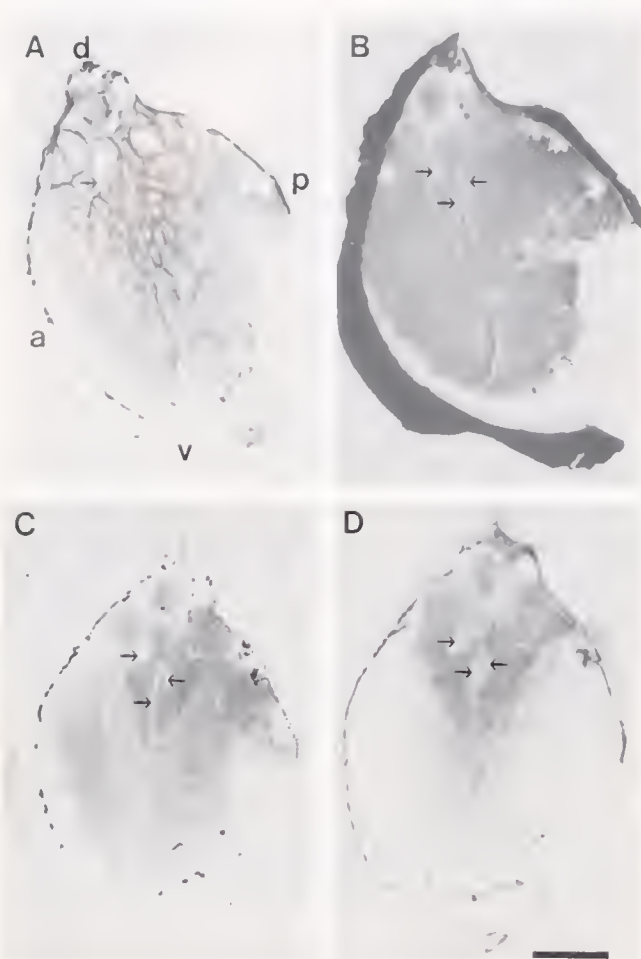
Figure 1. Sarcomere length measurements of 25 fibers removed from each quadrant of the bender muscle (inset diagram).

were examined under Nomarski optics and the length of five successive sarcomeres was measured using a calibrated ocular micrometer. Measurements were made from five different myofibrils and an average sarcomere length value was calculated for the muscle fiber. Measurements were made for 100 fibers removed from each bender muscle.

## Results

The bender muscle is located in the carpopodite segment of the limb. In *P. crassipes*, the walking legs are compressed in the anterior-posterior direction, but the degree of compression of any one segment is not always constant. In the carpopodite, for example, the proximal region exhibits little compression and is essentially cylindrical (see Fig. 2). By contrast, the distal portion of the carpopodite is compressed to form flat anterior and posterior surfaces (see Figs. 3D and 4D). The inset of Figure 1 shows the orientation of the carpopodite. The limb is viewed from the anterior surface and is connected proximally to the meropodite and distally to the propodite. The other two surface are called dorsal and ventral.

Measurements made from 100 fibers removed from different areas in the bender muscle revealed an average sarcomere length of about 10.5  $\mu$ m, with a range of 4 to 16  $\mu$ m. In one preparation, the bender muscle was divided into four regions and measurements were made from each quadrant (Fig. 1—inset). The proximal-dorsal quadrant had fibers with the longest mean sarcomere



**Figure 2.** Histochemical properties of the bender muscle. Serial sections stained for NADH diaphorase activity (A), myofibrillar ATPase activity with alkaline (B) and acid (C) preincubation, and for polysaccharide content (D). a: anterior; d: dorsal; p: posterior; v: ventral. Calibration: 500  $\mu\text{m}$  (A–C) and 415  $\mu\text{m}$  (D).

length (12.2  $\mu\text{m}$ ; range 8 to 16  $\mu\text{m}$ ). The distal-dorsal quadrant contained a similar population of long-sarcomere fibers but also had some fibers with shorter (4 to 5  $\mu\text{m}$ ) sarcomeres. Fibers located on the ventral surface of the bender muscle had sarcomeres between 4 and 16  $\mu\text{m}$ ; the distal-ventral quadrant had a higher proportion of shorter-sarcomere (5 to 8  $\mu\text{m}$ ) fibers (Fig. 1).

Sections of the dissected carpopodite revealed that the bender muscle extends along the entire anterior surface and along some of the posterior surface of the segment (Fig. 2). The smaller stretcher muscle (which was removed prior to sectioning) is located along the posterior surface of the carpopodite and is confined to the ventral and central portion of the segment.

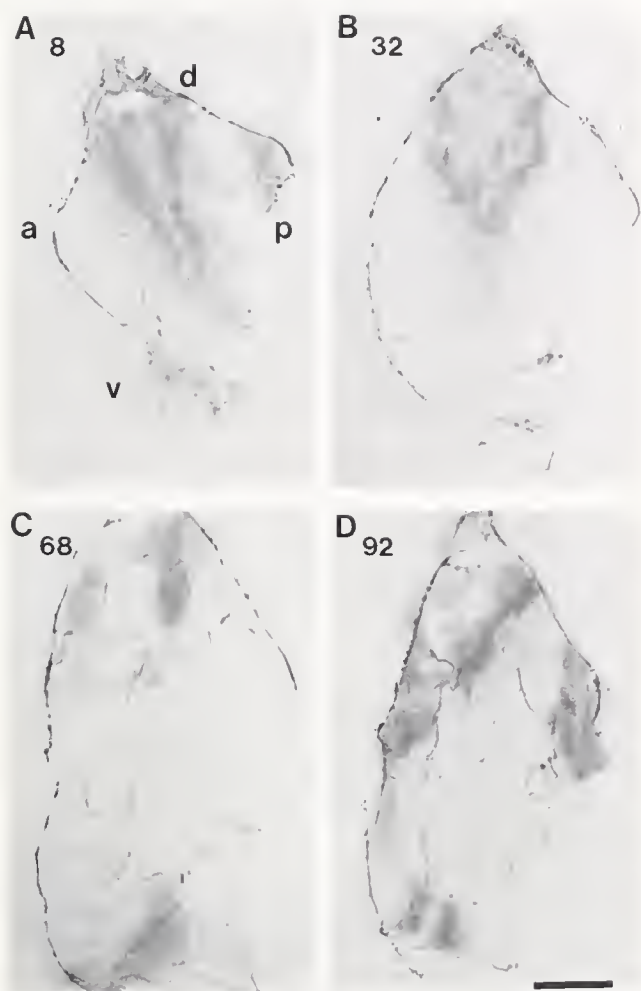
Bender muscle fibers can be differentiated by their contrasting histochemical properties. This can be seen in Figure 2, which shows four serial sections of the bender muscle taken at a level about one-third from the proxi-

mal end of the carpopodite. There is a population of muscle fibers that displays high NADH diaphorase activity, as seen by dark rings around the margin of individual fibers (Fig. 2A). In all preparations ( $n = 16$ ), these types of fibers were located in the dorsal half of the muscle, on either side of the apodeme. With regard to myofibrillar ATPase activity, these same fibers stained poorly with alkaline preincubation (Fig. 2B) but well with acidic preincubation (Fig. 2C). Finally, these fibers had a high polysaccharide content (Fig. 2D).

Most of the other fibers in the bender muscle showed poor NADH diaphorase activity (Fig. 2A) and high alkaline myofibrillar ATPase activity (Fig. 2B). In all preparations ( $n = 16$ ), staining for myofibrillar ATPase activity with acidic preincubation revealed that these fibers are not a homogeneous group. In Figure 2C there is one group of lighter staining fibers located towards the center of the muscle, and a second group of darker staining fibers around the peripheral margins of the muscle. A similar difference was also seen in sections stained for polysaccharide content (Fig. 2D). Fibers in the central portion of the muscle had a level of staining that was intermediate between fibers located more dorsally (dark staining) and fibers on the ventral margin of the muscle (light staining). Finally, in all preparations there were always some fibers that exhibited poor myofibrillar ATPase (at both pH levels) and NADH diaphorase activities (Fig. 2—arrows).

It is apparent that polysaccharide content may be correlated with the histochemical properties of most fibers in the bender muscle (Fig. 2). In all preparations ( $n = 16$ ) fibers with high polysaccharide content (Fig. 2D) stained well for NADH diaphorase (Fig. 2A) and had high acid myofibrillar ATPase activity (Fig. 2C). Fibers with lower polysaccharide content (Fig. 2D) stained well for alkaline myofibrillar ATPase activity (Fig. 2B) and poorly for NADH diaphorase (Fig. 2A). We have used this correlation between fiber-type and polysaccharide content to differentiate fiber-types in the different regions of the bender muscle (Fig. 3); these observations were confirmed by examination of adjacent sections stained for NADH diaphorase activity (Fig. 4) and for myofibrillar ATPase activity with acid and alkaline pre-incubation (micrographs not shown).

Figures 3 and 4 each show four sections taken at different levels of the bender muscle; the inset numbers represent the level of the section of the carpopodite, with proximal as 0 and distal as 100. In the proximal portion of the muscle, fibers with high polysaccharide content and high NADH diaphorase activity form a "V-shaped wedge" around the apodeme in the dorsal portion of the muscle (Figs. 3A, B, and 4A, B). Intermediate staining fibers are located on either side of the "wedge," while poorly staining fibers are located mostly ventrally in the bender muscle (Fig. 3A, B).



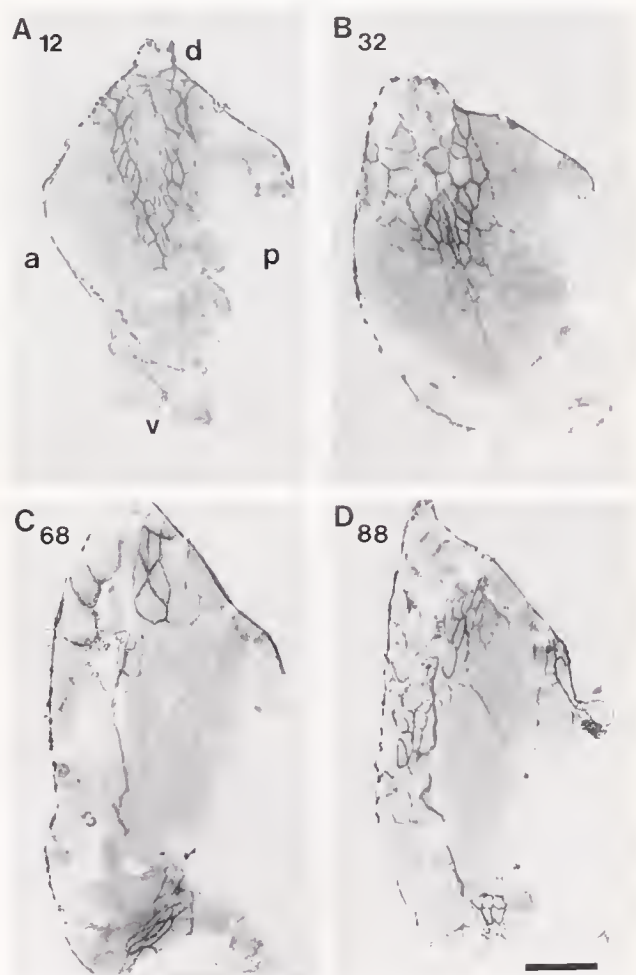
**Figure 3.** Polysaccharide content of bender muscle fibers. The inset numbers represent the level of sectioning in the muscle. 0 represents proximal, 100 represents distal. a: anterior; d: dorsal; p: posterior; v: ventral. Calibration: 500  $\mu$ m.

In the distal half of the bender muscle, fibers with high polysaccharide content and high NADH diaphorase activity are located on the dorsal and ventral margins of the bender muscle (Figs. 3C, D, and 4C, D). Intermediate staining fibers are located mostly anteriorly, while poorly staining fibers are found mostly in the posterior-central portion of the bender muscle (Fig. 3C, D). The above staining profiles were consistent in all preparations ( $n = 16$ ).

### Discussion

The present study shows that the bender muscle in the walking limbs of *P. crassipes* is not composed of a uniform population of fibers. In other crustacean muscles a correlation has been found between fiber type, sarcomere length, NADH diaphorase activity and myofibrillar ATPase activity (Atwood, 1973; Ogonowski and Lang,

1979; Tse *et al.*, 1983; Maier *et al.*, 1984; Stephens *et al.*, 1985). Slow muscle fibers tend to have long sarcomeres ( $>10 \mu$ m), high NADH diaphorase activity, and high acid myofibrillar ATPase activity. In the proximal portion of the bender, fibers with long sarcomere lengths were found predominantly in the dorsal half of the muscle. The presumption that these fibers are slow is supported by the histochemical data, since there is a distinct population of muscle fibers with high NADH diaphorase activity and acid myofibrillar ATPase activity in this region (Fig. 2A, C). In the distal region of the muscle, however, presumptive slow fibers were concentrated in the dorsal, ventral and anterior portions of the muscle (Fig. 4C, D). It is interesting that NADH diaphorase activity in individual slow muscle fibers is confined to the peripheral margin (Figs. 2A and 4). A similar observation has been made in the tails of lobster (Ogonowski and Lang, 1979) and crab (Stephens *et al.*, 1985) and indicates that



**Figure 4.** NADH diaphorase activity of bender muscle fibers. The inset numbers represent the level of sectioning in the muscle. 0 represents proximal, 100 represents distal. a: anterior; d: dorsal; p: posterior; v: ventral. Calibration: 500  $\mu$ m.



the mitochondria containing this enzyme are concentrated in the peripheral margins of the slow fibers.

In many crustacean muscles, fast fibers have short sarcomeres ( $<4\ \mu\text{m}$ ), low NADH diaphorase activity and high alkaline myofibrillar ATPase activity. Our observations revealed no sarcomeres less than  $4\ \mu\text{m}$  in length (Fig. 1), indicating that the bender muscle contains no fast fibers. The remaining fibers in the bender muscle are therefore assumed to be intermediate-type fibers. The intermediate-type fibers appear to be concentrated ventrally in the proximal portion of the muscle (Fig. 2), and posteriorly in the distal portion of the muscle (Figs. 3 and 4).

In the present study we have found that the polysaccharide content is highest in slow fibers (Fig. 2). A similar correlation has been made between polysaccharide content and fiber-type in crab swimming muscles (Tse *et al.*, 1983). Furthermore, based on polysaccharide content there appear to be two types of intermediate fibers. Moreover, the distribution of the fibers with different polysaccharide content appears to be in line with those that exhibit contrasting acid ATPase activity (Figs. 2C, D). Fibers adjacent to the slow fibers have higher polysaccharide content and have a lighter staining profile for ATPase activity. Paradoxically, in some sections there were one or more fibers with intermediate polysaccharide content, and low NADH diaphorase and myofibrillar ATPase activities (Fig. 2—arrows). We have not been able to typify these fibers.

It may be argued that the polysaccharide content of the different fibers was influenced by the dissection procedure prior to freezing of the tissue. The dissection may have selectively stimulated one axon so that the innervated fibers could have been activated and thus could have decreased or depleted their polysaccharide stores. To examine this possibility, we froze several limbs immediately after autotomy. Although the presence of cuticle decreased the quality of the sections, no differences between the staining profiles of these sections and those from dissected preparations were observed (McDermott, unpub. observations). We conclude that the difference in the polysaccharide content of the various muscle fibers is not artifact.

### Acknowledgments

This work was funded by a grant from the Research Corporation, the technical assistance of Ms. Louise di-Cola is gratefully acknowledged.

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# A New Look at Insect Respiration<sup>1</sup>

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**Abstract.** A novel thermographic method has been used for simultaneously monitoring the passage of air through up to eight spiracles of endopterygote insects. Measurements on pupae of various lepidopteran species revealed active regulation of inspirations and expirations through one or two spiracles while the majority remained hermetically closed for prolonged periods. Because of subatmospheric hemocoelic pressure acting bellows-like on the large tracheae and air sacs, air is quickly sucked into the tracheal system whenever a spiracle opens. Large, mechanically produced positive peaks in hemocoelic pressure are associated with periodic outbursts of tracheal gases through specific spiracles. During the rhythmic pulsations in hemocoelic pressure, some spiracles open and close at different locations so that CO<sub>2</sub> is quickly ventilated. Spiracles on the same segment can function in synchrony with a spiracle on some other, even distant segment. In the period of subatmospheric hemocoelic pressure, the spiracles usually open in “twinkles” or flutters lasting only 50–300 ms. Some pupae, for example, diapausing *Manduca*, use only one “master spiracle” which opens for 200 ms about once a minute. Each opening is accompanied by a gulp of 500 nl of air sucked in by negative tracheal pressure. All other spiracles may be hermetically closed for 16 h or more.

It is concluded that insect respiration is controlled by a hitherto unknown, brain independent, neuromuscular mechanism (coelopulse) consisting of two main components: (a) a mechanism that integrates proprioceptive input to control the location and timing of the spiracular openings, and (b) a coordinated system of hemocoelic pressure control that regulates the force and direction of air flow through the spiracles. The results of this study

question the general validity of the classical theory of insect respiration by simple gaseous diffusion.

## Introduction

Flying or running insects show regular pumping movements of the abdomen. These movements are associated with rhythmic changes of internal body (hemocoelic, intratracheal, or hemolymph) pressure which, acting on the walls of the tracheal sacs and tubes, produce bulk-flow of gases through the spiracles. This type of ventilation resembles ventilation of mammalian lungs by the respiratory muscles of the chest and diaphragm. The basic features of such convective tracheal ventilation have been reviewed by Babák (1912), Buck (1962), Mill (1974), Miller (1974, 1981), Kaars (1981) and Kestler (1985). The innervation and regulation of the spiracles has been described best by Miller (1981).

More complicated conditions have been encountered in immobile resting stages having very low respiratory exchange. It was believed until now that these stages—such as diapausing pupae—show no respiratory movements. Oxygen was expected to penetrate within the body by simple diffusion through the spiracles while CO<sub>2</sub> diffused in the opposite direction. Though this so called “diffusional theory of insect respiration” is generally associated with the late August Krogh, it was actually proposed over 150 years ago (see Wigglesworth, 1984). What Krogh accomplished was the measurement and mathematical calculations of diffusion rates of respiratory gases through the tracheal system. Subsequently, the general validity of Krogh’s work has stood the test of time (see Buck, 1962, and Kestler, 1985).

In 1967 Schneiderman and his colleagues (Levy and Schneiderman, 1966; Brockway and Schneiderman, 1967) showed that discontinuous respiration was associated with specific changes in mechanical pressure within the tracheal system. Later Sláma (1976) observed that

Received 8 September 1987; accepted 26 July 1988.

<sup>1</sup> Dedicated to Prof. C. M. Williams of Harvard University on the occasion of his 70th birthday.



certain immobile stages of insects exhibited rhythmic pulsations in hemocoelic pressure. Provansal *et al.* (1977) emphasized that these pulsations, which are currently known as the extracardiac pulsations, might be associated with regulation of water balance and tracheal ventilation. More recently I have used special isotonic strain-gauge transducers (Sláma, 1984a) and microrespirographs (Sláma, 1984b) to document that insects can actively and selectively control the bulk flow of gases through the spiracles. The homeostatic control of hemocoelic pressure on tracheal ventilation appeared to be effected by a novel, brain-independent, cholinergic circuitry with the centers located in thoracic ganglia of the ventral nerve cord (Sláma *et al.*, 1979; Sláma, 1986). Such an autonomic, parasympathetic-like nervous system has recently been found in various species and developmental stages of insects. It has been called the coelo-pulse system (Sláma, 1988b). Encouraged by these findings I have worked since 1979 on a method that could display the dynamics of respiration through the spiracles. This paper describes some initial results obtained with a microanemometric network that can monitor over prolonged periods the gas flow through one or more spiracles.

### Material and Methods

The pupae of all the investigated species were obtained from our laboratory cultures. Larvae of *Actias selene* were fed fresh *Rhododendron sp.* leaves. Eggs were purchased from dealers, and the pupae were kept and measured at 25°C. *Sphinx ligustri* larvae were fed fresh leaves of *Ligustrum vulgare* outdoors in September. Diapausing pupae were stored at 5°C; measurements were made at room temperature (24–25°C). *Hyalophora cecropia* larvae were reared on willow (*Salix caprea*) and *Manduca sexta* larvae on an artificial diet at 25°C. Eggs of the latter two species were obtained courtesy of Prof. L. M. Riddiford and Dr. K. Hiruma of the University of Washington, Seattle. Diapausing pupae of these species were stored at 5°C; measurements were made at room temperature.

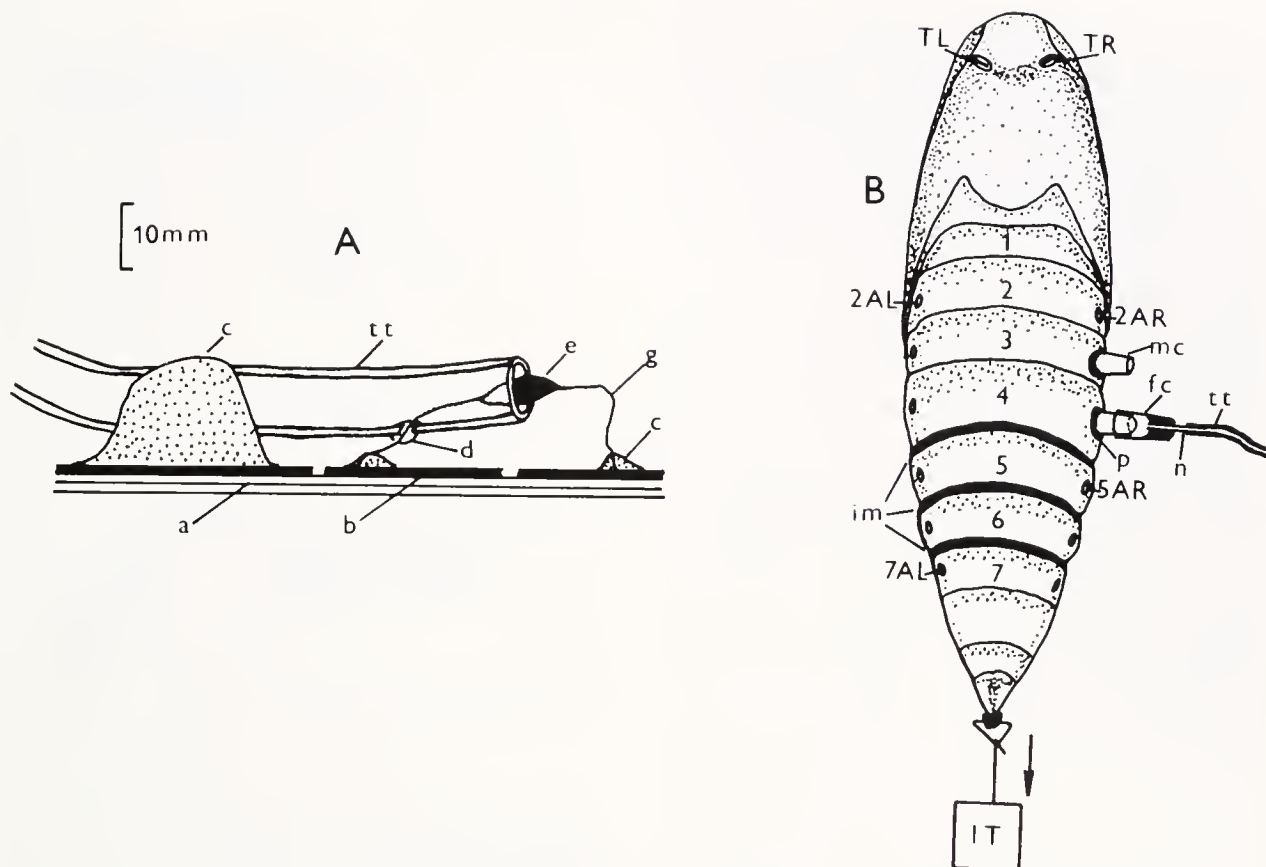
The spiracles to be measured were permanently equipped with male parts of small, plastic connectors manufactured from disposable tips of common automatic pipettes (Pipetman). Female parts of the conical fittings were fixed to 250 mm long anemometric tubings (teflon tubing 0.6 mm I.D.; 1.0 mm O.D.) leading to a multiple anemometric transducer (Fig. 1B). The fittings over the spiracles were smeared with silicone grease to insure a tight seal and easy installation or removal. Originally, the hemocoel of the pupae was connected by a steel needle with a special hydraulic transducer (Sláma, 1976), which could record simultaneously all respiration-de-

pendent changes in hemocoelic pressure. It appeared, however, that the epidermal injury caused by penetration of the integument might cause long-lasting disturbances of respiratory functions, especially in diapausing pupae. After invention of a method for indirect recording of hemocoelic pressure from the body surface (Sláma, 1984a), the hydraulic transducers were usually replaced by noninvasive contact or isotonic transducers. In the present anemometric study I used the isotonic transducers in simple "pulling" version with the sensitive membrane attached to the tip of the abdomen. This served as an auxiliary detector for monitoring all relative changes in hemocoelic pressure, *e.g.*, inspirations, expirations, hermetical closure of all spiracles, or extracardiac pulsations in hemocoelic pressure.

The microanemometer setup for monitoring the passage of air through the spiracles consisted of four independent thermographic channels (4 resistant bridges). Each channel contained two matched thermographic elements (thermistors) connected in the neighboring branches of the Wheatstone's resistant bridge. The thermistors (type 10 NR 17A, Pramet Co., Šumperk, Czechoslovakia) were positioned within the transducer so that exactly half of their bodies protruded from the inside end of the anemometric tubing (O.D. of the selected thermistors was less than 300  $\mu\text{m}$ , resistance from 280 to 480 Ohm) (see Fig. 1A). The movement of air in the tubing in either direction caused positive or negative temperature changes on slightly warmed body of the thermistor. This resulted in the respective + or – imbalance of the resistance bridge (bridge feeding 2 V AC of 5 kHz). After amplification and decoding of the signal, the recorder showed the respective + or – deviations from the midline. The two thermistors paired in one channel recorded the + and – changes with reversed polarity. When only one was connected to the spiracle while the other remained free as a control, the instrument selectively recorded inspirations and expirations in the opposite directions. This type of selective recording from up to four spiracles was predominantly used with developing pupae where inspirations alternated with frequent expirations (Figs. 1A, B).

The "nonselective" type of anemometric measurements involved situations when both thermistors of each pair terminated on the spiracles. In this case, each thermistor of the pair recorded movements of the same polarity (such as inspiration) in opposite directions from the midline. The responses were reversed with the reversed (expiratory) directions and, obviously, atypical or aberrant response occurred when both thermistors of a pair became activated at the same moment. In this way it was possible to record simultaneously from up to eight spiracles, provided that the polarity of responses was known (otherwise an inspiration in the + gate could be confused with





**Figure 1.** A—anemometric transducer formed by one thermistor (e) placed in the orifice of teflon tubing (tt) leading to the spiracle. B—a pupa with the system of spiracle identification, showing connectors of the anemometer tubings and the way of an isotonic transducer (IT) attachment. Legend: a—plastic plate of the printed circuits, b—etched circuitry, c—soldering, d—passage of thermistor wire through the wall of the tubing, e—thermistor, fc—female connector, g—silver wire of the thermistor used for positioning its body in the middle of the tubing section, im—intersegmental flexible membranes, mc—male connector permanently fixed around spiracles, n—stainless steel needle, p—paraffine wax seal, tt—teflon tubing.

an expiration in the — gate). Therefore, the anemometric measurements were always coupled with the direct or indirect transducers of hemocoelic pressure. This enabled clear distinction between the movement of air inside or outside of the body. A few examples of the combined anemo-tensiometric recording of the described type are shown in Figures 2 to 5.

The body of the anemometric transducer consisted of a printed circuit plate with the thermistors, resistors, and outlet cables, forming four complete thermographic units. It was maintained constantly within a thermostated plastic box at  $27^{\circ}\text{C} \pm 0.1^{\circ}\text{C}$ . Details of manufacture, calibration, and performance of the instrument will be described in detail elsewhere (Sláma, 1988a). Measurements were made on a four-channel tensiometric unit M-1000 (Mikrotechna Co., Praha, Czechoslovakia) and a battery of linear recorders. Details of this electronic

setup were described earlier (Sláma, 1984a, 1984b). The instrument was sensitive to a few nl of air movement; the frequency resolution was better than 4 Hz. The sample records shown in Figures 2 to 5 were selected from measurements on at least five pupae in each species.

## Results

### *Respiration of developing adults of *Actias selene**

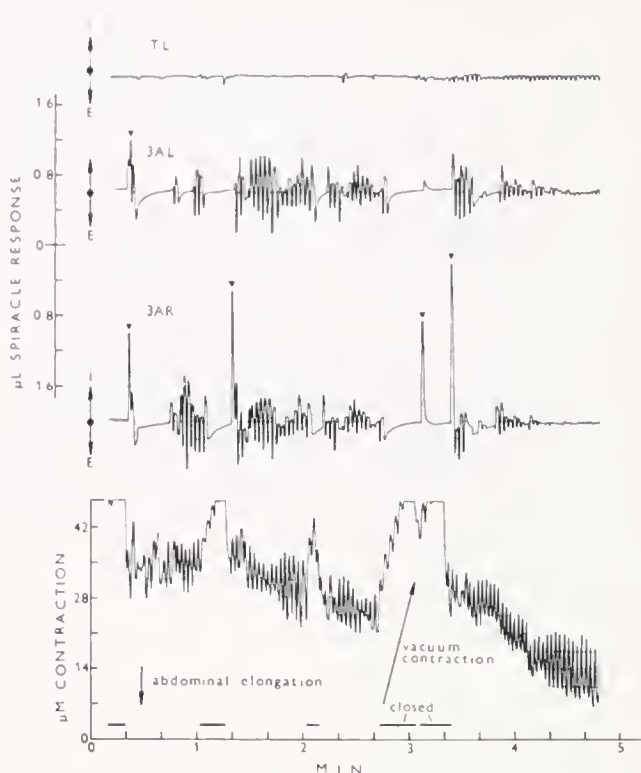
Pupae of the Chinese moon moth (*Actias selene*) develop without diapause. Preliminary recordings showed that they are breathing most of the time through the largest spiracles, located on the third abdominal segment. About midway in the pupal-adult transformation there are 30 to 40 min periods of relative ventilatory rest (at  $25^{\circ}\text{C}$ ). The hemocoelic pressure shows slightly subatmospheric values ( $-300$  to  $-500$  Pa) and air is constantly

sucked in through one largely constricted spiracle (most commonly one of the thoracic or third abdominal). These resting periods regularly alternate with 15 to 20 min periods of rhythmic pulsations in hemocoelic pressure. The pulsations are extracardiac. They are caused by contractions of the intersegmental abdominal muscles, the heart-beat operates independently on two different frequencies and causes 100-times smaller changes in hemocoelic pressure.

Figures 2A and B show ventilatory functions of three selected spiracles during the terminal part of one extracardiac pulsation. The lowest trace is an auxiliary record obtained from the isotonic transducer attached to the tip of the abdomen. This record shows the frequency of the extracardiac hemocoelic pulsation to vary from 26 to 18 strokes per min, and the amplitude of the associated abdominal movements from 5 to 15  $\mu\text{m}$ . Another instructive feature of the record is the intervals when all spiracles are hermetically sealed (indicated by horizontal lines at the bottom of Fig. 2A and B). During this time, hemocoelic pressure decreases and the abdomen contracts with constant velocity of  $84\text{--}90\ \mu\text{m} \cdot \text{min}^{-1}$ , which is proportional to the  $\text{O}_2$  consumption rate. A further characteristic of the record is large inspirations of air (indicated by triangles). These are connected with a sudden increase of internal body volume, elevation of the subatmospheric hemocoelic pressure and, finally, with sudden elongation of the abdomen. This has been recorded by the transducer in Figures 2A and B.

The above relationships suggest that the record from the isotonic transducer can reveal many details related to the respiration dynamics of the investigated pupa. However, it does not show which of the spiracles was functioning. This information is partly provided by the anemometric records in Figures 2A and B. The spiracles on the third abdominal segment are most frequently used in this species. Each of the spiracles measured can function independently. Thus, the left thoracic spiracle (TL) was hermetically closed at the beginning of recording, it was slightly opened but held considerably constricted between the third and fifth min, and it opened after both 3A spiracles were tightly closed (Fig. 2B). This experiment does not determine how much the thoracic spiracular valves were opened during the maximum amplitude of the anemometric responses. It is also unknown whether some of the remaining intact spiracles would flutter at the same time. Nevertheless, the amplitudes showing the movement of up to  $\pm 0.5\ \mu\text{l}$  of air across the spiracular valve with every stroke of the intersegmental muscles (Figs. 2A, B) provide clear experimental evidence that hemocoelic pulsations may indeed cause a very efficient tracheal ventilation.

The comparison of anemometric records from the right and left 3A spiracles documents that each spiracle

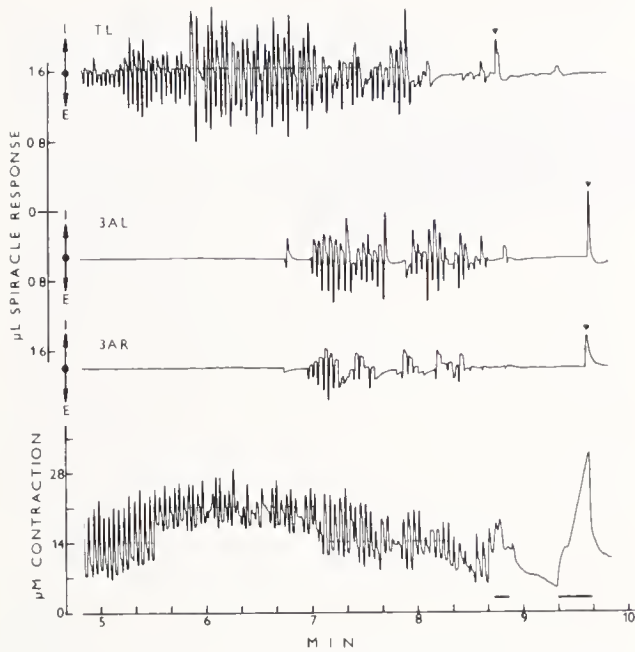


**Figure 2A.** *Actias selene*, midway during the pupal-adult transformation. Example of the selective recording of inspirations (I) and expirations (E) from three spiracles (TL-left prothoracic, 3 AL-left and 3 AR-right 3rd abdominal) during the second half-period of an extracardiac pulsation. Each of the three anemometric channels had one "active" thermistor connected with spiracle while the other was free. Lower trace shows relative changes of internal volume and hemocoelic pressure, recorded indirectly via an isotonic transducer attached to the tip of the abdomen. The heavy black lines on the bottom indicate periods when all spiracles are hermetically closed; triangles show larger inspirations of air.

can instantly open or close in full synchronization with individual strokes of the hemocoelic "hydraulic bellows." The frequency of 0.3 to 0.5 Hz (one stroke in 2–3 s) is sufficiently low to allow such synchronization. Although the 3AL and 3AR spiracles function in concert for some time, certain strokes are missing on one or another trace. Moreover, larger inspirations of 1.0 to 1.5  $\mu\text{l}$  of air were realized selectively by a sudden 300 ms flutter of the 3AR valve, while the contralateral valve remained silent. These results show that functioning of the spiracular valves is controlled by a nervous system whose functions are precisely coordinated with nervous control of the extracardiac pulsations.

#### *Respiration of diapausing cecropia pupae*

*Hyalophora cecropia* invariably enters a prolonged pupal diapause which persists for at least 6 months at room



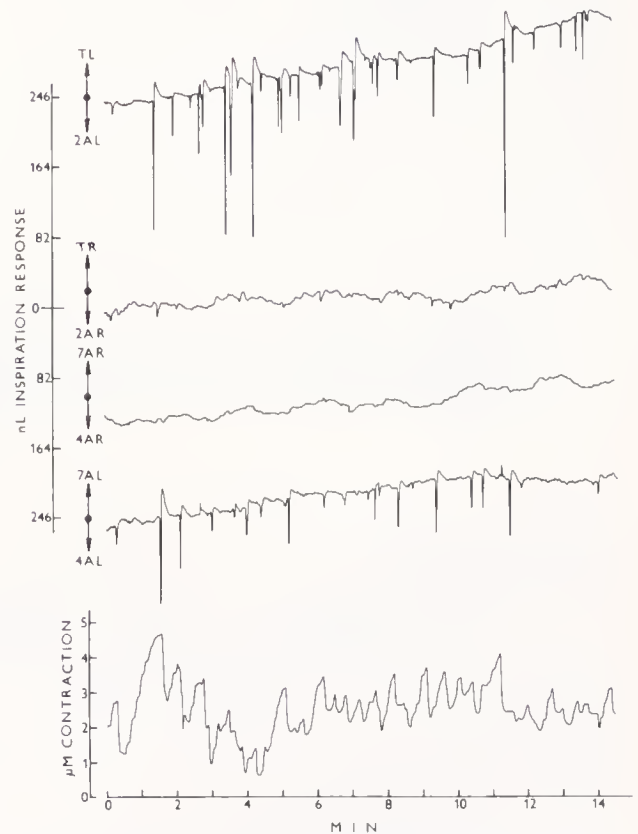
**Figure 2B.** Continuation of the recording from Figure 2A showing the terminal part of the extracardiac pulsation including ventilation of the left prothoracic spiracle.

temperature. In this case, anemometric recordings were preceded by one or more days of continuous monitoring of respiratory dynamics using the isotonic transducer alone (at 25°C). This was necessary to recognize possible abnormalities in respiratory functions resulting from the attachment of spiracles to anemometric tubing. The anemometric technique permits unrestrained movement of air across the spiracles. However, the records occasionally signaled suffocation or incomplete CO<sub>2</sub> ventilation after prolonged anemometric recordings. Usually this was recognized by supernumerary, out-of-schedule pulsations. In this case the connectors were dismantled for some time. In the majority of diapausing *Cecropia* pupae (15 specimens; some of them measured several times) there were regular bursts of CO<sub>2</sub> release at 5 to 7 h intervals. In addition, there were brief expiratory outbursts of intratracheal gases associated with abdominal rotation once per 12–16 h.

Figure 3 shows a typical sample of the combined tensio-anemometric recording during the interburst period. The lower trace from the isotonic transducer reveals relative changes of internal body volume. It shows that the spiracles were hermetically sealed most of the time (internal pressure was subatmospheric throughout). The closure is indicated by the periods when the abdomen retracts due to decreasing pressure with a constant speed of 3  $\mu\text{m}$  per min. Volumetric calibration of this pupa under water revealed that 1  $\mu\text{m}$  of abdominal contraction was

equivalent to 240 nl of internal volume. Thus, isotonic transducer can be used as a rapid and simple detector of O<sub>2</sub> consumption rate. The constant rate of 3  $\mu\text{m} \cdot \text{min}^{-1}$  of abdominal retraction in Figure 3 corresponded to O<sub>2</sub> consumption of 720 nl  $\cdot \text{min}^{-1}$  (43.2  $\mu\text{l O}_2 \cdot \text{h}^{-1}$ ).

The diapausing *Cecropia* pupae maintain subatmospheric hemocoelic pressure during the whole interburst period. Except for the CO<sub>2</sub> burst, they perform mechanical expiration only during a brief rotational response once per 12–16 h. In such prolonged inspirations, which is very common in all diapausing lepidopteran pupae, the anemometric network can be used for simultaneous recording from eight spiracles, as shown in Figure 3. In the upper part we find four anemometric traces corresponding to four pairs of eight reciprocal gates. Each trace is thus common to two spiracles whose inspirations are displayed in the opposite directions (see arrows in



**Figure 3.** *Hyalophora cecropia*, diapausing male pupa during the interburst period (25°C). Recording of inspirations from eight spiracles (T-thoracic, AL-left, AR-right abdominal spiracles). Note that in contrast to single gate operation as shown in Figure 2, the two reciprocal gates of each channel record here inspirations in the opposite direction from the midline (see arrows). Lower trace from the isotonic transducer reveals intervals and magnitude of all inspirations, i.e., sudden abdominal elongation. Hermetical closure of spiracles between inspirations is manifested by a steady upward movement of the pen driver (abdominal contraction due to decrease of hemocoelic pressure).



Fig. 3). The intervals and magnitudes of all inspirations in the body can be checked on lower trace from the isotonic transducer, as explained above. Accordingly, any sudden expiration of intratracheal gas, if present, should be recognized easily by instantaneous abdominal contraction, whereas passive inspirations are caused by abdominal elongation (for more details see Sláma, 1984a).

Figure 3 shows that the pupa used only two spiracles for periodic inspirations during the interburst period, *i.e.*, second and fourth left abdominal. Their function was coordinated with an accuracy of a few ms. With values of internal pressure ranging from  $-300$  Pa to  $-2$  kPa, the 2 AL spiracle showed larger inspirations up to 200 nl of air, while the 4 AL gave smaller and more variable responses of 20 to 50 nl at a time. This suggests that the aperture of each spiracle can be individually controlled. The general respiratory pattern of this pupa was that air was taken in discontinuously in sudden gulps lasting only 100–200 ms at more or less regular intervals of 3 to 4 per min. The intervals between inspirations could be prolonged by decreasing ambient temperature. For example, at  $15^{\circ}\text{C}$  the intervals were approximately twice as long as at  $25^{\circ}\text{C}$ . Near the  $\text{CO}_2$  burst period, the passive respiratory movements often disappeared from the records though hemocoelic pressure remained slightly below barometric level. Gentle touching of the surface (causing small volumetric changes within the pupal body) always evoked an immediate anemometric response in one or both thoracic spiracles. This suggests that some spiracles can be maintained constricted, allowing a constant inflow of  $720\text{ nl}\cdot\text{min}^{-1}$  of air into the body.

#### *Management of $\text{CO}_2$ by diapausing *Cecropia* pupae*

Figure 4 shows the anemometric responses during the whole period of  $\text{CO}_2$  burst in the same pupa used in Figure 3. The bottom trace from the isotonic transducer shows rather delicate extracardiac hemocoelic pulsation with an amplitude of only about  $1\text{ }\mu\text{m}$  of abdominal movement and a frequency of 21–23 strokes per min. In principle, the movement of flexible abdominal segments acts bellows-like on the tracheae and produces the inflow or outflow of gas through any open spiracle. The amplitudes of the anemometric responses are directly proportional to the aperture of the spiracular valve. For example, a completely closed spiracle gives no response, a partly constricted one gives an intermediate response, and a fully opened spiracle should give the maximum response. In addition, amplitudes of the individual anemometric responses are weakened by an increasing number of spiracles that open simultaneously.

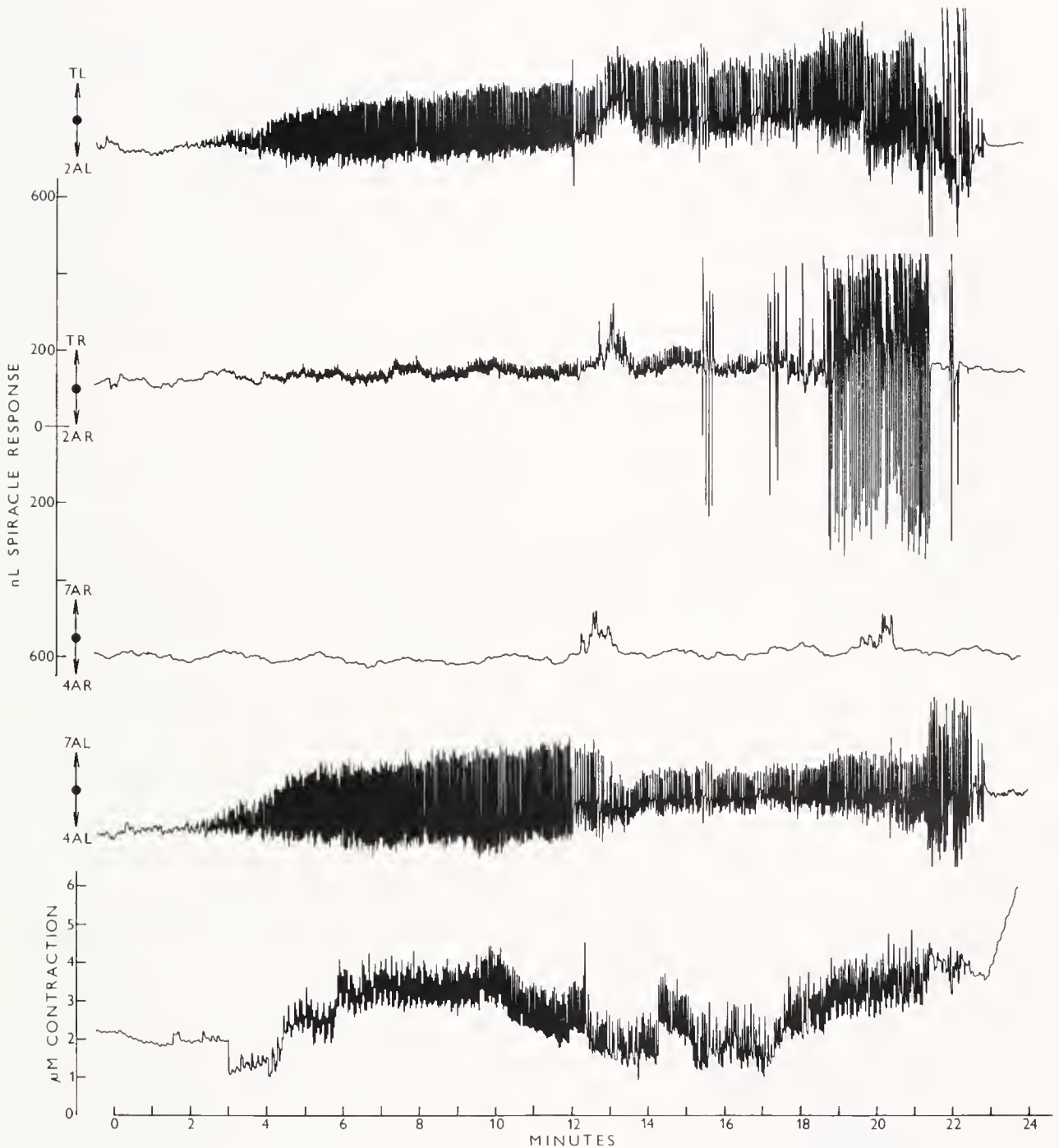
Some of the above outlined relationships are illustrated by the upper traces in Figure 4. The “nonselec-

tive” variant of the anemometric recording and slow chart speed do not show which of the two spiracle mates on each channel have actually responded. The arrangement of the pairs of spiracles shown in Figures 3 and 4 was made after the foregoing finding that the two spiracles of a pair did not function at the same time. Figure 4 shows that some spiracles, such as 7 AR and 4 AR remained closed throughout almost the entire period of the  $\text{CO}_2$  burst. It also shows that the “master spiracles” from Figure 3 (2 AL and 4 AL) were probably functional during the initial half-period of the burst, whereas a larger thoracic spiracle (TR) opened at the end of the burst. Different amplitudes of the anemometric responses and permanent closure of some spiracles suggest that the spiracles cannot be maintained widely opened by high  $\text{CO}_2$  concentration during the burst, as has been generally believed. In reality, some spiracles can be selectively ventilated at different sites and at determined periods of the  $\text{CO}_2$  burst. The records of other burst periods in this and other pupae indicated that the pattern in Figure 4 is variable. This suggests that alteration of the spiracle opening sequence is under non-stereotyped physiological control.

Pupae of *Cecropia* and some other saturniids usually close all spiracles for 10 to 20 min after termination of a  $\text{CO}_2$  burst, when internal pressure is close to atmospheric level. During this period, abdominal segments retract with the velocity of  $5$  to  $12\text{ }\mu\text{m}\cdot\text{min}^{-1}$  and hemocoelic pressure declines to  $-5$  kPa or less. Such a large vacuum inside the body becomes sequentially reduced to the usual values by large inspirations of air, most frequently through thoracic or last abdominal plus thoracic spiracles. Sometimes more than  $20\text{ }\mu\text{l}$  of air are taken in a single surge and sometimes more than  $0.5\text{ ml}$  of air is taken in during one min. The process continues until hemocoelic pressure becomes adjusted to about  $-500$  Pa. This is followed by the type of respiration as shown in Figure 3.

#### *Respiration of diapausing *Sphinx ligustri**

Sphingid pupae typically show regular periods of inspirations that give the records of hemocoelic pressure a saw-tooth appearance. The records from isotonic transducers are mirror images of changes in hemocoelic pressure (see Sláma, 1984a), *i.e.*, the abdomen contracts slowly when hemocoelic pressure passively decreases. The bottom trace in Figure 5 shows the saw-tooth pattern in *Sphinx ligustri*. The teeth indicate intervals and size of the inspirations. A further peculiarity of sphingid pupae is the slowly expanding internal volume (visible as the slow decline tendency of the lower trace in Fig. 5). This is terminated once per several hours by a large expiration associated with abdominal rotation or, eventually, by a  $\text{CO}_2$  burst. Useful information from this record are



**Figure 4.** *Hyalophora cecropia*, the same preparation as in Figure 3, recording from eight spiracles during the 20-min period of  $\text{CO}_2$  burst associated with an extracardiac pulsation ( $25^\circ\text{C}$ ). Each of the four anemometric traces gives unresolved responses from four pairs of spiracles (arrows indicate inspirations in the particular spiracles, but they may be expirations in the respective counterparts). The amplitudes of the anemometric responses are proportional to the degree of opening of the spiracular valves. Lower trace shows the bellows-like ventilatory movements of the distal abdominal segment.

more or less regular intervals of inspirations at 30 s, and the velocity of the constant abdominal contraction of  $2.5 \mu\text{m} \cdot \text{min}^{-1}$ . Calibration of the pupa under water revealed

that  $1 \mu\text{m}$  of abdominal contraction was equivalent to 110 nl of air transported through the spiracle or 110 nl of  $\text{O}_2$  consumed ( $\text{O}_2$  consumption of  $16.5 \mu\text{l} \cdot \text{h}^{-1}$ ).

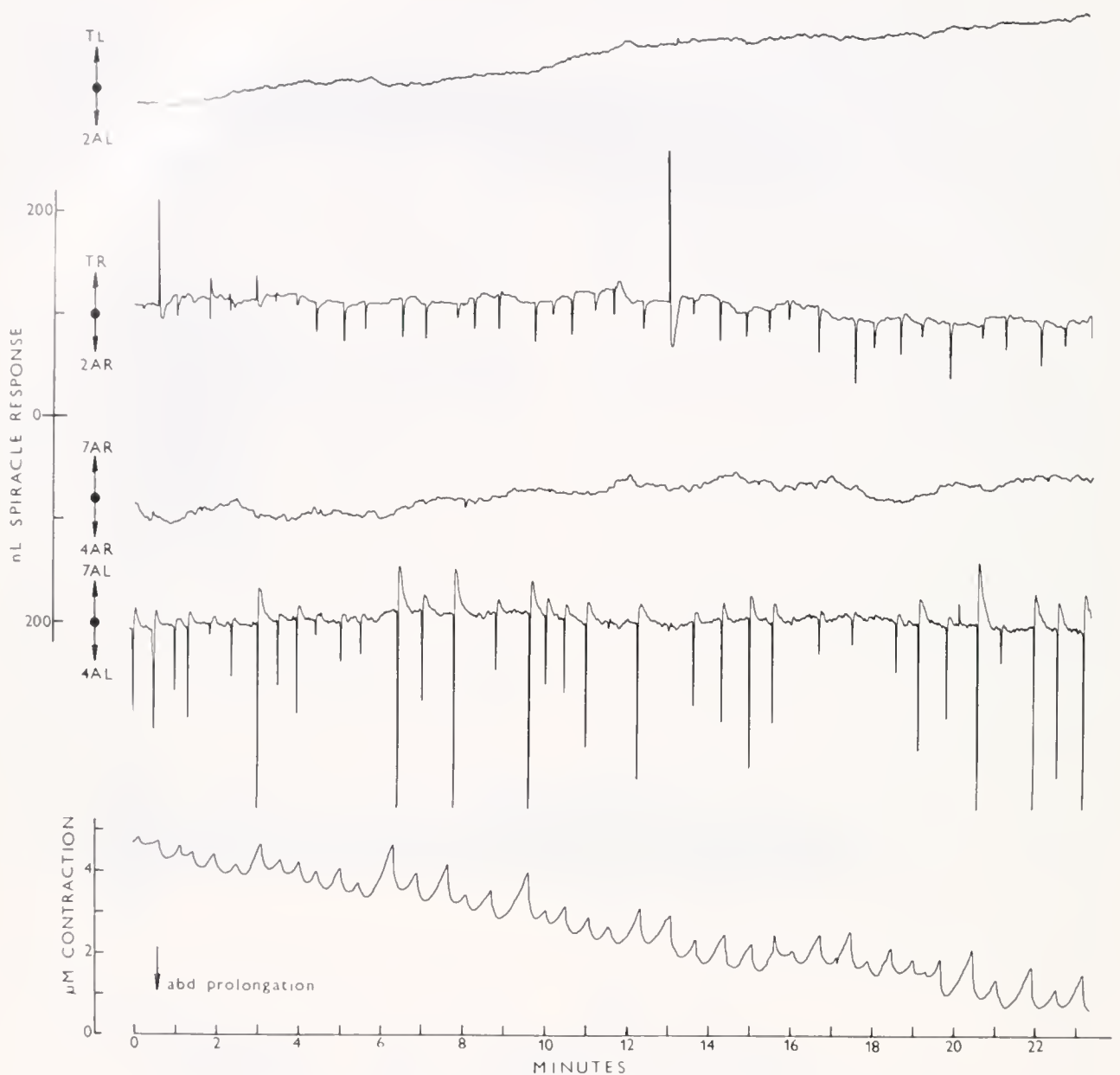


Figure 5. *Sphinx ligustri*, diapausing pupa. A sample from prolonged recordings between the  $\text{CO}_2$  bursts during the period of discontinuous inspirations of air. Lower trace shows the characteristic "saw-tooth" pattern of hemocoelic pressure changes revealed indirectly by an isotonic transducer from the tip of the abdomen. Inspirations are indicated by sudden abdominal prolongation (sudden decrease of the internal vacuum). The anemometric traces show inspirations in eight spiracles indicated by the arrows. Note that only 4 AL and 2 AR spiracles were used for inspirations, the 1R spiracle was used only twice, while the rest of spiracles were hermetically closed all time.

The anemometric traces (Fig. 5) document that this pupa also used inspirations through selected abdominal spiracles. Inspirations occurred only in 4 AL assisted by 2 AR. A sudden inspiration through the right thoracic spiracle occurred as the two abdominal spiracles go silent (around 13:00 min recording time). Such swift interplay between close or more distant spiracles is quite common.

Direct evidence that it was not expiration through the paired 2 AR spiracle is provided by the tensiometric record below, which shows abdominal elongation due to volumetric increase, not contraction.

The pattern of inspirations in Figure 5 shows that the "master" and assisting spiracles taking part in discontinuous air intake are not only located on different body



segments, but can occur on contralateral sides. Moreover, the two functioning spiracles opened for a total of only 7 s of 22 min, while all other spiracles were hermetically closed. This pattern when all spiracles are closed while only two of them would flutter for no more than 0.53 per cent of time seems to be a common feature in diapausing pupae of Lepidoptera. It provides a serious argument against the belief that the pupa could breathe by simple diffusion of respiratory gases through spiracles. The situation in Fig. 5 cannot be taken as a stereotypic model for all pupae of a species. Other pupae of *S. ligustri* did not use 4 AL as the most active spiracle. Some used preferentially TR, 3 AL, or 7 AL.

#### *The tobacco hornworm Manduca sexta*

Large sphingid pupae (*Acherontia atropos*, *Herse convulsi*, *Manduca sexta*) show very special respiratory scenarios during diapause. They maintain an internal vacuum and tend to use a single "master" spiracle for prolonged discontinuous inspirations, while all other spiracles are tightly closed. This often continues unaltered for periods of more than 15 h at room temperature or for several days at 5°C. Figure 6 shows a 24-min segment taken from an uninterrupted 48-h recording in diapausing *Manduca*. The bottom trace comes from the isotonic transducer. It shows regular inspirations at 1.5 to 2 min intervals. The inspirations have been associated with sudden increases of internal body volume which is manifested on the record by sudden elongations of the abdomen. After termination of the anemometric measurements, the hemocoel cavity of this pupa was connected with the hydraulic transducer for calibration of the system. The bottom trace in Figure 5 appeared as a mirror image of changes in hemocoelic pressure (for more details see Sláma, 1984a).

The records in Fig. 6 show that, of eight spiracles, the pupa inspired only through the left thoracic spiracle. All others were kept hermetically closed. Each inspiration lasted approximately 200 ms, the anemometer detected a rapid flow of 600 nl of air. Further measurements on this and other diapausing *Manduca* pupae revealed important biophysical data that can be summarized as follows: (a) the velocity of the steady abdominal contraction is  $2 \mu\text{m} \cdot \text{min}^{-1}$ ; (b)  $1 \mu\text{m}$  of abdominal movement corresponds to 2.9 Pa change in hemocoelic pressure; (c)  $1 \mu\text{m}$  of abdominal movement is equivalent to 150 nl of air inspired or  $\text{O}_2$  consumed, and (d) the baseline hemocoelic pressure is 0.8 kPa under atmospheric level.

The above data reveal a hitherto unknown homeostatic mechanism. This mechanism regulates a constant body length within the limits of  $\pm 5 \mu\text{m}$  (i.e., 1/10000th of pupal length), maintains more or less constant body volume within the limits of  $\pm 750 \text{ nl}$  (i.e., 1/13000th of

body volume), or regulates hemocoelic pressure within the limits of  $\pm 14.5 \text{ Pa}$  (i.e., 1.4 mm hydrostatic pressure). This illustrates remarkable accuracy in the underlying sensory and neurophysiological mechanisms.

Extensive anemometric studies with diapausing pupae of various lepidoptera consistently revealed subatmospheric hemocoelic pressures and predominantly closed tracheal systems. Air was mechanically sucked into the body whenever some spiracle opened while mechanical expiration was unusually rare. In certain cases, as in the pupa of *Manduca*, body volume remained constant for many hours in spite of a well-documented net inflow of air into the otherwise hermetically sealed pupal body. This effective nitrogen concentration within the closed pupal case has been studied intensively. Details will be described elsewhere.

### Discussion

The mechanics of insect respiration and tracheal ventilation were studied some 80 years ago (for review see Babák, 1912). About 30 years ago, the discontinuous respiration of insects became a favorite subject of insect physiology (Punt, 1950; Schneiderman and Williams, 1955; Buck, 1962; Keister and Buck, 1964). Though it still is a favorite subject of more recent reviews (Miller, 1981; Kestler, 1985), few additional insights have been added since Schneiderman and his co-workers defined changes in intratracheal pressure, described the microcycles of suction respiration, and explained the basic mechanisms of spiracular functions (Levy and Schneiderman, 1966; Brockway and Schneiderman, 1967; Burkett and Schneiderman, 1966). The present study stems directly from these publications and confirms most, though not all, of the conclusions.

Information is available on neuromuscular control of spiracular functions and ventilatory movements (review by Miller, 1981). Anemometric techniques, combined with the direct or indirect detectors of hemocoelic pressure, now permit monitoring the actual passage of respiratory gases through individual spiracles. These techniques, in combination with very sensitive microrespirographic methods (see Sláma, 1984b), help to obtain simultaneous monitoring of the course of  $\text{O}_2$  consumption, internal volume, and hemocoelic pressure changes and, most importantly, monitoring of the functioning spiracles (for more technical details see Sláma, 1984a, 1984b, 1988a).

August Krogh's pioneering work led to a theory of purely diffusive gas transfer within the tracheal system (Krogh, 1920). This model was subsequently corroborated by Weiss-Fogh (1964) who concluded that there were no reasons to assume mechanisms for insect respiration other than simple gaseous diffusion. The model

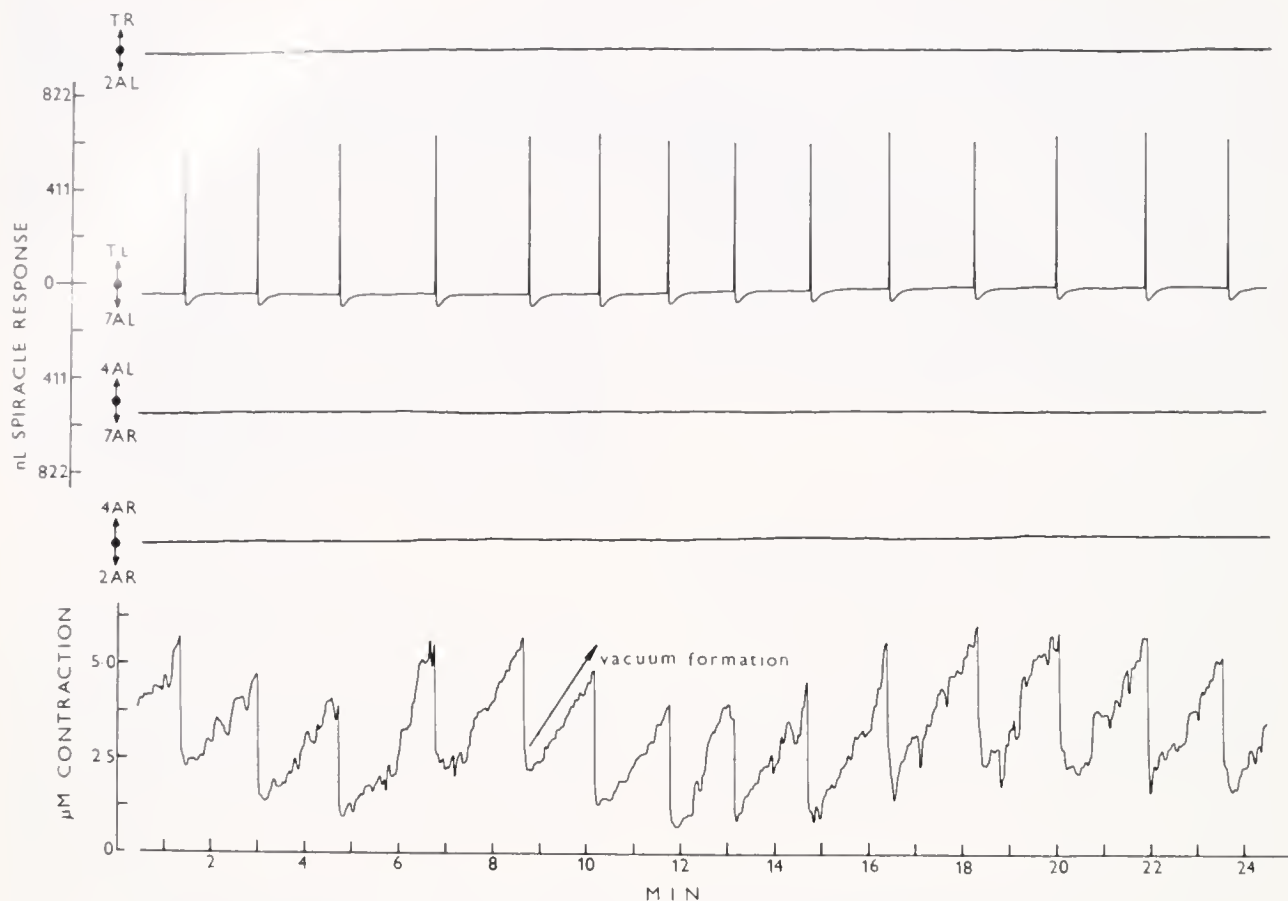


Figure 6. *Manduca sexta*, diapausing female pupa. Recording of regular inspirations from eight spiracles during the interburst period. Only left prothoracic spiracle was functional while all others were tightly sealed. The bottom trace from the isotonic transducer displays the associated pressoric or volumetric changes from movement of the tip of the abdomen.

was thoroughly analyzed by Buck (1962) and recently updated by Kestler (1985). The most important challenge to the diffusion theory has hitherto been the results of Hazelhoff. The latter's work is known mainly from the description of Prof. H. Jordan (see Jordan, 1927). Hazelhoff found that insect spiracles were completely closed most of the time—a finding that few have recognized but which is fully confirmed in the present study.

The diffusion theory of insect respiration was questioned in various review articles (Chauvin, 1949; Kuznetsoff, 1953; Buck, 1962; Miller, 1981; Kestler, 1985), but the critiques lacked experimental data. The original reasonings of Krogh (1920) supporting the purely diffusive mode of insect respiration were based on the premise that immobile stages of insects did not show ventilatory movements. However, we see (Figs. 1A, B and 3) that immobile pupae do exhibit minute hemocoelic pulsations. These result in active tracheal ventilation. Buck (1962) postulated that a convective stream of intratracheal gas can be achieved by extremely small changes in

internal pressure. Indeed, the changes in mechanical pressure associated with the described pulsations are so small (sometimes less than 5 Pa or less than 1  $\mu\text{m}$  of integumental movement) that they can be visualized only via recently available electronic devices. This may explain why the existence of extracardiac pulsations in hemocoelic pressure remained unknown until 1976 (Sláma, 1976). Recent investigations show that the pulsations are present everywhere. They occur, for example, in immobile prepupae and in pupae of all major endopterygote groups, including Coleoptera, Lepidoptera, Hymenoptera, and Diptera (Sláma, 1984a). The widespread occurrence of these pulsations (ventilatory movements) in the immobile stages with low metabolic rates provides strong circumstantial evidence that simple diffusion principles are not satisfactory for the transport of gases through spiracles. However, the diffusion principles formulated by Krogh (1920) may find practical use for the internal transport of  $\text{O}_2$  between tracheae and tissues. This view is consistent with the calculations of Buck

(1962), and of Kestler (1985), as well as with the recent views concerning respiratory functions of insect tracheoles (Wigglesworth, 1984).

To illustrate some arguments against the role of diffusion in the exchange of  $O_2$ ,  $N_2$ , and  $CO_2$  between the pupae and the environment, we may discuss again the case of *Manduca* in Figure 6. Here the unidirectional suction stream of air first passes through a narrow spiracular sieve into a cavity above the spiracular valve. The valve flutters only in the left thoracic spiracle for 100–200 ms about once per minute. Thus it opens only for approximately 0.5% of the time. During its opening, air is propelled inside vigorously by a 0.5 to 0.8 kPa pressure difference (according to preliminary calculations, the speed of the air stream is close to  $20 \text{ m} \cdot \text{s}^{-1}$ ). All other spiracular valves are permanently and hermetically sealed for several hours, and at lower temperatures (5–10°C) they may be closed for several days. For obvious reasons, it is unrealistic to expect diffusion of the respiratory gases through just one spiracle that is sealed 99.5% of time and whenever it opens there is a fast stream of air.

The respiration pattern of *Manduca* is not restricted to the large-sized sphingid pupae. It is quite common among diapausing pupae in a number of lepidopteran families, including miniature pupae of Geometridae, where diffusion principles in respiration would be most likely. Reasons why lepidopteran pupae must live with closed spiracles, are still unknown. According to the literature (Buck, 1962; Kestler, 1985), the principal reason is water conservation.

The foregoing facts strongly argue that separate zones of the tracheal system can be ventilated by selective opening of the determined spiracles. Actual ventilation is brought about by genuine pulsations in hemocoelic pressure. These are generated in the majority of insect groups by contractions of the intersegmental muscles of the abdomen. Usually utilization of  $O_2$ , fixation of  $CO_2$  in buffers, and hermetical closure of the spiracles, create subatmospheric pressures which are automatically conveyed to the gas-filled tracheae. An instantaneous inflow of fresh air occurs whenever a spiracle opens. This brief recapitulation of the observed respiratory relationships suggests that insects possess a neuromuscular mechanism for controlling inspirations and expirations through individual spiracles. The nervous system controlling opening or closing the spiracles has apparent motor outflow via a nerve system regulating the intersegmental muscles of the abdomen and, thereby, the hemocoelic pressure.

In *Tenebrio*, *Galleria*, and some other insects an autonomic (brain independent), parasympathetic-like nervous system regulating hemocoelic pressure has been described (Sláma *et al.*, 1979; Sláma, 1986). More recently,

this mechanism has been termed the coelopulse system (from the Greek *koiloma* or Latin *coelom* for cavity and *pulsus* for beating or striking). It regulates certain homeostatic functions in reproducing adults of various insect groups (Sláma, 1988b). There is increasing evidence that insect respiration is regulated by the same coelopulse system that regulates hemocoelic pressure. The mechanism mutually determines the duration of the pressure pulsations, controls the level of the baseline hemocoelic pressure, and regulates the intervals of inspirations (see Sláma, 1984a). The present anemometric data show that it may also control the function of individual spiracles. Thus, the coelopulse mechanism of insect respiration is composed of two elements: (a) neuromuscular system regulating the opening or fluttering of spiracular valves (metameric system of unpaired central and transverse nerves innervating the spiracles, with the adjacent perisymphatic neurohaemal organs), and (b) what may be termed “hydraulic bellows” driven by the intersegmental muscles of the abdomen with nerve impulses coming from the thoracic ganglia (generating changes in hemocoel pressure that force the air in or out through the selected spiracle). These complex physiological functions can be compared to playing an accordion. There are two interconnected nerve functions: one is responsible for pulling the bellows and the other for pressing the right keys on the keyboard. We know the instrument but we must now learn to listen to the melody of different insects.

### Acknowledgments

I am greatly indebted to Prof. C. M. Williams of Harvard University, Cambridge, Massachusetts, for valuable help in preparation of this manuscript; Prof. J. B. Buck of National Institutes of Health, Bethesda, Maryland, for helpful criticisms, and Dr. J. H. Willis of The University of Illinois, Urbana, Illinois, for suggesting the title.

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## Abstracts of Papers Presented at the General Scientific Meetings of the Marine Biological Laboratory August 22–24, 1988

*Abstracts are arranged alphabetically by first author within the following categories: cell biology, comparative and general physiology, developmental biology and fertilization, ecology, and neurobiology. Author and subject references will be found in the regular volume index in the December issue.*

### Cell Biology

*The packing density of cytoskeletal polymers in axoplasm affects the resistance to polymer sliding.* ANTHONY BROWN AND RAYMOND J. LASEK (Bio-architectonics Center, School of Medicine, Case Western Reserve University, Cleveland, OH 44106).

In the polymer sliding hypothesis of slow axonal transport, the rate of cytoskeletal polymer sliding is partly a function of the resistive drag that the polymers encounter as they slide past adjacent structures within the axon (Lasek 1986, *J. Cell Sci.* [Suppl.] 5: 161–179). A recent study of two side-by-side populations of axons that have different neurofilament transport rates has shown that the neurofilament density is higher in those axons with the slower rate (Price *et al.* 1988, *J. Neurocytol.* 17: 55–62). This suggests that an increase in polymer packing density may slow the rate of polymer sliding in axons. To test this, polymer sliding was mechanically induced *in vitro* by slowly stretching axoplasm extruded from squid giant axons (George and Lasek 1986, *Biol. Bull.* 171: 469). The resistance to stretch was measured at various polymer packing densities. Cytoskeletal polymer density was increased by osmotically compressing axons with hypertonic sucrose solutions prior to extrusion of the axoplasm. With 1 *M* sucrose in seawater the mean axon volumes decreased by 63% ( $n = 9$ , minimum = 53%, maximum = 71%). Electron microscopy showed that the polymers were more densely packed. Axoplasm from these compressed axons showed a much greater resistance to polymer sliding. The mean modulus of elasticity, which is a measure of this resistance, was 13 dyn/min (minimum = 2 dyn/min, maximum = 30 dyn/min,  $n = 16$ ) for untreated axoplasm and 60 dyn/min (minimum = 44 dyn/min, maximum = 77 dyn/min,  $n = 6$ ) for axoplasm compressed with 1 *M* sucrose solutions. This indicates that compressing the axonal polymers more tightly together increases the resistive drag that they encounter during sliding. In this way, the external compressive forces on axons that pack axonal polymers *in situ* may increase the resistance to polymer sliding, thereby decreasing the rate of slow axonal transport.

*Cell fusion and cell poration using a radiofrequency electric field.* D. C. CHANG AND P. Q. GAO (Baylor College of Medicine).

Cell fusion and cell poration are important biological techniques that have a variety of applications in cell biology, molecular biology, and immunology. Recently we have developed a new method that induces cell fusion and cell poration using a pulsed radiofrequency (RF) electric field. This field is of high strength, typically 3–5 kV/cm. The pulse width is of the order of 100 microseconds, and the oscillating frequency varies from 50 kHz to 1 MHz. We have applied this new method to fuse and porate a number of cell types, including human red blood cells (RBC). Light microscopy using DIC optics and fluorescence microscopy were used to study the process of cell fusion induced by the RF field. Two types of fusion were observed in RBC. The first type is a membrane fusion in which the fusing cells retain their individual shapes, but a membrane-labelling dye can pass from one cell to another. The second type is a cytoplasmic fusion in which the fusing cells merge to become a single larger cell. The yield of cytoplasmic fusion is strongly dependent on the oscillating frequency of the applied RF field, with the highest yield at 100 kHz. Analysis by video microscopy of the fusion process shows that RBC first shrink in size following the RF pulses and then partially swell before fusion takes place. This observation suggests that the RF pulses may create large membrane pores which allow rapid exchange of intra- and extracellular substances. Using freeze-fracture electron microscopy, we have observed such pores. The pore size is large (up to 0.3  $\mu\text{m}$  in diameter) so that large macromolecules such as coiled DNA can readily pass through the membrane of the porated cells. Our study suggests that the RF poration method will be highly useful for gene transfection or microinjection of biologically active substances (*e.g.*, antibodies or molecular markers).

Supported by the Advanced Research/Technology Program of Texas.

*$\text{Ca}^{2+}$ -dependent catecholamine modulation of sperm movement in *Arbacia punctulata*.* LEONARD NELSON (Medical College of Ohio Toledo, OH 43699) AND LUCIO CARIELLO.

Sperm motility is a quantifiable phenomenon providing a readily accessible model for the analysis of complex regulatory mechanisms of cellular function. Bovine spermatozoa show saturable binding of radio-labeled norepinephrine with an affinity constant of about 0.5 nM. Coincubation of sperm cells with non-labeled compounds and blocking agents causes displacement of the bound isotope. Isoproterenol, dopamine, and epinephrine reduced the binding to a degree which suggested

that the challenging agents would effect the rate of sperm cell progression. Sea urchin sperm assay adapted to test the physiological responses revealed that *Arbacia* sperm exhibited dose-, time-, and  $\text{Ca}^{2+}$ -dependent action. Norepinephrine, isoproterenol, and dopamine increased the motile rate by 75% above that of the control sperm after 10 min of exposure, while epinephrine was without effect. Receptor blockers also induced increases in progressive movement. The  $\alpha$ -adrenergic blocker phentolamine greatly enhanced isoproterenol's stimulation, while the  $\beta$ -blocker atenolol's stimulation was counteracted by the  $\beta$ -agonist norepinephrine. Propranolol, another  $\beta$ -blocker, moderately increased the motility while the mildly active  $\alpha$ -adrenergic compound quinidine had no effect except when administered along with propranolol. Thus when  $\beta$ -adrenergic agonists occupy binding sites on the sperm cell surface, they prevent the stimulation due to agents which act as  $\beta$ -antagonists in neuromuscular systems. The  $\alpha$ -blocker phentolamine, however, potentiates the action of the  $\beta$ -agonist isoproterenol.

The absence of  $\text{Ca}^{2+}$  or the presence of  $\text{Ca}^{2+}$ -channel blockers reduce the stimulatory effects of the catecholamines which appear to be involved in ion channel regulation. The catecholamines appear to interact with cell surface receptors that activate the adenylate cyclase/cyclic AMP second messenger system. Caffeine (an inhibitor of cAMP phosphodiesterase) and 8-Br-cyclic AMP reduce the stimulation caused by norepinephrine and atenolol. Epinephrine also depresses the stimulatory action of 8-Br-cyclic AMP.

Support by the Sage Foundation.

#### *Initial studies of marine vertebrate lens cytoskeleton.*

NANCY RAFFERTY, KRIS LOWE, KEEN RAFFERTY, AND SEYMOUR ZIGMAN (University of Rochester Medical Center).

The anatomical nature of the lenses of marine vertebrates does not support a lens accommodative process that alters their shapes. Unlike the lenses of many terrestrial mammals, they are not elastic. However, accommodation is accomplished by translocation of the lens by retractor or protractor muscles in the eye. These lenses also resist swelling both in hypotonic media and when their Na/K ATPase is inhibited, which suggests cytoskeletal rigidity. Herein, we identify the cytoskeletal proteins in these lenses, and describe the structures of their cytoskeletons.

The lenses of teleosts and elasmobranchs were stained for actin using rhodamine phalloidin reagents. Morphological studies of the cytoskeleton were aided by electron microscopic examinations by using gold labelled antibodies. Lens extracts were examined by polyacrylamide gel electrophoresis (PAGE) with special attention to the cytoskeletal proteins, actin and vimentin. Immunoblotting was done with purified antibodies to actin and vimentin (Western blots).

Exposure of the lenses *in vitro* to near-UV radiation ( $365 \pm 30$  nm;  $.5 \text{ mW/cm}^2$ ) was used to study the induction of light-associated alterations of cytoskeletal elements.

Extracts of both dogfish and sea robin lenses were shown to contain both actin and vimentin by PAGE and immunoblotting. The antibodies against actin and vimentin were made in rabbits and mice, respectively; common antigenic determinants are thus present in the cytoskeletal elements of marine lenses.

Actin filaments were associated with the epithelial cell plasma membranes and in the perinuclear region. UV-exposure in dogfish appeared to cause depolymerization of the filaments. Immuno gold E.M. showed actin to be associated with the basal plasma membrane (in the dogfish). Teleost vimentin was found to be associated with the perinuclear network. PAGE plus immuno-blotting resulted in bands proving that actin and vimentin were present in these lenses. Preliminary immunoblots suggest that some cytoskeletal elements may comigrate with the lens crystallins, especially in the cortex. The structure and chemistry of ma-

rine lens cytoskeletons appear to be similar to those of mammals. Thus, an altered cytoskeleton cannot explain the lack of swelling and accommodation in marine lenses.

Support: NIH and RPB, Inc.

#### *Isolation of the dogfish erythrocyte marginal band using detergents.* IVELISSE SANCHEZ AND WILLIAM D. COHEN (Hunter College, NY).

Isolation of the marginal band (MB) of microtubules from the erythrocytes of non-mammalian vertebrates is a useful approach to the molecular composition and the structural and mechanical properties of the nucleated erythrocyte cytoskeletal system. In our previous methods for MB isolation, proteases have been used to digest the cell surface-associated cytoskeletal network (SAC), containing actin and spectrin/fodrin-like proteins, that encloses the MB. In the present work, cytoskeletons were prepared from erythrocytes of the smooth dogfish (*Mustelus canis*) by lysis with Brij-58 in the presence of protease inhibitors, washed in microtubule stabilizing medium, and stored at  $-20^\circ\text{C}$  in 50% (v/v) glycerol containing taxol to help stabilize the MB. This served as the standard starting material for testing potentially selective, non-proteolytic SAC solubilizing agents systematically. Various phosphates and reducing agents suggested by literature on the mammalian actin-spectrin network proved ineffective, as did numerous detergents used individually. However, MB release from cytoskeletons was obtained readily in Triton X-100 (0.1–0.4%) containing low concentrations of SDS (0.025–0.1%). Over 90% of the MBs can be liberated from cytoskeletons in 5–30 minutes, depending upon detergent concentrations. The MBs are sufficiently stable for isolation by centrifugation after sedimentation of nuclei. SAC dissolution and MB release are blocked by excess Triton and slowed by glycerol. Therefore, these reagents can be used to stop the release reaction during quantitative time-course studies. Added standard proteins are not proteolyzed during MB release, nor is release blocked by a protease inhibitor "cocktail," indicating that activation of endogenous proteases is not involved. As determined by SDS-PAGE, tubulin (four gel bands) is the dominant component of the isolated dogfish MB preparations. Results to date with amphibian and avian erythrocytes indicate that the method may be widely applicable to other species, and therefore useful for comparative studies.

Supported by MBRS-NIH S06RR08176-07 and NSF DCB8711810.

#### *Assembly and changes in the fibrous substructure of the cleavage furrow in living cells.* J. M. SANGER, J. S. DOME, B. MITTAL, AND J. W. SANGER (University of Pennsylvania).

We have microinjected tracer amounts of fluorescently labeled myosin light chains, monomer actin, and phallotoxins into PtK<sub>2</sub> cells to observe the assembly of myosin and actin into the cleavage furrows of these cells. We selected large cells that often formed multipolar mitotic spindles and subsequently, multiple cleavage furrows that could be visualized with the fluorescent probes for myosin and actin. Mitotic cells that had been injected with fluorescent myosin light chains or fluorescent monomer actin had spindles whose fluorescence was brighter than that of the surrounding cytoplasm. The same was true of cells that had been injected with bovine serum albumin. In contrast, the fluorescence in spindles of cells that had been injected with F-actin probes, fluorescent phallotoxins, was approximately the same as in the cytoplasm adjacent to the spindle. We interpret this to mean that soluble proteins became concentrated in the mitotic spindle, whereas the actin that remains in the F-form during mitosis is present in the spindle at the same level as it is in the extra-spindle cytoplasm. In late anaphase, a band of



fluorescence was visible in the forming cleavage furrow of all cells that had been injected with one of the three probes for myosin and actin, but not in cells injected with bovine serum albumin. Fluorescent bands of actin and myosin formed not only between each group of separating chromosomes, but also midway between adjacent asters of multi-polar spindles. In one cell in which two mitotic spindles were oriented parallel to one another, four fluorescent bands formed: one between each of the two groups of separating chromosomes and one between each of the two pairs of adjacent asters. In a majority of exceptionally large cells, fibers were discernible in the cleavage furrows. In a few cases, the fibers appeared striated or beaded, in a manner similar to stress fibers in interphase cells. The fibers shortened and disassembled during cytokinesis and the fluorescently labeled proteins became localized on either side of the midbody and eventually were redistributed in the stress fibers of the daughter cells.

*Cytoplasmic dynein is the motor for retrograde vesicle transport in squid axons.* BRUCE J. SCHNAPP (Marine Biological Laboratory, Woods Hole, MA 02543).

Intracellular vesicles in axons move, without reversing, along uniformly oriented microtubules. A soluble fraction (S2) from extruded axoplasm was previously found to promote the bidirectional movement of plastic beads on purified microtubules *in vitro*. Two microtubule-based translocators were identified: an anterograde (+ end directed) motor, kinesin, and a retrograde motor whose purification and role in vesicle transport is described here. The retrograde motor in squid axoplasm is a microtubule-associated protein previously termed HMW1 (Vale *et al.* 1985, *Cell* **42**: 39–50). Like cytoplasmic dynein purified from bovine brain (Paschal *et al.* *J. Cell Biol.* **105**: 1273–1282), HMW1 promotes microtubule sliding on glass, shows nucleotide-dependent binding to microtubules, is a 22s particle, and has a heavy chain of  $M_r > 400,000$  that co-electrophoreses with axonemal dynein heavy chains. Like other dyneins, the heavy chain of HMW1 is specifically cleaved into two  $>200$  kd fragments when irradiated with 254 nm light in the presence of  $20 \mu M$  vanadate and 2 mM ATP, leading to inactivation of both motility and ATPase activity. UV-vanadate treatment of axoplasmic S2 completely blocks retrograde bead movement, indicating cytoplasmic dynein is the retrograde microtubule motor previously identified in this system.

Using KI-extracted vesicles whose movement is dependent on the addition of S2, vesicle movement was 63% retrograde when assayed on centrosome microtubules in control S2 (irradiated in the absence of vanadate) and 7% retrograde in the presence of S2 exposed to  $20 \mu M$  vanadate during UV irradiation. In assays that quantified the absolute numbers of moving vesicles, the numbers of vesicles moving in the anterograde direction were unchanged by the UV-vanadate treatment. This indicates dynein operates specifically with a population of vesicles programmed to move toward the minus end of microtubules. Purified dynein, either alone or together with kinesin, did not promote vesicle movement, consistent with our previous finding (Schroer *et al.* 1988, *J. Cell Biol.*, in press) that soluble proteins, in addition to kinesin, are required for vesicle transport.

## Comparative and General Physiology

*The Limulus ameobocyte contains  $\alpha_2$ -macroglobulin.* PETER B. ARMSTRONG (U. of California, Davis), JAMES P. QUIGLEY, AND FREDERICK R. RICKLES.

Alpha<sub>2</sub>-macroglobulin, a protease-binding protein that is reactive with almost all endopeptidases, is present in high concentrations in the

plasma of the horseshoe crab, *Limulus* (Quigley and Armstrong 1985, *J. Biol. Chem.* **260**: 12715). Alpha<sub>2</sub>-macroglobulin was demonstrated by its ability to protect the active site of trypsin from inactivation by the macromolecular active site inhibitor, soybean trypsin inhibitor (Armstrong *et al.* 1985, *J. Exp. Zool.* **236**: 1), and by reaction with an antiserum prepared against purified *Limulus*  $\alpha_2$ -macroglobulin. The blood cells also contain  $\alpha_2$ -macroglobulin in a form that is released when washed cells are stimulated to undergo exocytosis by treatment with the ionophore, A23187. Alpha<sub>2</sub>-macroglobulin is detected in the materials released from the cells during degranulation both by activity in the soybean trypsin inhibitor-protection assay and by immunochemical staining of Western blots. The subunit molecular weight of the cell-associated  $\alpha_2$ -macroglobulin, 185 kd, is identical to that of the plasma form. Although cells contain large quantities of the cytoplasmic marker enzyme (lactate dehydrogenase), none is released during ionophore-stimulated degranulation, indicating that cell lysis does not occur and is not responsible for the release of  $\alpha_2$ -macroglobulin. The penultimate wash buffer lacks  $\alpha_2$ -macroglobulin, demonstrating that the cells have been washed free of plasma proteins. We were unable to detect  $\alpha_2$ -macroglobulin in Western blots of degranulated cells, indicating that most or all of the cell-associated  $\alpha_2$ -macroglobulin is released during degranulation. The amount of  $\alpha_2$ -macroglobulin contained within the cells of a given volume of blood is 2–5% of the quantity free in that volume of plasma. The distilled water lysates of N-ethylmaleimide-treated ameobocytes used to detect endotoxin (*e.g.*, *Limulus* ameobocyte lysate or LAL) contain relatively large quantities of active  $\alpha_2$ -macroglobulin. These preparations are essentially free of the principal plasma protein, hemocyanin, indicating that the cells have been well washed prior to lysis.

Supported by NIH Grant No. GM 35185.

*Suppression of common mode signals within the electro-sensory system of the little skate.* DAVID BODZNICK (Wesleyan University) AND JOHN MONTGOMERY.

Elasmobranch fishes possess an acutely sensitive electrosensory system which can detect bioelectric fields produced by other animals. However, they produce bioelectric fields themselves (*e.g.*, during ventilation) which result in unwanted self-stimulation of the electroreceptors. Recordings from the brain show that central neurons are responsive to extrinsic fields, but manage to reduce the ventilatory modulation occurring in their afferent input. The likely basis of this suppression is that ventilatory stimulation is common mode to the receptors whereas extrinsic fields are differential (Montgomery 1984, *J. Comp. Physiol.* **155A**: 103–111; New and Bodznick 1987, *Neurosci. Abstr.* **13**: 399).

To test the hypothesis of common mode suppression, a stimulus was introduced via a gut electrode. The distribution of recorded electric fields, and recordings from primary afferents, show that this method provides a good common mode stimulus to all of the receptors (with the exception of the dorso-medial hyoid group which is above the water level in the tank). A 1 Hz sinusoid delivered through the gut electrode, was adjusted to give a  $20 \mu V$  potential between the bath and a Ag/AgCl electrode placed in the interior of the body in the region of the electroreceptor clusters. This electrode also measures fluctuations in potential during normal ventilation which range from 0–60  $\mu V$ . Defining the modulation produced by the gut electrode as noise, and the response to a  $2 \mu V/cm$  uniform field as signal, the signal to noise ratio (S/N) in primary afferents ranged from 0.3 to 1.8 (mean 0.79, S.D. 0.32,  $n = 24$ ). In the output neurons of the medullary nucleus the range of S/N was 0.7–65.8 (mean 4.8, S.D. 11.9,  $n = 29$ ). The high values of S/N found in some central neurons is evidence that a common mode suppression mechanism functions to suppress CNS responses to ventilatory self stimulation.

**Bacteriologic investigation of shell disease in the deep sea red crab, *Geryon quinquedens*.** ROBERT BULLIS (Marine Biological Laboratory), LOUIS LEIBOVITZ, LARRY SWANSON, AND RANDY YOUNG.

We studied the possible effects of pollution from sewage sludge dumping at site 106 as a cause of shell disease in crustaceans. Deep sea red crabs, *Geryon quinquedens*, chosen as a representative species, were collected from three continental shelf canyons which may have received dispersants from Dump site 106. Twenty crabs were examined representing populations that were close (Hudson canyon-8), intermediate (Block canyon-6), and relatively far (Atlantis canyon-6) from the dump site. All crabs examined exhibited darkly pigmented lesions of the exoskeleton which suggested crustacean shell disease. The lesions had a bimodal distribution pattern. A random unilateral hyperpigmentation was associated with apparent abrasions and scratches. A bilateral lesion symmetry was also observed. These bilateral lesions appeared to evolve as hyperpigmentation of the uniformly spaced microscopic sensory organelles located on the surface of the carapace. These pigmented areas became enlarged, confluent, and occasionally resulted in shell defects. Crabs with amputated appendages had lesions on the exposed proximal remaining articular surfaces. Cultures were taken from lesion and non-lesion areas on the crab on a variety of selective and non-selective media and incubated aerobically. Bacteria isolated presumptively included *Vibrio alginolyticus*, *Vibrio campbellii*, *Vibrio fluvialis*, *Flavobacter meningosepticum*, *Flavobacter breve*, and *Escherichia coli*. Several as yet unidentified fungi have been isolated. This study suggests that the shell disease lesions found in *Geryon* spp. are distinct from other previously described shell diseases, including "cigarette-burn disease."

This study is supported in part by a grant from the Division of Research Resources, National Institutes of Health (P40-RR1333-08).

**Computer model of light-induced voltage response of the *Hermisenda* type B photoreceptor based on seven light- and voltage-gated ionic conductances.** CHONG CHEN (Computation & Neural Systems, 216-76 Caltech, Pasadena, CA 91125), CHRISTOF KOCH, AND DANIEL L. ALKON.

Type B photoreceptors of a sea snail, *Hermisenda*, have been demonstrated as primary convergent neurons during associative conditioning. To understand its role in information processing and the underlying mechanism of ionic channel modulation, we implemented a mathematical model of the B-photoreceptor on a 3/160 SUN workstation. Hodgkin-Huxley-like equations are used to describe the kinetics of seven ionic conductances. The somata of the photoreceptor is approximated as a sphere of 30  $\mu\text{m}$  in diameter. Three of the conductances are gated by light-induced chemical changes,  $g_{Na}(L, V, t)$ ,  $g_K(L, V, t)$ , and a delayed  $g_{DK}(L, V, t)$ , where  $L$  is light,  $V$  is voltage, and  $t$  is time. The other four conductances are gated by voltage across the membrane,  $g_{Ca}(V, t)$ ,  $g_{AK}(V, t)$ ,  $g_A(V, t)$ , and  $g_K(V, t)$ . A conductance ( $g$ ) consists of activation ( $m$ ), inactivation ( $h$ ), and maximum conductance ( $g_{max}$ ). Both  $m$  and  $h$  are described by first order differential equations of time, light, and voltage. By adopting experimental measurements of this and other preparations, the intracellular calcium that modulates some conductances is computed on the basis of the following processes: light-induced intracellular release, voltage-gated influx, diffusion, ion exchange, and intracellular buffering. The intracellular voltage clamp experiments performed with this model yield results comparable to laboratory recordings.

**Isolation of a voltage-dependent calcium channel from the squid central nervous system.** B. CHERKSEY, M.

SUGIMORI, J.-W. LIN, AND R. LINAS (NYU Medical Center, New York, NY 10016).

FTX, a low molecular weight factor purified from American funnel-web spiders, which specifically blocks the squid presynaptic calcium current (Sugimori *et al.* 1988, *Biol. Bull.*, 175: 302), was used to construct an affinity chromatography gel. Squid optic lobe homogenate was solubilized, reacted batchwise with the gel and the bound protein eluted, and reconstituted into lipid vesicles by sonication/dialysis. These vesicles were preloaded with Quin-2. Addition of  $\text{Ca}^{++}$  to the external medium produced a rapid, sustained increase in the fluorescence not seen in control vesicles. Influx was blocked by 50  $\mu\text{M}$   $\text{Cd}^{++}$ . FTX blocked in a dose-dependent and competitive manner with external  $\text{Ca}^{++}$ . When a Nernst potential was established by valinomycin, the  $\text{Ca}^{++}$  influx into the vesicles was voltage dependent. Vesicles were also fused with lipid bilayers formed across the tip of a patch-clamp micropipette. Two types of channel-like activity were found. The first was characterized by voltage-dependent openings of 1–3 ms duration (mean). The opening probability, which was also voltage dependent, reached a maximum of 0.35 at a potential of 0 mV. The conductance was 15–20 pS in 80 mM  $\text{Ba}^{++}$  and 5–8 pS in 100 mM  $\text{Ca}^{++}$ . Comparison of the macroscopic currents obtained by summing multiple pulses reproduced closely the macroscopic Ica in squid terminal (Llinas *et al.* 1981, *Biophys. J.*, 33: 289). When the cytoplasmic face of the protein was exposed to high concentrations of  $\text{Ba}^{++}$ , extremely long mean open times (300 ms) were observed having a similar conductance. In symmetric  $\text{Ba}^{++}$  solutions, replacement of the cytoplasmic solution with  $\text{Cs}^+$  resulted in conversion of long openings to short openings. High internal calcium (100 mM) did not change the opening-time mode. We conclude that using an affinity gel based on FTX, it has been possible to isolate and partially purify a calcium channel with the properties expected for the presynaptic channel.

**High ammonium background does not affect response function of narrowly tuned chemoreceptor cells.** CARA M. COBURN, RAINER VOIGT, AND JELLE ATEMA (Boston University Marine Program, Marine Biological Laboratory).

We tested the effects of elevated ammonium ( $\text{NH}_4$ ) background on the stimulus-response functions (S-R) of hydroxyproline- (Hyp), arginine- (Arg), and taurine-sensitive (Tau) cells of the lobster (*Homarus americanus*) medial antennule.  $\text{NH}_4$  is a biologically relevant stimulus found in high levels in coastal waters.

Action potentials of single cells were recorded extracellularly using a suction electrode. Chemoreceptor cells were identified with a search stimulus (equimolar mixture of Hyp, Arg, and Tau; each at an applied peak concentration of  $7 \times 10^{-5} M$ ) injected into a carrier flow of artificial seawater (ASW) which superfused the excised appendage. Individual responses to Hyp, Arg, and Tau ( $7 \times 10^{-5} M$ ) were recorded to identify the best-stimulus. Then, S-R functions were determined for best-stimulus and  $\text{NH}_4$  in ASW ( $7 \times 10^{-9}$  to  $7 \times 10^{-3} M$ ). After a 3-min adaptation to a  $10^{-4} M$   $\text{NH}_4$  background, the S-R functions were redetermined. Finally, as a control for viability and  $\text{NH}_4$  effects, S-R functions were repeated in ASW.

Ten Hyp cells were narrowly tuned (except one cell responded equally to Arg). Only one cell responded to high concentrations of  $\text{NH}_4$  in ASW. None of the 10 cells tested altered S-R functions in the  $\text{NH}_4$  background. Of two narrowly tuned Arg cells, one responded slightly to very high concentrations of  $\text{NH}_4$  in ASW. Neither cell was affected by the  $\text{NH}_4$  background. One of two narrowly tuned Tau cells was responsive to  $\text{NH}_4$  in ASW. However, both cells showed a suppressed response in the  $\text{NH}_4$  background.



We conclude that Hyp and Arg cells on the medial antennules are narrowly tuned and unaffected by even abnormally high  $\text{NH}_4$  backgrounds. This characteristic makes them suitable for detection of chemical contrast. Similar effects have been described for chemoreceptor cells in other lobster chemoreceptor organs.

Supported by NSF (BNS-8512585) to JA.

*Circulatory responses of bluefish to epinephrine, phentolamine, and atropine.* STEPHEN H. FOX, CHRISTOPHER S. OGILVY, AND ARTHUR B. DUBOIS (John B. Pierce Foundation Laboratory, New Haven, CT 06519).

The cardiovascular responses to epinephrine, phentolamine, and atropine were studied in resting bluefish (*Pomatomus saltatrix*). Blood pressure and heart rate were monitored through a 1-mm tube placed in the ventral aorta. Change in mean blood pressure in mmHg in response to different doses of epinephrine chloride reached a maximum of 60 mmHg and had an ED50 of 5  $\mu\text{g}/\text{kg}$  body weight. Following competitive blockade of the alpha adrenergic sympathetic system with phentolamine mesylate, 400  $\mu\text{g}/\text{kg}$  intraarterially, the ED50 for epinephrine chloride increased to 15  $\mu\text{g}/\text{kg}$  ( $n = 5$ ). The phentolamine at this dose level dropped the resting blood pressure in fish lightly anesthetized with tricaine from a control of 83/54 to 64/45 ( $P < 0.001$ ), and the heart rate remained unchanged ( $n = 9$ ). But a dose of 200  $\mu\text{g}/\text{kg}$  did not change the resting blood pressure or heart rate significantly ( $n = 4$ ,  $P = 0.2$ ). Atropine sulfate, 10  $\mu\text{g}/\text{kg}$ , increased the heart rate from 48/min SE 4 to 87/min SE 12. Blood pressure increased from 98/61 to 112/81 ( $n = 5$ ,  $P < 0.02$ ). At 80  $\mu\text{g}/\text{kg}$ , the heart rate increased from 42/min to 112/min and the blood pressure changed from 82/52, control, to 96/78. This tachycardia caused a significant increase in diastolic pressure ( $n = 5$ ,  $P < 0.01$ ). These results show that blood pressure and heart rate of bluefish are under tonic vagal and alpha adrenergic control, as in some other teleosts. This suggests the presence of well-developed parasympathetic and sympathetic regulation of the cardiovascular system in bluefish.

*Calcium-dependent incorporation of serine into phosphatidylserine in the squid giant axon: physiological role in excitable membranes?* P. G. HOLBROOK (Laboratory of Bioorganic Chemistry, National Institute of Diabetes, Digestive and Kidney Diseases, National Institutes of Health, Bethesda, Maryland 20892) AND R. M. GOULD.

Studies of the calcium-dependent, energy-independent incorporations of  $^{14}\text{C}$ -labeled bases (choline, ethanolamine, and serine) into their respective phospholipids, phosphatidylethanolamine (PE), phosphatidylcholine (PC), phosphatidylethanolamine (PE), and phosphatidylserine (PS) in subcellular fractions of whole rat brain demonstrated that these phospholipase-D type activities, known as the base-exchange enzymes, were enriched in both the microsomal and synaptosomal plasma membrane fractions and suggested that they might play a specific role in excitable membranes related to calcium-signaling (Holbrook and Wurtman 1988, *J. Neurochem.* **50**: 151-161). To obtain evidence that this type of activity is transported to nerve-endings, studies were initiated to establish whether serine-exchange activity was present in pure axoplasm extruded from the giant axon of the squid (*Loligo peali*) (Holbrook and Gould 1986, *Biol. Bull.* **171**: 494). We report here that axoplasm incorporates  $^3\text{H}$ -serine into PS at a rate which, when expressed on the basis of lipid phosphorous, is comparable to that observed in squid stellate ganglion and optic lobe. This incorporation meets accepted criteria for mediation via the base-exchange pathway: (1) it is stimulated by calcium and blocked by EGTA. (2) It has an alkaline pH optimum peak-

ing at 8.6. The activity did not appear to saturate at the highest concentration of calcium tested (25 mM).

*A bistable pigment system in Hermissenda type A photoreceptors.* H.-P. HÖPP AND D. L. ALKON (Sect./Neural Systems, Lab. of Biophysics, NINCDS-NIH, Marine Biological Laboratory, Woods Hole, MA 02543).

On the basis of anatomy, electrophysiologic properties, and synaptic interaction, the five photoreceptors in each *Hermissenda* eye were previously distinguished (Alkon and Fuortes, 1972 *J. Gen. Physiol.* **60**: 631-649) as type A (2) and type B (3). White light elicits depolarizing generator potentials (with early transient and later sustained responses) from both types of cells. Following the offset of such light stimuli, however, type B cells show a long-lasting depolarization (LLD) while type A cells frequently exhibit long-lasting hyperpolarizations. In the present study, generator potentials of photoreceptors in isolated eyes, *i.e.*, with all synaptic interactions and impulse activity eliminated by axotomy, were exposed to a variety of light stimuli with different wavelength compositions.

After previous adaptation to white or orange light, both medial and lateral type A photoreceptors responded to blue light stimuli (blue edge filters,  $\lambda_c = 530 \text{ nm}$ ,  $3.5 \times 10^2 \text{ W/m}^2$ ) with a markedly reduced sustained depolarization. Additional stimulation resulted in a strong decrease or even complete disappearance of the early transient. The opposite effects, *i.e.*, increased sustained depolarization and reappearance or increase of the early transient, were elicited from blue adapted photoreceptors with white or orange light stimuli (orange edge filters,  $\lambda_c = 540 \text{ nm}$ ,  $3.5 \times 10^2 \text{ W/m}^2$ ). Typically, the offset of the blue light stimuli was followed by a prolonged depolarizing afterpotential (PDA) of up to 50 mV which could last for up to 3 hours and showed immediate restoration after exposure of the cell to white or orange light.

In contrast to these color-specific changes in light responses from type A cells, the responses of the three type B photoreceptors exhibited no comparable bistable changes over the wavelength range of 400 nm to 700 nm.

Using a double-pulse and a single-pulse paradigm, monochromatic light stimuli of equal duration and quantum flux (10 nm FWHM interference filters,  $9 \times 10^2 \text{ W/m}^2$ ) were used to measure the spectral dependency of the decrease and increase in size of the early transient. The decrease was maximal at 470 nm while the increase was maximal at 570 nm. The existence of the two different wavelength maxima suggests two distinct visual pigment states with different absorption peaks.

Membrane conductance was reduced during the PDA evoked by blue light, suggesting the shutdown of an outward directed conductance as its underlying ionic mechanism. Furthermore, the strong increase in membrane conductance accompanying the early depolarizing transient was reduced and eventually eliminated as the early transient was reduced by the repetitive application of blue light. Conversely the same reduction in conductance reappeared as the early transient was restored with repetitive yellow light stimulation.

A thermostable rhodopsin-metarhodopsin conversion is thought to underly the color-specific regulation of the membrane potential and the conductances.

Supported by DFG Forschungssstipendium HO-989/1-1.

*Organophosphorus acid (OPA) anhydrase from squid: a calcium-dependent P-F-splitting enzyme.* FRANCIS C. G. HOSKIN, K. S. RAJAN, AND K. E. STEINMANN (Illinois Institute of Technology Center, Chicago, IL 60616).

The enzymes that hydrolyze the P-F bond of certain cholinesterase inhibitors (*e.g.*, diisopropylphosphorofluoridate, DFP; 1,2,2-trimethyl-



propyl methylphosphonofluoridate, Soman) are known as organophosphorus acid anhydases (OPA anhydase; formerly DFPase). They are categorized as "Mazur type" (ubiquitous;  $Mn^{2+}$  stimulated; Soman/DFP hydrolysis ratios,  $2 \times 10^{-3}$  mol. wt. 60–90,000) and "squid type" (limited to cephalopods, i.e., hepatopancreas, and saliva;  $Mn^{2+}$  indifferent or slightly stimulated; Soman/DFP  $\approx 0.25$ ; mol. wt. 30,000; Hoskin *et al.* 1984, *Toxicol. Appl. Toxicol.* 4: S165–S172). We now find another property that distinguishes these two OPA anhydases and may bear on the question of a physiological role for these unusual enzymes. The squid enzyme is non-competitively inhibited by EDTA ( $K_i \approx 10^{-5} M$ ). The inhibition is resolvable into fast and slow components ( $t_{1/2} \approx 1$  h, reversible;  $t_{1/2} \approx 16$  h, irreversible). The purified squid enzyme is inhibited 90%+ by EDTA, about 80% by EGTA, and not at all by the transition metal chelators 8-hydroxyquinoline-5-sulfonate and 1,10-phenanthroline, all at  $10^{-4} M$ . EGTA followed by  $Ca^{2+}$  causes 80% recovery of activity, whereas EGTA and then  $Mg^{2+}$  causes 10% recovery. Identical results are obtained with freshly extruded axoplasm. In sharp contrast, Mazur type OPA anhydase (various sources) is inhibited about 30% by all four chelators at  $10^{-4} M$ , and is stimulated (many-fold) by  $Mn^{2+}$  and sometimes  $Mg^{2+}$  at  $10^{-3} M$ . We conclude that squid type OPA anhydase is a  $Ca^{2+}$  enzyme. We suggest that the Mazur type OPA anhydases, while  $Mn^{2+}$  stimulated, are not divalent cation dependent. In view of a  $Ca^{2+}$  involvement in many cellular processes, these results imply a physiological role for squid type OPA anhydase. The actual role, and the nature of the  $Ca^{2+}$  regulatory site, remain unknown.

Supported by a grant from Army Research Office.

*A vibrating calcium-selective electrode for detecting extra-cellular calcium gradients.* WIEL M. KÜHREIBER, PHILIP C. WILLIAMS, AND LIONEL F. JAFFE (Marine Biological Laboratory, Woods Hole, MA 02543).

We have developed a vibrating, extracellular, calcium-selective microelectrode for measuring calcium gradients caused by localized calcium currents in or out of cells or tissues. The sensor is of the neutral carrier type and the electrode has a resistance of a few hundred Meg-Ohms. Gradients are measured by slowly vibrating the electrode and feeding its output into a phase-sensitive detector. In this way it is possible to measure calcium-fluxes smaller than  $1 \text{ pmol/cm}^2/\text{s}$  in a large background of other ions. Preliminary measurements with this new device on *Dictyostelium* and *Polysphondylium* show that these slime molds have large inward calcium currents ranging from about 1 to  $3\text{--}30 \text{ pmol/cm}^2/\text{s}$  at aggregation stages and at migrating slug stages, respectively. The influx just behind the front of the slug is two to three times the influx at more posterior locations. This area of reduced influx probably corresponds to the expected prestalk area of the slug. We have also detected calcium-fluxes in preliminary experiments on developing eggs of the lucoid seaweed *Pelvetia*, and in the growing pollentube of the tobacco plant. These feasibility studies show that the vibrating calcium-selective electrode produces biologically meaningful data. This device should provide a novel way to study the role of calcium fluxes in biological systems.

Supported by NIH-grants to LFJ.

*Hematopoietic chlamydiosis of the rock crab (Cancer irroratus) and the jonah crab (Cancer borealis).* LOUIS LEIBOVITZ (Laboratory for Marine Animal Health, Marine Biological Laboratory).

The results of an approximate six-year study (1983–1988) of a previously unreported highly fatal transmissible disease of laboratory-maintained populations of rock and jonah crabs were reported. He-

matopoietic tissues and circulating blood cells were primarily affected. The disease incidence was low in newly harvested wild crabs, which became infected rapidly when introduced into cannibalistic laboratory crab colonies. The percentage mortalities increased in direct proportion to the length of time crabs were maintained in the laboratory.

The disease was easily diagnosed by light microscopic examinations of fresh and fixed-stained blood smears and tissues demonstrating the pathognomonic, greatly swollen, immature and mature, infected circulating hemocytes. Such infected hemocytes were filled with fine basophilic *Chlamydia* that compressed and displaced the nuclei and cytoplasmic organelles of the host cells outwardly. As the disease continued, the crab's hematopoietic tissues were destroyed, and swollen cells frequently produced microscopic emboli and vascular occlusion. Infected cells frequently ruptured, releasing *Chlamydia* into the blood and tissue spaces.

Ultrastructural studies demonstrated oval or round developmental stages of *Chlamydia* sp. within infected host cells.

Reticulate stages ranged from a mean length of 560 nm and 475 nm in width; intermediate and condensing stages had a mean length of 422 nm and 354 nm in width; and elementary bodies had an approximate mean diameter of 214 nm.

This is the first report of a hematopoietic chlamydiosis, and chlamydiosis in crustacea. The specific features of the chlamydial agent, the importance of the disease, its epizootiology, and its comparative pathology were discussed.

This study is supported in part by a grant from the Division of Research Resources, National Institutes of Health (P40-RR1333-08).

*The analysis of miniature synaptic potentials in the squid giant synapse.* J.-W. LIN, M. SUGIMORI, AND R. LIINAS (Dept. of Physiology and Biophysics, NYU Medical Center, New York, NY 10016).

The miniature synaptic potentials recorded from the squid giant synapse have a near-symmetrical waveform (Joyner *et al.* 1975, *Biophys. J.* 15: 37). Typically, it reaches peak amplitude in about 1 ms and decays in 5–6 ms. The time course can be approximated by a difference between two exponential functions, with a rising and a decay time constant, i.e.,  $F(t) = a[e^{-t/\tau_d} - e^{-t/\tau_r}]$ . Synaptic noise simulated on the basis of this observation was then used to test the resolution of spectral analysis. Briefly, a fast Fourier transform was performed and a best fit of the spectra was located by searching through many time constant combinations. The results of the simulation show that the calculated time constants recreated the original miniature potential waveform satisfactorily as long as the standard deviation of the background noise was less than twice the miniature amplitude. Thus, only those experiments where a background noise level lower than  $200 \mu V^2$  were selected for spectral analysis, i.e., with a standard deviation slightly larger than the minipotentia amplitudes that has been estimated to be about  $10 \mu V$  (Miledi 1967, *J. Physiol.* 192: 379; Augustine and Eckert 1984, *J. Physiol.* 346: 257). This procedure was applied to experimental data, where different levels of noise were evoked by presynaptic depolarizations, and the frequency characteristics of these spectra provided consistent time constants for each synapse. In one example, rise time constants of 0.2–0.3 ms and decay time constants of 1.5–1.6 ms were obtained from recordings where there was a 7-fold difference in transmitter release. Similar spectral consistency was obtained before and after FTX application, and provides an additional support for the presynaptic calcium conductance blockage role of this toxin (Sugimori *et al.* 1988, *Biol. Bull.* 175: 302).

*Effect of synapsin I and CAM kinase II on evoked and spontaneous transmitter release in the squid giant syn-*

*apse*. R. LINAS, M. SUGIMORI, J.-W. LIN, T. L. MC-GUINNESS\*, AND P. GREENGARD\* (NYU Medical Center and \*Rockefeller University).

Synapsin I, in its dephospho- and its phospho- form, and CAM kinase II were injected into the presynaptic terminal digits of the squid giant synapse. The location of these proteins was monitored with fluorescent microscopy. The effects of these injections on spontaneous transmitter release were studied using the technique described in an accompanying abstract (Lin *et al.* 1988, *Biol. Bull.* 175: 300). The results indicated that dephosphosynapsin I reduced spontaneous and evoked quantal release in a manner which correlated temporally with its diffusion into the preterminal. Phosphosynapsin I showed no effect. By contrast, CAM kinase II did not modify spontaneous release if the presynaptic potential was negative to  $-70$  mV. However, the low level of transmitter release produced by one second depolarizing pulses of the preterminal was increased by as much as 300% after the CAM kinase II injection. This indicated that a calcium entry, beyond that produced by the resting calcium conductance, is required for the injected CAM kinase II to increase transmitter release. The above results are consistent with the previous suggestion (Lin *et al.* 1985, *PNAS* 82: 3035) that these proteins control the availability of releasable vesicles by regulating the amount of vesicular caging by synapsin I whose binding to vesicles and to actin is phosphorylation-dependent (De Camilli and Greengard 1986, *Biochem. Pharmacol.* 35: 4349).

*Learning in the green crab. Electromyograms reveal that movement of the eye is not required for classical conditioning of the eye withdrawal reflex.* RAFAEL H. LINAS, RICHARD D. FEINMAN, ROBIN R. FORMAN, AND CHARLES I. ABRAMSON (SUNY Health Science Center at Brooklyn, Brooklyn, NY 11203).

The eye withdrawal reflex of the green crab, *Carcinus maenas*, can be conditioned according to a classical (Pavlovian) procedure by pairing a mild vibration to the carapace as a conditioned stimulus (CS) with an air puff to the eye as an unconditioned stimulus (US), (Abramson and Feinman 1988, *J. Neurosci.* 8: 2908-2912). Eye retraction results from the activity of several muscles, the largest of which is the main abductor muscle, 19a. Stereotyped electrical activity in this muscle accompanies the eye retraction and can be recorded as electromyograms (EMG). The EMG record was used as an indicator of behavior to determine if the pattern of acquisition of conditioned responses was the same in an immobilized eye as in one that was freely moving. The results indicate that acquisition is the same in most cases and suggest that, as in many cases of classical conditioning, integration of the two sensory stimuli (CS and US) is sufficient for learning. Animals were assigned to either an experimental group with EMG leads implanted and the eye restrained, or to one of two control groups with freely moving eyes: either with EMG leads or unoperated. The pattern of acquisition for 5 of 8 experimental animals was the same as that for controls with moving eyes. Animals subjected to unpaired stimuli (fixed or moving eyes, with or without electrodes) showed few conditioned responses. After the acquisition trials, the eyes were freed, the wires were cut, and animals were returned to home tanks and later tested for behavioral responses in a sequence consisting of CS alone after 4 h and 24 h and a second period of paired training. Animals showed substantial retention and the second training period produced enhanced performance compared to training on the first day. The profile of behavior of all eight paired animals with fixed eyes was the same as that for animals with freely moving eyes. The unpaired animals that had been trained with eyes restrained were likewise indistinguishable from unpaired subjects with moving eyes.

This work was supported, in part, by funds from Margaret H. Morgan and from the Research Foundation of SUNY.

*A histamine-gated anion channel suppresses lobster olfactory receptor cell activity.* TIMOTHY S. MCCLINTOCK (The Whitney Laboratory).

Application of histamine to the soma of American lobster receptor cells suppressed both spontaneous and odor-evoked spiking as previously discovered in spiny lobster olfactory receptor cells (T. Bayer and B. W. Ache, unpub. data). In intracellular recordings, histamine caused an increase in conductance, a chloride-dependent change in membrane potential, and reductions in odor-evoked depolarizations. In isolated, voltage-clamped soma, pressure-applied histamine activated a largely chloride-dependent current. This current was not affected by external cobalt and cadmium ( $n = 2$ ) or internal perfusion with 1 mM GTP- $\gamma$ -S ( $n = 18$ ) or GDP- $\beta$ -S ( $n = 3$ ). Applying histamine outside the patch pipette activated channels in outside-out patches but not in cell-attached patches ( $n = 19$ ). Placing 1 mM GTP- $\gamma$ -S in the pipette did not alter the activation of this channel in outside-out patches ( $n = 3$ ). The mean slope conductance calculated from current-voltage relationships was  $44 \pm 6$  pS. Extrapolated reversal potentials suggested that chloride was the major permeant ion present, but that some cation permeability also exists. In steady state concentrations of histamine, the probability of the channel being open began to rise rapidly between  $.1 \mu M$  and  $1 \mu M$ , and appeared to saturate between  $10 \mu M$  and  $100 \mu M$  ( $n = 2$ ). Channel opening evoked by  $10 \mu M$  histamine was blocked by  $500 \mu M$  cimetidine but not by  $500 \mu M$  pyrilamine. These results show that the modulatory action of histamine upon lobster olfactory receptor cells is mediated by a histamine-gated anion channel.

I thank the Grass Foundation and the NIMH for supporting this work, the members the 1988 Grass Fellow program, and B. W. Ache for advice.

*Modification of the vestibulo-ocular reflex (VOR) after 6-OHDA induced catecholamine depletion in the CNS of goldfishes.* JAMES G. MCELLIGOTT (Temple Univ. School of Medicine), MICHAEL WEISER, AND ROBERT BAKER.

The vestibulo-ocular reflex (VOR) helps to stabilize visual images on the retina during movements of the head. This compensatory reflex moves the eyes in a direction opposite to that of the head. Modification of the VOR provides an experimental paradigm for examining hypotheses about plasticity in the central nervous system (CNS). These experiments were designed to test the effects of depleting CNS catecholamines, especially norepinephrine (NE), on modifiability of the VOR in the goldfish. Past experimentation in various mammalian systems had shown that depletion of NE affects developmental plasticity in the visual system as well as VOR modifiability in cats. CNS catecholamines in the goldfish were depleted by small multiple injections of 6-OHDA into the brains of anesthetized goldfishes. Experimentation commenced two weeks after injection in order for CNS catecholamine depletion to occur. Eye movements were measured in chronically restrained alert animals. After initial measurements of the VOR gain (eye velocity/head velocity = 0.82), each fish underwent 4.5 hours of VOR gain modification. Gain was increased by presentation of visual stimuli in the presence of vestibular stimulation ( $\frac{1}{8}$  Hz  $\pm$  20 degrees). Gain increased towards  $2\times$  and then towards  $3\times$  over a 4.5 hour period. In all cases, goldfishes ( $n = 4$ ) produced robust VOR postmodification gain increases that averaged 2.6. When the brains of these animals were analyzed for catecholamine content by High Pressure Liquid Chromatography with Electrochemical Detection, there was a severe depletion



of brain NE to less than 4% for all brain regions measured as compared to controls. Thus, unlike other mammalian systems, 6-OHDA depletion of CNS NE in the goldfish does not noticeably influence VOR gain modifiability.

*Physiologic mechanisms governing the cardiovascular compensation to gravity in bluefish.* CHRISTOPHER S. OGILVY, STEPHEN H. FOX, AND ARTHUR B. DUBOIS (John B. Pierce Foundation Laboratory, New Haven, CT 06519).

Bluefish maintain ventral aortic blood pressure (BP) and develop a tachycardia during passive head-up tilting in air. This is true for unanesthetized or anesthetized fish despite a lower BP in anesthetized animals. Prior to tilting, we gave atropine sulfate (80  $\mu\text{g}/\text{kg}$ ) to block parasympathetic innervation to the heart, or phentolamine mesylate (400  $\mu\text{g}/\text{kg}$ ) to block alpha-adrenergic vasoconstriction. Or, we gave the neuromuscular junction blocking agent pancuronium bromide (100  $\mu\text{g}/\text{kg}$ ) to prevent body movements during tilting. In five fish, we transected the spinal cord 3 mm caudal to the obex before tilting. In others, the vagi were cut as well. After phentolamine, control BP was 73/47 mm Hg, and fell to 54/40.5 min into a 30° tilt, remaining low for the 30 min of tilting. Atropine increased the heart rate (HR) to 111 per min from a control of 42, and BP to 96/78 from a control of 82/52. HR remained unchanged during tilting, while BP decreased to 74/58 ( $P < 0.05$ ) and remained low during the 30-min tilt. Flexion of the lower body musculature during tilting transiently increased the BP. Pancuronium prevented this and lowered BP during tilting. Cord transection without vagotomy lowered BP during the tilt despite an increase in HR. Cord section plus vagotomy produced a fall in BP and increase in HR. When these fish were tilted to 10° (2 min), 20° (2 min), or 30° (5 min), blood pressure fell precipitously and heart rate was unchanged. These results indicate that the cardiovascular reflex system in the bluefish is well developed and similar to that in land vertebrates. Upon tilting, there is inhibition of the vagus, with tachycardia, an apparent alpha adrenergic mediated vasoconstriction, and lower body muscular contractions. These aid venous return and maintain blood pressure to help perfuse the brain and other vital organs.

*Further contributions to odor contrast detection in hermit crabs.* LESLIE SAMMON, SOPHIE SANDERS, AND JELIE ATEMA (Boston University Marine Program, Marine Biological Lab. Woods Hole, MA 02543).

Hermit crabs (*Pagurus longicarpus*) are, in part, scavengers searching for food by smell in marsh environments with high organic backgrounds. We expect that the detection of chemical contrast is important for these animals. We tested their ability to locate upstream odor sources in the laboratory counting the fraction of individuals attracted through a slightly aversive dark corridor. We chose three odors that were highly attractive at different dilutions: shrimp juice (SJ) was most attractive (100% at  $10^{-3}$  dilution) followed by fish juice (FJ; at  $10^{-2}$ ) and mussel juice (MJ; 100% at  $10^{-1}$ ). Response functions were essentially parallel for all three odors 50% attraction occurred at 100× further dilution. Responses to control stimuli (seawater) averaged 25%.

In self-adapting backgrounds ( $10^{-4}$  dilution), all three response functions were suppressed (even below control values) for  $10^{-5}$  and  $10^{-4}$  stimulus dilutions, and reached 50% for  $10^{-3}$  stimulus dilution. As expected, contrast detection is based here on intensity differences between stimulus and background.

In cross-adapting backgrounds, responses were expectedly unpredictable, since chemical contrast is now a function of both intensity and quality of stimulus and background. The weakest odor (MJ), when

used as a background, suppressed responses to SJ more than responses to FJ. A background of the strongest odor (SJ) did not suppress responses to MJ, but did suppress responses to FJ, although FJ was a stronger stimulus than MJ. FJ backgrounds suppressed responses to SJ but not MJ, although SJ was a 100× stronger stimulus than MJ. In general, response functions for the weakest stimulus (MJ) were hardly suppressed by FJ or SJ, whereas response functions for the strongest stimulus (SJ) were strongly suppressed by FJ and MJ.

The results establish clearly that detection of chemical contrast between biologically relevant, complex odors is based on differences in both intensity and chemical composition of odors.

Supported by grants from NSF (BNS-8512585) to JA and Mt. Holyoke College to L.S.

*Blockage of calcium current by a factor from spider toxins.* M. SUGIMORI, J.-W. LIN, B. CHERKSEY, AND R. LLINAS (Dept. Physiology and Biophysics, NYU Medical Center, New York, NY 10016).

A toxin fraction isolated from American funnel web spider venoms (FTX) blocks calcium currents in central neurons (Sugimori and Llinas 1987, *Neurosci. Abstr.*). This fraction has been recently shown to have a low molecular weight (200–300 dalton) (Cherksey *et al.* 1988, *Biol. Bull.* 175:298). When tested on transmission at the squid giant synapse, the toxin blocked synaptic transmission without affecting either sodium or potassium voltage-dependent conductances. The blockage occurred without affecting the pre- or post-fiber action potentials. Voltage-clamp experiments of the presynaptic terminal show that the inward calcium current is blocked at submicromolar concentrations by FTX within 5 to 10 minutes after direct application to the bath. The toxin was very slowly reversible, and its blockage was related in a competitive way to  $[\text{Ca}^{2+}]$ . Finally, pressure injection of glutamate in the area of the postsynaptic fiber demonstrated that FTX had no effect on the post synaptic potential generated, thereby indicating no effect of FTX on glutamate dependent post-synaptic channels.

*Eye movement repertoire of the Cabazon sculpin, Scorpaenichthys marmoratus.* MICHAEL WEISER, ANDREW BASS, JAMES G. McELIGOTT, AND ROBERT BAKER (NYU Medical Center, New York, New York).

Observation of eye movements in the Cabazon sculpin, a teleost, suggested goal-directed changes in gaze to attend small targets much like the oculomotor performance described for many foveated mammals. To test this hypothesis, spontaneous, optokinetic, and vestibular induced eye movements were quantitatively investigated employing the magnetic search coil technique. Saccades and fixation in the light were found in both the horizontal and vertical directions. Horizontal saccades occurred simultaneously in both eyes but often with disparate amplitude, direction, and velocity. Vertical saccades were independent of horizontal eye movements. Few spontaneous eye movements were observed in the dark. Visual following was elicited using either full field or subtended 5° targets delivered sinusoidally over a frequency range of 0.25 Hz to 1.0 Hz at 8–40°/s. Gain, measured as eye velocity/target velocity, was 0.6 and 0.4, respectively, throughout the frequency range. Smooth tracking was never elicited in the vertical direction. Vestibular evoked eye movements had a gain (eye velocity/head velocity) of 0.8 throughout the same frequency range. Combined visual and vestibular interaction produced eye movements at a gain of 1.0 from 0.063 Hz–2.0 Hz. Adaptive gain control of the vestibulo-ocular reflex towards a gain of 2.0 at 0.5 Hz ( $\pm 10^\circ$  amplitude) was examined after 3 h of training with a full field visual stimulus of 32°/s at a head velocity of 16°/s.



Vestibular evoked eye movements measured in the dark were increased from 0.8 to 1.8. We conclude that the oculomotor system of the Cabazon sculpin is remarkably robust, exhibiting more of the features described in foveated mammals than those reported in other teleosts as exemplified by the goldfish.

*Stimulus/response coupling in sponge cell aggregation: differential effects of cocaine derivatives and non-steroidal antiinflammatory agents.* GERALD WEISSMAN, REED BROZEN, GIULIA CELLI, AND PETER SANDS (Marine Biological Laboratory).

Dissociated marine sponge cells (*Microciona prolifera*) re-aggregate when protein kinase C and Ca fluxes elicit secretion of a species-specific aggregation factor. Aggregation is regulated by a guanine-nucleotide binding protein (G-protein) and is inhibited by pertussis toxin (Weissmann *et al.*, 1986 *PNAS* 83: 2914-2918). Using quantitative aggregometry, we now show that agents which mimic binding of GTP to the G-protein (vanadate, >1 mM, fluoroaluminate, >5 mM) elicit aggregation of sponge cells exposed to sub-optimal amounts of Ca (5 mM) and ionomycin (1  $\mu$ M). Arachidonic acid (20:4) had a similar effect. Cells treated with local anesthetics, *i.e.*, tetracaine (100  $\mu$ M), dibucaine (150  $\mu$ M), procaine (1 mM), or lidocaine (3.5 mM) failed to undergo normal aggregation in response to vanadate or arachidonate. Non-steroidal antiinflammatory drugs, *i.e.*, indomethacin (100  $\mu$ M), piroxicam (100  $\mu$ M), sodium salicylate (5 mM), or aspirin (5 mM) also inhibited aggregation. Neither class of drug inhibited aggregation induced by the direct activator of C-kinase, phorbol myristate acetate. The two groups of inhibitors differed in their mode of action with respect to the twin signals of protein kinase C and Ca movements (measured as  $^{45}$ Ca efflux and appearance of  $^3$ H-arachidonate in diacylglycerol on thin-layer chromatography in hexane:ether:acetic acid, 50:50:1). Whereas cocaine derivatives provoked enhanced  $^{45}$ Ca fluxes from sponge cells exposed to Ca and ionomycin, aspirin-like drugs inhibited  $^{45}$ Ca efflux. Moreover, whereas local anesthetics had no effect on diacylglycerol synthesis, aspirin-like drugs inhibited  $^3$ H-arachidonate incorporation into diacylglycerol. The experiments not only suggest that cocaine derivatives exert their membrane effects at sites distal to C-kinase and that aspirin-like drugs work at the level of the G-protein, but that these primitive cells resemble human blood cells in their response to products of the coca plant or the willow.

*Artificial diets of fish food are potentially useful for *Hermisenda mariculture*.* EBENEZER YAMOA, ALAN M. KUZIRIAN (Marine Biological Laboratory), DONNA MCPHIE, AND LOUIS MATZEL.

An advantage of using marine invertebrates as biomedical research models is their ability to be kept in laboratory culture. With the increasing dependence on closed-recirculating systems using natural or artificial seawater by non-coastal research laboratories, effective and efficient maintenance conditions are important.

The question of feeding density and growth rates for juveniles was addressed. Ten-day, post-metamorphic animals were kept in individual 5-ml flow-through test tubes in a 1-l container and fed 2-3 stalks of the coelenterate hydroid, *Tubularia* sp. (n = 10). Concurrently, animals were pooled in a 250-ml crystallizing dish with 10-12 hydroid stalks. All animals were kept in a 14°C incubator, their length measured, water changed, and food replenished every 3 days for 15 days. Instantaneous growth rates (K-values) for individually cultured and fed animals were compared with the multiple cultured animals. The data revealed no significant (Student *t*-test) differences between the two conditions (K-values: 13.4, 16.5, respectively).

Artificial fish food pellets were used by the Laboratory of Cellular and Molecular Neurobiology, NINCDS-NIH, Bethesda, MD, for maintenance of adult *Hermisenda*. Although artificial diets (microencapsulated food and amino acid supplements) have been used for filter feeding larval and adult molluscs, this represented a unique opportunity to evaluate its use on a totally carnivorous species. Hard and soft fish food pellets, containing fish and shrimp meal, yeast, wheat-germ, seaweed meal, sucrose, vitamins, and trace elements were compared with a proven maintenance diet of crab viscera to test their ability to promote growth of healthy adult animals. Eight animals per feeding paradigm were fed either hard or soft fish pellets, or crab viscera. All animals were starved for five days, weighed, assigned to individual 50-ml flow-through test tubes, and fed (2 pellets/tube; viscera from 3 crabs split between 8 *Hermisenda*). Thereafter they were weighed, and fed every 3 days for 15 days. The following K-values based on weight gained (mg) were obtained: hard pellets, 2.18; soft pellets, 3.21; crab viscera, 4.30. Based on Student *t*-tests, the soft pellets were significantly better ( $P < .05$ ) than hard, but not significantly less than the crab diet ( $P > .1$ ). The hard pellets provided a significantly less effective diet than the crab ( $P < .01$ ).

The results of the juvenile growth and culture conditions indicate that pooling of reasonable numbers of individuals and their food provides conditions which promote good growth. This is extremely important for ease of maintenance under mariculture conditions. The artificial diet study indicates that the soft fish food pellets provide adult growth rates comparable to that of crab viscera. They are somewhat better because the pellets foul the water much less than does crab viscera. The hard pellets which contain more crude protein (hard, 40%; soft, 35%) do not support comparably high growth rates. They probably are less palatable. This finding is important because it means there is a standard, extremely low maintenance diet potentially available that will provide consistent dietary conditions for *Hermisenda* mariculture.

E. Y. gratefully acknowledges the support provided by the American Society for Cell Biology, Minorities Student Program, and the Laboratory of Cellular and Molecular Neurobiology, NINCDS-NIH.

*Some morphological and physiological properties of the sea robin Mauthner cell.* STEVEN J. ZOTTOLI (Williams College), GRAEME W. DAVIS, AND SUSAN C. NORTHERN.

Comparative morphological studies of teleost fish have revealed differences in Mauthner cell (M-cell) size; somata can be categorized as either large or small. In addition, M-cells have not been found in certain fish. Most M-cell studies have been conducted on cyprinids which have M-cells in the large size class. We have analyzed the M-cell of the sea robin, *Prionotus carolinus*, to learn more about the properties of a cell in the small size category.

M-axons were identified as described previously (Zottoli and Agostini 1984, *Biol. Bull.* 167: 535) using intracellular recordings with KCl electrodes in lightly anesthetized (0.007% MS-222) fish. M-axons could be activated by antidromic stimulation about halfway down the spinal cord but could not be activated further caudally. Morphological studies of the M-axons revealed a steady decrease in axonal size from the recording site in the medulla (40  $\mu$ m) to halfway down the spinal cord (20  $\mu$ m). Further caudally, it became increasingly difficult to distinguish the M-axons from other axons in the cord, suggesting that the M-axon does not extend the full length of the spinal cord.

Antidromic activation frequently resulted in a second component on the falling phase of the action potential. This later component may represent the spike generated at the initial segment which has traveled back passively to the axonal recording site. Double antidromic stimulation at 1/s resulted in blockage of the later component of the second

spike when the second stimulus was delivered approximately 10 ms or less after the first. The blocked component returned after increasing the frequency of stimulation. We speculate that this blockage is the result of a M-cell collateral inhibitory network similar to that described in the goldfish and that this network is common to M-cells independent of their size.

These results provide a first step in the analysis of a M-cell in the small size category and are a necessary prelude for comparative investigations of M-cell mediated behavior.

Supported by NINCDS Grant #NS 26032. Sue Northen was supported by the Ford-Mellon Research Scholar Program.

## Developmental Biology and Fertilization

### *Protein phosphorylation during oocyte maturation in Spisula and Asterias.* T. HANEJI AND S. S. KOIDE (The Population Council, New York, NY 10021).

*Spisula* oocytes were preincubated for 2 h with [ $^{32}$ P]phosphate at a concentration of 200  $\mu$ Ci/ml to radiolabel the adenosine triphosphate (ATP) pool. Maturation was induced with 5-hydroxytryptamine (5-HT) at a final concentration of 10  $\mu$ M. After 10 and 30 min of treatment, oocytes were homogenized and the particulate fraction prepared. The phosphorylated proteins were separated by sodium dodecyl sulfate-polyacrylamide gel electrophoresis (SDS-PAGE) and the radiolabelled proteins analyzed by autoradiography. A marked increase in the radiolabelling of a protein with an estimated Mr of 30,000 occurred with the 5-HT-treated oocytes. A similar result was obtained with the particulate fraction of oocytes inseminated with sperm and incubated with [ $\gamma$ - $^{32}$ P]ATP.

Maturation was induced in *Asterias* oocytes by treatment with 1-methyladenine for 10 and 30 min. Cytosol fraction was prepared and incubated with both [ $\gamma$ - $^{32}$ P]ATP and [ $\gamma$ - $^{32}$ P]GTP. The radiolabelled proteins were analyzed by SDS-PAGE and autoradiography. With [ $\gamma$ - $^{32}$ P]ATP a marked increase in the incorporation of radioactivity occurred with proteins of estimated molecular weights of 70,000 and 62,000; whereas a marked increase in radiolabelling occurred with a protein of estimated Mr of 56,000 using [ $\gamma$ - $^{32}$ P]GTP.

The present findings suggest that protein phosphorylation is an early biochemical event during the resumption of meiosis in *Spisula* and *Asterias* oocytes.

This study was supported by a grant No. INT 8211350 from NSF and GS PS 8712 from The Rockefeller Foundation.

### *Evidence for serotonin (5-HT<sub>1A</sub>) receptor site on Spisula oocyte.* A. L. KADAM, S. J. SEGAL, AND S. S. KOIDE (Population Council, New York).

Serotonin (5-hydroxytryptamine, 5-HT), a neurotransmitter, induces spawning when administered to *Spisula* and germinal vesicle breakdown (GVBD) of *Spisula* oocytes when added *in vitro* (Hirai *et al.*, 1988 *J. Exp. Zool.* 245: 318). The present study was undertaken to determine the type of 5-HT receptor sites present on *Spisula* oocytes.

Oocyte maturation (GVBD) assay was performed by adding one drop containing about 2000 oocytes to 1.0 ml of artificial seawater (ASW) containing 5  $\mu$ M concentration of test samples. After 30–40 min at ambient temperature oocytes were scored for GVBD by light microscopy. Serotonin antagonist mianserin (5-HT<sub>1</sub>), ketanserin (5-HT<sub>2</sub>), metergoline (5-HT<sub>3</sub>), and its agonists 8-hydroxy-2-(di-n-propyl-amino)-tetralin (8-OH-DPAT) (5-HT<sub>1A</sub>), RU-24969 (5-HT<sub>1B</sub>), and 2-methylserotonin were used to determine the type of 5-HT receptor site on *Spisula* oocytes.

5-HT at a concentration of 5.0  $\mu$ M induced GVBD in more than 90% of oocytes while 5-methoxytryptamine (5-MT) and 5-hydroxyindole acetic acid (5-HTAA) at the same dose did not induce GVBD. Mianserin, a 5-HT<sub>1</sub> antagonist, at 5.0  $\mu$ M dose totally blocked 5-HT-induced GVBD. Ketanserin (5-HT<sub>2</sub>) and metergoline (5-HT<sub>3</sub>) at the same dose failed to block 5-HT-induced GVBD. The 5-HT agonist, 8-OH-DPAT (5-HT<sub>1A</sub>) induced GVBD in 80% of the oocytes; whereas RU-24969 (5-HT<sub>1B</sub>) and 2-methyl-serotonin (5-HT<sub>3</sub>) did not induce GVBD.

The present findings suggest that *Spisula* oocytes possess 5-HT<sub>1A</sub> receptor sites.

This study was supported by grant no. INT 82 11350 and NSF and GA PS 87123 from the Rockefeller Foundation. The 5-HT antagonists and agonists were obtained as gifts from Glaxo, Sandoz, Roussel UCLAF, and Farmitalia.

### *Stimulation of Spisula sperm motility by serotonin (5-hydroxytryptamine).* A. L. KADAM, S. J. SEGAL, AND S. S. KOIDE (Population Council, New York).

Hirai *et al.* (*J. Exp. Zool.* 245: 318, 1988) demonstrated that serotonin (5-HT) induces spawning when administered to male surf clam, *Spisula solidissima*. The present study was undertaken to determine the effect of 5-HT on *Spisula* sperm motility.

*Spisula* sperm were collected and diluted (1:500) with artificial seawater (ASW) and centrifuged at 300  $\times$  g for 5 min. The supernatant was recentrifuged at 1000  $\times$  g for 10 min at 4°C. The pellet of sperm was suspended in ASW to the original volume, refrigerated overnight, and used the next day for motility assay. Appropriate concentrations of test substances were added to 0.5 ml of sperm suspension. After 5 min of treatment, the percent motile sperm were estimated using the "cell soft" semen analyzer (Cryoresources). Motility was also determined using an axiovert microscope with video-enhancement (Zeiss). The oxygen consumption of treated and control sperm was measured by using the YSI oxygen meter (Clark type O2 electrode, Yellowstone Instrument Co.). Linear consumption of oxygen was recorded for 1 h. The fertilizing ability was assayed with washed *Spisula* oocytes and scored for germinal vesicle breakdown (GVBD) and embryo development by visual examination using an Axiovert microscope (Zeiss).

5-HT stimulated *Spisula* sperm motility. The effect was dose-dependent (1  $\mu$ M = 45%; 2  $\mu$ M = 77%; and 5  $\mu$ M = 92%). 8-Hydroxy-2-(di-n-propylamino)-tetralin, (8-OH-DPAT) (5-HT<sub>1A</sub> agonist), and 5-methoxytryptamine (5-MT) also stimulated sperm motility by 80% and 70%, respectively. RU-24969 (5-HT<sub>1B</sub>) and 5-hydroxyindoleacetic acid (5-HIAA) had no effect on motility. Serotonin antagonists, mianserin (5-HT<sub>1</sub>) and ketanserin (5-HT<sub>2</sub>), at 5.0  $\mu$ M concentration did not block 5-HT-induced sperm motility. 5-HT at 5.0  $\mu$ M concentration did not stimulate sperm motility in sea urchin (*Arbacia*), parchment worm (*Chaetopterus*), and squid (*Loligo*). The oxygen consumption of 5-HT-stimulated *Spisula* sperm increased by 40% of the control value in 40 min. 5-HT-stimulated motile sperm retained their capacity to fertilize *Spisula* oocytes that developed normally.

In conclusion, 5-HT, 8-OH-DPAT, and 5-MT stimulate sperm motility in *Spisula*.

This study was supported by grant no. INT 82 11350 from NSF and GA PS 8712 from the Rockefeller Foundation. The 5-HT agonists and antagonists were gifts from Sandoz, Glaxo, Roussel, and Farmitalia.

### *The egg cortical endoplasmic reticulum.* C. SARDET, M. TERASAKI, J. E. SPEKSNYDER, AND L. F. JAFFE (Station Zoologique, Villefranche-S-Mer, Laboratory of Neurobiology, NINCDS, NIH at Woods Hole, and Marine Biological Laboratory, Woods Hole).



Eggs of echinoderms, molluscs, amphibians, and mammals display numerous strands of endoplasmic reticulum (ER) immediately beneath the plasma membrane (Sardet 1984, *Dev. Biol.* **105**: 196; Charbonneau and Grey 1984, *Dev. Biol.* **102**: 90; Luttmer and Longo 1985, *Dev. Growth Differ.* **27**: 349; Speksnyder *et al.* 1986, *Progress in Developmental Biology*, part B, pp. 353–356). We have shown that this cortical ER can be retained in isolated cortices of sea urchin eggs as a honeycomb lattice encircling cortical granules (1). Using fluorescent dyes (Terasaki *et al.* 1986, *J. Cell Biol.* **103**: 1557), we have confirmed and extended these observations to several species of sea urchin eggs. A tubulovesicular network of rough ER adheres to the plasma membrane and is continuous with the cytoplasmic ER on which many organelles remain attached.

We have furthered our observations on cortical ER in eggs of the tunicate *Phallusia mammillata*, which do not have cortical granules. Cortices from these eggs are characterized by an extensive network of ER tubes and sheets, whose dynamics can be observed by fluorescence or DIC microscopy. Perfusion with hypotonic solution indicates that there are discrete anchorage points of the ER network to the plasma membrane. We could also localize microfilaments along the internal side of the plasma membrane using rhodamine-labeled phalloidin, and microtubules coursing along ER strands in the isolated cortex using immunofluorescence. The cortical ER network and microtubules were also observed by electron microscopy of replicas of fixed, rotary-shadowed whole mount preparations.

In view of the probable role of cortical ER in calcium regulation, its anchorage to the plasma membrane and its continuity throughout the cytoplasm, we must consider that the egg's ER may act as a scaffolding or framework able to control assembly-disassembly of associated cytoskeletal elements. These cytoskeletal elements as well as organelles may, in turn, move with respect to the ER.

*A cell-free preparation of endoplasmic reticulum derived from eggs.* M. TERASAKI, C. SARDET\*, AND T. REESE (Laboratory of Neurobiology, NINCDS, NIH at Woods Hole, and \*Station Zoologique, Villefranche-S-Mer).

The classic method for producing cell-free preparations of ER membranes is homogenization followed by differential centrifugation. This method has been useful, but (1) the continuity of the ER, which distinguishes it from other membranes, is not preserved, (2) other membranes may contaminate this fraction, and (3) compartmentation in the ER is difficult to study. A cell-free preparation of variably sized networks of tubular membranes was produced from sea urchin eggs (*Arbacia punctulata*) and tunicate eggs (*Phallusia mammillata*) by shearing attached eggs or by squashing eggs between two cover slips. The membranes which remain on the cover slip not associated with the cortices after washing were observed by fluorescent dye staining [DiOC6(3)], by video enhanced DIC, and by whole mount electron microscopy of glutaraldehyde-osmium-fixed and critical point dried samples. Ribosomes on many of the membranes were observed by EM and by staining with Hoechst dye 33258 at pH 2 (Hilwig and Gropp, *ECR* **91**: 457). Since some of the disadvantages of differential centrifugation can be avoided, this preparation makes possible new kinds of studies on activities of the ER, such as calcium regulation, protein or lipid synthesis, or protein filament binding and motility.

We used a different dye, DiI16(3) (8  $\mu$ g/ml for 1 min diluted just before use from 2.5 mg/ml ethanol stock), to examine the organization of the cortical ER in these cortices. This dye stains cortical ER but not cortical granules of sea urchin egg cortices. Comparison with phase contrast images shows that the ER often encircles cortical granules on the cortex, verifying the original observations of Sardet (1984, *DB* **105**: 196).

*Diamino acids block plutei formation in developing Arbacia mimicking other chemopreventive anticancer agents.* WALTER TROLL (NYU Medical Center, New York, NY) AND JESSICA A. FEINMAN.

Protease inhibitors and retinoids interfere with plutei development in *Arbacia punctulata* when added up to six hours after fertilization. The development of plutei is specifically modified without any effect on earlier differentiation. The protease inhibitor *D*-amino caproic acid (EAC) was effective at 0.1 M concentration while leupeptin, antipain, and  $\alpha_1$ -antitrypsin, as well as retinoids, blocked plutei formation at mM concentrations. Similar concentrations of chemopreventive agents suppressed H-ras oncogene transformation of NIH 3T3 cells (Garte *et al.* 1987, *Cancer Res.* **47**: 3159–3162). We investigated lysine, the amino acid analog of EAC, and noted that it blocked plutei development at 1 mM, 100-fold lower concentration than the effective dose of EAC. The closely related amino acids hydroxylysine and ornithine also blocked plutei formation at mM concentrations. Other amino acids, including arginine, histidine, glutamic acid, and aspartic acid, were inactive. Thus, the diamino acids mimic the action of chemopreventive agents in blocking plutei development in *Arbacia*. It will be of interest to study these diamino acids further in their anticarcinogenic action in NIH 3T3 cells and in animal and carcinogenic model systems. They have the advantage that they are non-toxic and can be readily added to media or diets.

Supported by Center Grant ES-00260 from the National Institute of Environmental Sciences.

## Ecology

*Effect of tail regeneration on early fecundity in Capitella sp. I and sp. II (Polychaeta).* SUSAN D. HILL (Michigan State University), MICHAEL J. FERKOWICZ, AND JUDITH P. GRASSLE.

*Capitella* sp. I and sp. II are sibling species which co-occur in the vicinity of Woods Hole. Both species have comparatively large yolky eggs, and lecithotrophic larvae that colonize disturbed, organically rich habitats. Consequently, reduction in size of first brood or delay in first spawning may be significant in determining their rates of population increase in the field.

We investigated the effect of posterior segment amputation and subsequent regeneration on size of the first two broods and on time of emergence of the metatrochophore larvae. *Capitella* spp. I and II late juveniles and young females were paired according to size and stage of vitellogenesis. Animals in which oocytes were macroscopically undetectable were classified as juveniles; animals with visible oocytes were categorized by oocyte development. Tails were amputated at the 25th abdominal setiger from one of each pair. Each worm was mated with a male. Embryos in brood tubes produced by the first and second spawnings of each female were counted. Date of hatching of metatrochophores from the first brood tube was determined.

No significant difference was found in brood size in *Capitella* sp. I: regenerating worms produced as many eggs in their first and second broods as intact worms. *Capitella* sp. II worms that had their tails amputated as juveniles produced significantly fewer eggs in their first and second broods than did intact worms. *Capitella* sp. II females, in which oocytes were detectable at amputation, showed no reduction in either brood. It appears that, following amputation prior to vitellogenesis, *Capitella* sp. I produces its usual complement of eggs while *Capitella* sp. II reduces the number.



In both species, tail loss in juveniles delays the production and hatching of the first brood of metatrochophore larvae by several days, an important delay in species with short generation times.

Support for this research was provided by NSF OCE-8509169 (S.D.H.).

*Marine policy implications related to the commercial value and scientific collecting of the whelk Busycon.*

HELEN M. KAPLAN, BARBARA C. BOYER, AND KRISTEN A. SANTOS (Union College).

This study examines socio-economic and ecological trends associated with fluctuations in the commercial value of the whelk *Busycon*, the basis of the New England conch fishery, and evaluates relevant marine policies. Interviews of fishermen, seafood buyers, and marine policy officials were conducted; information was also collected by setting our own conch traps for monitoring and research purposes. Results indicate a small but active conch industry in New England that has undergone significant price fluctuations and technological diversification. Specifically, within the last few years the price of conch has almost doubled and competition between conch pot fishermen and draggers now fishing for conch has increased. Depletion of foreign conch fisheries and overfishing of other domestic fishes have contributed to the increased activity in the New England conch industry. Ecological problems involving the possible depletion of the New England fishery and scientific collecting problems associated with scarcity and rising costs as well as changes and confusions regarding recent marine policies regulating the New England conch fishery are also examined.

The authors gratefully acknowledge the support of the Woods Hole Oceanographic Institution, the Marine Biological Laboratory, and Union College/Dana Fellowships.

*Gray seal pups establish critical marine habitat in Nantucket Sound, U.S.A.* DAVID PATON (Fayston, Vermont).

*Halichoerus grypus* birthings at Muskeget Island, Nantucket Sound, during the winter of 1987/1988 occurred during the last week of January. Surveys of the Nantucket and Martha's Vineyard Sounds, the Elisabeth Islands, and Nomans Island were begun in early November. Solitary males were seen at the North Shore of Muskeget in early December. Common seals arrived in numbers at this time and posted themselves at their usual hauling grounds. Aerial reconnaissance at elevations of 240 and 1000 meters were made with the Hasselblad 250 and 80 mm Zeiss lenses and Kodochrome ASA 64 film. The photographic transparencies were magnified and enhanced with digital techniques and flat plane microscopic objectives.

On 28 January, 10 males were grouped at the north shore of the south hook of the island upwind from 7 females and 2 white-coated pups. Sea birds flocked to the edge of the nursery grounds. Two males attended the shore near them. On 29 January, two placental remains showed at the middle of the bar. Two more white-coated pups were photographed. On the 30th, the placentas had been removed except for red stains in the sand. During these three days, a small seal that had the pattern and shape of a molter was included in the nursery group. A high altitude reconnaissance was made of the area. No other white-pelt pups were detected. One week later, eight swimming animals were seen near the island. Twenty-seven gray seals were counted at the nursery; one of the five pups was reported dead by property owners. Molting pods chose Wasque Shoal and Muskeget Island north bar during April and May.

Andrews and Mott (1967) have established the continuous character of this species' use of these waters and shores. There is irrefutable evidence in these recent surveys that the life history of a pod of gray seals is

begun here. The habitat includes pupping grounds, fishing, and molting haulouts (Boyd, 1961).

I wish to acknowledge Joe Costa, the Dickenson Trust, Ovide Fontier, the Holgate Family, and the VIPIRG Corporation for their support.

*Preliminary report: composition of communities resident on Limulus carapaces.* LINDA L. TURNER, CARRIE KAMMIRE, AND MARY ANNE SYDLIK (Eastern Michigan University).

Horseshoe crab (*Limulus polyphemus*) carapaces act as moving substrates for simple to complex assemblages of small marine organisms. Carapace communities are unique in two ways. First, maximum community age is constrained by horseshoe crab moult patterns. Second, horseshoe crabs spend most of the year buried offshore, then repeatedly move into subtidal eelgrass communities and harsher intertidal zones during the breeding season. These movements probably have a significant impact on carapace community composition and complexity.

Taxon frequency and diversity were determined by sampling 41 carapaces (intraocular distance range 21–41 cm) at Mashnee Dike, Cape Cod. Neither horseshoe crab size nor sex significantly influenced community structure. Barnacles (*Chthamalus* and *Balanus*) and slipper limpets (*Crepidula*) were the most common of the >10 faunal genera. More than 16 algal genera were present. Green blades, tubes, and branched filaments made up more than 50% of all algae. Brown and red filaments were intermediate and rare, respectively. *Punctaria* (brown blades) and *Chondrus* (red erect algae) were found only as germlings. Algal crusts (all orders) were relatively rare. The subtidal eelgrass community may have contributed up to eight faunal and twelve algal genera.

No significant changes in relative frequencies of algal orders were seen between 1–23 June 1988. There were shifts in the relative frequencies of algal morphologies over this period: blades and filaments alternately dominated, while *Chondrus*, *Codium*, and crusts exchanged subdominant positions.

The preliminary study suggests a possible optimum in taxon diversity. Eighty-three percent of samples bore between four and nine taxa per carapace (mean 6.3; range 1–13). Increases in taxa beyond five per carapace were due mainly to the addition of like organisms: two genera of barnacles instead of one; three species of branched filament rather than one.

Supported by NSF Grant BNS-8719325 to M.A. Sydlik.

## Neurobiology

*Effects of intracellular alien calcium chelators on transmitter release at the squid giant synapse.* ELIZABETH M. ADLER (Univ. Toronto), STEVEN N. DUFFY, GEORGE J. AUGUSTINE, AND MILTON P. CHARLTON.

The molecular mechanisms underlying triggering of neurotransmitter release by calcium ions are poorly understood, in part because of a lack of information about the intracellular calcium transient that triggers release. As one approach to characterizing the calcium milieu of intracellular release sites, we injected a series of calcium chelators into the presynaptic terminal of the squid giant synapse. Transmitter release was assayed by measuring the postsynaptic electrical response elicited by action potentials in the presynaptic terminal.

As previously reported by Adams *et al.* (1985, *J. Physiol.* 369: 145–159) injection of EGTA had little effect on neurotransmitter release.

We next examined BAPTA, which has an equilibrium affinity constant for calcium binding ( $K_D$ ) similar to that of EGTA at physiological pH but binds calcium much more rapidly. BAPTA was highly effective in producing a rapid and reversible reduction in transmitter release. These changes in transmitter release were not caused by any changes in the presynaptic action potential. BAPTA derivatives with estimated intracellular  $K_D$ s ranging from  $1.8 \times 10^{-7} M$  to  $4.9 \times 10^{-6} M$  were all highly effective at reducing transmitter release (ca. 80–90% reduction) at estimated presynaptic concentrations of several mM. At lower estimated concentrations (<1 mM), the order of buffer efficacy in blocking transmitter release was inversely proportional to  $K_D$ . A fourth derivative with an estimated intracellular  $K_D$  of 13 mM was less effective in reducing release (ca. 25% reduction in release at estimated presynaptic concentrations of 3 mM). We conclude that calcium binding rate is an important consideration when using calcium buffers to attenuate intracellular calcium transients.

*Association of phospholipid metabolizing enzymes with axolemmal membranes (squid retinal fibers and garfish olfactory nerve) and axoplasmic vesicles from squid axoplasm.* MARIO ALBERGHINA AND ROBERT M. GOULD (Institute for Basic Research in Developmental Disabilities, Staten Island, NY).

The neuronal perikarya is the acknowledged source of lipids and proteins used for the expansion and maintenance of the axonal membranes. However, the axon also contains lipid metabolizing enzymes. For example, we have shown that pure axoplasm from the giant axon of squid, *Loligo pealei*, will catalyze various reactions of phospholipid metabolism (Gould *et al.* 1983, *J. Neurochem.* **40**: 1300; Alberghina and Gould 1987, *Biol. Bull.* **173**: 439). To localize phospholipid metabolizing enzymes to axonal membranes, we measured their activities in fractions enriched in axolemmal membranes and axoplasmic vesicles. Axolemmal fractions from squid retinal fibers and garfish olfactory nerve were purified by published methods and characterized by marker enzyme content. Axoplasmic vesicles were separated from cytoskeletal proteins in extruded axoplasm by a potassium iodide treatment followed by sucrose density gradient centrifugation (Schroer *et al.* 1988, *J. Cell. Biol.*, submitted).

CDP-diacylglycerol: myo-inositol-3-phosphoryl-transferase (EC 2.7.8.11, PtdIns synthase), catalyzing the *de novo* synthesis of phosphatidylinositol, was enriched in the axolemmal fractions from both squid retinal fibers and garfish olfactory nerve. Purified axoplasmic vesicles had a high content of PtdIns synthase. AcylCoA:1-acyl-*sn*-glycero-3-phosphorylcholine acyltransferase (EC 2.3.1.23) was also present at high content in the axolemmal membrane-rich fractions of both squid retinal fibers and garfish olfactory nerve, and in axoplasmic vesicles from the squid giant axon.

Phospholipase A2 (EC 3.1.1.4), catalyzing the hydrolysis of the oleoyl group from exogenous phosphatidylcholine at neutral pH (7.4), was present in axolemmal fractions and in axoplasmic vesicles. Activities in all preparations were stimulated by added calcium (5 mM) and partially inhibited by EGTA (2 mM). Activities were not as enriched in axolemmal membranes and axoplasmic vesicle fractions as were the PtdIns synthase and acyltransferase activities. Our results indicate that membrane-associated (PtdIns synthase, acyltransferase and PLA2) and soluble (PLA2) enzymes of lipid metabolism are constituents of axonal processes.

Supported by grant NS 13980 from NINCDS (RMG).

*Immunocytochemical characterization of the sonic motor nucleus of the toadfish, Opsanus tau.* HARRIET

BAKER (Cornell University), ANDREW BASS, AND ROBERT BAKER.

The sonic motor nucleus in toadfish is a midline nucleus that innervates a bilateral set of swimbladder "drumming" muscles. Intracellular recording from sonic motoneurons showed that the frequency of sonic motor discharge is determined by a rhythmic membrane hyperpolarization followed by a chemical and electrotonic depolarization. These observations imply inhibitory and excitatory "pacemaker" neurons. Intracellular injection of HRP and lesion experiments demonstrate that these neurons are localized both within and in the adjacent ventrolateral margin of the sonic motor nucleus. This study initiates the neurochemical characterization of the central components underlying sonic motor discharge. Using immunocytochemical techniques, GABA-, serotonin-, and catecholamine-containing elements were identified within and around the nucleus. Abundant GABA-like immunoreactivity was found in fibers and terminals throughout the nucleus. A few labeled perikarya, smaller in diameter than motoneurons, existed within the nucleus. Cells with processes directed into the nucleus were more numerous along the lateral margin. Surprisingly, serotonin-containing neurons, also of small diameter, were found within the nucleus characteristically at positions close to the midline or along the ventrolateral margin. The number of serotonin-containing fibers and terminals was small as compared to the extensive GABAergic termination. Catecholaminergic cells were dorsal and rostral to the nucleus and sent processes into the nucleus; however, terminals were even more sparse than observed for serotonin. These data suggest that GABA plays the important role in producing the inhibitory component of the sonic motor discharge. In contrast, given the sparsity of serotonin and catecholamine processes in the nucleus they are unlikely to represent the excitatory pacemaker neurons although they may play a modulatory role in determining the pattern of discharge.

*Quinacrine blocks calcium currents in Paramecium.* SUSAN R. BARRY (University of Michigan, Ann Arbor MI), JUAN BERNAL, AND BARBARA E. EHRLICH.

*Paramecium calkinsi*, a marine paramecium, contains voltage-sensitive calcium channels in the ciliary membrane. These channels are insensitive to the organic calcium channel blockers, D600, verapamil, and the dihydropyridine drugs. The only organic compounds that have been reported to block these channels are the calmodulin antagonist, W7, and its analogs. We report here that quinacrine hydrochloride may also block the voltage-sensitive calcium channel in paramecia.

A behavioral test was used initially to test the effects of quinacrine on calcium channels in paramecia. Paramecia were placed in a depolarizing solution containing 62.5 mM KCl, 62.5 mM NaCl, 1 mM  $CaCl_2$ , and 10 mM MOPS. When paramecia are depolarized in this solution, calcium channels in the ciliary membrane are transiently opened. Influx of calcium causes the cilia to reverse the direction of their stroke so that the paramecia swim backward for approximately seventy seconds. Quinacrine reduced the duration of backward swimming at a threshold concentration of approximately 1  $\mu M$ . The duration of backward swimming was halved in 3  $\mu M$  quinacrine while backward swimming was completely eliminated in 100  $\mu M$  quinacrine. Quinacrine was three times more potent than W7 in inhibiting backward swimming. Since the duration of backward swimming has been correlated with calcium channel activity, quinacrine may reduce backward swimming by blocking calcium channels.

The effect of quinacrine on the calcium action potential in paramecia was also examined. The paramecia were impaled with single microelectrodes for stimulation and recording. Voltage-sensitive potassium channels were blocked by extracellular application of tetraethylammo-



nium chloride and intracellular application of cesium chloride. Thus, depolarization of the membrane produced an action potential whose magnitude and time course may reflect the magnitude and time course of calcium influx. Addition of 10 or 100  $\mu$ M quinacrine hydrochloride reduced both the amplitude and time course of the calcium action potential.

In conclusion, the calcium channels of paramecia are not blocked by the organic compounds that block metazoan calcium channels, but are blocked by quinacrine, a compound known to inhibit sodium channels in metazoan cells. These data show that quinacrine is an additional tool for the study of the voltage-sensitive calcium channel in paramecium.

This work was supported by NIH grant GM-39092 and NSF grant BNS 85-06778. BEE is a PEW Scholar in the Biomedical Sciences.

*Neurophysiological correlates of sex differences in the sonic motor system of the midshipman, Porichthys notatus.* ANDREW BASS (Cornell University) AND ROBERT BAKER.

In the sound-producing fish, *Porichthys notatus*, there are two classes of sexually mature males. A large size class (Type I) generates several types of "vocalizations" during the breeding season, unlike the smaller size class of males (Type II) and females which have not yet been found to be sonic. Previous studies have shown that the morphology of the central (sonic motor nucleus) and peripheral (swimbladder "drumming" muscles) components of the sonic motor system are similar in Type II males and females. In these studies all animals were acclimated to a temperature of 15°C. Surprisingly, sonic activity could be evoked in all classes of animals following midbrain stimulation and intracranial recording from exiting sonic motor nerves. A synchronous response was always evoked bilaterally in females and both classes of males. Intracellular recordings of synaptic and action potentials from every sonic motoneuron in Type II males and females, as in Type I males, exhibited a direct 1:1 correspondence with each evoked response. Temperature over a range of 6–24°C was then used as a physiological variable to further compare sex differences in functional organization. At the same temperature, the fundamental frequency in Type II males and females was similar but significantly lower than in Type I males. These data indicate that the sonic motor system of females and Type II males are qualitatively similar to that of Type I males, but there is a detectable difference in discharge frequency. We conclude that the central neuronal circuitry in Type II males and females can generate a rhythmic sonic output despite dramatic sex differences in the sizes of motoneurons, total muscle mass, and individual muscle diameter.

*G-proteins modulate the calcium action potential in Paramecium calkinsi.* JUAN BERNAL AND BARBARA EILRLICH (University of Connecticut, Farmington, CT).

Activation of voltage-dependent calcium channels initiates backward swimming in *Paramecium*. The duration of backward swimming was modulated by G-proteins in the marine paramecium *Paramecium calkinsi* (McIlveen *et al.* 1987, *Biol. Bull.* 173: 445). With the aim to understand the mechanism of this modulation, we measured the effect of G-proteins on calcium action potentials in intact *Paramecium calkinsi*. First, we showed that this cell produces a calcium action potential under current clamp conditions. To isolate the calcium action potential, the paramecium was bathed in a sodium-free solution containing: (in mM) TEA-Cl, 125; KCl, 10; CaCl<sub>2</sub>, 15; MOPS 10; pH 7.3. The electrodes were filled with CsCl (0.1 M or 2 M, pH 7.0). The amplitude of the calcium action potential increased when the extracellular calcium concentration was increased between 0.5 and 90 mM. In contrast, the calcium action potential in fresh water paramecia saturates at 1 mM calcium. The calcium action potential was blocked by W(12)Br (2  $\mu$ M),

a concentration which has been shown previously to block backward swimming and single calcium channel currents.

To test the hypothesis that G-proteins modulate the action potential, compounds of interest were injected into the cell. When GTP $\gamma$ S, a non-hydrolyzable analogue of GTP which activates G-proteins, was injected into the cell, the duration of calcium action potential was increased by 300%. This effect was maintained over 20 min. GDP $\beta$ S, a non-hydrolyzable analogue of GTP which inhibits G-proteins, decreased the duration and amplitude of the calcium action potential. Five minutes after injection of GDP $\beta$ S, the voltage response to a current pulse was reduced to the passive properties of the cell. These results suggest that G-proteins are involved in calcium action potential modulation. We are in the process of doing voltage clamp experiments to clarify the mechanism of the modulation of calcium action potential by G-proteins.

This work was supported by NIH grant GMS 39092. BEE is a PEW Scholar in the Biomedical Sciences.

*A biologically motivated artificial neural network.* DANIEL ALKON (Laboratory for Molecular and Cellular Neurobiology, NINCDS, NIH), KIM TIPLITZ BLACKWELL\*, TOM P. VOGL\*, VASSILIOS KOUNTOURIS\*.

Most artificial neural networks utilize neuronal elements whose presynaptic strengths are modulated by the output, and are set by a number of different iterative, non-linear, or stochastic algorithms. The network described here, a dynamically stable associative learning (DYSTAL) network, is based on a biological neural network: the convergent visual and vestibular pathways which mediate associative learning of *Hermisenda crassicornis*. The response of these pathways to their preferred stimuli are of fixed synaptic strength. In addition, there are loci of convergence which are modified by repeated presentation of temporally related patterns.

By virtue of unique learning rules, the DYSTAL network displays a number of desirable features some of which may be found in other models but which have not previously been combined. The network is self-adapting and the strength (weight) associated with each synapse is adjusted by a rule that only requires information regarding the pre- and post-synaptic neurons involved. A consequence of self-adaptation is that the network can be scaled for the solution of large problems with only a linear increase in computation time, even on a serial machine. Finally, the network can associate different patterns which are presented sequentially.

The network was trained by presenting to the 3 by 3 input array either "T" or "C". The network was tested and was able to properly classify (distinguish) incomplete "T"s and "C"s. In addition, when presented with an ambiguous pattern (one which could be either a "T" or a "C") the network responds with the union of the patterns (T) when trained to associate "T"s and "C"s, and with the intersection of the two (C) when no association had been formed.

*Clustering of presynaptic Ca channels at active zones of the squid giant synapse: EM analysis of active zone distribution in a fura-2 imaging specimen.* JOANN BUCHANAN (Yale Univ. Medical School), GEORGE J. AUGUSTINE, MILTON P. CHARETON, LUIS OSSÉS, AND STEPHEN J SMITH.

We have performed correlative light and electron microscopical studies of the distribution of active zones in the presynaptic terminal of the giant synapse. The goal of this study was to test the hypothesis that Ca channels are clustered at presynaptic active zones by comparing

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the distribution of active zones to sites of Ca influx. This was accomplished by examining a squid giant synapse preparation which had been injected with the Ca indicator dye, fura-2, to study the pattern of Ca influx following presynaptic stimulation (see Smith *et al.* 1988, *Biol. Bull.* **175**: 311). Following physiological studies, the preparation was immersed in 2% glutaraldehyde in 0.1 M cacodylate buffer containing 0.8 M sucrose and further processed for electron microscopy. Thick sections (2  $\mu$ m thickness) were cut through the 800  $\mu$ m-long synapse, digitized, and reassembled with computer-aided reconstruction methods. At this level of resolution it was possible to visualize sites of synaptic contact along the synapse; the distribution of contacts was closely correlated with the longitudinal distribution of Ca influx sites detected with fura-2 in the living synapse. In addition, thin sections were collected at 50  $\mu$ m intervals through the synapse and photographic montages were made to examine the distribution of active zones around the perimeter of the presynaptic terminal. It was found that the number of active zones in the region of the presynaptic terminal nearest the postsynaptic axon was 10-times greater than in the region away from the postsynaptic axon. This closely corresponds to the lateral gradients of Ca influx identified in the fura-2 measurements. These two findings demonstrate a close correlation between active zones and sites of Ca influx and therefore support the hypothesis that Ca channels are clustered at active zones.

Supported by Howard Hughes Medical Institute funds, NIH grant NS-21624, and MRC (Canada) funds.

*Identification and characterization of peptidergic motoneurons in the buccal ganglia of Aplysia californica using intracellular dye injections and immunocytochemistry.* PAUL J. CHURCH AND MARK D. KIRK (Dept. of Biology, Boston University, Boston, MA 02215).

The Small Cardioactive Peptide B (SCP<sub>B</sub>) is present in buccal neurons of *Aplysia californica* and has physiological effects within the feeding system. Previous studies have localized SCP<sub>B</sub> to the identified neurons B1, B2, and B15, and shown its absence in B4/5 and B16. Immunocytochemical studies on juveniles have shown several small dorsal cells and three or four large ventral cells to contain SCP<sub>B</sub>, but the cells have yet to be characterized.

To determine the functional roles of SCP<sub>B</sub>ergic buccal neurons, we are identifying and physiologically characterizing the remaining buccal neurons with SCP<sub>B</sub>-like immunoreactivity. Ganglia from 115–600 gram animals were treated either as whole mounts or in 20  $\mu$ m frozen sections. Cell identification was based on size, position, and physiology, combined with lucifer yellow injection.

SCP<sub>B</sub>-like immunoreactivity of the identified neurons B15, B1, and B2 was confirmed in adult animals. In addition, double label experiments show SCP<sub>B</sub>-like immunoreactivity in the motoneurons B6, B9, and B11, as well as a motoneuron with its soma located just medial to B6. An absence of staining has been demonstrated in B3, B7, B41, and B42. Consistent staining also appeared in several (approx. 25) small cells in the dorsal-rostral group as well as in at least one cell located peripherally at a branch point of the esophageal nerve. Numerous processes in the neuropil, buccal nerves, and sheath above the commissural arch also show SCP<sub>B</sub>-like immunoreactivity. Staining was eliminated in preparations in which the primary antibody was preabsorbed to synthetic SCP<sub>B</sub>.

Supported by the Grass Foundation and NIH grant NS24662 to MDK.

*Modulation of potassium channel inactivation in squid axons by ATP.* JOHN R. CLAY AND ETE Z. SZUTS (Marine Biological Laboratory, Woods Hole, MA).

We studied the effects of adenosine triphosphate (ATP) on the potassium ion current,  $I_K$ , in squid giant axons. Experiments were performed on internally perfused axons using a standard voltage-clamp technique. The internal perfusate contained 250 mM K glutamate, 25 mM  $K_2HPO_4$ , and 400 mM sucrose, to which 5 mM ATP (magnesium salt) was added. The pH of both the control and test solutions was adjusted to 7.2 with free glutamic acid. The external solution was filtered seawater to which 1  $\mu$ M tetrodotoxin was added to block the  $I_{Na}$  component. Temperature was maintained at  $12 \pm 0.1^\circ\text{C}$ . The  $I_K$  component was elicited with 20 ms-duration depolarizing voltage-clamp steps to -40, -20, 0, 20, and 40 mV. The holding potential was either -90, -80, -70, -60, or -50 mV. We found no effect of ATP on  $I_K$  with holding potentials of -90 or -80 mV. However, ATP significantly reduced  $I_K$  from holding potentials of -60 or -50 mV without changing activation kinetics. The action of ATP could be described by a 10–15 mV shift of the inactivation parameter of the  $I_K$  channel along the voltage axis in the negative direction. Similar results were obtained with ATP- $\gamma$ -S. ATP had no observable effect on  $I_{Na}$ . These results suggest that axoplasmic ATP regulates excitability of nerve axonal membrane. Specifically, loss of ATP results in a reduction of excitability. Our work is to be contrasted with the results of Bezanilla *et al.* (1986, *PNAS* **83**: 2743–2745), who report significant increases in  $I_K$  with ATP.

*Octopine dehydrogenase activity in Loligo pealei: an active cytoplasmic enzyme marker for subcellular studies.* MICHAEL J. DOWDALL AND DAVID L. DOWNIE (Department of Zoology, University of Nottingham, U.K.).

Lactate dehydrogenase (LDH) is a classical cytoplasmic marker enzyme for subcellular fractionation. Synaptosomes from cephalopod optic lobe (Dowdall and Whittaker 1973, *J. Neurochem.* **20**: 921–935; Campbell and Dowdall 1976, *Exp. Brain Res.* **24**: 7) contain some 20% of the LDH in occluded form. However, since the activity of this enzyme in the optic lobe is only 5% of that found in mammalian brain, it is a relatively insensitive and inconvenient marker for quantitative studies with these tissues.

Octopine dehydrogenase (E.C. 1.5.1.11) (ODH) catalyses the reversible reductive condensation of L-arginine with pyruvic acid, using NADH as a co-factor, to form octopine. This enzyme has only been found in molluscan tissues and has been purified from the muscles of the scallop, *Pecten maximus* (van Thoai *et al.* 1969, *Biochim. Biophys. Acta* **191**: 46–57). Using essentially the same assay procedure of van Thoai *et al.* (1969) we have found considerable ODH activity in optic lobe and other tissues of *Loligo pealei*. The enzyme was readily extractable from squid tissues using 50 mM Tris buffer pH 7.4 containing 0.5% (w/v) Triton X-100 and in optic lobe ODH had  $K_m$ 's (mM) for pyruvate and L-arginine of 0.2 and 0.9, respectively. Optic lobe activity was  $24.6 \pm \text{SD } 3.5 \mu\text{mol/min/g wet wt.}$  ( $n = 4$ ) at pH 6.6 and  $25^\circ\text{C}$  using 4 mM each of pyruvate and L-arginine, and 0.09 mM NADH. This was 15 times the LDH activity in this tissue using optimal assay conditions. Tentacle muscle and retinal fibers had comparable activities to optic lobe, whereas mantle muscle was approximately four times higher. Tissues with much lower activities included testes, sperm sacs, gill, hepatopancreas, giant axon, and fin nerve. In muscle, optic lobe, retinal fibers, testes, and gill, ODH activity was eight times or greater than that of LDH. This suggests that ODH rather than LDH plays a major role in energy metabolism in many tissues of *L. pealei*.

Subcellular fractionation of optic lobe showed ODH to have a classical cytoplasmic distribution with the synaptosomal fraction containing 20% of the activity in a 100% occluded form.

Supported by Travel Grants awarded to MJD from the Royal Society and the Wellcome Trust.

*Protein synthesis in the giant axon and in nerve endings of the squid.* ANTONIO GIUDITTA (Dept. General Physiology, University of Naples, Italy), ENRICO MENICHINI, EMILIA CASTIGLI, AND BARRY B. KAPLAN.

Axons are generally believed to lack the system of protein synthesis. In accord with this view, the synthesis of axoplasmic protein by the isolated giant axon of the squid (Giuditta *et al.* 1968, *PNAS* 59: 1284–1287) was attributed to the activity of periaxonal glial cells, from which newly made proteins are transferred to the axon (Lasek *et al.* 1977, *J Cell Biol* 74: 501–523). However, our experiments demonstrate that polysomes carrying nascent peptide chains are present in squid axoplasm. Cleaned giant axons, still associated with intact giant axon systems, were incubated in Millipore-filtered seawater containing 100  $\mu$ Ci/ml  $^{35}$ S-methionine (1 h at 20–22°C). Radioactive polysomes were purified from extruded axoplasm by sedimentation through a layer of 2 M sucrose and fractionated on 15–45% sucrose gradients. The radioactive polysomal profile was shifted to the top of the gradient by treatment of the resuspended pellet with RNase or EDTA. Cycloheximide (50  $\mu$ g/ml) strongly inhibited the labelling of axoplasmic polysomes, while chloramphenicol (50  $\mu$ g/ml) did not induce appreciable changes.

The occurrence of protein synthesis in a purified fraction of optic lobe synaptosomes (Hernandez *et al.* 1976, *Acta Cient. Venez.* 27: 120–123) was confirmed by demonstrating  $^{35}$ S-methionine incorporation into protein. The incorporation was linear for at least one hour, and dose-dependent in a limited protein concentration range. Cycloheximide was strongly inhibitory, while chloramphenicol induced only a limited degree of inhibition. Pretreatment of the synaptosomal fraction with RNase (10  $\mu$ g/ml) did not affect incorporation, while hypo-osmotic shock completely abolished protein labelling. Strong inhibition was also induced by depolarizing conditions (100 mM KCl).

These data demonstrate that protein synthesis occurs in the giant axon axoplasm and that a similar process may be present in nerve endings.

*Hemilabyrinthectomy and selective otolith lesion symptoms in the flatfish.* WERNER GRAF AND ROBERT BAKER (Marine Biological Laboratory, Woods Hole, MA 02543).

During metamorphosis, flatfish tilt 90° to one side or the other to become bottom-adapted adult animals. In this position, the labyrinths are rotated 90° relative to their premetamorphic orientation in space. In this attitude the utricle is least sensitive to small displacements, and therefore the other otoliths, in particular the sacculus, have been suggested to substitute for the alleged insensitivity of the utricle. Nevertheless, the utricular nerve has the largest caliber by far of all labyrinthine nerve branches. In this context, we tested the relative contribution of the two labyrinths and single otolithic endorgans to maintain posture in the adult winter flounder, *Pseudopleuronectes americanus*. Hemilabyrinthectomy was either performed by central neurectomy of the labyrinthine nerve branches or by removal of the entire labyrinth via a lateral approach. Both methods yielded similar results. Lesions of the down-side labyrinth ( $n = 7$ ) hardly resulted in any abnormal movement. In some cases, the animals spiralled towards the lesioned side as observed in upright fish. Extirpation of the up-side labyrinth, in contrast, produced dramatically different results ( $n = 14$ ). The animals performed several full pitch-down rotations before settling on the tank floor. If "translated" into upright fish movement, this response could be described as a rotation about the dorso-ventral axis away from the lesioned side. Thus, two fundamental differences can be observed when comparing the hemilabyrinthectomy involving the up-side labyrinth in the adult flatfish to that of upright fish. First, a change in direction of

the lesion symptoms from spiralling movements about the rostro-caudal axis to rotations about the dorso-ventral axis. Second, a change of laterality from body movements towards the lesioned side to rotations away from the lesion. Similar observations were made after hemilabyrinthectomy of the up-side labyrinth in two adult summer flounders, *Paralichthys dentatus*, a left-sided flatfish. Selective neurectomy of the utricular nerve produced identical body movements as those following hemilabyrinthectomy (up-side:  $n = 3$ ; down-side:  $n = 1$ ). Selective sacculus (up-side:  $n = 4$ ) and lagena lesions (up-side:  $n = 2$ ) produced movement patterns inconsistently or not at all. Thus, the utricle retains its original functional role in respect to posture. In general, lesion symptoms were not pronounced but could be exacerbated by neurectomy of the optic nerves. We interpret the peculiar bilaterally asymmetric labyrinthine organization of the adult flatfish to be of fundamental importance for maintaining the flatfish as a flatfish.

Supported by NIH grant NS 20358.

*Study of the resting conductance of the squid axon membrane.* J. R. HUNT AND D. C. CHIANG (Baylor College of Medicine).

Previous studies in this laboratory suggested that the resting conductance which determines the resting potential ( $V_{rest}$ ) in the squid giant axon may be largely controlled by a pathway different than the delayed rectifier K channel. Because the resting conductance is very small, we developed a special voltage clamp procedure using extensive signal averaging to measure minute changes in conductance (g). This procedure consists of superimposing a 40 ms rectangular pulse with a series of small square pulses ( $\pm 3$  mV high, 1-ms wide). Thus, the slope conductance at a given potential can be determined directly from the current changes in response to the square pulses. Using this method, we measured the membrane conductance over a wide range of test potentials. The Na channel was blocked by externally applied tetrodotoxin. While conductance at depolarizing potentials was dominated by the delayed rectifier, at V more negative than the resting potential, we found a small component of K conductance ( $g_{K2}$ ) which had V dependence deviated from the Hodgkin-Huxley equations describing the delayed rectifier. Our preliminary results of the ion selectivity measurements of  $g_{K2}$  show that the relative permeabilities of  $g_{K2}$  for  $K^+$ ,  $Rb^+$ ,  $NH_4^+$ ,  $Na^+$ ,  $Cs^+$ , and  $Li^+$  ions are 1.0, 0.71, 0.14, 0.13, 0.10, and 0.09, respectively. We also determined the ion selectivity of the resting membrane by studying the effects of various ions on the  $V_{rest}$  of the axon. The ion selectivity of  $g_{K2}$  is qualitatively similar to that determined from  $V_{rest}$ . On the other hand, the ion selectivity of the resting membrane is known to be different than that of the delayed rectifier. For example,  $Cs^+$  seems to be readily permeable to the resting membrane but is virtually impermeable to the delayed rectifier. This is not the case for  $g_{K2}$ . Hence, based on properties of both the V dependence and ion selectivity, it is reasonable to interpret that  $g_{K2}$  may be the major pathway for resting current.

Supported in part by NIH grant #NS25803-01.

*Stereoscopy of high resolution video microscope images reconstructed from serial optical sections.* SHINYA INOUE (Marine Biological Laboratory) AND TED INOUE.

We will demonstrate high-resolution stereoscopic video microscope images dynamically. The stereo-pair video pictures were obtained by reconstruction from through-focal, very thin optical sections (Inoue 1988, *Methods Cell Biol.* 30: in press) stored on an optical memory disk recorder (OMDR). For images stored on the OMDR, and for intensity contours of microscope images, stereo pairs were reconstructed by shearing the image stack (Inoue and Inoue 1986, *Ann. NY Acad. Sci.* 483: 392–404) with an Image-1/AT digital image processor (Universal Imaging Corp., Media, PA). The same processor compresses the left



and right images each to half height vertically but not horizontally, and places them in the top and bottom halves of the video field. A Stereographics processor re-expands and projects the left and right images alternately at 120 Hz as left and right circularly polarized images (Stereographics Corp., San Rafael, CA). Complementary filters worn by the observer provide striking, pseudocolor or monochrome, flickerless, dynamic stereoscopic images. Details of Golgi-stained neuronal processes and intensity contours of a human oral epithelial cell were projected at the meeting.

Supported by NIH grant GM 31617-07 and NSF grant DCB 8518672.

*Contractility of the squid stellate ganglion.* CLAUDIA M. NUÑO (Univ. Southern California), MARIA ELENA SANCHEZ, JOANN BUCHANAN, AND GEORGE J. AUGUSTINE.

Studies of synaptic transmission at the squid stellate ganglion sometimes are impeded by endogenous contractions of the ganglion. We characterized these contractions to identify experimental treatments that selectively eliminate movement without altering synaptic transmission. Contractions were detected in isolated stellate ganglia of *Loligo pealei* by using a fiber optic light collector and photodiode to measure changes in light transmission associated with movement. With such an arrangement rhythmic movements of the ganglion were readily detected. The amplitude, but not the frequency, of these signals depended upon the position of the fiber optic collector. Electron microscopy of the stellate ganglion identified two types of cells containing organized arrays of contractile filaments: smooth muscle cells of blood vessels and unidentified cells dispersed throughout the ganglion. Either of these cells could be involved in producing ganglion contractions.

Ion substitution and pharmacological experiments were used to examine the ionic basis of the ganglionic contractions. Removal of Na ions from the extracellular medium had effects that depended upon the nature of the substance used as a substitute for Na. Replacement of Na with Tris rapidly and reversibly abolished movements, while replacement with sucrose slowed contractions but did not entirely eliminate them. The Na channel blocker tetrodotoxin (1  $\mu$ M) had no effect on contractions. In contrast, removal of Ca ions (with Mg as a substitute) completely eliminated contractions. Inorganic Ca channel blockers, such as Cd (2 mM) and Mn (12.5–25 mM), eliminated contractions, as did the dihydropyridine Ca channel blockers nitrendipine (10–20  $\mu$ M) or nimodipine (20  $\mu$ M). We conclude that Na ions play some role in generating movements, but are less important than Ca ions. Blockade of contractions by Tris is likely to be due to a secondary pharmacological action of this ion, rather than Na removal. Either Na or Ca could be involved in producing spontaneous electrical activity, while Ca might play some other role in excitation-contraction coupling. Of the several conditions that eliminate ganglion contractions, dihydropyridines are the only treatments which do not also affect synaptic transmission.

Supported by NIH grant NS-21624.

*Multiple site optical recording from small ensembles of Aplysia californica neurons in culture.* A. L. OBAID, T. D. PARSONS, AND B. M. SALZBERG (University of Pennsylvania School of Medicine).

Cell culture of 2-dimensional ensembles of identified invertebrate neurons permits one to construct truly simple "nervous systems" in a dish. We have consistently observed a wide variety of synaptic interactions in such preparations, using conventional electrophysiological techniques. These networks are uniquely amenable to study by means of multiple site optical recording of transmembrane voltage (MS-

ORTV). We have used the potentiometric dye RH-155 and a  $12 \times 12$  photodiode array to record changes in extrinsic absorption, at  $700 \pm 25$  nm, from up to 9 neurons simultaneously. These optical signals provide a faithful representation of voltage changes at 124 loci during trials of 8-s duration. Rhythmic electrical activity is observed in many cells and connecting processes, in the absence of any electrical stimulation. This activity may be endogenous, or it may be light induced. In either case, computer averaging over spike occurrences in individual detector elements reveals complex correlations in the MSORTV records. These experiments suggest that multiple site optical recordings from small ensembles of interconnected neurons may help to unravel the intricate relationships that characterize even the simplest nervous systems.

We are grateful to Tom Capo and the Howard Hughes Medical Institute for providing us with juvenile *Aplysia*, to David Kleinfeld for introducing us to the *Aplysia* culture system, and to Larry Cohen and his co-workers for their generous provision of software for data acquisition and analysis. Supported by USPHS grants NS 16824 and HL 07499.

*Electrical connections to cultured invertebrate neurons using multielectrode arrays.* WADE REGEHR (Bell Labs, Murray Hill, NJ), YUAN LIU, MICHELLE MISCHKE, AND B. M. SALZBERG.

Recently it has become possible to culture a variety of invertebrate neurons from leech (*Hirudo medicinalis*), slug (*Aplysia californica*), and snail (*Helisoma trivolvis*). The ability to form small networks of synaptically connected identified neurons has facilitated the study of synaptogenesis and neuronal development. Using conventional electrophysiological techniques it is difficult to study more than 2 or 3 neurons for longer than several hours. For cultured neurons extracellular recording and stimulation is difficult, since the medium shunts the current flow to ground. Multielectrode arrays (Thomas 1972, *Exp. Cell Res.* 74: 61–66; Pine 1980, *J. Neurosci. Methods* 2: 19–31; Gross 1982, *J. Neurosci. Methods* 5: 13–22) overcome these limitations. These planar arrays consist of 61 electrodes embedded in the bottom of a culture dish. Both the conductive leads and the insulation are transparent, making the dishes compatible with voltage-sensitive dyes and inverted microscopy. Large invertebrate neurons from *Aplysia*, leech, and *Helisoma*, grown on the array, form seals over dish electrodes. Action potentials have been recorded simultaneously from many neurons for up to two weeks, with signal-to-noise ratios as large as 500:1. The signals recorded from electrodes under cell bodies are essentially derivatives of the intracellular potential, while signals recorded from electrodes sealed under axon stumps are primarily due to active channels. Multielectrode arrays have also been used to stimulate neurons and to record the resulting action potential. While it is relatively easy to record action potentials, it is difficult to record post-synaptic potentials because of their small size and slow rate of rise. By improving the seal to tens of megohms it should be possible to record fast post-synaptic events.

We are grateful to Tom Capo and the HHMI for providing juvenile *Aplysia*. Supported by USPHS grant NS 16824 and Grass Foundation Fellowships to W.R. and Y.L.

*Clustering of presynaptic Ca channels at active zones of the squid giant synapse: fura-2 movies of Ca influx.* STEPHEN J. SMITH (Yale Univ. Medical School), GEORGE J. AUGUSTINE, JOANN BUCHANAN, MILTON P. CHARLTON, AND LUIS OSSÉS.

Recordings of fluorescence changes from intracellularly injected fura-2, a calcium indicator, were used to test the hypothesis that Ca channels at the giant synapse of *Loligo pealei* are localized at presynaptic active zones. A SIT video camera and an analog video disc recorder were used to record fluorescence images, which were subsequently ana-



lyzed using a digital video process. With excitation light of 380 nm wavelength, fluorescence decreases on the order of 25% were observed at the end of 0.5 s, 80 Hz action potential trains. With 350 nm excitation, fluorescence increases were observed under the same conditions. These optical changes likely reflect complexation of fura-2 with Ca ions. At early times after presynaptic stimulation, these indicator signals were localized to areas with high densities of active zones and synaptic contact, as determined in an accompanying light and electron microscopic histological study (see Buchanan *et al.* 1988, *Biol. Bull.* 175: 308). Later cytoplasmic Ca signals were more diffuse, probably reflecting diffusion of Ca ions away from Ca channels clustered at active zones. The patterns of early fluorescence change after action potential stimulation were consistent with the hypothesis that Ca channels cluster at active zones within the presynaptic membrane of this giant presynaptic terminal.

Supported by Howard Hughes Medical Institute funds, NIH grant NS-21624, and MRC (Canada) funds.

*G-proteins in the squid Loligo pealeii: characterization, quantitation, and examination of possible role in axonal transport.* STEVEN S. VOGEL (Columbia University), STEPHEN D. HESS, AND THOMAS S. REESE.

G-proteins have been implicated in mediating exocytosis. If they are involved in release of transmitter from synapses, we might expect them to be transported to, and enriched in, synaptic terminals. We used the giant axon and a synaptosome preparation from squid to examine this idea.

Using pertussis toxin (PTX) catalyzed [ $^{32}$ P]-ADP-ribosylation followed by SDS-PAGE, we find that optic lobe synaptosomes contain 28 pmoles ADP-ribose/mg protein in a M<sub>r</sub> 40,000 protein. Synaptosomal labeling was enriched by a factor of 1.7 over optic lobe homogenate. Homogenates of giant axon had  $0.68 \pm 0.07$  pmoles ADP-ribose/mg protein ( $n = 3$ ) while extruded axoplasm had  $0.32 \pm 0.01$  pmoles/mg ( $n = 3$ ). It is now possible to calculate that 44% of the axonal G-protein is in axoplasm.

To determine whether G-proteins are transported to terminals, we cooled a 1 mm segment of axon to 4°C for 2 h while maintaining the rest at 16°C. Because transport is blocked at low temperatures, we expected a build-up of anterograde vesicles and a depletion of retrograde vesicles on the proximal side of the cold block (and the opposite on the distal side). Labeling in homogenates made from 5-mm segments just proximal to the block was consistently greater than in homogenates in comparable segments just distal to the block (6 of 7 experiments); without the cold block, labeling in both segments was the same. The build up of G-proteins on the proximal side was not correlated with any change in Coomassie staining including neurofilaments, actin, and tubulin. In one experiment, with three 2-mm segments on each side of the block, there was a build-up of G-protein on the proximal side, and a depletion on the distal side of the block.

Thus, squid nervous system contains PTX sensitive G-proteins that are concentrated in synaptosomes and transported to terminals by fast axonal transport.

S.S.V. received a 1988 Grass Fellowship.

*Channels from smooth muscle sarcoplasmic reticulum are opened by inositol 1,4,5-trisphosphate and are inhibited by heparin.* J. WATRAS AND B. E. EHRLICH (University of Connecticut, Farmington, CT).

Inositol 1,4,5-trisphosphate (IP<sub>3</sub>) has been implicated as the link between excitation and contraction in smooth muscle. However, the mechanism of the stimulation by IP<sub>3</sub> was unclear. We have found a novel channel in the sarcoplasmic reticulum (SR) of aortic smooth

muscle that is opened by IP<sub>3</sub> and inhibited by heparin. This channel is quite different from the calcium release channel in SR from skeletal and cardiac muscle. Channel properties were determined by measuring calcium release from SR vesicles with the calcium indicator antipyrilazo III and by measuring calcium currents through single channels that had been incorporated into planar lipid bilayers. IP<sub>3</sub> activation of calcium release from aortic SR vesicles occurs at low concentrations of IP<sub>3</sub> ( $K_{0.5} = 1 \mu M$ ) and activation is specific. Several other inositol phosphates (inositol 1-phosphate, inositol 1,4-bisphosphate, inositol 1,3,4-trisphosphate, inositol 1,3,4,5-tetrakisphosphate; each at 20  $\mu M$ ) and caffeine (1–50 mM) did not initiate calcium release from these vesicles. Activation of the channels incorporated into bilayers were observed at 0.1  $\mu M$  IP<sub>3</sub>. In addition, IP<sub>3</sub> was only effective when added to one side of the bilayer showing that channels inserted preferentially in one orientation. These results contrast with those obtained with skeletal SR in which 1 mM caffeine induced calcium release and activated channels incorporated into planar bilayers. IP<sub>3</sub> (20  $\mu M$ ) activated neither calcium release nor channel activity in the bilayer with vesicles made from skeletal SR. Ruthenium red (1  $\mu M$ ) inhibited caffeine-induced calcium release from skeletal SR but 20  $\mu M$  ruthenium red did not affect IP<sub>3</sub>-induced calcium release from aortic SR. Similarly, only skeletal SR channel activity was inhibited by ruthenium red. Heparin (10  $\mu g/ml$ ) inhibited both calcium release and channel activity in aortic SR but concentrations 100 times larger were ineffective in skeletal SR assays. These results support the hypothesis that IP<sub>3</sub> is the trigger for contraction in smooth muscle.

This work was supported by NIH grant HL-33026 and GM-39092. BEE is a PEW Scholar in the Biomedical Sciences.

*Voltage sensitive dye recording from the abdominal nerve cord of the American cockroach.* JIAN-YOUNG WU, HANS-PETER HÖPP, CHUN XIAO, AND LARRY COHEN (Yale University School of Medicine).

Recently Yagodin, Pushkarev, and Slutsky reported that they were not able to measure voltage-sensitive dye signals from axons in insect nerve cord. We have tried to repeat this experiment using a microscope and 124 elements photodiode array to measure absorption signals from the abdominal chord of the American cockroach, *Periplaneta americana*. The cercal nerve was stimulated with a suction electrode and action potentials were also monitored by an extracellular electrode. In agreement with Yagodin *et al.*, when we used intact (non-desheathed) preparations, none of the 12 different dyes we tried penetrated the sheath and no signals were detected.

However, when the nerve cord was partially desheathed and then incubated in dye solution for 30 minutes, the axons appeared to be stained. Two oxonol dyes, RH479 and RH482, provided by Rina Hildesheim and Amiram Grinvald, gave absorption signals when the cercal nerve was stimulated. These signals could be detected in a single trial. We think these signals are real because if the stimulus intensity was reduced or the polarity reversed, the signal disappeared. The signal also disappeared if a wavelength which was not absorbed by the dye was used.

When we reduced the stimulus intensity so that there was only one all-or-none event on the electrical recording, we could not detect an optical signal. Thus the signal was not large enough to detect a single action potential from a single axon. With the stimulation paradigm and recording arrangement we used, only axons which receive excitatory synaptic connections from the cercal sensory fibers in the 6th abdominal ganglion, and ascend across the 5th abdominal ganglion would be detected. Furthermore, the stimulus was less than maximal. Thus we think that only a relatively small fraction of the axons in the cord generated the signals which we detected.

Supported by PHS grant NS08437.

*Optical recording of membrane potential changes from single neurons with intracellular dyes.* CHUN XIAO (Yale University) AND DEJAN ZECEVIC.

We investigated the absorption and fluorescence optical signals from individual neurons from the suboesophageal ganglion of *Helix aspersa* and the segmental ganglion of *Hirudo medicinalis* selectively stained by intracellular application of voltage sensitive dyes. We looked for optical signals that are large enough to allow the analyses of regional properties of neurons in the intact isolated ganglia.

The preparation was positioned on the microscope stage and its image was formed by 25 $\times$ , 0.4 NA long working distance objective. In the plane of the magnified image we positioned the 12  $\times$  12 array of photodiodes for multi-site recording of transmitted or fluorescence

light intensity changes that correspond to changes in membrane potential.

In absorption measurements, negatively charged oxonol dye (JPW 1034) gave largest signals when applied from outside in both *Helix* and *Hirudo*. No signal occurred when dye was injected into the neurons. Photodynamic damage with this dye was relatively pronounced in leech neurons where it prevented extensive averaging. Fluorescence optical signals from intracellularly stained *Helix* neurons were obtained using positively charged styryl dyes RH461 and RH437. With RH437 we recorded absorption signals but the signal-to-noise ratio was not as good as in fluorescence. Improvement in dye structure, staining protocol, and measuring technology is needed to increase the resolution in order to record optically from dissociated neurons in culture and to record from individual cells in intact ganglia.

We are grateful to L. B. Cohen, B. Salzberg, and A. L. Obaid for valuable discussion. Supported by NIH grant NS-08437.







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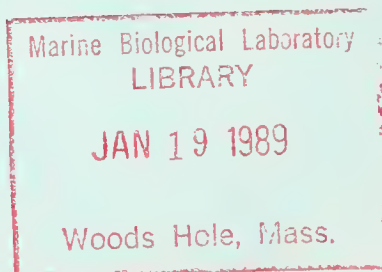
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# THE BIOLOGICAL BULLETIN



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DECEMBER, 1988

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Published by the Marine Biological Laboratory





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DECEMBER, 1988

Printed and Issued by  
LANCASTER PRESS, Inc.

PRINCE & LEMON STS.  
LANCASTER, PA

## THE BIOLOGICAL BULLETIN

THE BIOLOGICAL BULLETIN is published six times a year by the Marine Biological Laboratory, MBL Street, Woods Hole, Massachusetts 02543.

Subscriptions and similar matter should be addressed to THE BIOLOGICAL BULLETIN, Marine Biological Laboratory, Woods Hole, Massachusetts. Single numbers, \$20.00. Subscription per volume (three issues), \$57.00 (\$110.00 per year for six issues).

Communications relative to manuscripts should be sent to Dr. Charles B. Metz, Editor, or Pamela Clapp, Associate Editor, at the Marine Biological Laboratory, Woods Hole, Massachusetts 02543.

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POSTMASTER: Send address changes to THE BIOLOGICAL BULLETIN, Marine Biological Laboratory, Woods Hole, MA 02543.

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ISSN 0006-3185

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# Regulation of Vitellogenesis in the Fiddler Crab, *Uca pugilator*

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**Abstract.** Protein synthesis was measured in ovary and hepatopancreas of intact and eyestalk-ablated fiddler crabs (*Uca pugilator*) *in vitro*. A crude extract of eyestalks from the shrimp *Penaeus setiferus* inhibited ovarian weight gain in eyestalk-ablated crabs. This crude eyestalk extract also inhibited *in vitro* protein synthesis in ovaries from intact and eyestalk-ablated crabs. A polyclonal antibody to crab vitellogenin was used to measure vitellogenin synthesis in ovaries, hepatopancreas, and hemolymph *in vitro*. Gonad-inhibiting hormone was partially purified from the crude eyestalk extract. The partially purified material inhibited vitellogenin synthesis in ovarian tissues *in vitro*.

## Introduction

Panouse (1943) first observed accelerated gonadal growth in eyestalk-ablated shrimp, *Palaemon serratus*. Eyestalk ablation induces precocious gonadal development in almost all crustaceans (Charniaux-Cotton, 1985; Quackenbush, 1986; Fingerman, 1987). The endocrine nature of this response was confirmed by the implantation of sinus glands into eyestalk-ablated fiddler crabs (*Uca pugilator*). The implanted neurohemal organs suppressed gonadal development in eyestalk-ablated crabs (Brown and Jones, 1948). The rapid ovarian development that occurs after eyestalk ablation was attributed to the absence of a gonad-inhibiting hormone (GIH). The chemical characteristics of partially purified

GIH resembles other peptide hormones produced in the eyestalk neurosecretory system (Charniaux-Cotton, 1985). GIH has not yet been fully characterized due in part to the difficulty in the bioassay of an inhibitory hormone (Channing *et al.*, 1985).

The ovaries and testes were accepted as the target tissues of GIH because they both develop rapidly after eyestalk ablation. Crude eyestalk extracts directly inhibit ovarian protein synthesis when tested *in vitro* (Gorell and Gilbert, 1971; Eastman-Reks and Fingerman, 1984). These reports confirmed that the eyestalk neuroendocrine system can directly suppress gonadal development in crustaceans. The primary product of ovarian protein synthesis is the egg yolk protein vitellin (Vn) (Eastman-Reks and Fingerman, 1984; Lui and O'Connor, 1976). However, it was generally accepted that the precursor to Vn, vitellogenin (Vg) was made in extra-ovarian tissues in crustaceans (Wallace *et al.*, 1967; Fielder *et al.*, 1971; Charniaux-Cotton, 1985). The demonstration that isolated ovaries synthesized Vg challenged the significance of extra-ovarian Vg synthesis (Lui and O'Connor, 1977). Both the hemocytes of the hemolymph and the hepatopancreas of crustaceans are capable of Vg synthesis and therefore also targets for GIH (Kerr, 1969; Souty and Picaud, 1984). The crustacean hepatopancreas synthesizes digestive enzymes and hemocyanin and may also produce Vg (Gibson and Barker, 1979; Senkbeil and Wriston, 1981; Paulus and Laufer, 1987; Tom *et al.*, 1987). An understanding of the relative contributions by the potential tissue sources of Vg is important to the development of a valid GIH bioassay.

This study was undertaken to determine if the hepatopancreas, hemolymph, and the ovaries of the fiddler crab (*Uca pugilator*) produced Vg. *In vitro* production of Vg was then used as a specific bioassay for the characterization and purification of GIH.

Received 17 November 1986; accepted 19 August 1988.

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Abbreviations: ESE, eyestalk equivalents; GIH, gonad inhibiting hormone; HEPES, N-2-Hydroxyethylpiperazine-N-2-ethane sulfonic acid; IgG, immunoglobulin; mOS, milliosmoles; PBS, phosphate buffered saline; Rf, retention factor; Vg, vitellogenin; Vn, vitellin.

## Materials and Methods

### Animals

Fiddler crabs (*Uca pinnator*) were purchased monthly from Gulf Specimen Co. (Panacea, Florida). Crabs were maintained in a recirculating seawater aquarium (25‰; 24°C) and fed daily with oatmeal. Crabs were eyestalk-ablated with fine scissors; the cut stumps were cauterized. To obtain ovaries with uniform oocyte diameters, the ovaries were dissected from crabs 8 days after eyestalk ablation. The average oocyte diameters from these animals were quite consistent ( $0.125 \pm 0.001$  mm;  $n = 60$ ). The oocyte diameters of eggs just released and attached to pleopods of female crabs were  $0.25 \pm 0.01$  mm ( $n = 90$ ). Based on their size, the oocytes of crabs 8 days after eyestalk ablation were about halfway through secondary vitellogenesis. The ovaries from these ablated crabs were a characteristic deep purple color of vitellogenic fiddler crab eggs (Brown and Jones, 1948; Webb, 1977; Eastman-Reks and Fingerman, 1984). For all subsequent assays, ovaries were obtained from crabs 8 days after eyestalk ablation. Eyestalk-intact crabs provided ovarian tissues for controls, and the oocyte diameters from these animals were also recorded.

### Eyestalk extract

Eyestalks from adult (>30 g) male and female *Penaeus setiferus* were obtained frozen from a commercial shrimp processor (Coastal Freezing, Port Aransas, Texas). One hundred eyestalks (22.25 g) were ground frozen in a mortar and pestle and extracted in distilled water. The extract was gently boiled for 5 min and then centrifuged at  $10,000 \times g$  for 30 min at 4°C. The supernatant (2 eyestalk equivalents/ml) was stored frozen at -4°C.

### In vivo GH bioassay

Crabs from the stock tank were eyestalk-ablated as described. One group ( $n = 10$ ) had their ovaries removed and were weighed to the nearest 0.1 mg at the start of the experiment. Five other groups (all  $n = 10$ ) were injected on alternate days for 15 days as described by Quackenbush and Herrnkind (1983). Four different doses of crude eyestalk extract and a saline control were tested for effects on ovarian weight gain after eyestalk ablation (Cooke *et al.*, 1977).

### In vivo $^{14}\text{C}$ leucine incorporation

$^{14}\text{C}$  leucine (270  $\mu\text{Ci}/\text{mole}$ ; ICN Radiochemicals, Irvine, California) was diluted with cold leucine (0.127 M) to achieve a final dose of leucine of 5 nmole/0.5  $\mu\text{Ci}/10$   $\mu\text{l}$ . Both intact and eyestalk-ablated crabs were injected

with 10  $\mu\text{l}$  of the stock  $^{14}\text{C}$  leucine (5 nmole/0.5  $\mu\text{Ci}/10$   $\mu\text{l}$ ) and held in dry containers after injection. Four hours or 24 hours after  $^{14}\text{C}$  leucine injection a sample of hemolymph (50  $\mu\text{l}$ ) was removed from the crabs ( $n = 10$ ; 2 replicates) and then added to small tubes containing 450  $\mu\text{l}$  of extraction buffer (0.5 M NaCl; 0.5 M Tris; 5 mM EDTA; pH 7.0, Lui and O'Connor, 1976). The ovaries and the hepatopancreas from the crabs were then removed and separately homogenized in extraction buffer ( $n = 10$ ; 2 replicates). Proteins from these extracts and the hemolymph samples were precipitated by the addition of three volumes of ice cold 100%  $(\text{NH}_4)_2\text{SO}_4$ , followed by centrifugation at  $8000 \times g$  for 5 min at 4°C. The resulting pellet of protein was resuspended and precipitated as described above twice more. The final pellets of protein were dissolved in phosphate-buffered saline (PBS, Quackenbush and Fingerman, 1985). Aliquots (100  $\mu\text{l}$ ) of the solubilized proteins were counted for  $^{14}\text{C}$  leucine incorporation with a commercial scintillation fluor using liquid scintillation spectrometry. Counts per minute (CPM) were converted to disintegrations per minute (DPM) via an internally stored quench curve. Total protein of these samples was measured using the Bio-Rad Protein Reagent assay (Bio-Rad, Richmond, California) Bovine serum albumin (1 mg/ml) was used as a standard. Results from this assay are expressed as DPM/mg protein based on these determinations. Differences between treatment groups were tested using a standard *t*-test (Sokal and Rohlf, 1969).

### In vitro $^{14}\text{C}$ leucine incorporation

Tissues (ovary, hepatopancreas, or muscle) were removed from crabs by dissection under chilled saline. Tissue fragments (3–6 mm) were rinsed with saline and placed into a tissue culture media ( $n = 12$ ; 2 replicates) (Reddy and Wyatt, 1967). The culture media consisted of amino acids, 0.066 M glucose, 0.033 M trehalose, 5 mM HEPES, and 0.001% ampicillin in crustacean saline (pH 8.2; 1100 mOS, Cooke *et al.*, 1977). Prior to use the media was filter sterilized (Nalgene, 20  $\mu$  filter). Eyestalk extracts (100  $\mu\text{l}$ ) or muscle extracts (100  $\mu\text{l}$ ) were added to the incubation media (2000  $\mu\text{l}$ ) that contained the crab tissue fragments. Finally,  $^{14}\text{C}$  leucine (5 nmole/0.5  $\mu\text{Ci}/10$   $\mu\text{l}$ ) was added to the incubation media. Beakers containing the media and tissue fragments were placed in a sealed chamber that was gassed with 95%  $\text{O}_2/5\%$   $\text{CO}_2$  at 4 psi. During a 4-h incubation at 30°C, the beakers were gently agitated on an orbital shaker. At the termination of the incubation, tissues were removed from the media, rinsed with chilled saline, and then homogenized in ice cold extraction buffer. The tissue homogenates were centrifuged at  $8000 \times g$  for 15 min at 4°C. Proteins in the supernatant were precipitated by the addition of 3 vol-



umes of ice cold 100%  $(\text{NH}_4)_2\text{SO}_4$  and then recentrifuged at  $8000 \times g$  for 15 minutes at  $4^\circ\text{C}$ . The pellet of protein was resuspended in extraction buffer, and the entire precipitation procedure was repeated twice more. The final pellet of protein was resuspended in PBS. Aliquots (100  $\mu\text{l}$ ) of the solubilized proteins were taken for the determination of both total protein and total  $^{14}\text{C}$  leucine incorporation as described above. Differences between treatment groups were tested using a *t*-test (Sokal and Rohlf, 1969).

#### *Time course of in vitro $^{14}\text{C}$ leucine incorporation*

Tissues were dissected ( $n = 12$ ; 2 replicates) and incubated for 2, 4, 8, 16, or 22 hours as described above. At the termination of incubation, the tissues were rinsed with chilled crustacean saline, homogenized in 0.4 *N* perchloric acid and centrifuged at  $2000 \times g$  for 10 minutes at  $22^\circ\text{C}$ . The resulting pellet of protein was resuspended in 90% ethanol/1% sodium acetate and centrifuged as above. The resulting pellet of protein was retained and extracted in chloroform:methanol (2:1) and centrifuged as above. The final pellet of protein was air dried at  $50^\circ\text{C}$  and then dissolved in 1 *N* NaOH. Aliquots (100  $\mu\text{l}$ ) of the solubilized proteins were taken for total protein determination and total  $^{14}\text{C}$  leucine incorporation as described above. Bovine serum albumin in 1 *N* NaOH served as the standard for the protein determinations. Differences between groups were tested with a *t*-test (Sokal and Rohlf, 1969).

#### *Vg purification and antibody production*

*Uca pugilator* vitellogenin was characterized as a large purple lipoprotein with two subunits of 100,600 and 125,000 daltons. This protein was about 90% of the total protein in crab ovaries (Eastman-Reks and Fingerman, 1984). We used a slight modification of the Eastman-Reks and Fingerman (1984) method to isolate vitellogenin from *Uca pugilator*. Ovaries from crabs (0.10 to 0.25 mm oocyte diameter) were pooled and homogenized in ice cold extraction buffer. Phenylmethonyl sulfonyl flouride (0.001%) was added to the extraction buffer just before use to inhibit general proteases. Ovarian proteins were extracted as above using 3 cycles of extraction buffer and ice cold 100%  $(\text{NH}_4)_2\text{SO}_4$  precipitation. The final protein pellet was resuspended in PBS (2 mg/ml) and applied to a Sephadex G-200 column (2.5 cm  $\times$  20 cm; void volume = 18 ml with blue dextran). The protein extract retained the purple color characteristic of the crab ovaries and eggs. This characteristic color aided us in the purification procedure. The column was eluted with PBS (4 ml/h) at  $4^\circ\text{C}$ . 1-ml fractions were collected. Column fractions were monitored at 280 nm, and fractions with the characteristic purple color of crab ova-

ries were pooled and dried on a rotary evaporator (Speed-Vac, Savant Instruments, Hicksville, New York). Samples of this crude ovarian protein extract were characterized on 7% polyacrylamide gels. Sodium dodecyl sulfate was added to the protein sample and the polyacrylamide to disassociate the large proteins into subunits (Hames and Rickwood, 1981). The crude extract was dominated by 2 bands of protein in the size range of vitellogenin characterized by Eastman-Reks and Fingerman, 1984. A preparative gel procedure was used to purify enough of the crude material from crab ovaries for rabbit immunization. Ovarian protein samples from the G-200 column were resuspended in a disassociating buffer with sodium dodecyl sulfate. This was applied to a large preparative gel of 7% polyacrylamide (1.0  $\times$  150  $\times$  130 mm) in a single well which spanned the entire width of the gel (Hames and Rickwood, 1981, Laemmli, 1970). After the tracking dye eluted from the gel, a single vertical slice of the gel was removed and stained for proteins with 0.5% Coomassie Blue to determine mobilities of the proteins within the preparative gel. The remainder of the preparative gel was sliced horizontally (1-mm segments); the resulting gel segments were placed in an elution buffer of 0.05 M ammonium acetate. Proteins were eluted from the gels into the buffer at  $4^\circ\text{C}$  for 24 hours. Proteins that eluted from the gels were freeze dried and stored at  $-4^\circ\text{C}$ . Samples of the proteins eluted from the gel segments were characterized on another analytical 7% polyacrylamide gel with sodium dodecyl sulfate buffers. This procedure allowed us to obtain sufficient quantities of partially purified Vg for immunization procedures. The molecular weight of the Vg subunits were determined by comparison to standards simultaneously separated in analytical polyacrylamide gels of various percentages. Molecular weight standards were obtained from Bio Rad and used according to the instructions provided. Myosin (200,000), beta-galactosidase (116,250), phosphorylase-B (97,400), bovine serum albumin (66,200), and ovalbumin (42,699) were the protein standards used to calibrate the subunit molecular weight of ovarian proteins.

Serum was obtained from rabbits before immunization via ear vein puncture. Rabbits were immunized with 50 subepidermal injections (20  $\mu\text{l}$  each) of complete adjuvant containing 40  $\mu\text{g}$  egg yolk protein/ml as described previously (Quackenbush and Fingerman, 1985). Rabbits were bled each week via cardiac puncture. Whole blood was allowed to clot then centrifuged at  $10,000 \times g$  for 30 minutes at  $4^\circ\text{C}$ . The supernatant serum was stored at  $-72^\circ\text{C}$ . Serum was screened against partially purified egg yolk proteins using Ouchterlony plates (Ouchterlony, 1949). Serum showing a precipitation line against partially purified egg yolk proteins was further purified. Positive serum was passed through a column of DEAE-Affi-Gel-Blue (Bio-Rad) to separate the IgG fraction

from other serum components. A column (14 ml bed volume) was prepared and used following methods described in Bio Rad Bulletin 1062 (Bio Rad). Fractions containing IgG were pooled, aliquoted (0.5 mg/ml), and dried on a rotary evaporator. The antibody stocks were diluted with PBS containing 0.01% sodium azide for use in the assays.

#### Antibody characterization

Rabbits were immunized with either the high molecular weight Vg subunit ( $V_1$ ) or the low molecular weight subunit ( $V_2$ ). Since the hepatopancreas samples contained only  $V_2$ , only the antibodies against  $V_2$  were characterized. Antibody characterization included Ouchterlony plates, immunoprecipitation, and western blotting. Extracts in PBS of gonad (100  $\mu$ g/ml), hepatopancreas (110  $\mu$ g/ml), and hemolymph (110  $\mu$ g/ml) from both male and female crabs were tested against the antibody in Ouchterlony plates (1% agar in PBS). Extracts of gonad, hepatopancreas, and hemolymph (100  $\mu$ g/300  $\mu$ l) were incubated at 4°C for 18 hours with 10  $\mu$ l of rabbit serum. The mixtures were then centrifuged at  $8,000 \times g$  at 4°C for 10 min and the resulting protein pellets washed with ice cold PBS 4 times. Pellets of immunoprecipitated protein were solubilized in electrophoresis buffer containing sodium dodecyl sulfate and separated on a 7% polyacrylamide gel. This assay should recover the proteins that the antibody recognizes and precipitated from the crude extracts containing several different proteins. Extracts of gonads and hepatopancreas from females in vitellogenesis were separated on a 7% polyacrylamide gel in sodium dodecyl sulfate. The gel was then electroblotted onto nitrocellulose paper (Towbin *et al.*, 1979; Douglas and King, 1984). The rabbit serum was incubated with the filter paper blots for 2 h in PBS. A goat anti-rabbit IgG antibody with peroxidase conjugated to the antibody was then incubated with the blots in PBS (Kirkegaard and Perry, Gaithersburg, Maryland). The blots were then stained with 4-chloro-1-naphthol (Sigma Chemical Co, St. Louis, Missouri) and  $H_2O_2$ . This western blotting procedure was used to determine if the rabbit serum bound selectively to the  $V_2$  proteins contained in these extracts, when it was challenged with all the different proteins in the crude extracts.

#### Immunoassay

The immunoassay consisted of a 4-h *in vitro* incubation as described above. Tissues were then homogenized in extraction buffer. Proteins were extracted with 3 cycles of extraction buffer and ice cold 100%  $(NH_4)_2SO_4$ . The final protein pellet was resuspended in 600  $\mu$ l of PBS. Aliquots (100  $\mu$ l) were taken from this solution for determination of total protein and  $^{14}C$  leucine incorporation.

Fifty  $\mu$ l of the rabbit serum (25  $\mu$ g protein) was added to the remaining 400  $\mu$ l of each tissue homogenate and the mixture was incubated at 4°C for 18 hours. After incubation, the tissue homogenates were centrifuged at  $8000 \times g$  at 4°C for 15 minutes. The supernatant was carefully removed, and 100  $\mu$ l aliquots were taken for determination of total protein and  $^{14}C$  leucine incorporation. The pellet of immunoprecipitated protein was washed four times with ice cold PBS. The final protein pellet was solubilized in 500  $\mu$ l of 1 N NaOH, and aliquots of 100  $\mu$ l were taken for determination of total protein and  $^{14}C$  leucine incorporation. Differences between treatment groups were tested for significance with a *t*-test (Sokal and Rohlf, 1969).

#### GIH purification

Samples of crude *P. setiferus* eyestalks (3000  $\mu$ l) were applied to a Sephadex G-25 column (2.5 cm  $\times$  20 cm; 43 ml void volume determined with blue dextran) and eluted in 0.05 M ammonium acetate, pH 6.3 at 3.0 ml/h. Fractions (1 ml) were monitored at 280 nm, collected, and then bioassayed for their ability to effect Vg synthesis *in vitro*. The retention factor, Rf, was calculated based on the formula: column void volume/fraction elution volume. Standard peptides (1 mg/ml) were used to calibrate the column for size estimation. Standard peptides used were: aprotinin, 6500 daltons; insulin (beta subunit), 3496 daltons; and met-enkephalin, 537 daltons. The effect of crude eyestalk extract or partially purified GIH was quantified in all *in vitro* assays using the formula:

#### % Inhibition

$$= \frac{\text{Control DPM/mg} - \text{Test DPM/mg}}{\text{Control DPM/mg}} \times 100$$

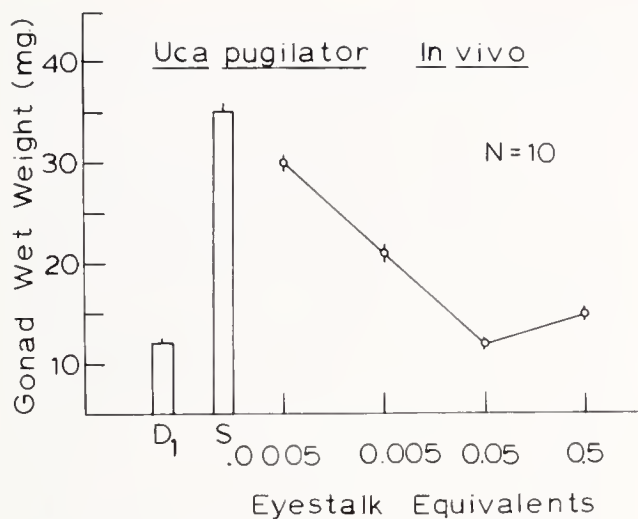
A unit of GIH activity was defined as that amount of protein that produced a 20% inhibition of  $^{14}C$  leucine incorporation *in vitro*. Based on a *t*-test for percentages, a 20% inhibition was statistically significant at  $P < 0.05$  (Sokal and Rohlf, 1969). Using these calculations, a single shrimp eyestalk had about 160 units of activity.

## Results

#### In vivo studies

Crude extracts of *P. setiferus* eyestalks blocked the anticipated increase in ovarian weight gain of eyestalk ablated crabs (Fig. 1). This was attributed to the blockade of vitellogenesis. The shrimp eyestalk extract blocked vitellogenesis even though the remainder of the crab's endocrine system was intact. The extract was potent, only 0.0005 eyestalk equivalents was required to produce a





**Figure 1.** *In vivo* effects of crude shrimp eyestalk extract on ovarian growth of eyestalk ablated *Uca pugnator*. Extract dose was given in eyestalk equivalents, ovary wet weight was measured in milligrams. S = ovarian weight of crab injected with saline, D<sub>1</sub> = average ovarian wet weight for a group of crabs from which experimental crabs were selected at the start of the experiment. All values are the mean  $\pm$  one standard error, n = 10, two replicates.

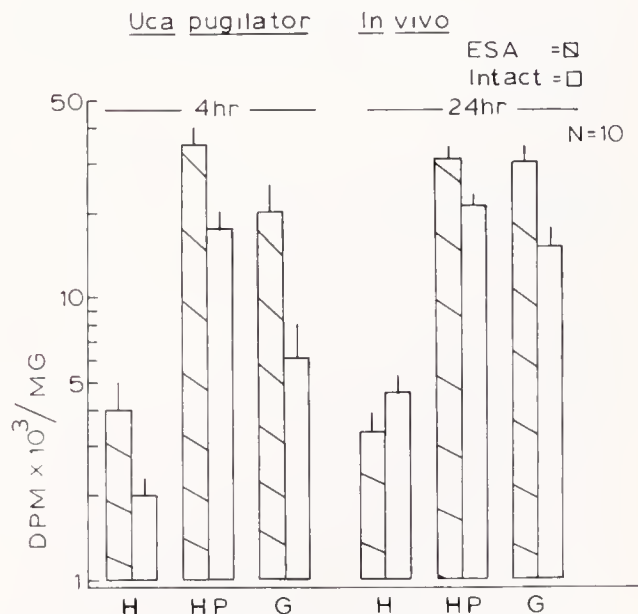
statistically significant inhibition of ovarian weight gain (*t*-test,  $P < 0.05$ ).

The *in vivo* incorporation of  $^{14}\text{C}$  leucine into proteins from the hepatopancreas, hemolymph, and ovaries was significantly higher in eyestalk-ablated crabs than intact crabs after 4 h (*t*-test,  $P < 0.05$ ; Fig. 2). The average oocyte diameter of ovaries from eyestalk-ablated crabs ( $0.12 \pm 0.01$  mm) was twice the average oocyte diameter of ovaries in intact crabs ( $0.06 \pm 0.01$  mm). This may account for the significant differences in the incorporation of  $^{14}\text{C}$  leucine, since the two groups of crabs had ovaries at different stages of vitellogenesis. Twenty-four hours after injection with  $^{14}\text{C}$  leucine, incorporation of the labeled amino acid was about equal in the tissues from both intact and eyestalk-ablated crabs. Tissues from intact crabs had a significant increase in incorporation of labeled amino acid when incorporation time was increased from 4 to 24 hours (*t*-test,  $P < 0.05$ ). The hepatopancreas and ovaries incorporated more labeled amino acid into proteins than the hemolymph in both groups of crabs.

#### *In vitro* studies

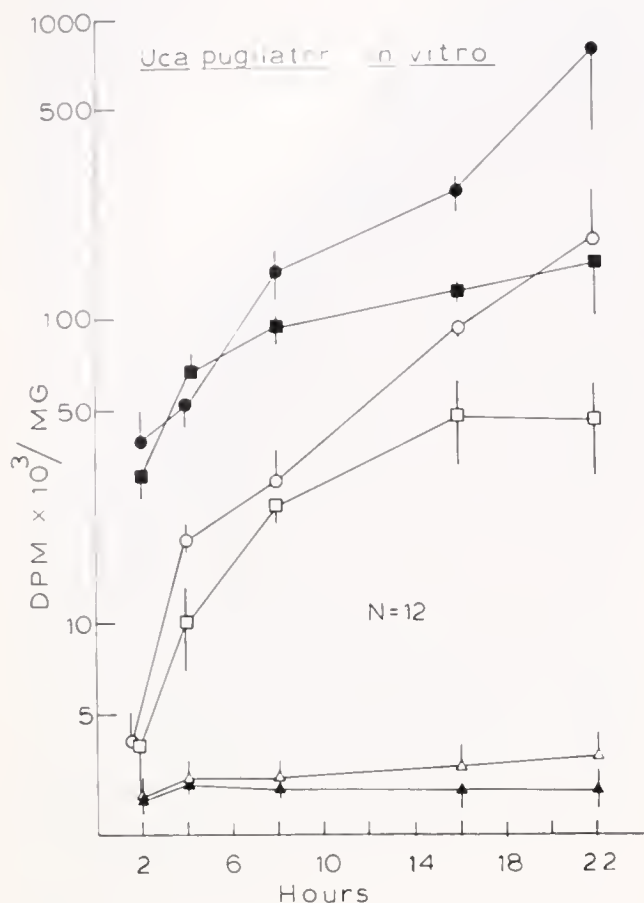
The time course of *in vitro*  $^{14}\text{C}$  leucine incorporation was measured for muscle, hepatopancreas, and ovaries from intact and ablated crabs (Fig. 3). Incorporation of the labeled amino acid into muscle proteins was a control for non-specific binding of label and for the incorpo-

ration of label into proteins by a tissue that does not contain egg yolk proteins. There was a significant difference in the amount of label incorporated by ovary and hepatopancreas from intact crabs compared to these tissues from eyestalk-ablated crabs. The difference between the two groups was statistically significant at all measurements (*t*-test,  $P < 0.01$ – $0.05$ ). Initially, the  $^{14}\text{C}$  leucine incorporation into proteins was about equal in the ovary and hepatopancreas from eyestalk ablated crabs. After 8 hours of incubation, the ovarian tissue continued to incorporate labeled amino acid into proteins; the hepatopancreas tissues did not increase their incorporation of labeled amino acid into proteins. The ovaries and hepatopancreas from intact crabs had about equal incorporation of labeled amino acid into proteins at 2 h and 8 h, though they had statistically different levels of incorporation of labeled amino acid into protein at 4 h (*t*-test,  $P < 0.05$  at 4 h). After 8 h in the *in vitro* incubation, the tissues from the intact crabs followed the same pattern as the tissues from the eyestalk ablated crabs: the ovaries continued to incorporate label but the hepatopancreas did not increase incorporation of label. Variability in  $^{14}\text{C}$  leucine incorporation into proteins increased with increased incubation times, as demonstrated by the increase in the standard deviations of these measurements (Fig. 3). This observation suggested that after 8 h of *in vitro* incubation the tissues were no longer uniformly active, and the assay would not produce an accurate pic-



**Figure 2.** *In vivo* incorporation of  $^{14}\text{C}$  leucine into proteins from the hemolymph (H), the hepatopancreas (HP), and ovary (G) of either intact (open bars) or eyestalk ablated (hatched bars) *Uca pugnator*. All values are the mean  $\pm$  one standard error, n = 10 for each group, 2 replicates.





**Figure 3.** Time course of incorporation of  $^{14}\text{C}$  leucine into proteins from muscle (triangles), ovaries (circles), and hepatopancreas (squares) from either intact crabs (open symbols) or eyestalk-ablated crabs (filled symbols) measured *in vitro*. All values are means  $\pm$  one standard error,  $n = 12$  for each group, 2 replicates.

ture of protein synthesis. A 4-h incubation time was used for all subsequent assays.

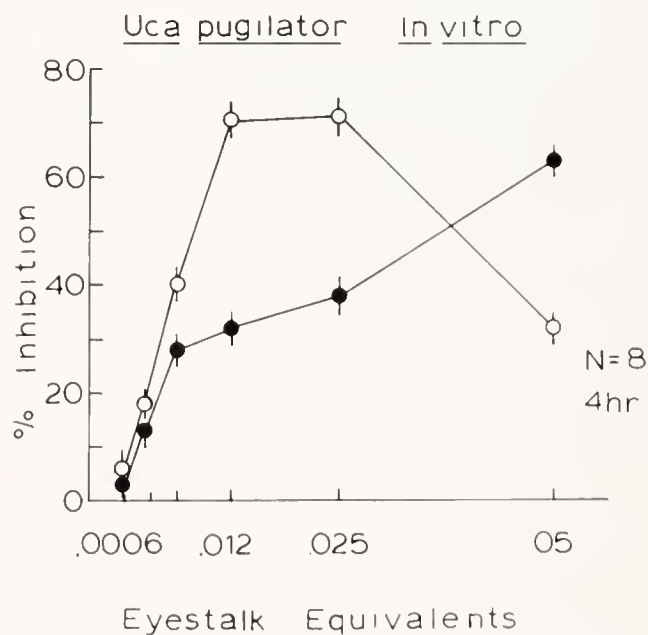
Crude shrimp eyestalk extracts were tested for their ability to affect *in vitro* protein synthesis in the ovaries from intact and eyestalk-ablated crabs (Fig. 4). The threshold for statistically significant inhibition of protein synthesis was the same regardless of the origin of the ovarian tissues (0.006 ESE, *t*-test for two percentages,  $P < 0.05$ ). Maximum inhibition of the protein synthesis was produced by 0.05 ESE in ovaries from eyestalk ablated crabs. Protein synthesis in ovaries from intact crabs was maximally inhibited by 0.012 ESE. Ovaries from the intact crabs were more sensitive to the eyestalk extract than ovaries from ablated crabs. A dose of 0.012 ESE produced a significantly greater inhibition in the ovaries from intact crabs than it did in the ovaries from eyestalk ablated crabs (*t*-test for two percentages,  $P < 0.05$ ). The highest dose tested, 0.05 ESE, produced less, not more, inhibition of protein synthesis than a lower dose. This

suggests that the crude extract probably contains many factors, perhaps even a factor that can increase protein synthesis *in vitro* (Charniaux-Cotton, 1985).

#### Vitellogenin purification and antibody characterization

The partially purified extract of ovaries from *Uca pugilator* was dominated by the two distinct bands of protein characteristic of the egg yolk proteins (Eastman-Reks and Fingerman, 1984; Fig. 5A, B). The molecular weights of the two groups of egg yolk proteins were  $103,000 \pm 1000$  daltons,  $V_1$ , and  $81,000 \pm 1000$  daltons,  $V_2$  ( $n = 12$  measurements, Fig. 5A, B). These bands of protein in the polyacrylamide gels clearly represent two classes of proteins, which each may contain several yet unresolved distinct polypeptides. The hepatopancreas extracts contained only the  $V_2$  group of egg yolk proteins ( $n = 8$ ; Fig. 5A, B). Both  $V_1$  and  $V_2$  are the dominant proteins of the egg yolk, they stain positive for both lipid and sugars, and they contain the purple pigment of the egg yolk. Thus, these proteins,  $V_1$  and  $V_2$  fulfill the criteria established for vitellogenin (Eastman-Reks and Fingerman, 1984; Wallace *et al.*, 1967).

The antiserum (#1790-12-4) to the  $V_2$  protein group



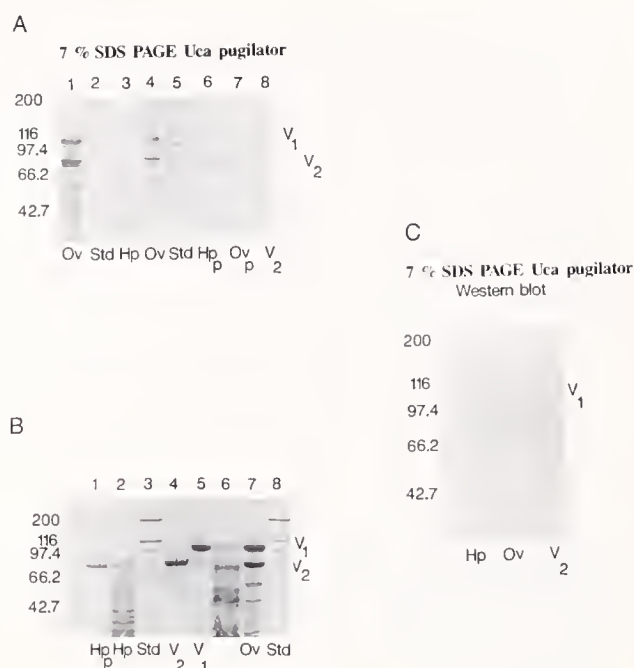
**Figure 4.** The *in vitro* inhibition of incorporation of  $^{14}\text{C}$  leucine into ovarian proteins by crude shrimp eyestalk extract. Ovarian tissues from intact crabs (open circles) or eyestalk ablated crabs (filled circles) were tested. All values are means  $\pm$  one standard error,  $n = 8$  for each group, 2 replicates. An extract of shrimp tail muscle was used for a control for eyestalk extracts. Control injections were adjusted by dilution with crab saline to equal the total protein concentration of the injections of eyestalk extract. Percent inhibition was calculated as described in Materials and Methods.

reacted with crude extracts from female crab gonads, hepatopancreas, and hemolymph in Ouchterlony plates. The antiserum did not produce any reaction with similar extracts of tissue from male crabs. Therefore the antiserum was specific for female proteins (data not shown). The antiserum precipitated both  $V_1$  and  $V_2$  proteins from ovary extracts, but only  $V_2$  proteins from hepatopancreas extracts (Fig. 5A). Thus when the antiserum was presented with crude tissue extracts containing many different proteins and some breakdown products of large proteins, the antiserum only precipitated the  $V_1$  and  $V_2$  proteins. The western blot of proteins from crude tissue extracts showed that the antiserum selectively bound to only the  $V_2$  proteins in the hepatopancreas (Fig. 5C). The antiserum did bind to some low molecular weight proteins other than  $V_2$  in the ovarian homogenate. The antiserum had low affinity for any of the other proteins known to be in these crude extracts (Fig. 5A–C). Thus this antiserum (#1790-12-4) was specific to female proteins, and relatively specific for  $V_1$  and  $V_2$  proteins from tissue extracts. The immunoprecipitation of both  $V_1$  and  $V_2$  from the ovarian tissue extracts suggests that these two proteins may be linked in the ovary.

The antibody was used to measure *in vitro* Vg synthesis in homogenates of ovaries, hepatopancreas and hemolymph (Table I). The ovary had more immunoprecipitable protein than either the hepatopancreas or the hemolymph, consistent with the role of the ovary as a yolk storage site. However, the  $^{14}\text{C}$  leucine content of the immunoprecipitated Vg was similar in both the ovary and hemolymph samples, suggesting that both ovary and hemolymph can produce new egg yolk proteins. Recovery of all the proteins and radioactive labeled amino acid was near 90% for these assays. Some label and protein was lost due to the procedures used. Though the amount of immunoprecipitated Vg was less in the hepatopancreas than the ovary, the Vg in the hepatopancreas had about three times the  $^{14}\text{C}$  leucine incorporated into Vg than the ovary. This is consistent with the hepatopancreas as a site of Vg production but not Vg storage. Ovary, hepatopancreas, and hemolymph can incorporate  $^{14}\text{C}$  leucine into new egg yolk proteins, the hepatopancreas incorporates much more than the other groups, whereas the ovary seems to retain more Vg than either the hepatopancreas and the hemolymph.

#### Partial purification of GIH

Fractions from crude shrimp eyestalk extract were tested for their ability to inhibit *in vitro* ovarian protein synthesis. The values for immunoprecipitated Vg are given in Figure 6 and some values for non-specific inhibition are reported in Table II. Eighty-six percent of the inhibitory activity applied to the column was recovered



**Figure 5.** Isolation of egg yolk proteins from ovaries (Ov) and hepatopancreas (Hp) from the tissues of *Uca pugnator*. A. Proteins from crude extracts of ovary and hepatopancreas. Immunoprecipitates from these crude extracts of ovary (Ov<sub>p</sub>) and hepatopancreas (Hp<sub>p</sub>) are compared to the complete extracts and a sample of partially purified  $V_2$  ( $V_2$ ). In the sample of ovarian proteins the antibody precipitated both  $V_1$  and  $V_2$ , but only  $V_2$  was precipitated from the sample of hepatopancreas. The location of the molecular weight standards are labeled on the left side in daltons  $\times 10^3$ . B. Isolation of egg yolk proteins. Lane 7 contains a crude ovarian homogenate. Lane 6 contains the fraction with purple color from the G-200 column. Lanes 5 and 4 contain the proteins eluted from the preparative polyacrylamide gels, labeled  $V_1$  and  $V_2$  respectively. Lane 2 contains a crude extract of hepatopancreas, while lane 1 is the immunoprecipitated protein from this hepatopancreas homogenate. C. Western blot of a 7% polyacrylamide gel. Samples of crude ovarian and hepatopancreas extract were separated in a polyacrylamide gel, a sample of  $V_2$  was run in the third lane. The polyclonal antibody to  $V_2$  bound to a single band in the hepatopancreas sample, and to three bands in the ovarian sample. The antibody did not bind to  $V_1$ , though it was present in the ovarian sample.

in the 35 fractions that were tested (5 replicates). Three peaks of inhibitory activity were consistently resolved (fraction #29,  $R_f = 0.48$ ; fraction #35,  $R_f = 0.41$ ; and fraction 64,  $R_f = 0.23$ , 5 replicates). These fractions had 64% of all the inhibitory activity applied to the column. All three fractions had about the same specific activity ( $2200 \pm 300$  units/mg; 5 replicates), fraction 29 at  $R_f = 0.48$  had the most protein ( $3 \mu\text{g} \pm 0.7 \mu\text{g}$ ; 5 replicates). The size of proteins eluting at fraction #29,  $R_f = 0.48$  was estimated to be  $3,300 \pm 500$  (5 replicates). This is a smaller size estimate for GIH than the GIH previously isolated from the lobster, *Panulirus argus*, (Quackenbush and Herrnkind, 1983). Based on the bioassay, the column chromatography produced a 37-fold purifica-

Table I

Immunoprecipitation  
ablated crabs *Uca p.*

tissues of eyestalk

| Sample        | Ovary         | Proteins (mg)  |              |
|---------------|---------------|----------------|--------------|
|               |               | Hepatopancreas | Hemolymph    |
| Crude Extract | 0.51 ± 0.09   | 0.55 ± 0.06    | 0.50 ± 0.01  |
| Pellet        | 0.061 ± 0.04  | 0.045 ± 0.01   | 0.014 ± 0.01 |
| Supernatant   | 0.421 ± 0.05  | 0.401 ± 0.02   | 0.390 ± 0.01 |
| Sample        | Ovary         | DPM/MG/Hour    |              |
|               |               | Hepatopancreas | Hemolymph    |
| Crude Extract | 5,611 ± 1,065 | 8,536 ± 1,425  | 1,448 ± 123  |
| Pellet        | 865 ± 181     | 2,977 ± 755    | 792 ± 71     |
| Supernatant   | 2,973 ± 1,208 | 3,803 ± 567    | 778 ± 79     |

All values are means ± one standard deviation, n = 12 for all cases, 2 replicates. Crude extract is the initial tissue homogenate, Pellet is the protein precipitated by the antibody to vitellogenin, Supernatant is the protein not precipitated by the antibody. Recovery of both label and protein was between 80–90% for these assays.

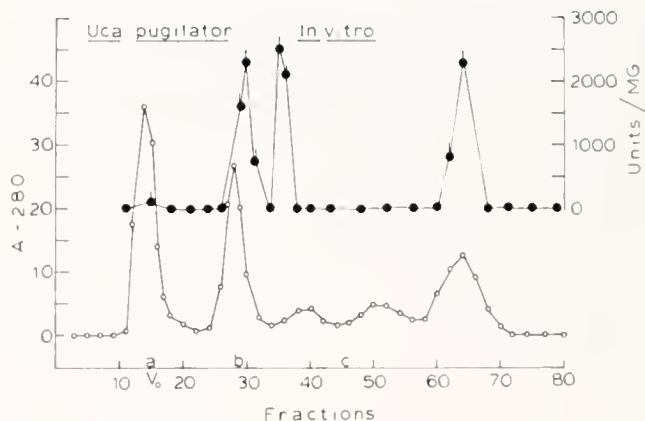
tion from the crude material (fraction #29, Rf = 0.48). Fraction #29 inhibited Vg  $^{14}\text{C}$  leucine incorporation. It was specific to Vg proteins. Fraction #29 had no significant effect on  $^{14}\text{C}$  leucine incorporation into proteins other than Vg, represented by the supernatant in the immunoassay (Table II). Both the crude starting material and material from the column void volume inhibited  $^{14}\text{C}$  leucine incorporation into both Vg and non-Vg proteins, demonstrating non-specific protein synthesis inhibition (Table II, Fig. 6). Based on the size estimate for fraction #29 ( $3,300 \pm 500$  daltons) the material in this fraction was biologically active at  $1.8 \times 10^{-9}$  M protein.

### Discussion

The primary source of crustacean egg yolk proteins was first suggested to be extra-ovarian (Wallace *et al.*, 1967). This hypothesis was consistent with the demonstrations of egg yolk protein synthesis in insects and vertebrates. However, evidence from histological studies of developing crustacean ovaries suggested that the ovaries were capable of producing proteins (Beams and Kessel, 1963; Ganion and Kessel, 1972; Wolin *et al.*, 1973; Schade and Schivers, 1980). Direct demonstration of protein synthesis by ovarian tissue supported the new view that the ovarian contribution to overall egg yolk protein synthesis was significant. The relatively slow rate of ovarian protein synthesis in isolated ovarian tissue supported the argument that extra-ovarian tissue also contributed to egg yolk protein synthesis (Lui and O'Connor, 1976, 1977; Eastman-Reks and Fingerman, 1985).

Ovarian egg yolk protein synthesis does not preclude extra-ovarian egg yolk protein synthesis. The unstated assumption in previous work was that egg yolk proteins in crustaceans were produced exclusively in a single tissue, as in insects and vertebrates. Insects produce vitellogenin exclusively in the fat body. A similar pattern was expected in arthropod relatives, the crustaceans. In the isopod, *Idotea bathica basteri*, and the amphipod, *Orchestia gammarella*, egg yolk proteins are produced in a subepidermal adipose tissue, the fat body (Blanchet-Tournier, 1982; Souty and Picaud, 1984). However, vitellogenin is also made in the ovaries of the crab *Pachygrapsus crassipes* (Lui and O'Connor, 1977) and in the hemocytes of the crab, *Callinectes sapidus* (Kerr, 1969). The subepidermal adipose tissues of a shrimp, *Parapenaeus longirostris*, and the hepatopancreas of the crabs, *Carcinus maenas* and *Libinia emarginata*, contain immunoreactive vitellin (Paulus and Laufer, 1987; Tom *et al.*, 1987). Together, these recent reports suggest several new sites for egg yolk protein synthesis.

In our study, immunoreactive Vg was found in the hemolymph, hepatopancreas, and ovaries of the crab, *Uca pugnator*. Each tissue was evaluated for its capacity to incorporate labeled amino acids into proteins and Vg



**Figure 6.** Immunoassay of the fractions of crude shrimp eyestalk extract after separation on G-25 Sephadex. Filled circles are the specific activity (Inhibition units/mg eyestalk extract protein) of the fractions for the inhibition of  $^{14}\text{C}$  leucine incorporation into ovarian egg yolk proteins. Open circles are the absorbance (280 nm) of the fractions as they were eluted from the column. The column void volume is indicated by a V. The elution volumes of protein standards used to calibrate the G-25 Sephadex column are indicated by a = aprotinin, b = insulin beta chain, and c = met-enkephalin. The immunoassay values are means ± one standard error for n = 8 for each fraction, 4 replicates. Extracts of shrimp tail muscle were adjusted with crab saline to equal the protein concentrations of the various column fractions of shrimp eyestalk. These tail muscle extracts served as the controls in the *in vitro* assay. Percent inhibition of  $^{14}\text{C}$  leucine incorporation into crab egg yolk proteins was calculated as described in Materials and Methods. Every other fraction was bioassayed, but some fractions (with no activity) were not plotted for clarity.



Table II

Bioassay of shrimp (*Penaeus setiferus*) eyestalk extract on *in vitro* vitellogenin incorporation of labeled leucine in isolated ovarian fragments from the fiddler crab (*Uca pugnator*)

| % Inhibition of protein incorporation of labeled leucine |        |             |           |
|----------------------------------------------------------|--------|-------------|-----------|
| G-25 Fraction #                                          | Pellet | Supernatant |           |
| Crude material                                           | 64%*   | 22%*        |           |
| # 15 (void volume)                                       | 64%*   | 22%*        |           |
| # 22                                                     | 6%     | 4%          |           |
| # 29                                                     | 64%*   | 6%          | Rf = 0.48 |
| # 31                                                     | 48%*   | 14%         |           |
| # 35                                                     | 58%*   | 12%         |           |
| # 42                                                     | 7%     | 10%         |           |
| # 52                                                     | 5%     | 6%          |           |
| # 64                                                     | 28%*   | 12%         |           |
| # 70                                                     | 3%     | 5%          |           |

All values are means,  $n = 8$  for each fraction from 4 replicates. An \* indicates a statistically significant difference between the fraction tested and a muscle extract control (1 test for two percentages,  $P < 0.05$ ). One unit of GIH activity produces a 20% inhibition of protein incorporation of labeled leucine, by definition. Crude material is the initial eyestalk extract; all test does were adjusted with saline to a protein concentration of 10  $\mu\text{g/ml}$ . Fraction numbers in this table correspond with fraction numbers in Figure 6. Fractions were selected from a complete data set (35 fractions) as representative of the observations.

*in vitro*. Comparisons of protein synthesis in the tissues from *Uca pugnator* to other crustaceans is limited by the important variations in the reported methods, procedures, and other variables. Ovarian incorporation of labeled amino acids into egg yolk proteins from *Procambarus* sp. (477 DPM/mg/h) and *Pachygrapsus crassipes* (966 DPM/mg/h) were suggested to be too low to account for complete vitellogenesis (Lui and O'Connor, 1977). Incorporation of labeled amino acids into egg yolk proteins in the hepatopancreas of the fiddler crab (2927 DPM/mg/h) was more than three times the incorporation of labeled amino acids into egg yolk proteins in either the ovarian tissue or the hemolymph. This significant difference ( $t$ -test,  $P < 0.05$ ) suggests that the hepatopancreas in the fiddler crab can contribute to overall egg yolk protein production.

The demonstrated role of the crustacean hepatopancreas is the synthesis and secretion of digestive enzymes (Gibson and Barker, 1979). This tissue is also a major site of lipid storage and carbohydrate metabolism (Chang and O'Connor, 1983; Sedlmeier, 1985). In the lobster, *Homarus americanus*, the hepatopancreas is the principal tissue source of hemocyanin synthesis (Senkbeil and Wriston, 1981). Eyestalk factors can affect lipid metabolism, protein synthesis, enzyme synthesis, and ribonucleic acid synthesis in the crustacean hepatopancreas (Fingerman *et al.*, 1967; O'Connor and Gilbert, 1968; Gorell and Gilbert, 1971; Bollenbacher *et al.*,

1972; Wormhoudt, 1974; Momin and Rangneker, 1975). In our study, eyestalk ablation significantly increased both *in vivo* and *in vitro* incorporation of labeled amino acids into proteins of the hepatopancreas. Crude extracts of eyestalks decreased protein synthesis in the hepatopancreas of the crayfish, *Orconectes virilis*, but increased ribonucleic acid synthesis in the hepatopancreas of the crayfish, *Procambarus clarkii* (Fingerman *et al.*, 1967; Gorell and Gilbert, 1971). Both protein synthesis and egg yolk protein synthesis in ovaries and hepatopancreas appear to be affected by the eyestalk endocrine system in crustaceans. The coordination of egg yolk protein synthesis in several tissues by the eyestalk endocrine system would be one mechanism to optimize the energy investment required for the production of many yolk-laden eggs.

Insects produce egg yolk proteins exclusively in the fat body (Downer and Laufer, 1983). Fiddler crabs can make egg yolk proteins in at least three sites: ovaries, hepatopancreas, and hemolymph. Other crustaceans appear to produce egg yolk proteins from several tissues as well (Blanchet-Tournier, 1982; Charniaux-Cotton, 1985; Fingerman, 1987). One hypothesis for these fundamental differences among the arthropods may be linked to the differences in life histories. Pterygote insects do not molt as adults, whereas most adult crustaceans continue to molt. Molting is a physiologically demanding process requiring extensive protein synthesis and lipid metabolism (Chang and O'Connor, 1983; Skinner, 1985). The repetitive egg yolk production of adult crustaceans which live for several years is an equally demanding physiological process. The mature ovary of a fiddler crab is 4–6% of the total body wet weight; the ovary contains about 30–40 mg of egg yolk proteins (Webb, 1977). During the reproductive period in the summer, a single female crab will produce two broods of several thousand eggs (Webb, 1977; Christy, 1978). The eyestalk endocrine system is capable of regulating both molting and ovarian development with inhibitory factors so that these two process do not occur simultaneously (Webb, 1977; Adiyodi, 1985). The established need for the synchronization of egg release or egg hatching to systematic environmental variation further constrains the production of mature oocytes (Hartnoll, 1969; Christy, 1982). Fiddler crabs precisely time the release of larvae to optimize their survival (Bergin, 1981; Christy, 1982). Both physiological and physical limitations may require that the massive egg yolk protein synthesis be completed quickly. Therefore, using several sites to synthesize egg yolk proteins may be one strategy to maximize both somatic growth and reproductive output within these constraints. The diversity of crustacean life histories and the habitats they exploit make this a testable hypothesis (Christy, 1982; Hartnoll, 1969).

The partially purified GIH inhibited egg yolk protein synthesis directly in the isolated ovaries. Use of antibody to Vg allows the specific measurement of egg yolk protein production. This type of specific assay is a significant improvement over previous assays for GIH which were based on overall protein synthesis or simply ovarian wet weight changes (Bomirski *et al.*, 1981; Quackenbush and Herrnkind, 1983; Eastman-Reks and Fingerman, 1984; Charniaux-Cotton, 1985). The development of a bioassay for GIH or any inhibitory hormone requires adequate controls to detect the potential toxic effects of non-specific agents that may be present in crude extracts (Channing *et al.*, 1985). Bomirski *et al.* (1981) found toxic fractions in their extraction of GIH from the crab, *Cancer magister*. The toxicity was attributed to the large amounts of protein they injected into their bioassay animals. They required a 0.5 ESE dose to produce a measurable response in the shrimp, *Crangon crangon*. The material we extracted from the shrimp eyestalks required only a 0.005 ESE dose *in vivo* and a 0.006 ESE dose *in vitro* to produce statistically significant inhibitory responses in *Uca pugnator*. The potency of the crude extract permitted much less total protein in our assays for GIH than previous assays required. This may have helped us avoid a non-specific protein-induced toxic effect of crude extracts. The partially purified GIH blocked incorporation of labeled amino acids into Vg, did not affect the incorporation of labeled amino acids into other proteins. Crude extract and a few fractions near the void volume of the column did have the ability to block labeled amino acid incorporation into both Vg and other proteins. This non-specific inhibition of incorporation of labeled amino acids serves as a control for non-specific effects in our *in vitro* assay system. Thus, with the *in vitro* assay procedure, we can measure specific inhibition of labeled amino acid incorporation into Vg, as well as the non-specific inhibition of incorporation of labeled amino acids into proteins that are not Vg. This *in vitro* procedure can now be used in the further characterization of eyestalk neurohormones.

### Acknowledgments

This work was supported in part through an institutional grant NA-85AA-D-SD128 to Texas A & M University by the National Oceanic and Atmospheric Administration's Sea Grant Program, Department of Commerce, and by the Texas Advanced Technology Research Program. The research was conducted by the Texas Agricultural Experiment Station.

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# Passive Suspension Feeding in a Sea Pen: Effects of Ambient Flow on Volume Flow Rate and Filtering Efficiency

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**Abstract.** An integrative analysis of passive suspension feeding is developed and tested. It emphasizes the functional role of overall organism design in enhancing the hydromechanic conditions necessary for feeding. Feeding rate, defined as the total number of particles captured per time, is a function of the ambient flow speed which independently affects both the volume flow rate and the filtering efficiency. In the sea pen *Prilosarcus gurneyi*, volume flow rate initially increases with increasing ambient flow speed, peaks, and then decreases as the animal deforms with the flow. Filtering efficiency, for a given filter geometry, decreases with increasing velocity. However, due to deformation of the filter with flow, higher filtering efficiencies are maintained as a result of the variable porosity filter. Feeding rate is strongly dependent on volume flow rates. The feeding rate initially increases with increasing ambient flow, but then peaks and decreases similar to the volume flow rate. Both volume flow rate and filtering efficiency depend upon the size of the organism and the relative position of the organism in the boundary layer.

## Introduction

Aquatic suspension feeding organisms use an array of filtering elements to separate particles from the passing fluid medium. *Passive* suspension feeders rely solely on the relative movement of their filter and the particle-laden water for food capture. In many sessile and benthic

organisms, the relative movement is generated by the ambient flow, leaving them highly dependent on the ambient flow for feeding. *Active* suspension feeders use cilia or muscles for pumping water past the filtering surface. This feeding may be augmented by the ambient velocity, which can be an order of magnitude greater than the self-generated currents (Merz, 1984; Okamura, 1984, 1987).

Recently a few studies have begun to examine and clarify particle capture mechanisms in biological systems. Particle size selection has been shown to depend on the diameter and spacing of the filtering elements, flow velocity past the elements, diameter of the particles, and surface chemistry of both particles and filtering elements (Rubenstein and Koehl, 1977; LaBarbera, 1978, 1984; Gerritsen and Porter, 1982). However, the most broad-scale aspects of filter feeding are still poorly understood, especially the functional role of overall organismal design in maintaining the organism's exposure to flow and in influencing the hydromechanical conditions which aid in particle capture. In some stony corals the branching pattern prevents the formation of stagnant zones within the colony. This assures adequate flow throughout (Chamberlain and Graus, 1975). In flexible organisms, their shape and exposure to flow depend on the flow velocity and the structure's response to drag forces imposed by the flow (Koehl, 1976; Patterson, 1984; Vogel, 1984), thereby changing the microenvironment around the filtering elements (Harvell and LaBarbera, 1985).

The present study emphasizes the effect of gross morphology on feeding performance in a particular suspension feeder to highlight the potential interactions between morphology and flow which may occur in a wide range of suspension feeders. The ecological consequence

Received 24 December 1987; accepted 16 September 1988.

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of functional morphology is equated with "feeding rate" which is defined as the total number of particles caught per unit time. In this analysis, feeding rate is a function of three parameters: (1) the volume of water processed by the organism per unit time, (2) the proportion of particles removed per volume of water processed, (3) and the density of particles in the ambient water. The first two factors, the "accessibility" to flow and the "filtering efficiency" or proportion of particles retained, may both be influenced by the ambient velocity. For a benthic organism, several factors may affect the ambient velocity it experiences, including its own size and height above the substratum into the boundary layer (Nowell and Jumars, 1984).

This paper first describes an approach for examining the effect of structure-flow interactions on feeding. It then describes a series of field and laboratory studies of feeding rate in a passive suspension feeder which address these questions: (1) how does ambient flow speed independently affect volume flow rate and filtering efficiency, two components of feeding rate? (2) How are volume flow rates and filtering efficiency affected by organism size, as the organism grows and extends into a different ambient flow environment? (3) How does the structural response of the organism to ambient flow influence particle capture on the level of the filtering elements, or polyps?

#### *Integrative analysis of suspension feeding*

An approach useful for evaluating the effect of morphological features on the feeding rate of passive suspension feeders is presented. I first identify parameters (environmental and morphological) which may influence feeding and then define the relationships of these parameters. For example, an analysis of feeding mechanics encompasses several aspects of structural design which emphasize the interaction between animal structure and ambient flow:

(1) *Structural features related to maintaining filter surface area exposed to the flow.* Drag imposed by the flow will tend to deform flexible organisms. In organisms which require flow *through* the filter for feeding, it is the drag-induced pressure drop across the filter that drives the water through the filter; hence, the organism cannot "hide" from the flow and must have support structures to maintain the filter in the flow. In organisms which utilize flow *over* or *around* the filter, such as in gravitational deposition feeders, filter surface area or position in flow must be maintained.

(2) *Structural features which stabilize the filter in relation to changes in flow direction or amplitude.* For short-term fluctuations in current speed or direction, on the

order of seconds to hours, the animal may stabilize the filter either by actively or passively re-orienting, and thus ensure proper exposure. For long-term fluctuation, from days to years, re-orientation and stabilization may occur via growth responses (e.g., Wainwright and Dillon, 1969).

(3) *Flow-structure interactions related to particle capture.* Large scale features may pre-position particles before they contact the filter (Craig and Chance, 1981), or pre-condition the water and its flow characteristics, such as flow speed, through the filter (Harvell and LaBarbera, 1985).

The design aspects listed above all influence feeding. The first two aspects influence the volume of water processed by the organism and the last influences filtering efficiency. The total number of particles extracted per unit time is a function of the volume of water processed per unit time, the number of particles in the ambient water, and the proportion of particles removed per unit volume of water processed, and can be expressed as the equations given in Figure 1.

Structural and flow features can be identified which may affect either "accessibility" or "filtering efficiency" (refer to Fig. 1). For example, filtering efficiency depends on the flow pattern and particle paths around the filtering elements, and thus is a function of flow velocity around the elements; the size, spacing, orientation, and surface charge of elements; the diameter, density, motility, and surface charge of the particles; and possibly the frequency with which the elements are cleaned (Fuchs, 1964; Rubenstein and Koehl, 1977; Spielman, 1977; LaBarbera, 1978, 1984; Gudmundsson, 1981).

"Accessibility" can refer to the volume of water passing either through a filter or in close enough proximity that particle extraction is possible. In organisms where the flow is quasi-perpendicular to the filter, as in the sea pen *Ptilosarcus gurneyi*, access to flow is equated with volume flow rate. It is equal to the surface area of the filter exposed to the flow times the velocity of flow through that area (refer to Fig. 1). The surface area of the filter exposed to the flow is a function of the organism's vertical stance and orientation to the flow; the shape, size, and flexibility of the filter; the ambient velocity and the drag imposed by the flow; the position of the organism above the substratum and the presence of other organisms. The influence of most of these parameters on accessibility in the suspension feeding sea pen *Ptilosarcus gurneyi* is addressed in Best (1985, in prep.).

Having identified both flow characteristics and morphological features which may affect feeding rate, I examined the influence of flow velocity on both volume flow rate and filtering efficiency, with particular attention

$$\frac{\text{particles caught}}{\text{time}} = \frac{\text{volume processed}}{\text{time}} \times \frac{\text{particles in ambient water}}{\text{unit volume}} \times \frac{\text{proportion of particles retained by filter}}{\text{filter}}$$

$$\text{Feeding Rate} = \text{accessibility} \times \text{particle concentration} \times \text{filtering efficiency}$$

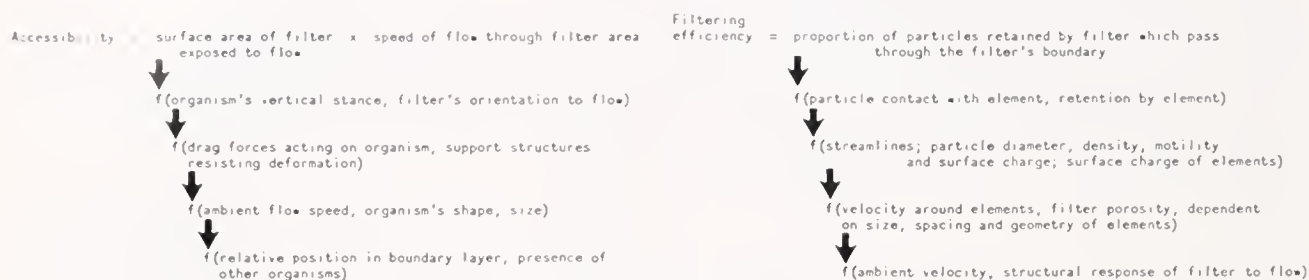


Figure 1. Diagram of integrative method, showing relationships among parameters. See text for discussion.

to flow-structure interactions that change with ambient flow speed.

## Materials and Methods

### Experimental organism and morphology

The sea pen *Ptilosarcus gurneyi* (Cnidaria: Pennatulacea) was used in this study because it has a well-defined morphology which can be easily characterized on the basis of size and age (Birkeland, 1969, 1974). The upper portion of the organism, the rachis, contains the filtering elements—the polyps—which extend from the semi-circular horizontal plates termed “leaves” (Fig. 2). With an increase in ambient velocity, the flexible rachis bends downstream (Fig. 2). The polyps form a semi-cylindrical filter on the downstream side of the organism, extending the length of the rachis (Fig. 2). The height (vertical stance) of the rachis was the indicator of organism size. The rachis behaves as a single unit which orients to the flow (Best, 1985), ensuring water passage through the filter in the same direction—into the concave side of the semi-cylindrical filter. This sea pen feeds primarily on phytoplankton (Birkeland, 1969). Its bright orange color is the result of carotenoids incorporated from ingested dinoflagellates and can be passed on to the tissues of nudibranch predators (S. Kemp, pers. comm.).

*Ptilosarcus gurneyi* is a common inhabitant of soft-sediment environments in Puget Sound and the San Juan Archipelago, Washington. From an initial length of under 1 mm, *P. gurneyi* can grow to a total length of over 80 cm, extending over 40 cm above the benthic surface. Sea pens were hand collected from Lopez Sound by SCUBA diving and transported back to the Friday Har-

bor Laboratories of the University of Washington. Animals were maintained in running seawater.

### Morphometrics

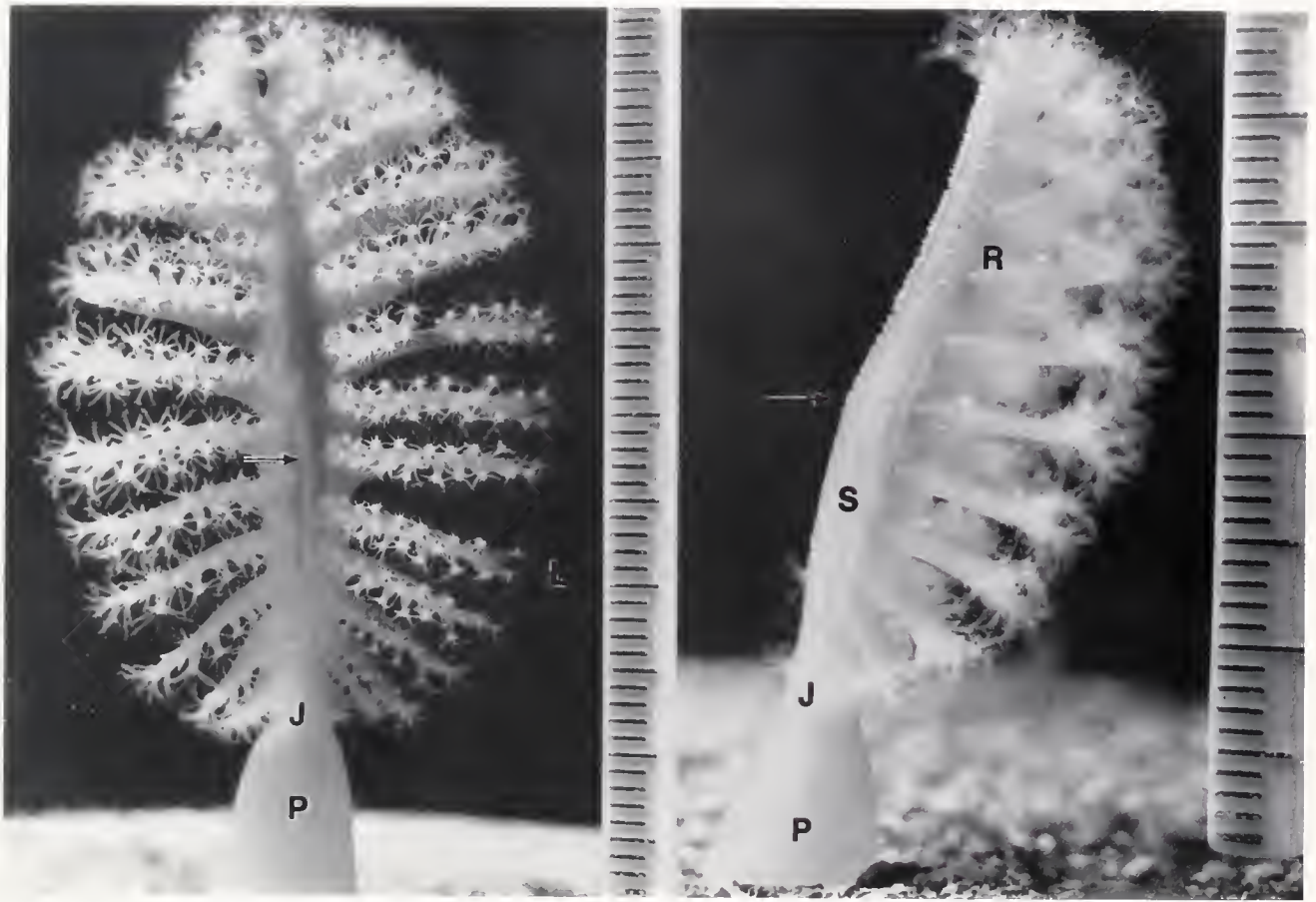
Photographs of organisms in the field were compared to photographs of fully expanded organisms in the laboratory. Since there were no differences detected in stance or body size between laboratory and field photographs, all morphometrics were performed in the laboratory. With a Wild dissecting microscope, polyp sizes in small (3–10 cm rachis height) and large (20–30 cm rachis height) sea pens were measured. For each organism, polyp width, tentacle width and length, and pinnule length and spacing were measured to the nearest 0.01 mm. Polyps from the bottom, middle, and top of the rachis from each sea pen were examined.

Sea pens were placed in a large recirculating flow tank (working area of 32 by 34 cm; Vogel and LaBarbera, 1978), continuously supplied with fresh seawater. To examine spacing between polyps as a function of flow speed, close-up photographs of the sea pens were taken as they deformed under flow speeds up to 25 cm s<sup>-1</sup>. From these photographs, the spacing between the leaves and the density of polyps were determined. Polyp density was calculated as the number of polyps cm<sup>-2</sup> in the plane of the filter, approximating a plane perpendicular to the direction of flow.

### Field velocities

Sea pens were studied within Lopez Sound where water depth averaged 10 m and the bottom consists of sandy-mud. To characterize the flow environment on the





**Figure 2.** Photographs of small sea pens, *Ptilosarcus gurneyi*. Left. View of sea pen showing the array of polyps on the downstream side of the rachis (rachis height = 4.5 cm). "J" - Joint, "P" - Peduncle, "L" - Leaf. Arrow points to internal style visible through body wall. Note the parallel leaves "L" through which water would flow. Right. Side view of sea pen (rachis height = 3.0 cm). "P" - Peduncle, "J" - Joint, "S" - Siphonozooids, "R" - Rachis. Arrow points to the top rigid portion of the style. Flow is from left to right.

scale of the sea pens, a thermistor flow probe (LaBarbera and Vogel, 1976) was used to record local flow speeds at 18 cm above the substratum, the average height of the sea pens. Flow direction was also continuously monitored with a weather vane/potentiometer (Best, 1985). Velocity recordings were made over 6–8 hour periods, including one low and high tide, on three different days. To construct a velocity profile and determine the range of velocities experienced by small, medium, and large sea pens, velocity measurements were taken at different heights above the substratum.

#### *Volume flow rates*

There is a postural change in *Ptilosarcus gurneyi* with increasing flow speed. The area of the feeding filter oriented perpendicular to the current also changes with the flow speed. Therefore, it was necessary to measure di-

rectly the volume flow rate through the filter as a function of ambient flow speed.

Sea pens acclimated in the recirculating flow tank. An imaginary plane was established upstream of the organism and horizontal transects across this plane were made with a fluorescein dye injector. For each horizontal transect, it was noted for which points the dye, once released, subsequently passed through the filter of the downstream sea pen. Horizontal transects were spaced vertically every 1.0 cm for large and medium sea pens and 0.5 cm for small pens. From these transects an area on the imaginary plane was determined, representing the source of water actually passing through the filter. The volume flow rate was calculated by multiplying this area by the water flow speed through the plane ( $\text{area} \times \text{speed} = \text{volume time}^{-1}$ ). Flow speeds in the flow tank were measured with a thermistor flowmeter which had been calibrated with an electromagnetic water current meter

(Model 511, Marsh-Matthews, Inc., with a precision  $\pm 2\%$ ).

For each sea pen, flow rates were determined over a range of flow velocities, 1 to 25  $\text{cm s}^{-1}$  for medium and large pens and 2 to 12  $\text{cm s}^{-1}$  for small pens. Determinations of flow rates were repeated three times for a large and small sea pen; replicated values were within 3% for the small sea pen and less than 6% for the large sea pen.

#### Velocity through rachis

A thermistor flow probe measured the flow speed between the leaves and through the mesh-work of polyps as sea pens were exposed to ambient speeds of 1 to 25  $\text{cm s}^{-1}$  in the flow tank.

#### Filtering efficiency

In the field, water samples were collected upstream and downstream of sea pens from three size classes: a small sea pen with a rachis height of 7 cm, medium sea pen 15 cm high, and large sea pen 25 cm high. For each sea pen three concurrent upstream-downstream water samples were taken by SCUBA divers positioned on either side of the organism. Each diver held a transparent plastic tube, parallel to the current, either directly in front of the rachis or directly behind the rachis, at the same height. The tubes were held in place long enough to be flushed with seawater several times. Simultaneously, the ends of both tubes were sealed. Three sized tubes were used for the different sized sea pens, with 3 cm, 5 cm, and 7 cm diameters, respectively.

Upon leaving the water, the divers transferred the water samples into clean bottles, stored them on ice in the dark, and immediately took them to the laboratory for analysis.

In the laboratory each water sample was first filtered through a 163  $\mu\text{m}$  Nitex filter. An Elzone 80XY particle counter (Particle Data, Inc.) was used to count the total number of particles in a 2 ml subsample, and to construct a size-frequency distribution of the particles into 128 particle size classes in the range 0–100  $\mu\text{m}$ . Five subsamples were analyzed from each upstream and downstream field sample. The precision of counts from multiple subsamples is better than 5%.

To examine the effect of flow speed on particle retention, a laboratory experiment was conducted involving a medium-sized sea pen (rachis height = 12 cm). The sea pen was allowed to acclimate in the recirculating flow tank. A filtrate of natural particles—containing phytoplankton and particulates ranging from 1–80  $\mu\text{m}$  in diameter—was added to the flow tank. A density between 3000–4000 particles  $\text{ml}^{-1}$  was maintained throughout

the experiment. At each of three flow speeds, 1.5, 3.0, and 6.0  $\text{cm s}^{-1}$ , water samples (40–70 ml) were collected concurrently with a suction device (5 mm diameter opening) immediately upstream and downstream of the rachis. Three sets of water samples were taken at each velocity.

In addition, experiments similar to the above were conducted with a medium sea pen feeding on one size range of particles, by adding only the chlorophycean phytoplankter *Dunaliella* (10  $\mu\text{m}$  diameter) to the flow-tank. The water samples from the laboratory experiments were treated and analyzed for particle counts in the same manner as in the field experiment, but with 1 ml subsamples.

Filtering efficiency was calculated from the difference in particle counts from two samples, taken concurrently up- and downstream, and expressed as a percentage of the upstream count.

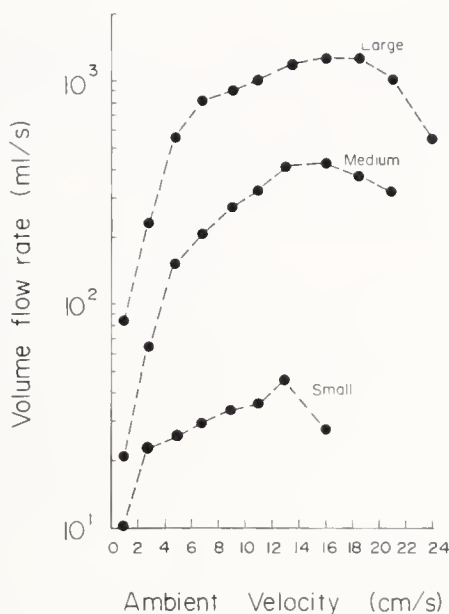
## Results

### Field velocities

Flows at the field site were relatively steady, hydraulically smooth and bidirectional, and changed orientation approximately 180° with the tides. The mean flow speed at 18 cm above the substratum ranged from 8 to 11  $\text{cm s}^{-1}$ . The maximum speeds ranged from 13 to 17  $\text{cm s}^{-1}$ . Over a 10 minute period, for a mean flow speed of  $9.8 \pm 0.42 \text{ cm s}^{-1}$ , the turbulence intensity (standard deviation of the flow/mean flow speed) was 0.043. At 8 cm above the sediment—the approximate height of small sea pens—the mean flow speed ranged from 3 to 7  $\text{cm s}^{-1}$  and the maximum speeds ranged from 8 to 10  $\text{cm s}^{-1}$ . Velocity readings taken over a few minutes at 5, 10, 30, 50, and 100 cm above the substratum showed mean flow speeds of 6.5, 7.7, 8.7, 9.6, and 10.2  $\text{cm s}^{-1}$ , respectively. Using the standard log layer equations for a geophysical boundary layer and the linear regression of the above means, the boundary shear velocity ( $u_*$ )—a measure of shear stress acting on the bed and a useful parameter of the flow field—was estimated to be 0.50  $\text{cm s}^{-1}$ .

### Morphometrics

Polyp width, the distance across the polyp between tentacle tips, is uniform throughout large sea pens and the middle and bottom regions of small sea pens ( $3.59 \text{ mm} \pm 0.157$ ,  $n = 25$ ). The very top 30–40 polyps on the small sea pens are slightly larger with a mean width of  $3.88 \text{ mm} \pm 0.217$  (Student's  $t$ -test,  $t = 2.34$ ,  $\alpha = 0.05$ ,  $n = 30$ ). Tentacle length ranged from 1.4 to 1.9 mm, with a mean of  $1.59 \text{ mm} \pm 0.13$  ( $n = 30$ ). The tentacle width was approximately 0.34 mm at its base and tapers to the



**Figure 3.** Volume flow rate as a function of ambient velocity. The volume of water passing through the rachis initially increases as velocity rises, then peaks and declines due to the postural change of a sea pen.

tip. Eleven to twelve pairs of pinnules project out along the length of the tentacle, increasing from a length of 0.07 mm at the tentacle base to 0.32 mm at the tentacle tip. These pinnules do not project laterally out from the tentacle, but are angled downstream. Pinnule width ranged from 0.03 to 0.05 mm. Spacing between pinnules ranged from 0.06 to 0.13 mm.

The spacing between the leaves, on the downstream side of the animal, was smaller in the small sea pens (3.8 mm mean spacing, 3.1–4.2 range,  $n = 6$  organisms) than in the large sea pens (5.7 mm mean, 5.4–5.9 range,  $n = 7$ ) in still water. With increasing flow speeds both small and large sea pens flex with the flow, resulting in a decreased spacing between leaves. At 13  $\text{cm s}^{-1}$ , small sea pens had a mean spacing of 2.3 mm, range 2.6–2.8; large sea pens had a mean spacing of 3.9 mm, range 3.5–4.2. At 20  $\text{cm s}^{-1}$ , large sea pens had a mean of 3.4 mm, range 3.2–3.6.

In still water polyp density, in the plane of the filter, is  $30.2 \pm 1.76$  polyps  $\text{cm}^{-2}$  ( $n = 12$ ) in large sea pens and  $29.4 \pm 2.35$  polyps  $\text{cm}^{-2}$  ( $n = 12$ ) in small sea pens. As ambient flow speed increases to 13  $\text{cm s}^{-1}$ , polyp density does not change significantly in small sea pens. However, in large sea pens there is a significant increase in polyp density to  $33.1 \pm 0.13$  polyps  $\text{cm}^{-2}$  (Student's  $t$ -test,  $t = 3.58$ ,  $\alpha = 0.05$ ,  $n = 24$ ).

#### Volume flow rates

The volume of water flowing through the filter per unit time (volume flow rate) is dependent on both the ambi-

ent flow speed and the area of filter projected into the flow as determined by the posture of the organism (Best, 1985). As shown in Figure 3, the volume flow rate for a single organism increases with an increase in ambient flow until the organism becomes so bent back by the flow that more water tends to flow over rather than through the filter. The maximum volume flow rate,  $y$  ( $\text{ml s}^{-1}$ ), attained for an organism is best fit by a linear function (stepwise multiple regression analysis) of its rachis height,  $x$  (cm), where

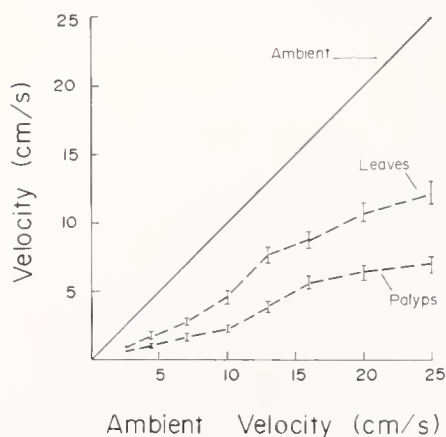
$$y = -208.4 + 54.6x \quad (r = 0.93, n = 18).$$

The flow speeds at which these maximum volume flow rates occur are also related to the organism's size. For small sea pens, the maximum volume flow rates occur at flow speeds ranging between 6.5 and 8.5  $\text{cm s}^{-1}$ , between 12 and 14  $\text{cm s}^{-1}$  for medium sea pens, and between 14 and 18  $\text{cm s}^{-1}$  for large sea pens.

#### Velocity through rachis and Reynolds number

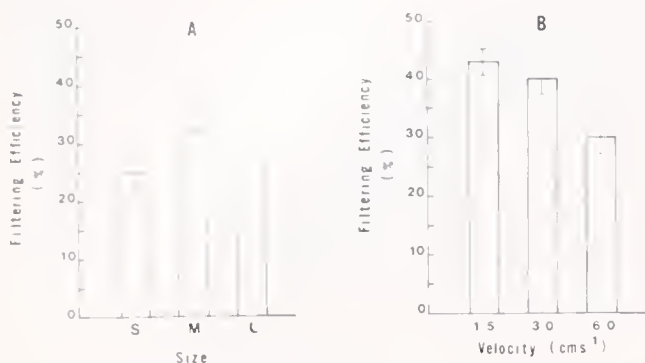
Figure 4 shows the flow speed between the leaves and directly behind the polyps in relation to the ambient flow speed. As the ambient speed increases, the flow speed between the leaves and polyps also increases, but at a lower rate (slope of regression lines less than 1.0: for leaves,  $b = 0.55$ ,  $t_s = 8.58$ ,  $t_{0.05[6]} = 2.31$ ,  $P < 0.05$ ; for polyps,  $b = 0.33$ ,  $t_s = 26.78$ ,  $t_{0.05[6]} = 2.31$ ,  $P < 0.05$ ). At an ambient speed of 25  $\text{cm s}^{-1}$ , the flow speed between the polyps is only 6–8  $\text{cm s}^{-1}$ , and 11–13  $\text{cm s}^{-1}$  between the leaves.

For an object moving relative to the ambient fluid, the relative importance of inertial and viscous forces acting on the object—and therefore the nature of flow around the object—is represented by the dimensionless param-



**Figure 4.** Flow velocities between leaves and behind the polyps as a function of ambient velocity. Note the much reduced flows occurring by the polyps.





**Figure 5.** A. Filtering efficiency as a function of organism size. Filtering efficiency is calculated as the percentage difference in particle counts from upstream and downstream water samples taken in the field. Means  $\pm$  SE are shown for 15 paired water samples (2 ml) analyzed for each small "S", medium "M", and large "L" sea pen. Field flow speeds for the small sea pen were approximately 5–6 cm s<sup>-1</sup> and 8–9 cm s<sup>-1</sup> for the medium and large sea pens. Particle retention in the small sea pen is significantly lower than the medium and large sea pens (2  $\times$  2 G-test of independence,  $G = 56.6$ ,  $\alpha = 0.05$ ). B. Filtering efficiency as a function of ambient flow speed. Filtering efficiency for a single sea pen feeding in the flow tank at three different speeds. Means  $\pm$  SE are shown for 15 paired water samples (1 ml). Particle retention decreases with increasing velocity (ANOVA,  $F_{2,44} = 75.52$ ,  $P < .001$ ,  $n = 45$ ).

ter "Reynolds number" (Re). For medium and large sea pens, assuming the characteristic length to be the length of the rachis parallel to flow, the Reynolds number is around  $8 \times 10^2$  to  $1 \times 10^4$  for flows from 2 to 25 cm s<sup>-1</sup>; for small sea pens the Reynolds number is around  $2 \times 10^2$  to  $3 \times 10^3$ . However, due to the small size of the pinnules and tentacles (*i.e.*, small characteristic length) and the reduced flows over these structures, the nature of the flow is very different as reflected in the Reynolds number (Re: approximately 1–10 for tentacles, and 0.1–1.0 for the pinnules). Particle capture is occurring under low Reynolds number, viscous flow conditions.

### Filtering efficiency

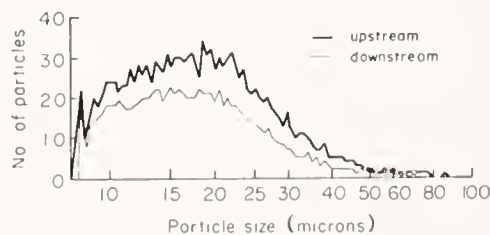
Figure 5A shows the filtering efficiencies—the percentage of particles present in the downstream water sample relative to the upstream sample—for the three different sized sea pens in the field. The small sea pen had the lowest retention of particles which was significantly different from the higher retentions of the medium and large sea pens (2  $\times$  2 G-test of independence performed on raw particle counts,  $G = 56.6$ ,  $\alpha = 0.05$ ). The relationship of filtering efficiency to ambient flow speed for one sea pen is shown in Figure 5B; the efficiency decreased from a mean of  $42.8\% \pm 3.5\%$  at a velocity of 1.5 cm s<sup>-1</sup> to a mean of  $29.9\% \pm 5.1\%$  at a velocity of 6.0 cm s<sup>-1</sup>. An ANOVA failed to show a significant difference in the

number of particles in the upstream samples throughout the experiment ( $F_{2,44} = 1.62$ ,  $P > 0.21$ ,  $n = 45$ ), but did show a significant interaction between ambient flow speed and the number of particles in the downstream samples ( $F_{2,44} = 75.52$ ,  $P < .001$ ,  $n = 45$ ). Filtering efficiency decreases with increasing velocity.

The size-frequency distribution of particles in one set of upstream-downstream water samples taken in the field is shown in Figure 6; the difference between the area under these two curves represents the number of particles removed during one passage through the rachis. Every field feeding test failed to show a significant difference in the size-frequency distributions of the upstream-downstream water samples (G-test for goodness of fit), implying that no particle size selection was occurring. However, for the laboratory feeding experiments, there were significant differences (G-test for goodness of fit) in the size-frequency distributions of every upstream-downstream comparison (Table 1). The mean particle size in the downstream sample was smaller than that for the upstream sample, implying that larger particles had a higher probability of being retained. The difference between the mean particle size of upstream-downstream samples increased as ambient flow speed increased (Table 1), suggesting a greater selection for larger particles at higher ambient flow speeds.

### Feeding performance

The total number of particles removed from the ambient water per unit time, the feeding rate, is equal to the product of volume flow rate and filtering efficiency. Feeding rates, calculated from these two independently measured parameters, of a large, medium, and small sea pen are shown in Figure 7, assuming an ambient particle concentration of 1000 particles ml<sup>-1</sup> which is within the range of concentrations present in the field. Because filtering efficiencies were only measured for flow speeds up



**Figure 6.** Size-frequency distribution of one set of upstream-downstream water samples from the field for a 15 cm sea pen in 6 cm s<sup>-1</sup> flow. The total number of particles in 1 ml subsamples are shown. Note the similarity in curves; the size-frequency distributions are not significantly different (G-test for goodness of fit,  $G = 80.5$ ,  $\chi^2_{0.974} = 93.9$ ,  $P > 0.1$ ).

Table I

Laboratory feeding experiments: differences in particle size distributions between paired upstream-downstream water samples

| Ambient flow speed      | Mean particle size ( $\mu\text{m}$ ) |      | G-test |
|-------------------------|--------------------------------------|------|--------|
|                         | Up                                   | Down |        |
| $1.0 \text{ cm s}^{-1}$ | 9.6                                  | 9.6  | 95.6*  |
|                         | 10.1                                 | 9.7  | 219.0* |
|                         | 10.3                                 | 9.7  | 168.4* |
|                         | $\bar{x} = 10.0$                     | 9.7  |        |
| $3.0 \text{ cm s}^{-1}$ | 10.1                                 | 9.6  | 195.6* |
|                         | 10.3                                 | 9.6  | 231.2* |
|                         | 10.3                                 | 9.9  | 377.8* |
|                         | $\bar{x} = 10.2$                     | 9.7  |        |
| $6.0 \text{ cm s}^{-1}$ | 10.4                                 | 10.0 | 146.1* |
|                         | 10.2                                 | 9.9  | 145.1* |
|                         | 10.6                                 | 9.9  | 276.5* |
|                         | $\bar{x} = 10.5$                     | 9.9  |        |

Means for each water sample are based on a count of 10,000 particles from natural filtrate. G-test for goodness of fit used to compare frequency distribution of 75 particle size classes (1–100  $\mu\text{m}$ ) of upstream and downstream water samples. G-test,  $\chi^2_{0.05[73]} = 93.9$ , \* significant at  $\alpha = 0.05$ .

to  $6 \text{ cm s}^{-1}$  in the laboratory, filtering efficiency values for higher flow speeds were estimated to decrease to 20% in the absence of rachis deformation (closed circles). From the field experiments, efficiencies are known to remain around 30% (represented by open circles), probably due to a decrease in filter porosity as the rachis bends downstream. Feeding rate is largely determined by volume flow rate (compare Fig. 7 with Fig. 3); with increasing flow speed feeding initially increases, peaks, then decreases.

## Discussion

### Structure-flow interactions

Structure-flow interactions are important to suspension feeders which depend on relative movement of food particles past a filtering structure. (1) In flexible organisms, the drag forces on the organism will change the shape and vertical stance of the filter. These drag forces are dependent on the filter shape, size, orientation, and flexibility. The absolute (rachis height) and relative (degree of bending) vertical stance of the filter determine the volume of water flowing through the filter. (2) Flexibility regulates the speed and pattern of the flow past the filtering structures, and thus filtering efficiency. Efficiency depends on the packing array and density of polyps, which can change with ambient velocity. (3) Flow patterns

around the polyps, coupled with polyp density, influence the size range of particles retained by the filter.

### Size, flexibility, and volume flow rate

In many benthic communities, occupation of space above the substratum is at a premium (Jackson and Buss, 1975; Jackson, 1977; Sutherland and Karlson, 1977). Access to flow for these benthic organisms will depend on their protrusion above the substratum and the projected area of the filtering appendages relative to the flow direction. Volume flow rates are dependent on ambient flow speed and filter size, both of which increase as the organism grows. Volume flow rate refers to the volume of water which passes through the filter "boundary" of an organism per unit time.

For a sea pen, the volume flow rates initially increase with increasing flow speed, but then peak and decline due to deformation of the organism. The maximum volume flow rate and the ambient velocity at which it occurs are both dependent on organism size. As the animal bends in the flow, more water passes over it rather than

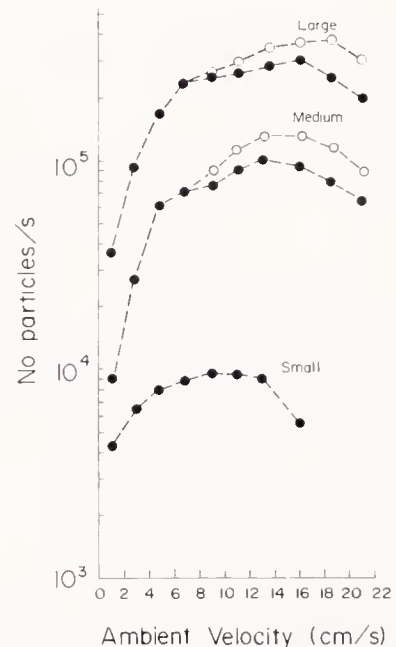


Figure 7. Feeding rate as a function of flow speed and rachis deformation. Using the determinations of volume flow rate and filtering efficiency, feeding rates of a large (rachis = 24 cm), medium (rachis = 15 cm), and small (rachis = 8 cm) sea pen are shown. Open circles represent feeding rates calculated using 30% efficiency at the higher flow speeds, as recorded in the field for medium and large sea pens; closed circles beneath the open circles represent feeding rates assuming that efficiency would continue to decrease to 20% at the higher flow speeds in the absence of rachis deformation.

through the rachis. Rachis flexibility prevents drag from rising exponentially (Best, in prep.), maintains lower velocities through the filter and past the polyps, and changes the porosity (1 - polyp density) of the filter. Parameters influencing velocity—such as filter shape and size, drag, and structures resisting drag, are discussed in detail in Best (in prep.).

#### *Ambient velocity, filter porosity, and filtering efficiency*

Filtering efficiency, in engineering vernacular, is defined as the number of particles contacting a fiber relative to the number of particles which would have passed through the fiber area had the fiber not been there to divert streamlines (Fuchs, 1964; Spielman, 1977). Most biological filters are a composite of fibers of various dimensions and configurations that can change with organism growth and ambient flow. A more usable definition of efficiency for biological filters is the proportion of particles retained from a given volume of water within an organism's "access" to flow. For filters perpendicular to steady flows, access is the volume of water which passes through the filter's boundary. For filters perpendicular to oscillating flows or oriented parallel to flows, access is the volume of water passing sufficiently close to the filter from which particles *may* be extracted. Filtering efficiency depends on the position of fluid streamlines around the filtering elements and the particle paths around the filtering elements.

Rubenstein and Koehl (1977) were the first to compare filtering structures of aquatic organisms to man-made aerosol filters that have the potential of capturing particles by a number of non-sieving mechanisms (e.g., Fuchs, 1964). Spielman (1977) extended the engineering analysis of particle capture by filters in aqueous media, leading to predictive models of the mechanisms, nature, and size of particles captured by these filters. The primary mechanism of particle capture for many biological filters appears to be by direct interception, aided possibly by electrostatic or London-van der Waals forces (for review see LaBarbera, 1984). This mechanism has been used to explain particle capture by brittle stars (LaBarbera, 1978), erinoids (Meyer, 1979), aquatic insects (Craig and Chance, 1981; Silvester, 1983), and cnidarians (Koehl 1977; Patterson, 1984). As originally developed for *airborne* particles, capture by direct interception assumes that the center of a neutrally buoyant particle follows an undisturbed streamline around a filtering fiber; if the streamline and the center of the particle pass within one particle radius of a fiber, contact occurs and the particle is retained. For a *waterborne* particle approaches a fiber, it must deviate from the undisturbed streamline due to the slow drainage of fluid from the gap

between the surfaces as the particle and fiber approach (Spielman, 1977). For contact to occur in aqueous media, the action of attractive forces (electrostatic or van der Waals forces) must be invoked to overcome the slow, viscous drainage. If the center of the particle passes within some critical distance, the attractive forces will be sufficient to insure contact with the fiber (Spielman, 1977). These models apply to single fibers and assume that all particles contacting the fiber remain caught.

In a test of Spielman's theories, LaBarbera (1984) found relatively good agreement between the predicted and actual size distribution of Sephadex beads (40–360  $\mu\text{m}$ ) caught by the brittle star *Ophiopholis aculeata*. Disagreements between observed and predicted distributions may be due to two factors. First, the model assumes a single filtering fiber, but brittle stars use an array of pinnules, resulting in flow interaction and changes in the direction of streamlines (Fuchs, 1964). Second, it is assumed that all particles contacting the fiber remain caught; this assumption has not been tested for a biological filter, especially when large active particles, such as zooplankton, are caught.

Direct interception is inversely dependent on flow velocity (Spielman, 1977). Contrary to the volume flow rate, filtering efficiency decreases with an increase in ambient flow speed, as shown in the laboratory experiment. In this laboratory experiment, I used a maximum flow speed of  $6.0 \text{ cm s}^{-1}$ . At speeds faster than this, the sea pen in the flow tank would begin to bend back significantly, increasing polyp density, and thus altering the geometry of the filter by compressing the polyps closer together. The laboratory experiment showed that, for a given porosity filter, a decrease in particle retention occurs with increasing ambient velocity.

The filtering network of *Ptilosarcus gurneyi* is not strictly two-dimensional. Water entering the rachis first passes between the leaves, and a velocity profile is established with the faster flow down the middle. On the downstream edge of the organism, the polyps extend between the leaves into the faster flow. The polyps, and their tentacles and pinnules, are oriented slightly downstream in such a way that they continually bisect the velocity distribution. In this way, the filtering elements are placed in the regions of "unfiltered" water, increasing the probability of particle contact.

In the field experiments, it was found that the medium and large sea pens had higher filtration efficiencies than the small sea pen, even though they were exposed to higher ambient flow speeds above the substratum. Under ambient flow, polyp density is higher in the larger sea pens, resulting in a lower "porosity" or spacing between the polyps. A lower filter porosity would increase the total filtering surface in a given volume, reduce the velocity



profile between neighboring filtering elements, and decrease the distance between particle streamlines and the element's surface. As ambient flow speed increased, sea pens are bent back by the flow, compressing the downstream array of polyps and decreasing porosity further. Structure-flow interactions therefore result in a "variable porosity filter" which can maintain a higher efficiency at higher ambient flow speeds.

#### Particle size selection

A review of Figure 6 reveals that, overall, the size distribution of particles retained follows closely the size distribution in ambient water. In fact, in the feeding experiments conducted in the field, there was no difference between upstream and downstream water samples in size distribution of particles. There was a difference detected in the laboratory experiments, perhaps because of the higher particle counts used to construct the distributions (10,000 particles in laboratory experiments *versus* 1500–3000 particles in field experiments). Although there was a preference towards the retention of larger particles in the laboratory experiments here and in LaBarbera's (1984) study with brittle stars, the distribution of particles retained still closely follows the availability of particles. There is no steep demarcation or stepped character in the distribution. Due to the mechanisms of particle capture operating in aqueous media, and the abundance of small particles in natural seawater (McCave, 1984), a high degree of selectivity for large particle sizes, to the exclusion of small particles, appears to be precluded. Whether or not an organism feeds on the small particles of detritus and phytoplankton in the sea, its filter will tend to collect these particles.

#### Feeding performance

Feeding performance is dependent on flow velocity in bryozoans (Okamura, 1984, 1985) and octocorals (Leverson, 1976; Patterson, 1984), and on swimming speed in juvenile fish (Friedland *et al.*, 1984). In the flexible bryozoans and octocorals studied, colony shape changed with ambient velocity; bryozoan branches collapsed inward and downstream (Okamura, 1984), while in octocorals individual polyps bent downstream (Patterson, 1984). These structural changes can influence feeding performance by altering both volume flow rate and filtering efficiency—two parameters influenced by ambient velocity and resulting structure-flow interactions. In this study I examined these two parameters independently. Feeding rate in the sea pen *Ptilosarcus gurneyi* is highly dependent on volume flow rate. Both feeding rate and volume flow rate initially increase sharply as flow speed increases, and then decrease as the upright posture de-

creases. Small sea pens have a lower feeding rate because of lower volume flow rates, due to smaller filters and lower ambient flow speeds near the substratum in the boundary layer. Filtering efficiency decreases with increasing flow speed, which may be compensated for by a change in filter porosity; polyps become more closely packed as flow speed increases.

Because feeding rate is dependent on a complex interplay of volume flow rates, filtering efficiencies, organism size and flexibility, and ambient velocity, competitive feeding interactions between organisms may be due not only to direct reduction of resource supplies, but may also be mediated by altering the ambient velocity. In the arborescent bryozoan *Bugula stolonifera*, Okamura (1984) found that feeding success in small colonies was reduced by both fast ambient flows and the presence of a large colony upstream. In the first case, fast ambient flows may have resulted in lower volume flow rates or reduced efficiencies. In the second case, the larger colony upstream may have depleted particles in the ambient water, or restricted the volume of water passing through the smaller colony.

#### Acknowledgments

I thank K. Loudon, S. Vogel, J. Voltzow, and S. Wainwright for their stimulating discussions and suggestions. The penultimate draft of the manuscript was improved by the helpful comments of two anonymous reviewers. This work was supported by a Cocos Foundation Training Grant in Morphology Grant and a Lerner-Gray Fund for Marine Research Grant.

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## Discocilia and Paddle Cilia in the Larvae of *Mulinia lateralis* and *Spisula solidissima* (Mollusca: Bivalvia)

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**Abstract.** The bivalve larval velum contains four bands of cilia: inner and outer preoral bands, an adoral band, and a postoral band. The preoral bands of compound cilia are generally considered to be used for both locomotion and food gathering. The adoral and postoral bands function in concert with the preoral bands in food gathering and transfer of food to the mouth. Cilia are usually described as cylindrical structures which taper to a blunt tip. Modified cilia with disc-shaped (discocilia) or paddle-shaped ends have been recorded in several invertebrate species. Here, for the first time, we demonstrate the presence of discocilia in the velum of *Mulinia lateralis* and paddle cilia in the velum of *Spisula solidissima*. Such cilia are restricted to the preoral bands and the central ciliary tuft. The presence of such cilia does not appear to increase the swimming velocity of these larvae in comparison to that of *Rangia cuneata* larvae of similar size. The possibility that these modified cilia have enhanced sensory capability remains to be tested.

### Introduction

The larvae of bivalve molluscs are one of the major components of the meroplankton (Thorson, 1950). Most bivalve larvae develop from the fertilized egg to the veliger stage in twenty four hours or less. The veliger larva is characterized by a soft body enclosed by laterally compressed, semitransparent, paired valves and a protrud-

ing, oval, ciliated velum. The velum contains four bands of cilia: inner and outer preoral bands, an adoral band, and a postoral band (Elston, 1980; Waller, 1981). The preoral bands, consisting of compound cilia 20–80  $\mu\text{m}$  long, are responsible for locomotion and food gathering. The adoral band, of shorter cilia approximately 8  $\mu\text{m}$  long, transfer food particles to the mouth. The postoral band consists of complex cilia 15–20  $\mu\text{m}$  in length. The efficiency of food concentration from the water depends on the harmonic beating of the preoral and postoral bands of cilia (Strathmann *et al.*, 1972; Strathmann and Leise, 1979).

Cilia are generally considered to be cylindrical structures with a constant diameter except for a tapering, blunt distal tip (Sleigh and Blake, 1977). Morphologically different cilia, discocilia, have been described for the polychaete *Lanice conchilega* (Pallas, 1776) by Heimler (1978). Tamarin *et al.* (1974) described cilia with "biconcave flattened discs or paddles," 1.33  $\mu\text{m}$  in diameter in the ventral pedal groove of the juvenile mussel *Mytilus californianus* (Conrad, 1837) and ascribed to them a secretory function of adhesive material. Arnold and Williams-Arnold (1980) described discocilia in the embryo of the squid *Loligo pealei* (Lesueur, 1821); Matera and Davis (1982) observed paddle cilia in the rhinophore of the marine gastropod *Pleurobranchaea californica* (MacFarland, 1966); and ÓFoighil (1985) described papillae bearing cilia with bulbous tips of approximately 0.25  $\mu\text{m}$  diameter in the mantle fold of temporary dwarf males of the bivalve *Pseudophythisa rugifera* (Carpenter, 1864). In this report we describe, for the first time, the presence of modified cilia in the velum of larvae of two marine bivalves, the mactrids *Mulinia lateralis* (Say, 1882) and *Spisula solidissima* (Dillwyn, 1817).

Received 8 August 1988; accepted 29 September 1988.

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Contribution number 1481 from the Virginia Institute of Marine Science, The College of William and Mary.



## Materials and Methods

*Mulinia lateralis* adults were obtained from a cultured population at the Eastern Shore Laboratory of the Virginia Institute of Marine Science (VIMS), Wachapreague, VA. *Spisula solidissima* adults were obtained from a commercial fishing dock at Willis Wharf, VA. For comparison purposes a third mactrid, *Rangia cuneata* (Gray, 1831), was collected from the Rappahannock River, VA. All adult bivalves were maintained at the VIMS Wachapreague laboratory in water of appropriate salinity: 30 ppt for the marine stenohaline *S. solidissima*, 25 ppt for the euryhaline *M. lateralis*, and 10 ppt for the oligohaline *R. cuneata*. Adults were induced to spawn by thermal stimulation (24, 28, and 32°C for *S. solidissima*, *M. lateralis*, and *R. cuneata*, respectively). Larvae were cultured using the procedures of Culliney *et al.* (1975) and Chanley (1981) at the salinity of adult maintenance and temperatures of 23°C for *S. solidissima* and *M. lateralis*, and 25°C for *R. cuneata*. Water was changed every other day, at which time larvae were fed on mixtures of the phytoplankters *Paylova* (*Monochrysis*) *lutheri* (Droop Green) (formerly *Monochrysis lutherii* Droop), *Isochrysis galbana* Parke, and *Isochrysis* aff. *galbana* (clone T-Iso). General procedures for phytoplankton culture followed the guidelines of Guillard (1983).

Preparation of larvae for scanning electron microscopy (SEM) followed the guidelines of Turner and Boyle (1975). Umbo stage larvae were siphoned from the culture container, retained on a 63  $\mu\text{m}$  nylon mesh screen, thoroughly rinsed in 0.45  $\mu\text{m}$  filtered water of the appropriate salinity, transferred to 10 ml of filtered seawater and relaxed by sequential additions of 1 ml of 8% (w/v)  $\text{MgCl}_2$  in distilled water. Osmolarity of final relaxing solutions was not measured but relaxation was typically obtained following addition of 3–4 ml of  $\text{MgCl}_2$  solution. Larvae were concentrated by centrifugation, and fixed for 2 hours with 2.5% chilled glutaraldehyde in distilled water buffered at pH 7.2 with 0.1 M sodium cacodylate. The fixative was subsequently pipetted off and the larvae subjected to three rinses, of 30 minutes each, of 3 ml of 0.1 M sodium cacodylate in 0.25 M NaCl. Larvae were post fixed for one hour in 5 ml of 1%  $\text{OsO}_4$  in 0.19 M NaCl buffered at pH 7.2 with sodium cacodylate. Larvae were again rinsed, three times, in 0.1 M sodium cacodylate in 0.15 M NaCl, and stored overnight at 4°C. Dehydration was effected by 20-min exposures to a graded alcohol series (30, 50, 70, 90, 95, and 100%) followed by three changes in 100% acetone. Critical point drying was effected using a model 3000 Polaron dryer. Larvae were mounted on stubs using double sided adhesive tape, desiccated for a further 24 hours, coated with gold-palladium, and examined with an AMR model 1000 scanning

electron microscope. Photographs were made with Polaroid 52 film.

## Results

Figures 1 through 3 illustrate, with increasing magnification, the comparative morphology of the velum of the three species examined. In all species the postoral band consists of "typical" cylindrical cilia with distally tapering, blunted tips (Fig. 1A–C); however, the preoral bands exhibit species-specific differences. *Rangia cuneata* exhibit, again, "typical" cilia (Fig. 1C). The distal portions of the preoral cilia of *Spisula solidissima* and *Mulinia lateralis* terminate in biconcave paddles (Figs. 1A, 2A, 3A) or slightly inflated discs (discocilia, see Figs. 1B, 2B, 3B), respectively; the terminal structures measuring approximately 1–1.3  $\mu\text{m}$  in diameter. A single preoral cilia in *M. lateralis* was observed with the disc 1–2  $\mu\text{m}$  distal to the tip (Fig. 3B). The preoral cilia appear clustered and conform to the description of compound cilia as given by Waller (1981). The central ciliary tufts of the velum (Fig. 4) further exhibit species-specific cilia morphology; "typical" compound cilia in *R. cuneata* (Fig. 4B), cilia with terminal paddles in *S. solidissima*, and a mixture of cilia with terminal discs and discs 1–2  $\mu\text{m}$  distal to the cilia tip in *M. lateralis* (Fig. 4A). In all three species the diameter of the ciliary shaft was between 0.2 and 0.4  $\mu\text{m}$ .

## Discussion

The comparatively rare occurrence of modified cilia in the animal kingdom prompts the question as to whether their presence is the result of artifacts during preparation for examination. Indeed, Ehlers and Ehlers (1978), examining flatworms, concluded that both paddle cilia and discocilia were absent in untreated tissue, but appeared only as artifacts after exposure to formaldehyde, sodium phosphate, and sodium cacodylate during preparation for SEM. Bergquist *et al.* (1977) contend that modified cilia observed in sponge larvae are real structures. Matera and Davis (1982), after a comprehensive study of *Pleurobranchaea californica* using light and transmission and scanning electron microscopy, rejected the conclusion of Ehlers and Ehlers (1978), noting that the paddle cilia can be seen with light microscopy in isosmotic seawater and made to straighten reversibly by exposure to hypertonic seawater. We also reject the conclusion of Ehlers and Ehlers (1978). In the present study discocilia or paddle cilia were a consistent characteristic of particular cilia bands within a species but not characteristic of the whole velum. Uniformity of cilia morphology throughout the velum, an observation consistent with the hypothesis of artifactual production during SEM preparation, was not

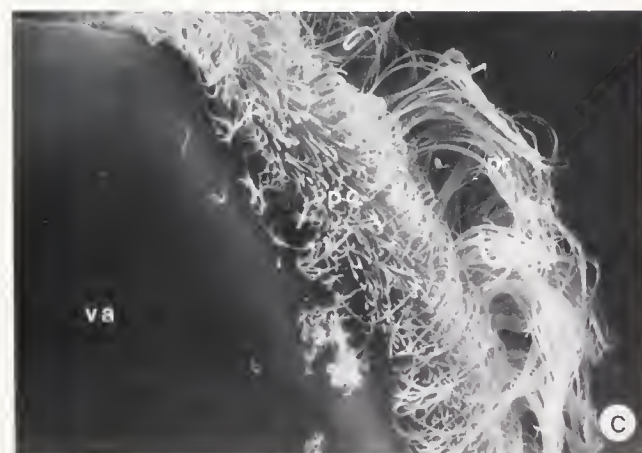
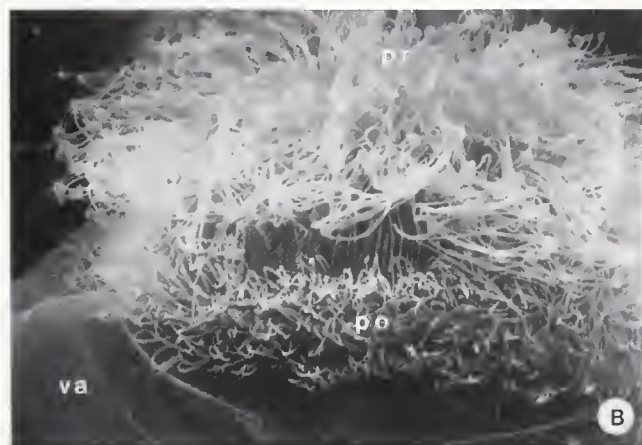
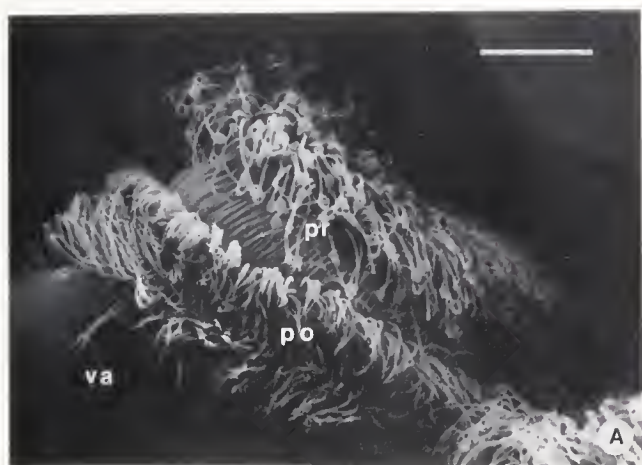


Figure 1. Scanning electron micrographs of the velum of (A) *Spisula solidissima*, (B) *Mulinia lateralis*, and (C) *Rangia cuneata* larvae. pr, preoral cilia; po, postoral cilia; va, valve. Scale bar = 20  $\mu$ m

observed. The larvae used in this study were cultured at different salinities. The osmolarities of the final relaxing solutions were therefore different; however, larvae were fixed and subsequently processed identically and, in

most instances, simultaneously. The question remains as to whether cilia morphology in these species will change with changing salinity; however, the ecological signifi-

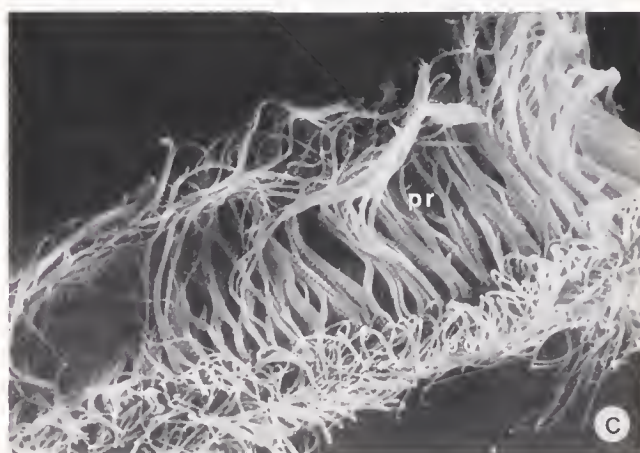
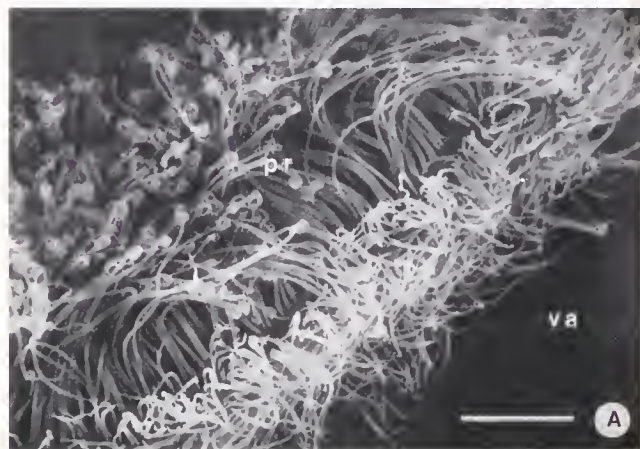


Figure 2. Scanning electron micrographs of the velum of (A) *Spisula solidissima*, (B) *Mulinia lateralis*, and (C) *Rangia cuneata* larvae. Details of the ciliary bands. pr, preoral cilia; po, postoral cilia; va, valve. Scale bar = 10  $\mu$ m



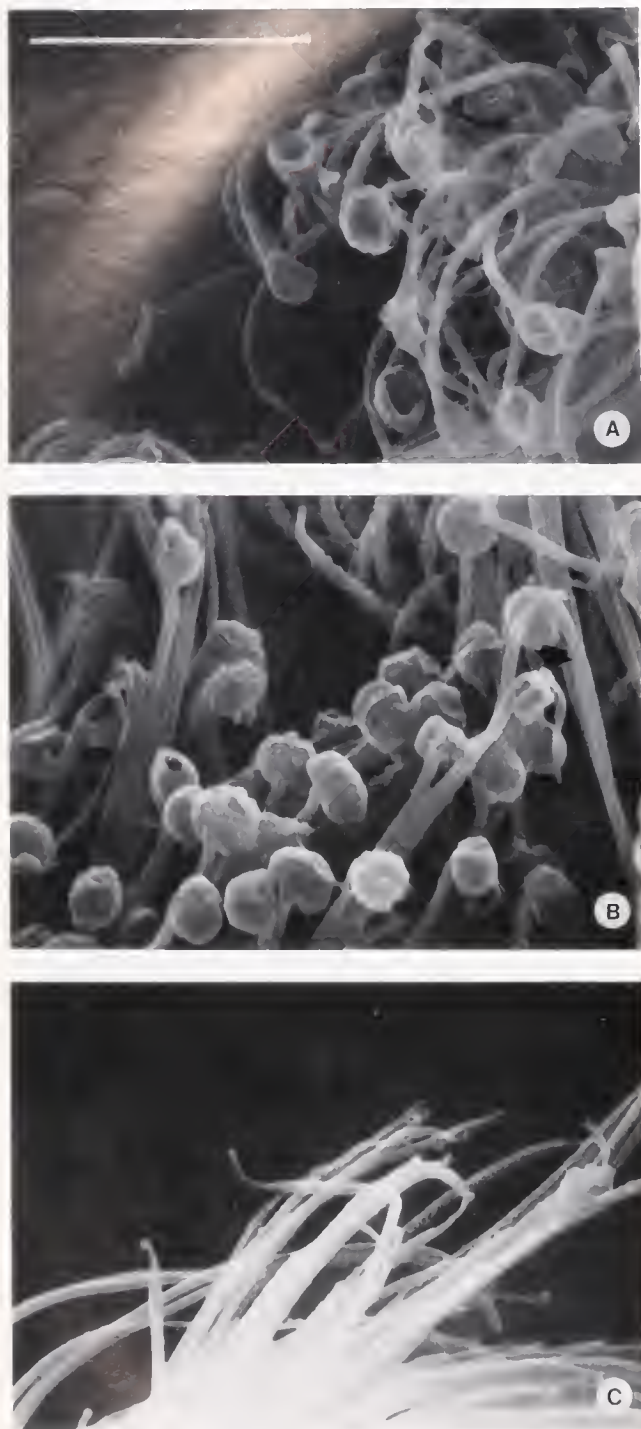


Figure 3. Scanning electron micrographs of the preoral velar cilia tips of (A) *Spisula solidissima*, (B) *Mulima lateralis*, and (C) *Rangia cuneata* larvae. Note the biconcave paddles in *S. solidissima* and the terminal discs in *M. lateralis*. Arrow identifies a single disc distal to the cilia tip in *M. lateralis*. Scale bar = 10  $\mu$ m

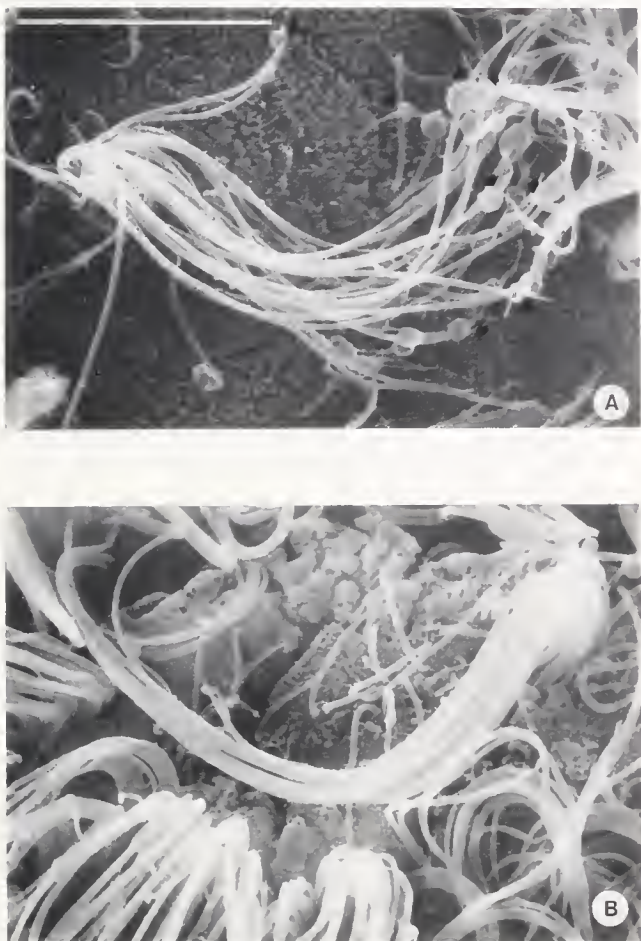
cance of this question is probably minimal in that both the larvae and adults of these species exhibit distinctly different salinity optima (Campos, 1988) which are re-

flected in the culture conditions used here. In summary, we believe the paddle and discocilia described here to be genuine structures.

The function of the modified velar cilia is debatable. Matera and Davis (1982) concluded that previous literature "collectively indicate that dilations of ciliary membranes represent a common morphological specialization subserving chemosensation." The structures described by ÓFoighil (1985) are also appropriately located for sensory function. However, they are slightly smaller than previously described cilia modifications. Only the "secretory" cilia described by Tamarin *et al.* (1974) are thought to have a primary function that is other than sensory. As mentioned earlier, the primary function of the preoral bands in the bivalve larval velum is generally considered to be in locomotion and food gathering. The sensory function has received little attention; however, consideration of veliger swimming behavior, wherein larvae progress in a vertically oriented helix with the velum extended in the direction of motion (see Cragg and Gruffydd, 1975; Cragg, 1980; Mann and Wolf, 1983), suggests that such a function is reasonable. The ability to combine locomotion and chemosensation to direct oriented movement along a gradient of chemostimulant has yet to be demonstrated in bivalve larvae. Chemosensory responses associated with settlement and metamorphic inducers have been demonstrated in well-mixed laboratory containers (*e.g.*, Coon *et al.*, 1985), but responses to gradients *per se* remain untested. Despite the apparent lack of an organized nervous system in velar tissue Elston (1980) suggests that "a cell to cell transmission of impulses" would fulfill this sensory function.

The presence of paddle or discocilia do not appear to enhance rate of movement in the species examined here. In larger animals, paddle structures would generally be considered advantageous in overcoming drag and enhancing propulsion. Pelagic bivalve veliger larvae generally range in size from 75 to 400  $\mu$ m maximum dimension and move at absolute velocities of less than 10 mm s<sup>-1</sup>. At this size and velocity, Reynolds numbers are less than or approach 1, a region where viscous forces predominate in determining maximal velocity (see Vogel, 1981). In a complementary study (Campos, 1988) a comparison of rates of vertical displacement (time to ascend through a unit vertical distance while swimming in a helical pattern) was made for three size ranges of larvae for each of the species examined here at the temperature and salinity of culture. The "D" or straight hinge veliger larvae of *R. cuneata*, *M. lateralis*, and *S. solidissima* exhibited mean ( $n = 25$ ) rates of 0.38, 0.25, and 0.26 mm s<sup>-1</sup>, respectively. Comparable values for umbone larvae were 0.49, 0.49, and 0.40 mm s<sup>-1</sup>, respectively. Mean values for pediveliger larvae of the three species were 0.45, 0.34, and 0.40 mm s<sup>-1</sup>, respectively. Despite the





**Figure 4.** Scanning electron micrographs of the central ciliary tuft of (A) *Mulinia lateralis* and (B) *Rangia cuneata* larvae. Note the presence of both terminal discs and discs distal to the cilia tip (see arrows) in *M. lateralis*. Scale bar = 5  $\mu$ m

fact that interspecific comparison is confounded by minor differences in morphometry, size, and, we suspect, specific gravity of larval stages, it is evident that the presence of discocilia and paddle cilia in *M. lateralis* and *S. solidissima*, respectively, does not apparently confer higher rates of vertical displacement when compared with *R. cuneata*. We did not compare absolute velocity (that which describes movement along the helical path rather than just vertical displacement) in the swimming study. Nonetheless, the ecologically meaningful value for vertical displacement (see discussion in Mann, 1986) suggests that the presence of modified cilia is not accompanied by greater ability to depth regulate in stratified water columns, an arguable advantage to any larvae encountering estuarine or shallow coastal environments.

Examination of previous descriptions of larvae or larval velar morphology for *Crassostrea virginica* Gmelin (Elston, 1980), *Ostrea edulis* Linne (Waller, 1981), and

*Arctica islandica* Linne (Lutz *et al.*, 1982) have failed to demonstrate the presence of velar paddle cilia or discocilia—although in fairness only Waller (1981) provides micrographs of sufficient magnification and appropriate content for definitive statements. The taxonomic significance of these structures is also debatable. A significant component of bivalve taxonomy has historically focussed on adult shell characteristics and the present focus of larval taxonomy is on valve morphometry and hinge ultrastructure (see comments in Lutz *et al.*, 1982). Yet within one family, the Mactridae, we have examined three phylogenetically associated species and demonstrated the presence of three distinct cilia morphologies, each unique to one species. Clearly, determination of the frequency of occurrence, function, and taxonomic significance of these modified cilia in the bivalve larval velum awaits further investigation.

#### Acknowledgments

This work was supported in part by a Fulbright Fellowship to Bernardita Campos and funds provided by the Council on the Environment, Commonwealth of Virginia. We thank Prof. Ruth D. Turner for useful discussion on larval morphology, Mr. Michael Castagna for encouragement and use of facilities at the Wachapreague laboratory, Ms. Patrice Mason for assistance in electron microscopy, and an anonymous reviewer for constructive criticism of the manuscript.

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# Consequences of Supply-Side Ecology: Manipulating the Recruitment of Intertidal Barnacles Affects the Intensity of Predation Upon Them

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**Abstract.** An experimental manipulation of barnacles successfully recruiting to two seashores tested the consequences of these variations to predation by whelks and the eventual population structure of the barnacles. Very young barnacle spat were removed from some areas with and without predatory whelks. The whelks moved away from areas without the juvenile barnacles, but stayed and ate barnacles present on the treatment with barnacle recruitment. Predation resulted in almost complete elimination of the cohort of barnacles. In contrast, barnacles survived and grew to reproductive sizes on areas initially without whelks but with recruits. Because of the movements of whelks and their predation on the barnacles, the final abundances of whelks and barnacles in each plot bore little relationship to their initial experimental treatments. Thus caution is needed in interpreting the causes of static patterns of abundance in the field, where the processes involved earlier are not definitely known. These results point to a need to incorporate variation in recruitment into models of biological interactions.

## Introduction

An important development in marine ecology within the last five years has been the reappraisal of the incidence and implications of variation in larval settlement and recruitment (Lewin, 1986; Young, 1987; Underwood and Fairweather, 1988). Biological oceanographers and fisheries scientists (*e.g.*, Thorson, 1950; Coe, 1953; Ricker, 1954; Beverton and Holt, 1957; Loosanoff, 1966) have long focussed on the settlement from the wa-

ter column of planktonic larvae of marine invertebrates and fishes, and their subsequent recruitment to adult populations (and hence to exploitable stocks). Field ecologists have recently turned their attention to the magnitudes of local variation in this recruitment (Victor, 1983; Caffey, 1985; Gaines and Roughgarden, 1985), and the consequences this has for diversity and abundance of marine species (Underwood and Denley, 1984; Connell, 1985; Peterson, 1986). This new emphasis has been termed "supply-side ecology" (Lewin, 1986). Specific theoretical models (Sale, 1982; Roughgarden, 1986; Menge and Sutherland, 1987) have been proposed incorporating such variation for the subsequent demography of these "open" populations and whether recruitment variation determines the size of populations. Variation in recruitment is considered an important alternative explanation for many ecological patterns (Underwood and Denley, 1984; Lewin, 1986). However, community ecologists have given less consideration to the consequences of this variation for biological interactions (such as predation or competition) among marine organisms (Underwood and Fairweather, 1988).

It has been shown experimentally that variation in the availability of different potential prey in a rocky intertidal area can greatly affect predation on them (Fairweather, 1985, 1987). One mechanism by which availability of prey might vary is via the settlement of their larvae and their recruitment as juveniles. In such circumstances, variation in the recruitment of particular prey species might also influence the outcome of predatory interactions and hence the structure of the community (Underwood and Fairweather, 1988). Although observations in other intertidal work suggest these effects (Fischer-Piette, 1935; Dayton, 1971; Menge, 1972; Morgan, 1972), no experiments involving manipulations of re-

Received 13 June 1988; accepted 19 August 1988.

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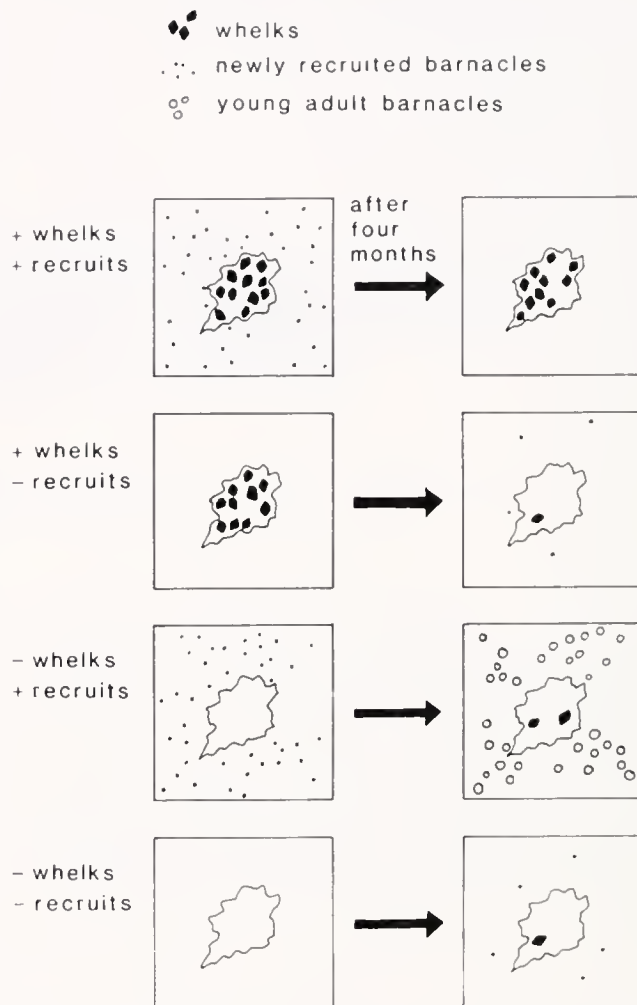


cruitment have been done. Here I describe an experiment that simulated the failure of recruitment by an important member of the rocky intertidal community into areas with and without predators on two seashores in New South Wales, Australia. Species considered were the barnacle *Tessieropora rosea* (Krauss) and the muricid whelk *Morula marginalba* Blainville. *T. rosea* is the most abundant sessile animal at midtidal levels on shores exposed to wave action; *M. marginalba* is the most common predator in such areas (Denley and Underwood, 1979; Underwood, 1981a; Underwood *et al.*, 1983).

### Materials and Methods

The experiment used simultaneous manipulations of recruitment and predation on small patches of rocky shore to test whether whelks are capable of responding to a simulation of an extreme case of recruitment variation. On some shores around Sydney, NSW, Australia (Underwood *et al.*, 1983), large areas of the midshore were devoid of nearly all animals that, prior to 1983, had supported dense populations of *Tessieropora* (from a settlement in 1978; Underwood and Denley, 1984) and their associated communities. The two seashores used were Green Point in Broken Bay (33°30' S, 151°17' E), and Maitland Bay (33°28' S, 151°22' E) on the open coast. I simulated the failure of barnacles to recruit by removing newly settled individuals surrounding selected pools on each shore. Two 400 m<sup>2</sup> sites at each of the two shores were chosen within which at least 24 slight depressions, pools, and small crevices could be found. All were separated by distances of at least 2 m. These crevices were suitable to shelter *Morula* (Moran, 1985), and a few animals had found refuge there. Around these pools were generally homogeneous bare areas of at least 1 m<sup>2</sup> with few prey (barnacles and gastropods) at a total density of only about 15 per m<sup>2</sup>. In the first week of March, 1984, I noted a reasonably dense set of *Tessieropora* that had occurred about a week before at Maitland Bay (approximately 2000 per m<sup>2</sup>) and Green Point (1100 per m<sup>2</sup>). Spat at Green Point may have settled a week earlier than at Maitland Bay (pers. obs.).

At each site (two on each shore, consisting of 24 pools each), six pools were assigned to each of four treatments (see Fig. 1): a control with whelks and recruits present, a treatment with recruits removed but whelks present, a treatment with whelks absent, and a treatment with both whelks and recruits absent. Initially, the one- to two-week-old barnacle spat comprised some 98% of the potential prey on all plots (although it is unusual for whelks to eat them so young; Fairweather, 1985). Barnacles were not seen being eaten by the whelks present at this time and probably very few were killed by predators during the first month (although mortality did occur). Barnacle



**Figure 1.** Pictorial representation of the initial and final configurations of the four treatments in the experiment. The whelks are shown hiding in the central crevice in each plot and the survival and growth of the barnacles is indicated by the number and sizes in each treatment (+whelks/+recruits are the control plots).

spat were removed from the appropriate plots by careful scraping with a knife on the 5th and 6th of March (so that <10% remained). The time taken to set this up, and to maintain and record these plots, prevented the use of more than one quadrat per treatment. Hence, each treatment pool was a replicate ( $n = 6$ , total sample size = 96). All whelks were removed from the study sites, and then fifty *Morula* (similar to the initial densities, see Fig. 2) were added to the 1-m<sup>2</sup> area surrounding each treatment pool with whelks.

The survivorship of recruits on a small (0.04 m<sup>2</sup>), permanent quadrat within each plot was recorded at monthly intervals. The number of whelks staying in each plot was also recorded. The experiment ran for 18 weeks. The numbers of barnacles at each sampling time were analyzed using a four factor (Shores, Sites within Shores,

Table I

Examples of, a) analysis of variance of the numbers of *Tesseropora* at the final date  $n = 6$ . Sites is considered a random factor nested within Shores; other factors are considered fixed.  $NS = P > 0.05$

| Source of variation          | Degrees of freedom | Mean square | F-ratio | P      | % of variation |
|------------------------------|--------------------|-------------|---------|--------|----------------|
| Main Effects                 |                    |             |         |        |                |
| Shores, Sh                   | 1                  | 37          | 4       | NS     | 0.8            |
| Sites within Shores, Si(Sh)  | 2                  | 10          | 1       | NS     | 0.5            |
| Predators, P                 | 1                  | 1204        | 143     | <0.01  | 27.1           |
| Recruits, R                  | 1                  | 771         | 1927    | <0.001 | 17.3           |
| Interaction                  |                    |             |         |        |                |
| Sh $\times$ P                | 1                  | 88          | 11      | NS     | 2.0            |
| Sh $\times$ R                | 1                  | 43          | 107     | <0.01  | 1.0            |
| Si(Sh) $\times$ P            | 2                  | 8           | 1       | NS     | 0.4            |
| Si(Sh) $\times$ R            | 2                  | 0           | 0       | NS     | 0.0            |
| P $\times$ R                 | 1                  | 1411        | 941     | <0.001 | 31.7           |
| Sh $\times$ P $\times$ R     | 1                  | 81          | 54      | <0.05  | 1.8            |
| Si(Sh) $\times$ P $\times$ R | 2                  | 2           | 0       | NS     | 0.1            |
| Residual                     | 80                 | 10          |         |        | 17.4           |
| Total                        | 95                 |             |         |        |                |

b) Student-Newman-Keuls tests of mean numbers of barnacles surviving for the Sh  $\times$  P  $\times$  R interaction. "MB" = Maitland Bay, "GP" = Green Point, "+" = present, "-" = absent

| Treatments | MB     | GP     | GP    | MB    | GP    | MB    | GP    | MB |
|------------|--------|--------|-------|-------|-------|-------|-------|----|
| Shore      |        |        |       |       |       |       |       |    |
| Predators  | -      | -      | +     | +     | -     | -     | +     | +  |
| Recruits   | +      | +      | -     | -     | -     | -     | +     | +  |
| Means      | 18.5 > | 12.3 > | 2.8 = | 2.5 = | 2.1 = | 2.0 = | 1.3 = | 0  |

Whelks, and Recruits), mixed model analysis of variance (after procedures in Underwood, 1981b) (see design in Table I). Differences among means were revealed by use of Student-Newman-Keuls tests on the means (Underwood, 1981b).

## Results

Differences in the analyses among sites or shores are less relevant to the original hypothesis about recruitment, but may represent significant sources of variation in barnacle or whelk numbers. For example, in the final sample, Shores differed (see Tables I, II) in that there were more surviving barnacles in the plots from which whelks had been removed at Maitland Bay than at Green Point (as might be expected from the starting numbers *i.e.*, Fig. 2). Sites within each shore were not divergent (*i.e.*, despite different numbers of recruits initially, the two sites at each shore yielded a similar result). The treatment with recruits, but without whelks, had more barnacles than all other treatments, and this treatment at Maitland Bay had more than the corresponding treatment at

Green Point (reflecting the initial numbers, see Table II, Fig. 2).

During the four-month experimental period, the number of whelks on plots declined rapidly where there were no recruits available as prey (Fig. 2a), while they did not move away from plots with juvenile barnacles. The whelks that disappeared were found in crevices outside the experimental plots and hence had not died. Thus, whelks responded readily and negatively to experimentally induced variation in recruitment of a major prey: the predators avoided the areas that simulated failures of recruitment.

Analyses of the number of barnacles present at the start of the experiment revealed significant differences between shores, and between plots where recruits were present and where they had been removed (see Tables I, II; Fig. 2b). In contrast, the final configuration of prey in plots was rather different from initial populations (Fig. 1). Numbers of recruits declined on all plots, but were annihilated by whelks (to local extinction at Maitland Bay and nearly so at Green Point; Fig. 2b). The rate of decline was greater at Maitland Bay. Some small recruitment (from the plankton) occurred subsequently on each shore, but at different times among the sites. After four months, there were again two statistically distinct groups of "barnacle" and "non-barnacle" plots, but densities of young *Tesseropora* in the controls had declined so much that these plots were indistinguishable from the "non-barnacle" group (Fig. 2b).

## Discussion

The most direct test of whether variation in recruitment interacts with predation would include manipulation of the number of recruits to populations of prey and/or modifications of the timing of their arrival. Of course this would be difficult to do, especially regarding the timing of episodes of recruitment (because of uncertainty in the availability of larvae at any time). The plankton represents a "mystery stage" (Spight, 1975) in the life cycle of many marine organisms that is currently impossible to predict for these and other species (Underwood, 1979). The experiment used a somewhat less direct approach. By removing recruits as soon as they were observed, it was possible to create situations where a species had effectively "failed" to recruit, which could be compared to undisturbed areas with the "normal" number of recruits. This methodology is particularly applicable to sessile species such as barnacles, while it is difficult to increase the number of recruits to an area without great disturbance.

In this experiment, whelks left plots without recruits; *Morula* individuals migrated from unmanipulated areas without prey (Fairweather, 1988). These data suggest

Table II

Summary of analysis of variance of juvenile barnacles in the experiment

| Date            | Significant factors                                | Results                                                        |
|-----------------|----------------------------------------------------|----------------------------------------------------------------|
| March (initial) | Shores<br>Recruits                                 | MB > GP<br>$+r > -r$ (= zero)                                  |
| April           | Shores<br>Whelks $\times$ Recruits                 | MB > GB<br>$-w/+r > +w/+r > +w/-r = -w/-r$ (= zero)            |
| May             | Sites<br>Whelks<br>Recruits                        | (undefined spatial variation)<br>$+w > -w$<br>$+r > -r$        |
| June            | Shores $\times$ Whelks<br>Shores $\times$ Recruits | MB/-w > GP/-w > MB/+w > GP/+w<br>MB/+r > GP/+r > MB/-r > GP/-r |
| July (final)    | Shores $\times$ Whelks $\times$ Recruits           | MB/-w/+r > GP/-w/+r > other treatments                         |

"w" = whelks, "r" = recruits, "+" = present, "-" = absent, "MB" = Maitland Bay, "GP" = Green Point. Results of Student-Newman-Keuls tests of differences among means are also given.

that persistent, dense aggregations of whelks require the natural equivalent of the treatment with recruitment and whelks initially. Where whelks remained, the barnacles suffered greater mortality than in areas without whelks. Thus the four different initial treatments changed into three sorts of communities (Fig. 1). Plots from which barnacles had been experimentally removed finished with no or few barnacles or whelks. Control plots finished with whelks but few or no barnacles, while plots which started with only barnacles remained that way (with some thinning). Very few whelks located these plots (Fig. 2a). Although this pattern could change if later migrations of whelks located plots with surviving barnacles, the results suggest that persistent and dense populations of barnacles require the natural equivalent of the treatment with recruitment in the absence of whelks. This situation does occur on the coast of New South Wales, but the locations of such patches vary through time (Fairweather, 1988).

The final abundances of predators and prey in the experimental communities, however, gave little indication of the interaction of predation with recruitment (see Fig. 1). Without direct knowledge of these processes during the previous four months, the pattern of these divergent plots could be mistakenly attributed to many combinations of the success or failure of recruitment, the intensity of predation, and other factors (such as physical disturbance) not considered here. It would be perilous to interpret any extant pattern of abundance of prey as being the result of presently observable predatory interactions without experimental and historical evidence. Descriptive surveys of community structure cannot tell us much about the establishment and maintenance of these patterns (Wiens, 1981). Indeed, the observed interactions may constitute mere epiphenomena (Underwood *et al.*, 1983; Sutherland and Ortega, 1986; Weinberg *et al.*, 1986), in that any effects on demography and commu-

nity relations of prey may be only transitory. The recent history of these processes acting on each site is important (Peterson and Black, 1988); to predict the abundance of either species in this experiment, the intensities of both processes involved must be known.

The consequences of this simulated recruitment failure go beyond direct effects on the demography of open populations (Roughgarden, 1986); variations in larval supply can set the scene for ecological interactions by determining the population sizes of the participants (Caffey, 1985). Most recent field studies concerning variation in the settlement, and subsequent recruitment, of planktonic larvae of benthic marine invertebrates have documented the magnitude of such variation (*e.g.*, Caffey, 1985; Connell, 1985; Gaines *et al.*, 1985; Peterson, 1986), or searched for its larger-scale oceanographic causes by looking at events in the plankton that influence the eventual numbers of recruits (*e.g.*, Gaines and Roughgarden, 1987; Peterman and Bradford, 1987; Shanks and Wright, 1987). More attention should be paid to the consequences of recruitment variation instead of only concentrating on the causes.

This third avenue of research is suggested by the results here (see also Underwood *et al.*, 1983; Sutherland and Ortega, 1986). We need to take variation in recruitment into account when considering possible outcomes of interactions like predation (Fairweather, 1985, 1987). There is a need for both theory and experiment incorporating variable recruitment in biological interactions as well as in demographic models, explicitly as a variable (Caffey, 1985; Underwood and Fairweather, 1988), not just characterized as consistently great or small (as in Roughgarden, 1986; Menge and Sutherland, 1987). In providing a variable input, recruitment has been shown here to influence the sorts of small scale interactions that organize intertidal assemblages.



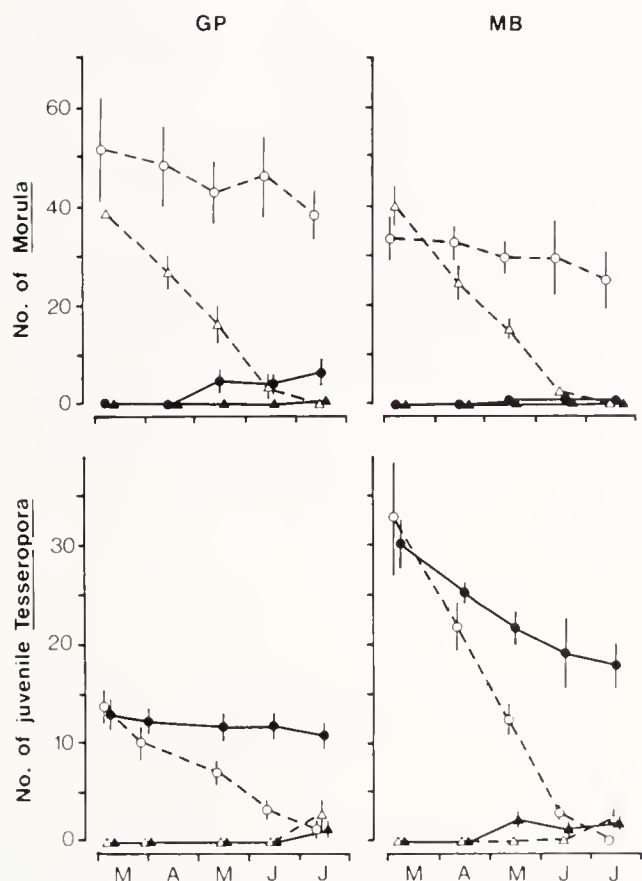


Figure 2. Densities of (a) whelks per  $m^2$  and (b) barnacles per 0.04  $m^2$  during the experiment. GP denotes Green Point, MB = Maitland Bay,  $\circ$  = controls,  $\bullet$  = predators removed,  $\Delta$  = recruits removed,  $\blacktriangle$  = predators and recruits removed. Means and their standard errors are shown as points and error bars,  $n = 6$  replicate plots. Data for only one site are shown for each shore because the Sites factor was rarely significant in the analyses (see Table II).

### Acknowledgments

Thanks to A. J. Underwood, P. D. Steinberg, G. P. Quinn, K. A. McGuinness, M. A. O'Donnell, and V. M. Nelson for advice on a draft, and to many others for discussions. Prof. J. Connell and an anonymous reviewer improved the clarity of the manuscript. L. Bragg drew the figures, and was supported by a Macquarie University Research Grant. This forms part of a Ph.D. thesis (University of Sydney) supported by a Commonwealth Postgraduate Research Award and a University of Sydney Research Grant.

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# Coordinated Interpersonal Timing of Down-Syndrome and Nondelayed Infants with Their Mothers: Evidence for a Buffered Mechanism of Social Interaction

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**Abstract.** A longitudinal study of four- and nine-month-old infants indicates that they coordinate the timing of their vocal behavior with that of their mothers and vice versa. Maternal interactions of Down-syndrome and nondelayed infants were analyzed and found not to differ with regard to such temporal coordination, indicating that it is independent of level of cognitive functioning. The capacity for coordinated timing is proposed as a mechanism for the facilitation of social interaction. Such coordination parallels temporal matching observed in a variety of species along the phylogenetic scale.

## Introduction

Beginning at least with the work of the Gardeners (Gardner and Gardner, 1969; Gardner and Gardner, 1974), researchers have explored the extent to which animals can communicate as do human beings. Our research, on the other hand, has been concerned, in part, with the question of whether human social interaction is made possible, or facilitated by, capacities that are shared with other species and serve the same functions. We report here the results of a longitudinal study of the temporal structure of social communication between nondelayed and Down-syndrome infants in the first year of life and their mothers. The results suggest that coordinated interpersonal timing may serve as a mechanism for the facilitation of social interaction. We conclude that such timing shares features of functionally adaptive social predispositions present in other species.

Conversation is the primary mode of conspecific communication employed by homo-sapiens. Such exchange is an important mechanism serving the organization and maintenance of human society. In this respect, conversational exchange may be viewed as the functional analogue of the bird song and cricket chirp. While the information encoded in a chirp or a song sequence and a conversation may differ radically, the functional consequences of such a signal may be identical: facilitation of mating, bonding between infant and caretaker, guarding against predation, etc.. It is in this functional sense that we are considering a human vocal exchange as equivalent to vocal behaviors observed over a wide range of organisms. There is an extensive body of evidence (Feldstein and Welkowitz, 1987) showing that conversational exchange between adult speakers possesses a complex statistical temporal structure; a structure not entirely subsumed by the syntactic and semantic aspects of such an exchange. Of central interest to our investigation is coordinated interpersonal timing, which refers to an alteration in the temporal patterning of one speaker's behavior as a function of that of the other speaker.

Work with invertebrates, especially insects, and with simple vertebrate models, has begun to delineate a variety of genetic and neurologic factors that are responsible for the temporal organization of social behavior. Thus, for example, investigators (Zerhring *et al.*, 1984; Hamblen *et al.*, 1986) have isolated mutations mapped to a particular region of the X chromosome in *Drosophila*. Mutations on this locus increase, decrease, or destroy completely the temporal pattern of the male fly's mating song. A unique coding sequence that forms a portion of



this locus has recently been identified in several vertebrates (Schildberger, 1984). Groups of neurons that act as temporal filters have been identified in crickets (Schildberger, 1984). These filters are "tuned" to the temporal properties of the conspecific song. Temporal filters sensitive to biologically salient stimuli have also been identified in several species of toads (Rose and Capranica, 1984), the electric fish, *eigenmannia* (Partridge and Heiligenberg, 1981), and in rats (Rees and Moller, 1983).

The importance of a capacity for temporal attunement in terms of the organism's survival is not to be underestimated. Zelik (1986) emphasized the crucial ecological function served by the temporal patterning of vocalization in certain frogs and the electric organ discharge in the weakly electric fish. Both fish and frogs have adopted similar strategies of signal oscillator timing to avoid signal overlap and jamming between conspecifics. Lamprecht *et al.* (1985) detailed the utility of distance-call duets in bar-headed geese (*Anser indicus*).

In all of this work, the important variable is the temporal dimension of the signal. We emphasize that our investigation is concerned with precisely this dimension and not with the linguistic process. Obviously, language exhibits a range of phenomena that possess important temporal features. However, our analysis is not concerned with elucidating the temporal patterns of different linguistic processes such as phonemes, vowel recognition, or other more "molecular" linguistic features. Our method of analysis is neutral with respect to the content of the acoustic signal.

We wished to determine (a) whether human infants are attuned to the temporal properties of the vocal behavior of their adult partners in a dyadic exchange and (b) the extent to which adults interacting with an infant are similarly responsive to the temporal characteristics of the infant's vocal behavior. Finally, we examined the possibility of a relationship between the capacity for temporal attunement and cognitive development. Given that the capacity for coordinated timing appears to be expressed by organisms at various levels of the phylogenetic scale, we expected it to be independent of cognitive functioning. It was this conjecture that dictated our choice of infants with Down syndrome as one of our two groups of subjects (Gibson, 1978). We note that other investigators have utilized the impairment of cognitive

functioning of persons with Down syndrome to disentangle the role played by cognitive functioning in a variety of human behaviors. Down syndrome offers a valuable window into the study of human development. The pattern of cognitive deficit associated with Down syndrome is fairly well understood, and a number of studies (Cicchetti and Serafica, 1981; Cicchetti and Sroufe, 1976; Serafica and Cicchetti, 1976; Spiker, 1983) of Down-syndrome infants and young children indicates that they show a pattern of delay rather than deficit in their social development. Workers such as Cicchetti and his colleagues (Cicchetti and Serafica, 1981; Cicchetti and Sroufe, 1976) have, in fact, used Down syndrome as a model for studying the interaction of social and cognitive development. Our choice of infants afflicted with the syndrome was motivated by the same rationale.

## Materials and Methods

### Participants

The participants were two groups of caucasian mother-infant pairs. In one group of nine pairs, the infants were afflicted with Down syndrome (trisomy 21). Nine pairs of normal, nondelayed infants and their mothers comprised the other group. The infants with Down syndrome were recruited from community groups that provide services for such infants as well as from notices in the media. The nondelayed infants were recruited from notices placed in parent-child newsletters. All the mothers in the study were native speakers of English and were highschool graduates. At the initial session, each of the mothers was given a brief rating scale for depression (Radloff, 1977) and another for anxiety (Zuckerman and Lubin, 1965). None of the mothers used in the study were found to be clinically anxious and/or depressed. A medical history was obtained from each of the mothers concerning her own health, a history of the pregnancy, and her infant's health. To the best of our knowledge, none of the infants with Down syndrome used in this study presented any relevant health problems.

### Procedure

The pairs were seen when the infants were within two weeks of being four months old, and within two weeks of being nine months old. On the second occasion, all the infants were given the Bayley Mental Development Scale (Bayley, 1969). Each of the mother-infant pairs engaged in a standard face-to-face play procedure for 12 minutes in the Interpersonal Communications Laboratory of the University of Maryland Baltimore County. At the four-month data point, the infant was seated in an infant seat directly across from its mother at an elevation

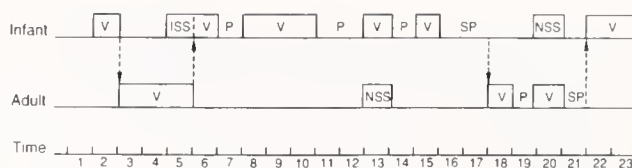
<sup>1</sup> Down syndrome represents a genetically based condition that involves, among other problems, cognitive delay and/or retardation. The average Mental Development Index of the Down infant group was 64, which is inflated because none below 50 could be calculated; the average for the nondelayed group was 70. Note that the name, Down's syndrome, has recently been changed to make the use of Down or Down's equally acceptable.

such that mother and infant could comfortably achieve eye-contact. At the nine-month point, the infant was seated in an infant chair again oriented in such a way as to make face-to-face interaction comfortable. Also at the nine-month point, the mothers were given a small hand puppet to use as a means of focusing the interaction. This procedure is a standard one and has been used in similar studies (Jasnow and Feldstein, 1986). Two-channel, 12-minute tape recordings were made of each mother-infant interaction. To minimize the spill of one person's voice into the microphone of the other person, contact microphones were used. If during the course of an interaction, the infant became fussy, the taping continued for 30 seconds. If at the end of the 30 seconds the baby was not re-engaged, the taping was stopped until such time as the baby was able to continue.

### Vocal analysis

The coding of the vocal behavior was accomplished via the direct input of two audio signals, representing the infant and adult, into a specialized computer system known as the Automated Vocal Transaction Analyzer (AVTA) (Jaffe and Feldstein, 1970). AVTA is a hardware and software system. The hardware component is an analogue-to-digital converter that "listens" to two channels of incoming audio signals to determine whether the signal in each channel is on or off. The audio signals represent the vocal behavior of the two partners. Both sequences of signals are sampled by the A-to-D converter every 250 milliseconds and are stored digitally in the computer in the form of one sequence of four numbers: one signal is on and the other is off, or vice versa; both are on; or both are off. The AVTA system transforms the decimal numbers into the set of dialogic vocal parameters defined below and summarizes them as frequencies, proportions, average durations, and standard deviations for a fixed time interval. The time sampling interval was five seconds because it is approximately equal to the mean plus one standard deviation of each parameter, with the exception of the turn. Inasmuch as the maternal average speaking turns were longer than five seconds, the same criterion yielded 30 seconds as the appropriate sampling unit for the adult turn.

The vocal parameters (Jaffe and Feldstein, 1970; Feldstein and Welkowitz, 1987) generated by the AVTA system are *speaking turns*, *vocalizations*, *pauses*, *switching pauses*, and *simultaneous speech*. Simultaneous speech had too low a rate of occurrence to be included in these analyses. A turn begins the instant a participant starts to vocalize alone and ends immediately prior to the instant that the other participant starts to vocalize alone. A vocalization is a segment of sound uninterrupted by



**Figure 1.** A diagrammatic representation of a conversational sequence. The numbered line at the bottom represents time in 250-ms units. V stands for *vocalization*, P for *pause*, and SP for *switching pause* (the silence that occurs immediately prior to a change in the speaking turn). The arrows that point down denote the end of the infant's turns; the arrows that point up denote the end of the adult's turns. ISS and NSS stand for *interruptive* and *noninterruptive simultaneous speech*, respectively. (Adapted from Figure II-2 of Jaffe and Feldstein, 1970).

any discernible silence. A pause is an interval of joint silence that is initiated and terminated by vocalizations of the same participant. A switching pause is a joint silence initiated by the participant who has the turn and terminated by a vocalization of the other participant (Fig. 1).

### Statistical analyses

The dyadic time series was divided into five-second<sup>2</sup> segments, or time units, yielding 144 five-second units (over 12 min). A time-series regression (TSR) analysis (Ostrom, 1978) was computed for each parameter to assess the occurrence of coordinated interpersonal timing for each mother-infant pair. The TSR was accomplished by a three-step procedure. The series were first subjected to an ARIMA (SPSSX) modeling procedure for the purpose of "pre-whitening" the data. The ACF subprogram of SPSSX Trends was used to allow for visual and statistical checks to test which model parameters best fit to the data and met the assumptions made by the model. It was determined that the most useful parameter values were 2, 0, 0. Each series was prewhitened separately.

After the selection of the appropriate model, the TSR analyses were computed by the AREG subprogram of SPSSX Trends. It is the temporal coordination that occurs in the current 5- or 30-second sampling interval that was used in this report. In other words, we were concerned with the degree to which changes in one series are reflected by changes in the other series within the same time frame. This relationship is indexed by the standard-

<sup>2</sup> The five-second time unit was used for the TSR analyses of all the parameters but maternal speaking turns. Average values were computed for each parameter for every five seconds of interaction and for every 30 seconds in the case of maternal turns. Five seconds was chosen because it is approximately equal to the mean + 1 standard deviation for each parameter. Maternal speaking turns had a significantly greater mean value and thus 30 seconds was selected as a more appropriate time unit.

Table 1

Summary of Chi square analyses of the results of time-series regressions

| Dyad type         |          | T      | P     | SP    | V      |
|-------------------|----------|--------|-------|-------|--------|
| Four months       |          |        |       |       |        |
| N: mother-infant  | N        | 10     | 10    | 7     | 10     |
|                   | R        | .52    | .14   | .07   | .21    |
|                   | $\chi^2$ | 68.61  | 35.27 | 5.78* | 126.47 |
| DS: mother-infant | N        | 8      | 7     | 6     | 8      |
|                   | R        | .61    | .15   | .11   | .21    |
|                   | $\chi^2$ | 100.85 | 28.53 | 17.48 | 64.63  |
| Nine months       |          |        |       |       |        |
| N: mother-infant  | N        | 10     | 10    | 6     | 10     |
|                   | R        | .63    | .14   | .14   | .24    |
|                   | $\chi^2$ | 79.96  | 34.89 | 36.66 | 89.49  |
| DS: mother-infant | N        | 10     | 9     | 5     | 9      |
|                   | R        | .89    | .09   | .07   | .16    |
|                   | $\chi^2$ | 86.68  | 17.39 | 6.81* | 30.33  |

\*  $P > .05$ .

Note. N is the *df* for the Chi square. The R represents the average standardized partial regression coefficient. T stands for Turns, P for Pauses, SP for Switching Pauses, V for Vocalizations. The "N" stands for "Nondelayed," the "DS" stands for "Down's syndrome."

ized partial regression coefficient, which is used as a coefficient of coordinated timing.

We wanted two kinds of information. One was whether the group of dyads involving Down-syndrome infants and the group of dyads involving nondelayed infants each engaged in coordinated interpersonal timing. This information was provided by a meta-analytic approach in which standard normal deviate scores are obtained for the probability values associated with the regression coefficients. Each of these standard scores is squared to yield a Chi square with one degree of freedom. The Chi squares are then summed for each group of dyads to provide a Chi square test (with *df* equal to the number of Chi squares in the sum) of whether the regression coefficients in each group were significantly different from zero. Another was whether the two groups differed in terms of the extent with which they engaged in coordinated timing. Differences between the two groups of mother-infant pairs (nondelayed and delayed) and between the two age groups (four and nine months) were assessed by a split-plot analysis of variance.

## Results

The Chi square analyses of the results indicate that mutual coordination occurred for all but one of the temporal parameters, at both 4 and 9 months, regardless of diagnosis (Table I).

Given that the meta-analytic results demonstrate that

temporal coordination occurred across all but one of the vocal behaviors, or parameters, the question is whether the two groups can be discriminated on the basis of their magnitudes of coordination. The analysis of variance of the pauses, switching pauses, and vocalizations yielded a significant main effect for diagnosis ( $F[1, 17] = 4.34$ ,  $P = .05$ ,  $\epsilon = .40$ ), indicating that the dyads with the delayed infants seemed to engage in less coordination than their nondelayed counterparts. However, the occurrence of a significant interaction of diagnosis by age ( $F[1, 17] = 4.34$ ,  $P = .05$ ,  $\epsilon = .40$ ) indicates that the apparent general difference between the two groups is primarily attributable to a significantly lower degree of coordination of the Down-syndrome dyads at four months of age. By the time the delayed infants reach nine months of age, their average degree of coordination with their mothers is similar to that of the nondelayed dyads (Fig. 2).

The results of the analysis of speaking turns (done separately because of the larger sampling interval) provide no evidence of a difference in degree of coordination between the dyads with the delayed infants and those with the nondelayed infants ( $F[1, 17] = 0.00$ ,  $P = .959$ ). Nor did the magnitude of coordination of either group of dyads change markedly with time ( $F[1, 17] = 0.70$ ,  $P = .415$ ).

## Discussion

The results offer support for the hypothesis that infants and their mothers coordinate the temporal organization of their vocal behavior both when the infants are four

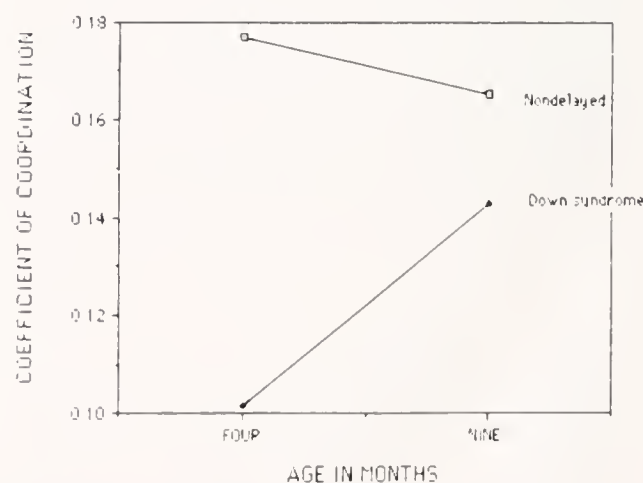


Figure 2. The interaction of the diagnosis by age, indicating that whereas the degree of coordinated interpersonal timing of the dyads with nondelayed infant is similar when the infants are four and nine months of age, that of the dyads with Down's syndrome infant increases significantly from four to nine months.



months and nine months old. They demonstrate that the temporal phenomenon found to characterize adult conversation (Partridge and Heiligenberg, 1981; Feldstein and Welkowitz, 1987) is present in adult-infant interactions from as early as four months of age and that the results are true not only for nondelayed infants, but also for infants afflicted with Down syndrome. Thus the study, having used a group about whose cognitive impairment there can be no doubt, represents a strong test of the proposition that coordinated interpersonal timing is independent of cognitive ability.

Note, however, that although coordination appears to be a general phenomenon detected in both groups at both ages, the two groups could be discriminated on the basis of the lower degree of coordination exhibited by the Down-syndrome infants and their mothers at four months of age. This finding of lower coordination at four months increasing, by nine months, to a level similar to that of the nondelayed dyads, is consistent with the findings from a wide array of studies about the social behavior of Down-syndrome infants. These studies (Cicchetti and Sroufe, 1976; Serafica and Cicchetti, 1976; Cicchetti and Serafica, 1981; Spiker, 1983) have shown that dysfunctional aspects of social behavior of Down-syndrome infants and young children are related to deviations in rate of development and not to deficits in development.

The capacity to process and respond to the temporal patterning of human vocalizations may enable the infant to select and "lock onto" a biologically important environmental stimulus. That this capacity is present in infants suffering from severe cognitive impairment suggests that it may be buffered against insults to the organism. In other words, it may be that the capacity functions to make social interaction possible. The underlying neuromechanisms responsible for such temporal sensitivity are not known. Workers such as Rose (1986) have observed that many different types of organisms employ the same set of neurons in the midbrain for processing certain varieties of temporal information. Rose speculated that similar mechanisms may be operative in human beings. Zelik (1986) pointed out that the behavior strategies adopted by certain frogs and the weakly electric fish to avoid signal jamming are quite similar, and suggested that common neuromechanisms may be responsible for the common behavioral strategy. Whether the mechanisms underlying the behaviors described in this report are similar to those that operate in nonhuman organisms remains open to investigation.

There is no doubt that the temporal patterning of social interaction is a fundamental aspect of behavior in any given ecological setting. Marler and Terrace (1984) noted that "The mechanisms that underlie imprinting and song learning cannot be understood without first ac-

knowledging the pervasive role of unlearned, functionally adaptive predispositions to associate particular classes of stimuli" (p. 5). We conjecture that the responsiveness to the temporal patterning of vocal behavior demonstrated by the findings presented here is an instance of such a functionally adaptive predisposition in human beings.

### Acknowledgments

The study was supported by Research Grant No. 12-152 from the March of Dimes, by the Fund for Psychoanalytic Research, and, in part, by Research Grant No. R01-MH41675 from NIMH. The investigators are indebted to the Academic Computing Center of the University of Maryland Baltimore County for its generous contribution of computer time and services.

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# Intraspecific Variation in Growth and Reproduction in Latitudinally Differentiated Populations of the Giant Scallop *Placopecten magellanicus* (Gmelin)

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**Abstract.** The giant scallop, *Placopecten magellanicus*, exhibits a discrete gametogenic cycle which varies between populations. In our study, spawning occurred later in scallops from New Jersey than in those from Newfoundland, but there is no latitudinal trend when data from the literature are considered. Reproduction is probably controlled by local environmental factors.

There was high intraspecific variation in shell and somatic growth rates, and in the production of somatic and germinal tissue. Reproductive output in particular showed great plasticity. Variation in these traits along a depth gradient on a micro-geographical scale was equal to or greater than variation on a latitudinal scale, although reproductive output in New Jersey scallops exceeded that of scallops from Newfoundland. Enhanced reproductive output was associated with reduced longevity.

## Introduction

Many species of marine ectotherms are distributed over a wide latitudinal range and often display intraspecific variation in physiological characteristics and life-history strategies (Levinton, 1983). Such species are ideal candidates for determining which environmental factors, such as water temperature, that vary with latitude in a predictable manner may influence the growth and reproduction of individual animals. Causal relationships between water temperature and growth or reproductive output have proved difficult to establish unequivocally, owing to local variations in environmental conditions, such as food availability and temperature (Newell *et al.*,

1982; MacDonald and Thompson, 1985a). There is a need for studies in which intraspecific variation on a micro-geographic scale is examined for a number of characters and related to observations on latitudinally separated populations.

With some exceptions, the general consensus in the literature is that bivalve molluscs from low latitudes grow more rapidly at ambient temperature, attain a smaller maximum size, and have a shorter lifespan than do conspecifics from higher latitudes (Newell, 1964). This view is supported by studies on several species of bivalves, including *Siliqua patula* (Weymouth *et al.*, 1931) and *Mytilus edulis* (Seed, 1976), but clear latitudinal trends have not been observed in others, *e.g.*, *Mya arenaria* (Brousseau, 1979) and *Placopecten magellanicus* (Posgay, 1979). In *Macoma balthica* from North America, however, maximum size is greatest in populations from low latitudes, whereas in *M. balthica* from Europe growth is faster at intermediate latitudes (Gilbert, 1973; Bachelet, 1980; Beukema and Meehan, 1985).

There is an extensive literature on the gametogenic cycle and the timing of spawning in many bivalve species (Giese and Pearse, 1974; Sastry, 1979; Newell *et al.*, 1982). For several species in the northern hemisphere, spawning occurs at higher temperatures and later in the year in southern populations than in northern ones (Sastry, 1970, 1979; Seed, 1976; Barber and Blake, 1983), and is often more synchronized at higher latitudes (Ockelmann, 1958; Bricelj *et al.*, 1987). Unfortunately, there is very little information on intraspecific variation in reproductive output and reproductive effort in latitudinally separated populations (Bricelj *et al.*, 1987), yet these quantities are often more sensitive to environmental change than is shell growth, the most commonly measured variable (MacDonald and Thompson, 1985a, b).



The giant scallop *Placopecten magellanicus* is found only in the northwest Atlantic, between the Strait of Belle Isle, Newfoundland, and Cape Hatteras, North Carolina (Posgay, 1957; Porter, 1974). In previous papers, we have described how local variations in temperature and food supply can influence shell growth, somatic production, gametogenesis, and reproductive characteristics in populations from Newfoundland (MacDonald and Thompson, 1985a, b, 1986; MacDonald *et al.*, 1987). In this paper, we integrate this information with data from scallop populations in New Brunswick and New Jersey, to further our knowledge of intraspecific variation in *P. magellanicus*. The objectives are to establish whether growth and reproductive parameters show identifiable latitudinal trends, to determine which ones should be regarded as plastic or variable on a local scale, and to understand the possible adaptive value of the observed strategies.

### Materials and Methods

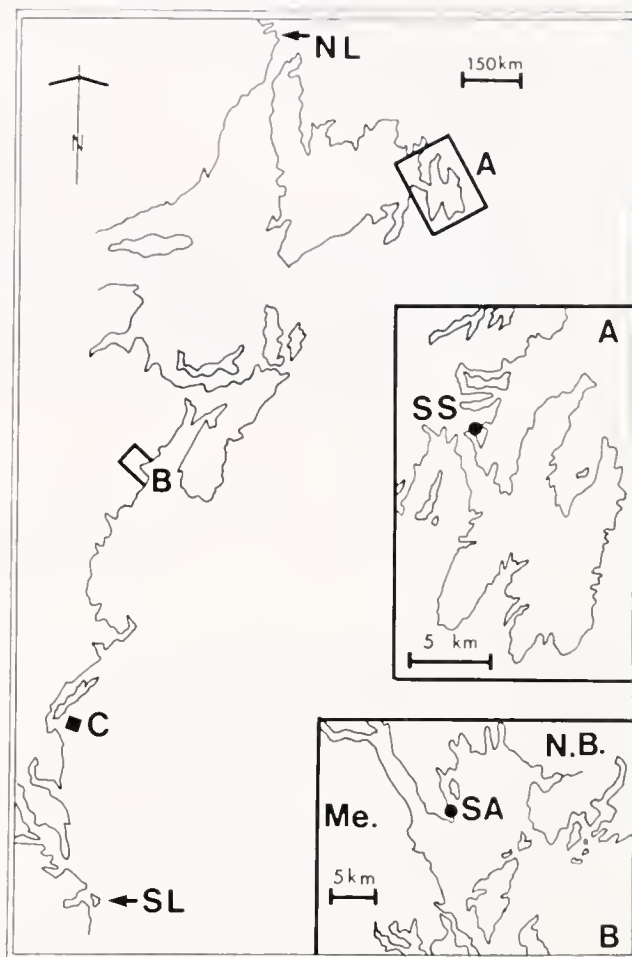
#### Study sites and environmental data

Scallops were collected from Sunnyside (47°51' N, 53°55' W) in Trinity Bay, Newfoundland, by SCUBA divers, from St. Andrews (45°04' N, 67°04' W) in Passamaquoddy Bay, New Brunswick, and from a bed near Asbury Park (40°13' N, 73°47' W), New Jersey, (Fig. 1) using a modified Digby dredge. Collections were made approximately monthly between July 1982 and November 1983 at Sunnyside and New Jersey for determination of the gametogenic cycle. In 1983 a complete size range of scallops was obtained in the months immediately before and after spawning at Sunnyside (July/September), St. Andrews (July/November), and New Jersey (September/November), to measure the weight loss of the gonad on spawning. Samples were obtained from depths of 10 and 31 m in Sunnyside and St. Andrews but were only available from 31 m at the New Jersey site.

Seasonal water temperatures were recorded in Sunnyside using moored 180 d continuous recording thermographs (Ryan Instruments, Seattle, Washington) and in New Jersey by means of a maximum-minimum thermometer. Temperature cycles for St. Andrews were obtained from Forgeron (1959) and represent mean values for the 1957 and 1958 seasons combined. An approximation for water temperature at 10 m was calculated by averaging the temperature at the surface and the bottom (24 m).

#### Growth rates

Ages of individual scallops were estimated by interpreting external growth rings on the shell (Stevenson and Dickie, 1954) and growth increments on the calcareous portion of the ligament (Merrill *et al.*, 1966). Measure-



**Figure 1.** Sites from which scallops, *Placopecten magellanicus*, were collected. A—Avalon Peninsula, Newfoundland (SS = Sunnyside); B—Passamaquoddy Bay (SA = St. Andrews); C—New Jersey. NL—northern limit of distribution. SL—southern limit of distribution.

ments of shell height [maximum distance between the dorsal (hinge) and ventral margin (Seed, 1980)] were recorded to the nearest 0.1 mm using vernier calipers. Mean shell heights for each age class were estimated using the von Bertalanffy equation:

$$H_t = H_{\infty} [1 - e^{-K(t-t_0)}]$$

where  $H_t$  = shell height at time  $t$ ,  $H_{\infty}$  = mean asymptotic shell height,  $K$  = the Brody growth coefficient and  $t_0$  = a parameter representing time when shell height equals zero. The von Bertalanffy functions were fitted by iteration, using the Marquardt algorithm available in the NLIN procedure of the Statistical Analysis System (SAS Institute Inc.).

Weights of the gonad and remaining (somatic) tissue were determined separately for individual scallops after drying at 90°C for 48 h. The mean somatic weight for

each age class was estimated using polynomial regression, which has some advantages over the von Bertalanffy function for describing somatic growth rates (MacDonald and Thompson, 1985a). Polynomial regression, which was computed by the General Linear Model (GLM) procedure of SAS, may be described by the following equation:

$$y = \beta_0 + \beta_1x + \beta_2x^2 + \beta_3x^3 \dots \beta_mx^m + \epsilon$$

where  $\beta_0, \beta_1 \dots \beta_m$  = population parameters,  $y$  = the predicted somatic weight for a given value of  $x$  (age) and  $\epsilon$  = random error at observation  $x$ . Linear correlation between regressors (multicollinearity) was reduced by replacing values of  $x$  with  $(x - \bar{x})$  (Neter *et al.*, 1983).

For predictive and comparative purposes, relationships between shell height and somatic or gonad weight were fitted by SAS (GLM procedure) to the allometric equation  $y = ax^b$ , where  $y$  is the predicted weight (g) at a given shell height  $x$  (mm), and  $a$  and  $b$  are fitted parameters. A linear form of this equation was obtained by transforming both variates to logarithms and fitting the data to a straight line by least squares regression. Owing to possible seasonal differences in shell and somatic growth rates, only those scallops collected from Sunnyside and New Jersey between July and December were used in comparisons with St. Andrews. Statistical comparisons between scallops from the three locations were only made on those individuals collected in 1983 ranging in age from two to eight years because these were the only age classes common to all three populations.

#### *Gametogenic cycle and gamete volume fraction*

To establish the gametogenic cycle, histological sections were prepared from the gonads of six male and six female scallops in each monthly sample from Sunnyside (10 m depth) and New Jersey. The proportions of the gonad occupied by developing gametes and mature gametes (the volume fractions) were estimated by a stereological procedure (Lowe *et al.*, 1982). The gamete volume fraction (GVF) was calculated as the sum of the values for developing and mature gametes (for details see MacDonald and Thompson, 1986).

#### *Production*

Somatic tissue production (Pg) was calculated from the increments in dry tissue weight between consecutive year classes, assuming that 1 g dry weight = 24.5 kJ (Thompson, 1977). Since *Placopecten magellanicus* has a discrete reproductive cycle and spawns only once a year, gamete production (Pr) was estimated from the weight loss of the gonad on spawning in scallops of given age (determined from the von Bertalanffy equation describing shell height as a function of age), and then con-

verting to units of energy (1 g dry gametes = 26.0 kJ; MacDonald and Thompson, 1985b). Data from males and females were combined, because there were no consistent differences between the sexes in somatic or gonad growth curves (MacDonald and Thompson, 1985b).

#### *Reproductive effort*

Reproductive effort (RE), defined as the proportion of non-respired assimilation allocated to reproduction, was calculated for each age class:

$$RE = [Pr/(Pg + Pr)] \cdot 100$$

To compensate for differences in growth rate between populations, RE was also expressed as a function of somatic weight (MacDonald *et al.*, 1987). Furthermore, there were differences in longevity between populations (MacDonald and Thompson 1985a; this paper), so we also related RE to the proportion of the lifespan represented by any given age.

## **Results**

#### *Water temperature*

Water temperatures were higher off New Jersey than at the more northerly sites, except in the summer, when the water at St. Andrews was warmer than elsewhere (Fig. 2). In New Jersey, the temperature reached 17°C in November, but never fell below 5°C during the winter, whereas at St. Andrews and particularly at Sunnyside, winter temperatures were much lower. The form of the temperature cycle was similar at St. Andrews and Sunnyside. Water temperatures in the shallower depths at these two locations generally exceeded those in deeper water, except during the winter (December–April), when the water columns were vertically mixed. Cumulative annual day degrees were estimated as 3180 for New Jersey, 2536 (10 m) and 2400 (31 m) for St. Andrews, and 1451 (10 m) and 957 (31 m) for Sunnyside.

#### *Gametogenic cycle*

Gametes observed in histological sections were divided into two categories; (1) developing gametes (DG), representing early stages, and (2) mature or ripe gametes (MG), (MacDonald and Thompson, 1986). Since the gametogenic cycles of Sunnyside scallops from 10 m and 31 m were similar (MacDonald and Thompson, 1986), only data from 10 m were used in comparisons with New Jersey scallops.

In both populations (Sunnyside and New Jersey), the seasonal cycles for GVF (TG; males and females combined) were very similar, although in both years Sunnyside scallops spawned two months earlier than those from New Jersey (Fig. 3). According to Dickie (1953)

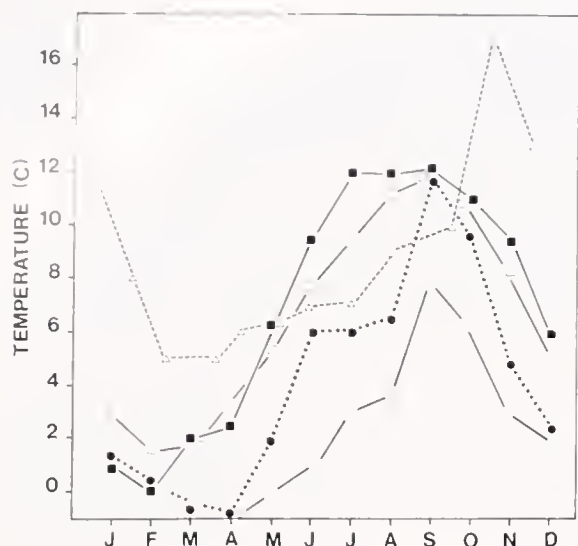


Figure 2. Water temperatures. ●---● Sunnyside (10 m); ○---○ Sunnyside (31 m); ■---■ St. Andrews (10 m); □---□ St. Andrews (31 m); △---△ New Jersey.

and Beninger (1987), spawning in *Placopecten magellanicus* from the St. Andrews area occurs at the same time as it does at Sunnyside, i.e., late August to early September. Whereas gametogenesis began earlier in the year at Sunnyside than at New Jersey, mature (ripe) gametes did not appear in Sunnyside scallops until April, compared with January for New Jersey scallops in which mature gametes were present almost year-round. There was a small decrease in GVF (MG) during June and July in scallops from New Jersey, followed by an increase in August, which may suggest partial or dribble spawning (Newell *et al.*, 1982).

### Shell growth

Von Bertalanffy equations were used to relate shell height to age for all age classes represented in each sample (Table I). There was a latitudinal gradient in longevity (Sunnyside > St. Andrews > New Jersey; see legend to Table I), although there was no clear trend for asymptotic height ( $H_{\infty}$ ). Shell height was greatest at Sunnyside (10 m) and least at New Jersey, with intermediate values in scallops from St. Andrews, but in deeper water (31 m) at Sunnyside  $H_{\infty}$  was relatively small. The Brody growth coefficient ( $K$ ) was lower in the Sunnyside population (especially at 31 m) than in the others, indicating that scallops from Sunnyside reached asymptotic height relatively slowly compared with those from more southerly locations, but caution must be exercised in comparing growth coefficients when  $H_{\infty}$  values are different (see Discussion).

For a rigorous comparison of growth rates, polynomial

regressions afford the advantage that they can be handled by linear models (MacDonald and Thompson, 1985a). Comparisons were made between scallops from 31 m at Sunnyside, St. Andrews, and New Jersey, and also between scallops from the shallowest depths from which they were obtained at each location, using data for individuals two to eight years old (Fig. 4, Table II). Scallops from 31 m at New Jersey and St. Andrews grew at similar rates but significantly faster than scallops from 31 m at Sunnyside. However, when scallops from the shallowest collection depths were compared, shell growth was similar at all three sites.

### Somatic weight

Polynomial regressions of somatic weight against age were also compared (Fig. 5, Table II). For scallops from

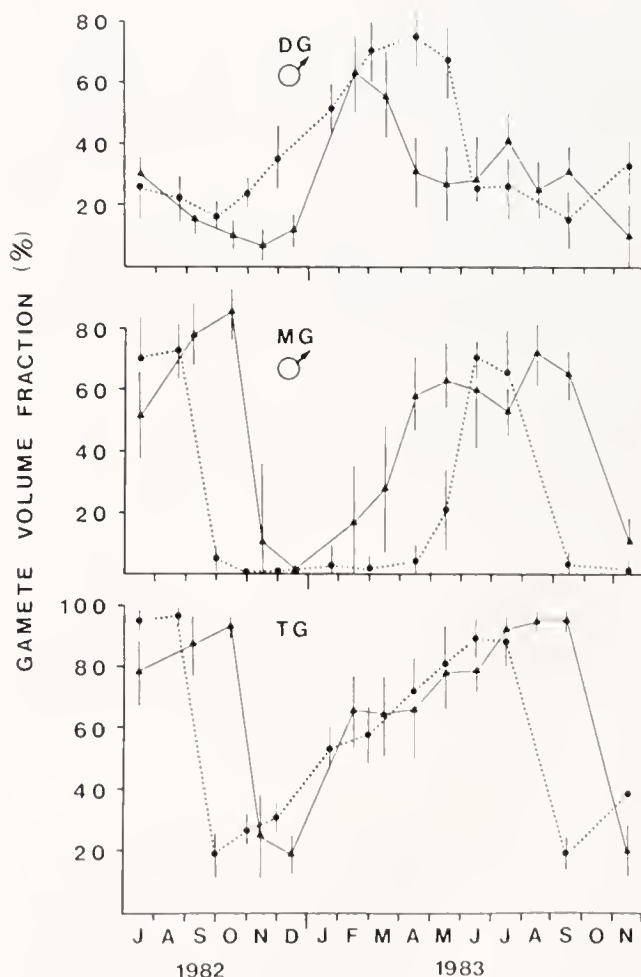


Figure 3. Gamete volume fraction for scallops, *Placopecten magellanicus*, from Sunnyside (10 m depth; ●---●) and New Jersey (▲---▲). Data for developing gametes (DG) and mature gametes (MG) are for males only, whereas data for total gametes (TG) are for males and females combined. Values are means  $\pm$  95% confidence limits.



Table I

Parameters ( $\pm 95\%$  C.L.) of the von Bertalanffy equations describing shell height ( $H$ , mm) as a function of age (years) in *Placopecten magellanicus* collected from depths of 10 m and 31 m in three locations

|              | Sunnyside, Newfoundland |                  | St. Andrews, New Brunswick |                  | New Jersey |                 |
|--------------|-------------------------|------------------|----------------------------|------------------|------------|-----------------|
|              | 10 m                    | 31 m             | 10 m                       | 31 m             | 10 m       | 31 m            |
| $H_{\infty}$ | 176.5 $\pm$ 3.0         | 158.4 $\pm$ 3.3  | 166.9 $\pm$ 12.5           | 166.0 $\pm$ 8.1  | —          | 155.9 $\pm$ 9.6 |
| K            | 0.19 $\pm$ 0.013        | 0.16 $\pm$ 0.015 | 0.21 $\pm$ 0.033           | 0.21 $\pm$ 0.032 | —          | 0.22 $\pm$ 0.04 |
| $t_0$        | 0.55                    | 0.10             | 0.51                       | 0.53             | —          | 0.32            |
| $r^2$        | 0.97                    | 0.97             | 0.96                       | 0.98             | —          | 0.95            |
| n            | 272                     | 243              | 83                         | 73               | —          | 145             |

The age classes found were 1–20 years at Sunnyside, 1–12 years at St. Andrews, and 1–10 years at New Jersey.  $r^2$  = coefficient of determination, n = number of observations.

31 m, all regressions were significantly different, somatic weight being greatest at St. Andrews and least at Sunnyside. However, at the shallowest depths somatic weight was greater in scallops from St. Andrews and Sunnyside than in those from New Jersey. Significant differences were also observed between linear regressions of somatic weight against shell height (both variates transformed to logarithms), excepting the samples from the shallowest collections at Sunnyside and New Jersey (Tables II, III).

#### Production

There was a clear latitudinal trend in gamete production (Pr) by individual scallops from 31 m depth (New Jersey > St. Andrews > Sunnyside) which was also reflected in total production (Pg + Pr), but the greatest somatic production (Pg) was at St. Andrews and the least at Sunnyside (Fig. 6). Scallops from New Jersey also pro-

duced more gametes than those from shallow water (10 m) at the more northerly locations, and older individuals from New Jersey (> 5 years) showed greater total production. For scallops from the shallowest depths at each site, Pg increased at higher latitudes (Sunnyside 10 m > St. Andrews > New Jersey), although the lowest values for Pg were observed in samples from 31 m at Sunnyside. All comparisons of gonad dry weight at any given shell height showed significant differences between populations, excepting that between Sunnyside (10 m) and New Jersey (Table II).

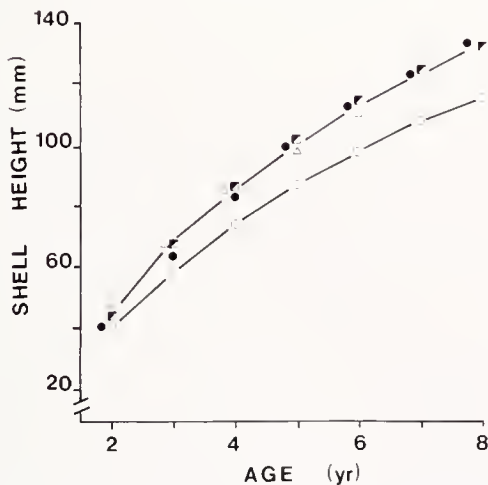


Figure 4. Shell growth in scallops, *Placopecten magellanicus*, from Sunnyside (● 10 m; ○ 31 m), St. Andrews (■ 10 m; □ 31 m) and New Jersey (△).

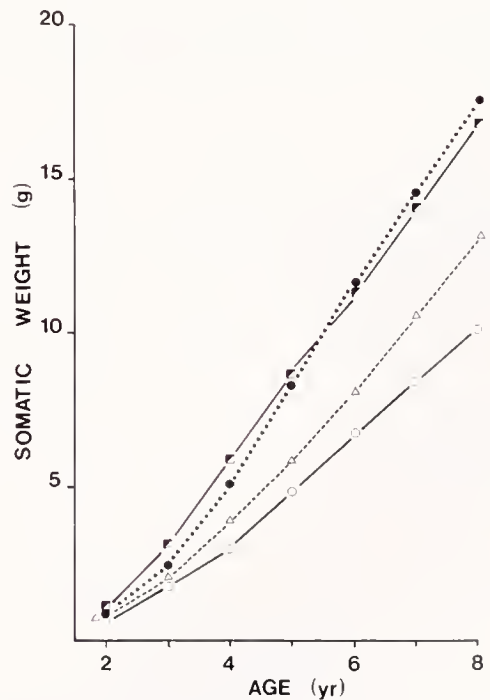


Figure 5. Somatic growth (dry tissue less gonad and shell) in *Placopecten magellanicus* from Sunnyside (●...● 10 m; ○...○ 31 m), St. Andrews (■...■ 10 m; □...□ 31 m), and New Jersey (△...△).

Table II

Summary of (a) *t* values for comparisons of polynomial regression equations (shell height and somatic weight against age) and (b) comparisons of allometric relationships between somatic weight, gonad weight, and shell height for populations of *Placopecten magellanicus*

|                                           |           | 31 m vs 31 m vs 31 m |          |          | 10 m vs 31 m <sup>+</sup> vs 10 m |          |          |
|-------------------------------------------|-----------|----------------------|----------|----------|-----------------------------------|----------|----------|
|                                           |           | NF vs NJ             | NJ vs NB | NF vs NB | NF vs NJ                          | NJ vs NB | NF vs NB |
| (a) Polynomial <i>t</i> values            |           |                      |          |          |                                   |          |          |
| Shell                                     | $\beta_0$ | 5.05***              | 0.69     | 4.11***  | 0.85                              | 0.32     | 1.59     |
| Height                                    | $\beta_1$ | 4.37***              | 0.44     | 4.82***  | 1.41                              | 0.58     | 1.12     |
| vs.                                       | $\beta_2$ | 0.70                 | 0.73     | 0.10     | 1.13                              | 1.33     | 0.10     |
| age                                       | $\beta_3$ | 1.84                 | 1.29     | 0.88     | 0.87                              | 1.31     | 0.39     |
| Somatic                                   | $\beta_0$ | 3.58***              | 4.51***  | 7.71***  | 4.29***                           | 7.06***  | 3.67***  |
| Weight                                    | $\beta_1$ | 3.06**               | 1.22     | 5.47***  | 2.05                              | 2.71**   | 0.73     |
| vs.                                       | $\beta_2$ | 0.99                 | 0.63     | 0.55     | 0.57                              | 0.62     | 0.02     |
| age                                       | $\beta_3$ |                      |          |          |                                   |          |          |
| (b) Allometric regression <i>t</i> values |           |                      |          |          |                                   |          |          |
| Somatic                                   | log a     | 2.71**               | 11.10*** | —        | 8.96***                           | 10.12*** | 0.67     |
| Weight                                    |           |                      |          |          |                                   |          |          |
| vs.                                       |           |                      |          |          |                                   |          |          |
| Shell                                     | b         | 0.59                 | 1.54     | 2.48*    | 1.15                              | 0.12     | 1.53     |
| Height                                    |           |                      |          |          |                                   |          |          |
| Gonad                                     | log a     | —                    | 8.00***  | —        | 2.32                              | 6.52***  | —        |
| Weight                                    |           |                      |          |          |                                   |          |          |
| vs.                                       |           |                      |          |          |                                   |          |          |
| Shell                                     | b         | 4.88***              | 0.45     | 5.64***  | 0.35                              | 2.22     | 2.54*    |
| Height                                    |           |                      |          |          |                                   |          |          |

Samples were collected from New Jersey (NJ; 31 m), St. Andrews, New Brunswick (NB; 10 and 31 m), and Sunnyside, Newfoundland (NF; 10 and 31 m). (\* $P < 0.05$ , \*\* $P < 0.01$ , \*\*\* $P < 0.001$ , + indicates NJ sample).

The large reproductive output and low body weight of New Jersey scallops resulted in a higher turnover ratio [production: biomass ratio, (Pr & Pg)/B] than in individ-

uals from the other populations (Fig. 7). At Sunnyside, production per unit weight was greater at 10 m than at 31 m, whereas at St. Andrews the turnover ratio for scallops less than 6 years old was independent of depth. With the exception of the New Jersey population, turnover ratio was a decreasing function of age.

Table III

Allometric relationships between tissue weight and shell height in *Placopecten magellanicus* collected in 1983 from depths of 10 m and 31 m in three locations

|         |  | Sunnyside, Newfoundland |       | St. Andrews, New Brunswick |       | New Jersey |       |
|---------|--|-------------------------|-------|----------------------------|-------|------------|-------|
|         |  | 10 m                    | 31 m  | 10 m                       | 31 m  | 10 m       | 31 m  |
| Somatic |  |                         |       |                            |       |            |       |
| log a   |  | -4.67                   | -3.76 | -4.77                      | -4.55 | —          | -4.94 |
| b       |  | 2.77                    | 2.28  | 2.83                       | 2.72  | —          | 2.86  |
| $r^2$   |  | 0.97                    | 0.83  | 0.99                       | 0.99  | —          | 0.93  |
| n       |  | 93                      | 97    | 83                         | 75    | —          | 48    |
| Gonad   |  |                         |       |                            |       |            |       |
| log a   |  | -6.29                   | -5.75 | -9.96                      | -8.21 | —          | -7.89 |
| b       |  | 3.29                    | 2.87  | 5.05                       | 4.16  | —          | 4.18  |
| $r^2$   |  | 0.83                    | 0.60  | 0.92                       | 0.93  | —          | 0.95  |
| n       |  | 41                      | 48    | 43                         | 41    | —          | 24    |

Regressions are of the form  $W = aH^b$ , where  $W$  = dry weight (g) of the somatic tissue or of the gonad immediately before spawning,  $H$  = shell height (mm),  $a$  and  $b$  are fitted parameters.

### Reproductive effort

There was considerable variation in RE between sites and between depths (Fig. 8). For all ages and sizes, RE at any given age or somatic weight was greatest in scallops from New Jersey, owing to higher Pr and lower Pg values than in individuals from the other populations. At Sunnyside, scallops from 10 m had a greater RE than those from 31 m. Reproductive effort was greater in young scallops (<5 years) from Sunnyside than in those from similar depths at St. Andrews, but lower in scallops older than 5 years. However, when expressed as a function of lifespan, RE was greatest in Sunnyside scallops and least in those from St. Andrews (Sunnyside 10 m > Sunnyside 31 m > New Jersey > St. Andrews 10 m > St. Andrews 31 m), *i.e.*, the maximum values observed for RE were in large, old individuals from the Newfoundland location.

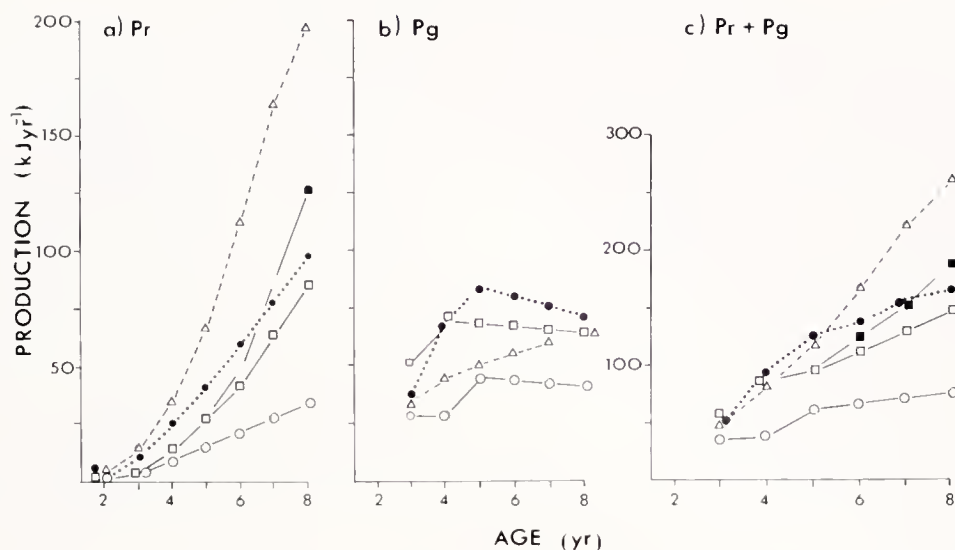


Figure 6. Gonad production ( $P_r$ ), somatic production ( $P_g$ ) and total production ( $P_g + P_r$ ) by individual scallops, *Placopecten magellanicus*, from Sunnyside (●---● 10 m; ○---○ 31 m), St. Andrews (■---■ 10 m; □---□ 31 m), and New Jersey (△---△).

### Discussion

In all the populations of *Placopecten magellanicus* examined here and in others described elsewhere (Thompson, 1977; Robinson *et al.*, 1981; Beninger, 1987) there is a discrete annual reproductive cycle with a well-synchronized spawning period. A slight decrease in GVF in New Jersey scallops during June and July may represent a minor spawning of the type described by Naidu (1970) for scallops from a bed in western Newfoundland, but we did not observe this phenomenon in Sunnyside scallops. In Newfoundland, scallops spawn in August–September (Naidu, 1970; this study). Beninger (1987) and Robinson *et al.* (1981) report a similar timing in *P. magellanicus* from the Bay of Fundy and from Maine, respectively. Our observation that the giant scallop spawns later in the year off the coast of New Jersey suggests that this species may be similar to the bay scallop *Argopecten irradians*, in which spawning occurs later in southern populations than in those further north (Sastry, 1970; Barber and Blake, 1983). Sastry (1970) attributed this latitudinal differentiation in the gametogenic cycle of *A. irradians* to differences in food supply, since the peak in phytoplankton availability occurs later at the southern location than the northern one. However, according to some reports, *P. magellanicus* spawns early (July) at the southern limit of its range (MacKenzie, 1979). On the north shore of the Gulf of St. Lawrence, which is close to the northern limit, spawning also takes place in July (Gaudet, pers. comm.). Thus, there are no clearly identifiable latitudinal trends in the timing of spawning, although the variation appears to be less than in some

other bivalves, notably *Mytilus edulis*, in which the gametogenic cycle may be highly variable over a small geographic range (Lowe *et al.*, 1982; Newell *et al.*, 1982). Borrero (1987) found that the temporal variation across the intertidal zone in the reproductive cycle of the ribbed mussel *Geukensia demissa* may exceed that among latitudinally separated populations. As in other species, re-

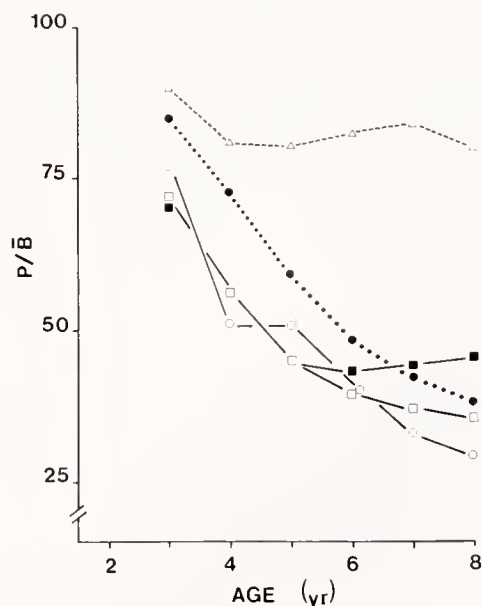


Figure 7. Turnover ratios (production: biomass  $P/B$ , where  $P = P_g + P_r$ ) in scallops, *Placopecten magellanicus*, from Sunnyside (●---● 10 m; ○---○ 31 m), St. Andrews (■---■ 10 m; □---□ 31 m), and New Jersey (△---△).



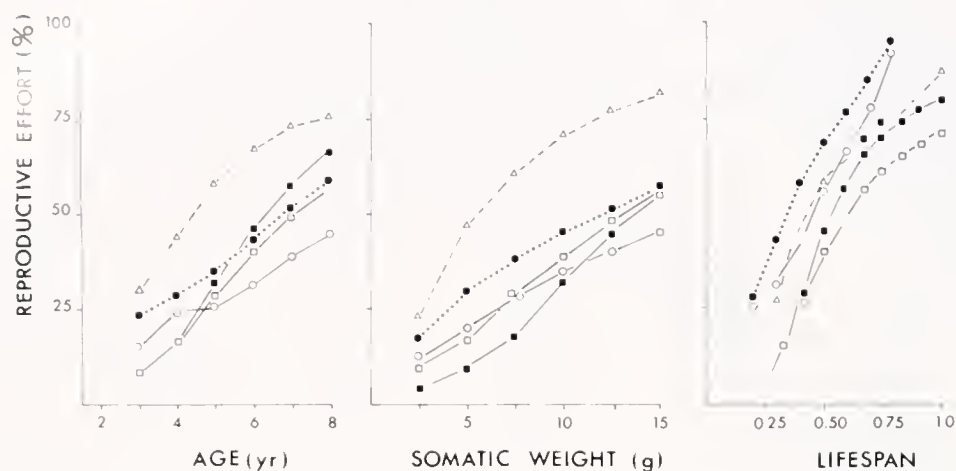


Figure 8. Reproductive effort,  $100 \times P_r/(P_g + P_r)$ , as a function of age, somatic weight and lifespan in the scallop *Placopecten magellanicus*. ●---● Sunnyside (10 m); ○---○ Sunnyside (31 m); ■---■ St. Andrews (10 m); □---□ St. Andrews (31 m); △---△ New Jersey.

production in *P. magellanicus* is probably controlled primarily by local environmental factors, especially food supply, which determines the nutrient reserve and hence the capability to initiate gamete development (Newell *et al.*, 1982).

Comparisons of shell growth rates from our own study and others show that differences in shell height at any given age in scallops from Newfoundland, New Brunswick, and Georges Bank are small (Fig. 9), and that there is as much variation between depths at several sites in eastern Newfoundland (MacDonald and Thompson, 1985a) as there is between populations at different latitudes. We have some evidence for an increase in asymptotic height in *Placopecten magellanicus* at higher latitudes, which is consistent with studies on some bivalve species, but not others (see Introduction). However, there is a clear differentiation in longevity, which is greater in northern than in southern populations.

Care must be taken when comparing shell growth rates from different populations, especially when the von Bertalanffy function is used. It is not appropriate to base comparisons on the parameter  $K$  when the asymptotic heights or lengths differ considerably between populations, because  $K$  is inversely related to  $H_\infty$  (Ralph and Maxwell, 1977; Haukioja and Hakala, 1979). Furthermore,  $K$  is a growth coefficient and should not be regarded as a growth rate *per se* (Ricker, 1975). Attempts to combine  $H_\infty$  and  $K$  into a single parameter have been made (Gallucci and Quinn, 1979; Appeldoorn, 1983), but this does not overcome the fundamental problem that the two are interdependent (Beukema and Meehan, 1985). Many of the literature values for von Bertalanffy parameters are of limited value for comparative purposes, since confidence limits are often not provided,

but several statistical packages (including SAS) now include algorithms for handling nonlinear functions of the von Bertalanffy type and provide not only estimates of the parameters but also their variances. We circumvented the problems inherent in the von Bertalanffy function by also fitting polynomials to the shell growth data, which demonstrated that growth was slower at Sunnyside (especially at 31 m depth) than at more southerly

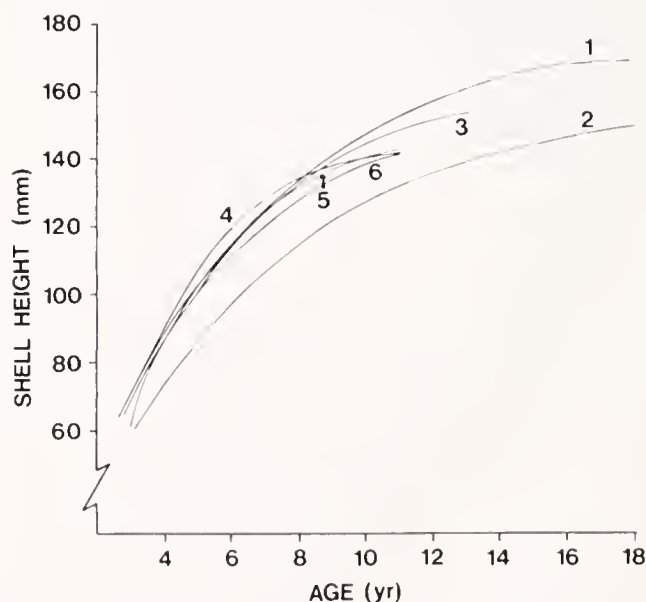


Figure 9. Shell growth curves for scallops, *Placopecten magellanicus*, from several locations. 1 = Sunnyside, Newfoundland, 10 m depth; 2 = Sunnyside, 31 m; 3 = St. Andrews, New Brunswick (all from MacDonald and Thompson, 1985a); 4 = Georges Bank (Brown *et al.*, 1972); 5 = Mid-Atlantic Bight (Serchuk *et al.*, 1982); 6 = New Jersey (this paper).

locations, but the problem with this approach is that the coefficients themselves have no biological significance.

There is considerable intraspecific variation in the somatic growth rate of *Placopecten magellanicus*, and in the production of somatic and germinal tissue, but only in reproductive output is there any evidence of latitudinal differentiation; scallops from New Jersey are more fecund than those from locations further north. For the most part, variation along a depth gradient on a microgeographic scale may be as great or greater than variation on a latitudinal scale (MacDonald and Thompson, 1985a, b; this study). Reproductive output in particular shows great plasticity, *viz.* the variation between years in shallow water at Sunnyside. Furthermore, MacDonald (1986) recorded greater shell growth rates, reproductive output, and somatic production in giant scallops maintained in suspended culture than in those growing on the bottom nearby.

These findings are consistent with observations on other bivalve species. In a comparison of several populations of *Argopecten irradians*, Bricelj *et al.* (1987) found the greatest differences in fecundity, timing of spawning, adductor muscle weight, and shell height to be between locations less than 2 km apart. In the weathervane scallop, *Patinopecten caurinus*, individuals from offshore beds show slower shell growth, a reduced asymptotic height and lower somatic and germinal production than is found in conspecifics from inshore areas, where food is more plentiful (MacDonald and Bourne, 1987). The growth of shell and somatic tissue is enhanced in the scallop *Chlamys islandica* by growing the animals in suspended culture (Wallace and Reinsnes, 1985). The mussel *Mytilus edulis* also exhibits considerable phenotypic variation in reproductive characteristics over a small geographic range (Bayne *et al.*, 1983). Similar observations have been made on fish (Kipling and Frost, 1969; Mann *et al.*, 1984).

According to Calow (1979), selection for enhanced reproductive output should result in a reduction in the lifespan, and there is a considerable body of evidence to support this position. Thus, in *Placopecten magellanicus*, the shift in emphasis from growth to reproduction occurs earlier in scallops from New Jersey than in those from more northerly locations, and total production is greater in the former than the latter, whereas longevity is reduced in these productive, fecund individuals from New Jersey. Further evidence comes from our observations on scallops from various depths at Sunnyside, Newfoundland. Since we were unable to resolve accurately growth lines for animals older than twenty years, these individuals were excluded from our analyses. However, we did record that a greater proportion (25%) of the total number of scallops (426) taken from 31 m depth fell into this category, compared with only 8.6% ( $n = 431$ ) at 10

m. Thus on this extremely small geographic scale, longevity is reduced when food and temperature conditions are favorable and production (somatic and gonadal) by individual scallops is increased. Furthermore, MacDonald (1986) has demonstrated that asymptotic height decreases in *Placopecten magellanicus* grown in suspended culture, which is associated with greater production; and in a review of data from several species of limpets, Grahame and Branch (1985) describe a trend whereby longevity is a decreasing, non-linear function of reproductive output and of the growth coefficient  $K$ .

The importance of water temperature in determining geographic differentiation in growth rate and fecundity has been emphasized by Levinton (1983) and Lonsdale and Levinton (1985). The latitudinal variation in longevity which we have recorded in *Placopecten magellanicus* is certainly correlated with an observed temperature gradient, and at the Sunnyside site there is also an increase in longevity (associated with decreased production) in scallops from deeper, colder water.

There is little information on the degree to which intraspecific variation in growth and reproduction is environmentally induced or genetically determined. Levinton (1983) identified a genetic component to somatic growth differences among latitudinally separated sibling species in a genus of dorvilleid polychaete, and Lonsdale and Levinton (1986) described genetically based differentiation in both growth rate and fecundity along a latitudinal gradient in a harpacticoid copepod. Thompson and Newell (1985) recorded differentiation in the physiological response to high temperature in two latitudinally separated populations of the mussel *Mytilus edulis*, but were unable to determine whether this was genetic or a result of irreversible phenotypic adaptation. In a reciprocal transplant experiment, Widdows *et al.* (1984) found that most of the physiological variation between two populations of *M. edulis* was attributable to environmental rather than to genotypic factors, although acclimatization was not complete and a genetic component may therefore have been present. This is consistent with other observations on *M. edulis* demonstrating that most of the variation in tissue growth is accounted for by site rather than by stock (Mallet *et al.*, 1987). However, in another study in which reciprocal transplants were made between populations of *M. edulis*, Dickie *et al.* (1984) found a large environmental influence on growth combined with a significant genetic effect.

We have no information on genetic control of growth and reproduction in *Placopecten magellanicus*, but the considerable phenotypic plasticity in this species on a microgeographic scale suggests a strong environmental influence, especially since we have correlated changes in growth and reproduction with temperature and food conditions. This does not necessarily imply that local ge-



netic variability is of no importance, since genetic differentiation can still be significant when phenotypic variation in growth and reproductive traits is greater than the genetic component. The giant scallop exhibits a growth pattern described by Sebens (1987) as Type I indeterminate growth (plastic asymptotic growth), in which the size attained by an individual is largely governed by environmental conditions. Such phenotypic variability for a single species merits consideration in any analysis of the adaptive value of reproductive tactics, but has received little attention (Bayne *et al.*, 1983). In the same vein, Stearns (1976) has cautioned investigators against invoking evolutionary explanations to account for reproductive trends without first eliminating genetic and environmental factors, especially food. We conclude that growth and reproductive traits in *Placopecten magellanicus* are inter-related and highly variable on a temporal as well as a spatial scale, as they probably are in many other bivalve species; that local variability often exceeds variation along a latitudinal gradient; and that enhanced reproductive output is associated with reduced longevity.

### Acknowledgments

We thank Dr. R. W. Elner, Dr. E. Gould, and Mr. R. Chandler for arranging scallop collections from St. Andrews and from New Jersey. Collections from Newfoundland were made by staff of the MSRL diving unit. Laboratory assistance was provided by Ms. J. Senciall. We thank Drs. B. L. Bayne, D. J. Innes, J. S. Levinton, and R. I. E. Newell for reviewing the manuscript. The work was supported by an NSERC operating grant to R.J.T. and by scholarships to B.A.M. from the Marine Sciences Research Laboratory and the Faculty of Graduate Studies, Memorial University of Newfoundland. This is Publ. No. D-32001-3-88 of the New Jersey Agricultural Experimental Station, supported by state funds. Marine Sciences Research Laboratory Contribution Number 731.

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# Application of a Two-Dimensional Electrophoresis Method to the Systematic Study of Land Snails of Subgenus *Luchuphaedusa* from Southwestern Japan Islands

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**Abstract.** A land snail, *Tyrannophaedusa* (*Luchuphaedusa*) *ophidoon* (family Clausiliidae), is classified into two types, large and small forms, according to shell size. Using a two-dimensional electrophoresis method, we compared the total protein components of the large form with seven members of *Luchuphaedusa* and three species of different subgenera of the same genus, and obtained the similarity ranging from 0.989 to 0.667. The similarity between the large form and *T. (Decolliphaedusa) bilabrata* was the lowest. Two species of the other subgenus, *Nesiophaedusa*, were very similar to the large form. The differences were very small between two specimens of the large form. The small form of *T. (L.) ophidoon* showed large differences from the large form. Thus, the relationship of the large and small forms is considered to be either closely related interspecific populations or distantly differentiated intraspecific populations, by comparing our result with available data obtained previously. Based on values of the similarity obtained, we discuss possible colonization patterns in *Luchuphaedusa*.

## Introduction

*Luchuphaedusa* is a group of Japanese clausiliid snails originally described by Pilsbry (1901) as "section Luchuphaedusa." Kuroda (1963) placed this group in one of three subgenera in the genus *Tyrannophaedusa*. Based on Pilsbry's original description, this group has distinctive morphological characteristics in the shell aperture, the clausilium, and the plicae. In particular, the clausil-

ium is very peculiar and unlike those of any closely related Clausiliid group.

*Luchuphaedusa* comprises eight species (two having one subspecies) and lives mainly on the Nansei Islands between the Pacific Ocean and the East China Sea (Kuroda, 1963). Only one species inhabits a small area of the Kyushu mainland; two species live on the western islands of Kyushu. Their distribution is distinctive and incompatible with biogeographical boundaries suggested by distributions of terrestrial vertebrates, avians, spiders, insects, and other land snails (Tokuda, 1978). Therefore, it is intriguing to investigate how these slow-moving animals have expanded their habitats, established their unique distribution, and speciated. There is no study so far concerning patterns of their expansion of distribution and speciation and the phylogenetic relationships of species belonging to this group.

*T. (L.) ophidoon* among *Luchuphaedusa* was originally described by Pilsbry (1905) as making up a distinctive "section Oophaedusa." This species has a peculiar shell shape which does not taper at the summit, while shells of other species are shaped like those of ordinary clausiliids. The species has been classified as the large or small form according to shell size (Pilsbry, 1905; Minato, 1985). Although Minato (1985) concluded that these two forms were conspecific, recent studies show that they are considerably different judging from some anatomical characters and allozyme variation (Ueshima, in prep.). Thus, these two forms are very ambiguous in their species identities. Therefore, it is intriguing to examine their characters from different viewpoints and to discuss the phylogenetic position of *T. (L.) ophidoon* in subgenus

*Luchuphaedusa* and taxonomic status of the two forms of this species relating to other species.

We have established a basis for elucidating the evolutionary process of *Luchuphaedusa* by analyzing protein constituents of whole bodies using two-dimensional electrophoresis. The method permits the simultaneous analysis of many characters (Aquadro and Avise, 1981; Miyazaki *et al.*, 1987) and avoids the need to consider intra-specific variation. This is in contrast to one-dimensional electrophoresis which analyzes variable proteins, *i.e.*, enzymes (Ayala *et al.*, 1974; Avise, 1975). We calculate the similarity among species based on two-dimensional electrophoretic patterns and discuss a radiation pattern and the relationships between these land snails.

### Materials and Methods

#### Samples

Species used in this study are listed below. Three species belonging to two different subgenera of *Tyrannophaedusa*, including type species of respective subgenera, were also examined.

- (i) *T. (L.) azumai azumai* and *T. (L.) ophidoon* (large and small forms) from Shimo Koshiki-jima Is.
- (ii) *T. (L.) nesiophaedusa* and *T. (L.) oshimae* from Amami O-shima Is.
- (iii) *T. (Nesiophaedusa) okinoerabuensis* from Okinoerabu-shima Is.
- (iv) *T. (L.) callistochila*, *T. (L.) inclyta*, and *T. (N.) bernardi* from Okinawa Is.
- (v) *T. (Decolliphaedusa) bilabrata* from Sho-o-cho, Okayama, Honshu.

The system of classification was according to Kuroda (1963), although some authors treat *Luchuphaedusa*, *Nesiophaedusa*, and *Decolliphaedusa* as full genera. *Nesiophaedusa*, consisting of two species, is endemic to Nansei Islands and is similar to *Luchuphaedusa* in general shell characteristics (Pilsbry, 1901). *Decolliphaedusa* is widely distributed in the southwestern area of Japan.

*T. (L.) ophidoon* is classified according to shell and anatomical characteristics into large and small forms. A recent study revealed that the large form was composed of two divergent populations inhabiting northern and southern parts of Shimo Koshiki-jima Island (Ueshima, in prep.). Thus, we used only the large form specimens from the southern part of the island to avoid confusion.

A distribution of members of *Luchuphaedusa* and *Nesiophaedusa* and localities where specimens were obtained are shown on the map in Figure 1.

#### Electrophoresis

Whole bodies of land snails, removed from their shells, were used for electrophoresis. One pair of specimens was used for each comparison.

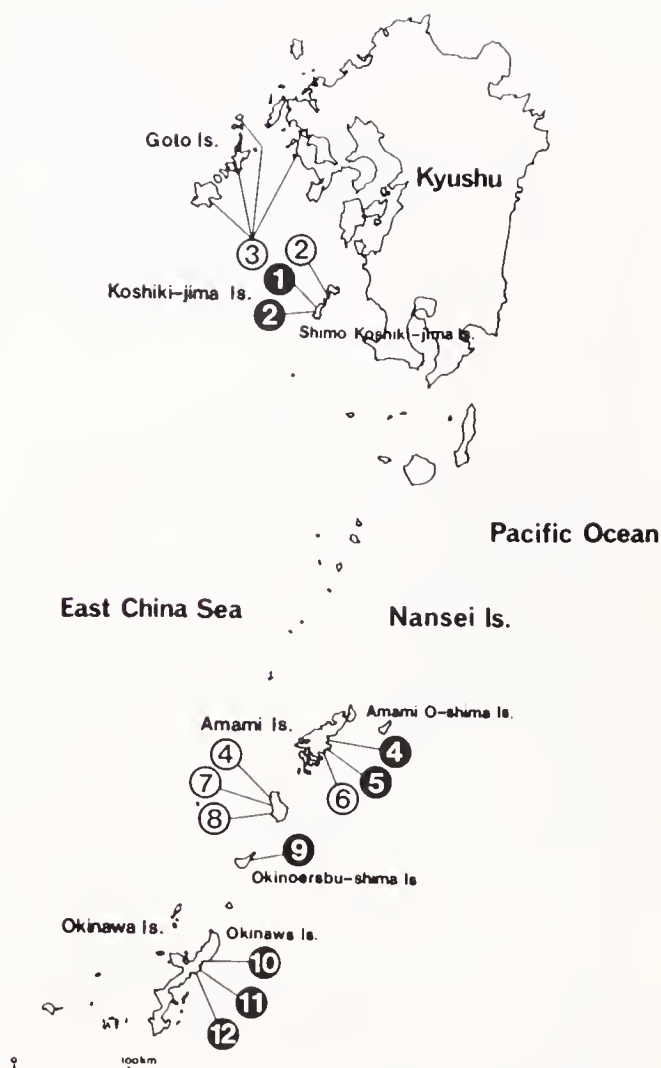


Figure 1. Map of southwestern Japan showing a distribution of members of *Luchuphaedusa* and *Nesiophaedusa* and localities of specimens used in this study. White numbers in closed circles represent islands where specimens for this study were obtained. Black numbers in open circles represent other areas in which members of *Luchuphaedusa* inhabit. 1, The large and small forms of *Tyrannophaedusa* (*Luchuphaedusa*) *ophidoon*; 2, *T. (L.) azumai azumai*; 3, *T. (L.) azumai una*; 4, *T. (L.) nesiophaedusa*; 5, *T. (L.) oshimae*; 6, *T. (L.) mima mima*; 7, *T. (L.) mima tokunoshumana*; 8, *T. (L.) degenerata*; 9, *T. (Nesiophaedusa) okinoerabuensis*; 10, *T. (N.) bernardi*; 11, *T. (L.) callistochila*; 12, *T. (L.) inclyta*. *T. (Decolliphaedusa) bilabrata* is not included.

Sample solubilization and two-dimensional electrophoresis were carried out as described previously (Hirabayashi, 1981; Hirabayashi *et al.*, 1983; Oh-Ishi and Hirabayashi, 1988). In brief, the whole body was homogenized thoroughly in 20 volumes of an extraction medium containing 8 M guanidine HCl, which prevents protease activity completely. The homogenate was dialyzed against 5 M urea and 1 M thiourea and centrifuged



at  $60,000 \times g$  for 45 min. The supernatant (80  $\mu$ l or 120  $\mu$ l) was subjected to the first dimension isoelectric focusing with agarose gels for 12,500 V·h. The second dimension SDS-polyacrylamide gel electrophoresis was performed as described by Laemmli (1970), at 30 mA when the bromophenol tracking dye was in the stacking gel of 3% acrylamide and at 60 mA until the dye reached the lower end of the running gel of a concentration gradient of 12–20% acrylamide. After electrophoresis, proteins were stained by Coomassie brilliant blue as described by Stephano *et al.* (1986).

### Analysis

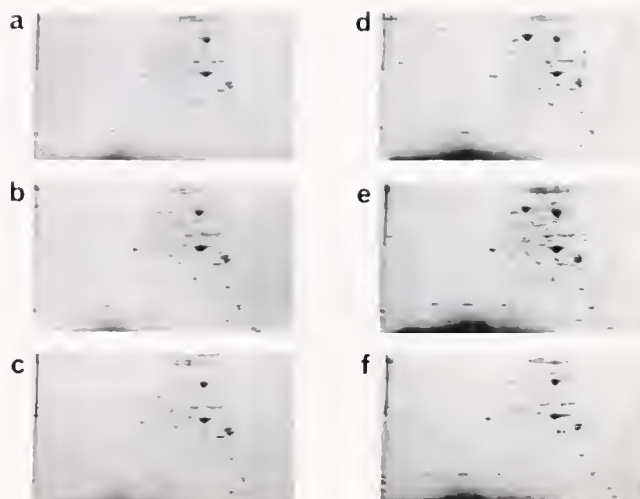
A method for comparison between two specimens has been described (Miyazaki, 1987). For comparison of two-dimensional electrophoresis patterns, we used a triplet method in which two different samples to be compared (each 80  $\mu$ l) and their mixture (60 + 60  $\mu$ l) were focused and electrophoresed at the same time. One set composed of three patterns was examined on photographs. The overlapping of protein spots was confirmed on the mixture pattern after it was presumed from comparison between two individual patterns. A close examination of the mixture pattern is indispensable to identify subtle differences in positions of spots and to judge precisely the overlapping of spots, although only individual patterns were used for comparison by some authors (Ohnishi *et al.*, 1983a; Ohnishi *et al.*, 1983b; Williams, 1984).

In most cases we used the large form as a standard counterpart for comparison, because its taxonomic position relative to others is especially intriguing. About two to four hundred protein spots were examined on each electrophoretic pattern and the similarity was calculated as described by Aquadro and Avise (1981).

### Results

Two typical sets for comparison between two-dimensional electrophoresis patterns are represented in Figure 2. Triplet patterns on the left are for investigating variation between different individuals of the large form of *Tyrannophaedusa* (*Luchuphaedusa*) *ophidoon* (Fig. 2a, b, c). Those on the right are for comparison between the large form of *T. (L.) ophidoon* and *T. (Nesiophaedusa) bernardi* (Fig. 2d, e, f). The pattern of *T. (N.) bernardi* (f in Fig. 2) is very different from that of the large form (d in Fig. 2). The patterns from different specimens of the large form from the same locality (a, c in Fig. 2) are very similar, showing almost no variation between individuals. Middle patterns (b, e in Fig. 2) of each set are derived from mixtures of two different samples.

The similarity was calculated according to the for-



**Figure 2.** Two-dimensional electrophoresis patterns of whole bodies of land snails in genus *Tyrannophaedusa*. Electrophoresis was carried out as described previously (Hirabayashi, 1981; Oh-Ishi and Hirabayashi, 1988). Triplet patterns for investigating variation between different individuals of the large form of *T. (Luchuphaedusa) ophidoon* are represented on the left (a–c). Patterns from two different specimens of the same locality (a and c) and their mixture pattern (b) are shown. Triplet patterns for comparison between the large form of *T. (L.) ophidoon* and *T. (Nesiophaedusa) bernardi* are represented on the right (d–f), where d is the pattern of the large form, f is that of *T. (N.) bernardi*, e is that of their mixture.

mula:  $F = 2N_{xy}/(N_x + N_y)$ , where  $F$  is the similarity between specimens  $x$  and  $y$ ,  $N_{xy}$  is the number of spots shared by  $x$  and  $y$ , and  $N_x$  and  $N_y$  are the numbers of spots scored for  $x$  and  $y$ , respectively (Aquadro and Avise, 1981). The result of calculations is shown in Table 1. The similarity value between different specimens of the large form was very large (0.989), while the value between the large and small forms was smaller (0.913), suggesting that the large and small forms are definitely different populations. The result supports that *T. (Decoliphaedusa) bilabrata* is most divergent from *Luchuphaedusa*, because the similarity between *T. (D.) bilabrata* and the large form was the lowest (0.667) of all combinations examined. However, two species of other subgenus *Nesiophaedusa*, *T. (N.) okinoerabuensis* and *T. (N.) bernardi*, were more similar to the large form rather than some members of *Luchuphaedusa*, such as *T. (L.) inclyta*.

Schematic drawing of a distribution of similarity values is shown in Figure 3. There were three large gaps of values: the first is between the large and small forms (0.076), the second between the small form and *T. (L.) azumai azumai* (0.067), and the third between *T. (L.) inclyta* and *T. (D.) bilabrata* (0.069). One may suppose that the large and small forms which live on Shimo Koshiki-jima Island (Fig. 1) are divergent from *T. (L.) azu-*

Table 1

Similarity among land snails of *Tyrannophaedusa*

| Combination |                                          | Similarity |
|-------------|------------------------------------------|------------|
|             | <i>T. (L.) ophidoon</i> (large form)     |            |
| a           | vs. <i>T. (L.) ophidoon</i> (large form) | 0.989      |
| b           | vs. <i>T. (L.) ophidoon</i> (small form) | 0.913      |
| c           | vs. <i>T. (L.) azumai azumai</i>         | 0.846      |
| d           | vs. <i>T. (L.) nesiiothauma</i>          | 0.834      |
| e           | vs. <i>T. (N.) okinoerabuensis</i>       | 0.824      |
| f           | vs. <i>T. (N.) bernardi</i>              | 0.795      |
| g           | vs. <i>T. (L.) callistochila</i>         | 0.768      |
| h           | vs. <i>T. (L.) oshimae</i>               | 0.766      |
| i           | vs. <i>T. (L.) inclyta</i>               | 0.736      |
| j           | vs. <i>T. (D.) bilabrata</i>             | 0.667      |
|             | <i>T. (L.) callistochila</i>             |            |
| k           | vs. <i>T. (L.) oshimae</i>               | 0.878      |
| l           | vs. <i>T. (N.) okinoerabuensis</i>       | 0.833      |

Similarity is calculated according to Aquadro and Avise (1981). L., subgenus *Luchuphaedusa*; N., subgenus *Nesiophaedusa*; D., subgenus *Decolliphaedusa*.

*mai azumai* of this island, supporting a distinctive position of *T. (L.) ophidoon* as previously described by Pilsbry (1901). However, it should not be concluded unconditionally, because we do not compare directly the small form with *T. (L.) azumai azumai*. Similarly we cannot infer that *T. (L.) callistochila* and *T. (L.) oshimae* are very similar, simply because they were positioned so closely in Figure 3 (the upper line). Therefore, we made the direct comparison between *T. (L.) callistochila* and *T. (L.) oshimae* to learn whether they are closely similar or not. The result gave the largest similarity value (0.875) among values between different species (Table I), meaning that they are very similar as expected from their close positions in Figure 3 (the upper line). This situation is also supported by direct comparison between *T. (L.) callistochila* and *T. (N.) okinoerabuensis*, because they were positioned more distantly (Fig. 3, the upper line) and had a value of lower similarity (0.833) than *T. (L.) callistochila* and *T. (L.) oshimae*. The relationships of *oshimae* and *okinoerabuensis* to *callistochila* are also shown in Figure 3 (the lower line).

### Discussion

Table I shows that the large form of *Tyrannophaedusa* (*Luchuphaedusa*) *ophidoon* is most similar to different specimens of the same form (similarity 0.989) and most different from species of another subgenus, *T. (Decolliphaedusa)* *bilabrata* (similarity 0.667). Therefore, relationships, which are considered to be most closely related or most differentiated, are demonstrated in the similarity values. This suggests that the two-dimensional electro-

phoresis method is suitable for systematic analysis and supports our previous conclusion that this method provides a valuable tool for systematics (Miyazaki *et al.*, 1987).

The method presents a debatable issue that species of the other subgenus, *Nesiophaedusa*, are more similar to the large form than some members of *Luchuphaedusa*. As reported by Pilsbry (1901), the general characters of shells are similar between *Nesiophaedusa* and *Luchuphaedusa*. We found no morphological differences except the clausilium. Therefore, *Luchuphaedusa* may be paraphyletic, since some members of *Luchuphaedusa* are less similar to the other member in this group than the members of the group *Nesiophaedusa*. From these considerations, we propose that *Nesiophaedusa* should be united with *Luchuphaedusa* as one subgenus. This is supported by our result that the similarity value of 0.833 is obtained between *T. (N.) okinoerabuensis* and *T. (L.) callistochila*, the type species of *Luchuphaedusa*. This value corresponds to those (0.824 and 0.795) among two species of *Nesiophaedusa* and the large form of *T. (L.) ophidoon* (Table I).

*T. (L.) nesiiothauma* has a distinctive aperture by which it can be distinguished from other *Luchuphaedusa* species. But the result (Table I) shows that it is very similar to the large form of *T. (L.) ophidoon*. Therefore, it is necessary to examine how similar this species is to other members of *Luchuphaedusa* and to reconsider the taxonomic significance of its aperture difference.

*Luchuphaedusa* shows the peculiar distribution from Nansei Islands to a small area of the Kyushu mainland, which is not interrupted by biogeographical boundaries suggested by the data about other animals (Tokuda, 1978). Thus its radiation pattern is especially intriguing. Our result reveals a correlation between the similarity values and the arrangement of islands. Islands are located in the order of Amami O-shima Is., Okinoerabu-shima Is., and Okinawa Is. toward south from Shimo Koshiki-jima Is. (Fig. 1). The large form of *T. (L.) ophidoon* and two members which are most similar to that form inhabit Shimo Koshiki-jima Is. (Fig. 3, a, b, c). *T.*

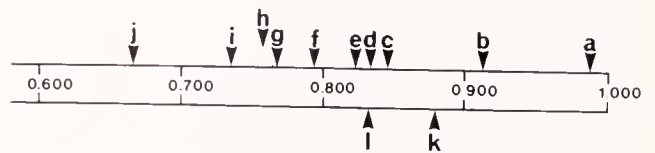


Figure 3. Schematic drawing of a distribution of similarity values among land snails. The similarity values to the large form of *Tyrannophaedusa* (*Luchuphaedusa*) *ophidoon*, which is a standard counterpart for comparison, are shown on the upper line, and those of *T. (L.) oshimae* and *T. (N.) okinoerabuensis* to *T. (L.) callistochila* on the lower line. Letters correspond with those in Table I.



Table II

Distribution of the similarity values between intraspecific or interspecific populations

| Similarity  | Mouse |       | Fruit fly |       | Land snail |       |
|-------------|-------|-------|-----------|-------|------------|-------|
|             | Intra | Inter | Intra     | Inter | Intra      | Inter |
| 1.000-0.981 |       |       | 4         |       | 1          |       |
| 0.980-0.961 |       |       | 1         | 2     |            |       |
| 0.960-0.941 | 1     |       | 1         | 3     |            |       |
| 0.940-0.921 |       |       |           | 6     |            |       |
| 0.920-0.901 | 1     |       |           | 1     | 1*         |       |
| 0.900-0.881 |       |       | 1         | 6     |            |       |
| 0.880-0.861 |       |       |           | 5     |            | 1     |
| 0.860-0.841 |       | 1     |           | 6     |            | 1     |
| 0.840-0.821 |       |       |           | 8     |            | 3     |
| 0.820-0.801 |       | 1     |           | 2     |            |       |

Figures represent the numbers of pairs which show the similarity within the range given in the left column. Intra and Inter indicate intraspecific and interspecific populations, respectively.

\* Represents the position of the similarity between the large and small forms of *T. (L.) ophidoon*. The data on mice is from Aquadro and Avise (1981) and that on fruit flies (*Drosophila montium* species subgroup) is from Ohnishi *et al.* (1983b). Intraspecific populations were not found in ranges below 0.800.

*(L.) nesiothauma*, of which the similarity to the large form is higher next to the two above mentioned members, is an inhabitant of Amami O-shima Is. (Fig. 3, d). *T. (N.) okinoerabuensis* in Okinoerabu-shima Is. is less similar to the large form than *T. (L.) nesiothauma* (Fig. 3, c). *T. (L.) callistochila*, *T. (L.) inclyta*, and *T. (N.) bernardi*, which have the smaller similarity values to the large form, live on Okinawa Is. (Fig. 3, f, g, i). Therefore, the values show that the more remote the island is from Shimo Koshiki-jima Is., the lower similarity the species living on it has. This may be accounted for by sequential colonization of a single ancestor from either Shimo Koshiki-jima Is. or Okinawa Is., and by radiation within each island. *T. (L.) oshima* is the only exception (Fig. 3, h) in this interpretation of radiation of these species. This species lives on Amami O-shima Is., but has significantly lower similarity than *T. (L.) nesiothauma*. After the main current of radiation was over, its ancestor may have come from some other island. The presumptive donor island is possibly Okinawa Is., because the species has the similarity value close to those of other members on this island. It is remarkably similar to *T. (L.) callistochila* in the protein constituent (Table I) and shell morphology.

The last problem concerns the taxonomic positions of the small and large forms of *T. (L.) ophidoon*. Their shell shapes are so peculiar that Pilsbry (1905) referred them to "section Oophaedusa" and included them as a single species. However, they are different to each other not

only in shell size but also in anatomical and biochemical characteristics (Minato, 1985; Ueshima, in prep.). Our result also shows that they constitute considerably different populations, since there is a large gap (0.076) between the two forms (Fig. 3, the upper line). Therefore, it is questionable whether they should be included in the same species or not. When our result is compared with those reported by others using the two-dimensional electrophoresis method (Table II), the relationship between the large and small forms corresponds to that of closely related interspecific or distantly differentiated intraspecific populations. Data suggesting reproductive isolation between these two forms is under study of their allozyme variation (Ueshima, in prep.). Since available data from two-dimensional electrophoresis is insufficient, and since classification systems currently used are probably unique to different taxa, we can only assume taxonomic positions of organisms examined. Collection of data from other organisms by the two-dimensional electrophoresis method and investigation on other characteristics of the two forms are now being carried out to decide more precisely their taxonomic positions.

### Acknowledgments

We express special thanks to Dr. Tetsuo Iwami (Tokyo Kasei Gakuin College) for his advice and encouragement throughout this work. We also thank Mr. Kunitsugu Kawabe and Dr. Sen Takenaka (The University of Tsukuba) for collecting specimens.

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# Sweeper Tentacles in a Gorgonian Octocoral: Morphological Modifications for Interference Competition

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**Abstract.** Elongate tentacles serve an agonistic function in sea anemones and scleractinian corals. Although certain octocorals (soft corals: Octocorallia: Aleyonacea) produce and exude allelochemicals that damage neighboring scleractinian corals, no specialized structures used in agonistic behavior have previously been identified in this large cnidarian subclass. Here, we describe the first evidence of the occurrence and use of specialized agonistic structures, sweeper tentacles, in an octocoral. The encrusting gorgonian *Erythropodium caribaeorum* Pallas (Octocorallia: Gorgonacea) is abundant on shallow reefs in the Caribbean, and competes for space with numerous coral species, sea anemones, and other cnidarians. Zones of contact between this gorgonian and several coral species were observed and recent damage to the coral colonies was noted. Furthermore, the gorgonian develops fields of modified polyps along such borders. These polyps have elongate tentacles termed 'sweeper tentacles,' as in scleractinian corals. Such tentacles lack the side branches (pinnules) characteristic of octocorals in general, and bear a bulbous tip (acrosphere) densely packed with nematocysts. Transplant experiments showed damage to corals placed in contact with the gorgonian's sweeper tentacles and sweeper tentacles were induced when scleractinian corals contacted *Erythropodium* colony borders having exclusively normal tentacles. Thus, sweeper tentacles may contribute to the competitive success of this species in habitats where space is limiting and where there are a number of competing species, many with agonistic mechanisms of their own.

## Introduction

Anthozoan coelenterates are important and abundant members of benthic marine communities from the arctic

sublittoral to the extensive tropical coral reefs of the world. As sessile or sedentary animals with little or no ability to move, such species compete with each other and with members of other phyla for substratum on which to grow. Specialized structures and behaviors used in agonistic encounters were first identified in sea anemones from temperate rocky intertidal habitats. Species such as certain *Actinia* and *Anthopleura* (family Actiniidae) use inflated sacks protruding from below the tentacles, the acrorhagi, to damage neighboring individuals of the same or of other species (Abel, 1954; Bonnin, 1964; Francis, 1973; Williams, 1978; Sebens, 1984). This contact results in tissue necrosis where peels of ectoderm, filled with holotrichous nematocysts, adhere to the affected individual. Anemones forming clonal aggregations asexually show a specialization of function: edge individuals put more energy into producing and using acrorhagi than do center individuals, but forego sexual reproduction (Francis, 1976). There is typically a distinct size advantage when individuals compete in this manner, with the loser, usually the smaller individual, moving away from the region of encounter (Brace and Pavey, 1978; Brace, 1981; Ayre, 1982).

Scleractinian corals, including those species responsible for coral reef formation, compete for space either by direct overgrowth or by one of at least two specific agonistic mechanisms (reviewed by Lang, 1984; Lang and Chornesky, 1988). The first mechanism recognized was termed 'extra-coelenteric digestion' and is triggered when corals of two different species grow into contact (Lang, 1973). One of the species exudes mesenterial filaments, the coral's digestive organs, through the mouth or body wall and onto a neighbor's surface. Digestion of the neighbor's tissues takes place *in situ* resulting in a zone of naked coral skeleton that can then be overgrown. Coral species can be ranked in a clear dominance hierar-

chy (Lang, 1973) although a number of ecological factors also affect the outcome of competition by this method (Sheppard, 1979, 1982a, b, 1985; Wellington, 1980; Bak *et al.*, 1982; Logan, 1984, 1985).

A second mechanism of competition was identified for corals a few years later, the development of 'sweeper tentacles' (den Hartog, 1977; Richardson *et al.*, 1979; Wellington, 1980). Sweeper tentacles differentiate in response to several weeks of contact with corals of other species (Chornesky, 1983; Hidaka, 1985; Hidaka and Yamazoto, 1984; Hidaka and Miyazaki, 1984; Hidaka *et al.*, 1987) and can reach five to ten times the length of normal feeding tentacles, thus 'sweeping' an area adjacent to the coral border and causing tissue necrosis in neighboring polyps after contact. Elongate polyps on colonies of *Goniopora* (Sheppard, 1979, 1982b) may perform a similar function and are termed 'sweeper polyps.'

Anemone species in certain families (*e.g.*, Metridiidae) also use elongate tentacles for agonistic behavior. The 'catch tentacle' or 'fighting tentacle' is a longer and often thickened version of one of the feeding tentacles that can be induced to form by contact with genetically distinct conspecifics or with individuals of other species (Williams, 1975, 1980; Purcell, 1977; Watson and Mariscal, 1983; Fukui, 1986). The tip of this tentacle adheres to another individual contacted breaking off and causing tissue damage where it sticks. The agonistic function of these specialized tentacles is clear, resulting in clonal aggregations with spacing between neighboring clones, although habituation (Purcell and Kitting, 1982) and opposite sex tolerance (Kaplan, 1983) may allow for mingling of some clones.

Octocorals, another dominant group of benthic cnidarians, account for much of the biomass and species diversity on coral reefs as well as in steeply sloping deep sea habitats. Caribbean reefs support numerous species of gorgonians, most of which grow as upright branched colonies. Pacific reefs, on the other hand, lack abundant gorgonians but have numerous Alcyonacean octocorals, commonly termed soft-corals, filling similar roles. Octocorals show tissue rejection responses and cytotoxic effects, potential intraspecific competitive mechanisms, in transplant experiments (Theodor, 1970, 1976; Theodor and Senelar, 1975; Bigger and Runyan, 1979). Recent research has demonstrated that certain soft-corals also produce allelochemicals toxic to nearby scleractinian corals and to other soft-corals (Coll *et al.*, 1982; Sammarco *et al.*, 1983, 1985; LaBarre *et al.*, 1986). This competitive mechanism, as well as direct overgrowth, may account for the abundance of soft-corals in some shallow reef areas of the Pacific. However, soft-corals are susceptible to the agonistic mechanisms of scleractinian corals, and often show damage where such encounters occur (Sammarco *et al.*, 1985; LaBarre *et al.*, 1986). Octocorals were not previously known to develop special-

ized structures used in agonistic encounters. Elongate tentacles have been observed on several species of Gorgonacea and an Alcyonacea (several genera, Muzik, 1983, *T. reesii*, F. Bayer, pers. comm.), although potential agonistic use has not been tested.

*Erythropodium caribaeorum* Pallas, an encrusting gorgonian octocoral, is common on coral reefs throughout the Caribbean. It is the only gorgonian in this region that never produces upright processes but instead forms thin mat-like colonies overgrowing many other species which they contact. Large colonies are particularly abundant in surf-zone and shallow reef habitats (Karlson, 1980, 1983; Sebens, 1982) where the low colony profile may provide some protection from storm-induced damage. Observations of modified tentacles along edges of this species in contact with corals and other neighbors prompted our study of these structures and their function.

## Materials and Methods

Surveys of sweeper tentacle occurrence were conducted in shallow back reef and fore reef habitats at Discovery Bay, Jamaica (March 1988), using snorkeling and SCUBA. Following a depth contour and proceeding in a predetermined direction, boundaries of all *Erythropodium* colonies encountered were examined for contact with other cnidarians. Linear measurements were made of each border where contact occurred. Both neighbors were examined for the presence of damaged areas and for specialized structures (sweeper tentacles, acrorhagi), although sweepers were frequently not visible in contracted colonies of *Erythropodium* and most coral species. Damaged areas were characterized by pallid tissue or denuded coral skeleton. If sweeper tentacles were noticed along a border with a non-cnidarian neighbor, that border was also measured and the condition of the neighbor noted.

Two series of transplant experiments were conducted over a 14 month period in the back-reef at Columbus Park, Discovery Bay, Jamaica (1–4 m depth). In each series, 16–18 large *Erythropodium* colonies were mapped and tagged. Branches or plates of the two corals most common in this habitat, *Madracis mirabilis* and *Agaricia agaricites*, were transplanted next to gorgonian colony edges; eight to ten corals were placed in zones without sweeper tentacles and the same number were placed into zones with obvious sweepers. Coral pieces were taken from large aggregations of branches or plates and were removed such that minimal living tissue areas were damaged. Transplants were positioned with tissues in close proximity but actual contact of the coral skeleton with the octocoral colony surface was minimized. Transplants were fixed in place using underwater epoxy (Pettit Co.), keeping the epoxy away from living surfaces of ei-



ther the transplant or *Erythropodium*. The side of each coral away from contact was the control for the transplant process. A few colonies damaged during transplantation, possibly by inadvertent contact with epoxy, showed generalized necrosis over large areas of the colony in subsequent days to weeks and were omitted (<10 percent of transplants). Another 16 gorgonian colony edges without sweeper tentacles were designated as non-transplant controls for spontaneous sweeper tentacle formation by the gorgonian in regions without cnidarian neighbors.

The initial transplant experiments began in February 1987. A second set was initiated in February 1988. All transplants were examined over the first three days then at 5, 12, and 19 days for signs of new damage (white patches or peeling tissue) and for sweeper tentacle formation on either the corals or on the gorgonian. Night time observations were also made several times during the experiment. Damage was recorded as present or absent; in instances of sweeper tentacle induction, the number of modified polyps was recorded. In July 1987 and January 1988 the initial transplants were examined for evidence of overgrowth by either neighbor.

## Results

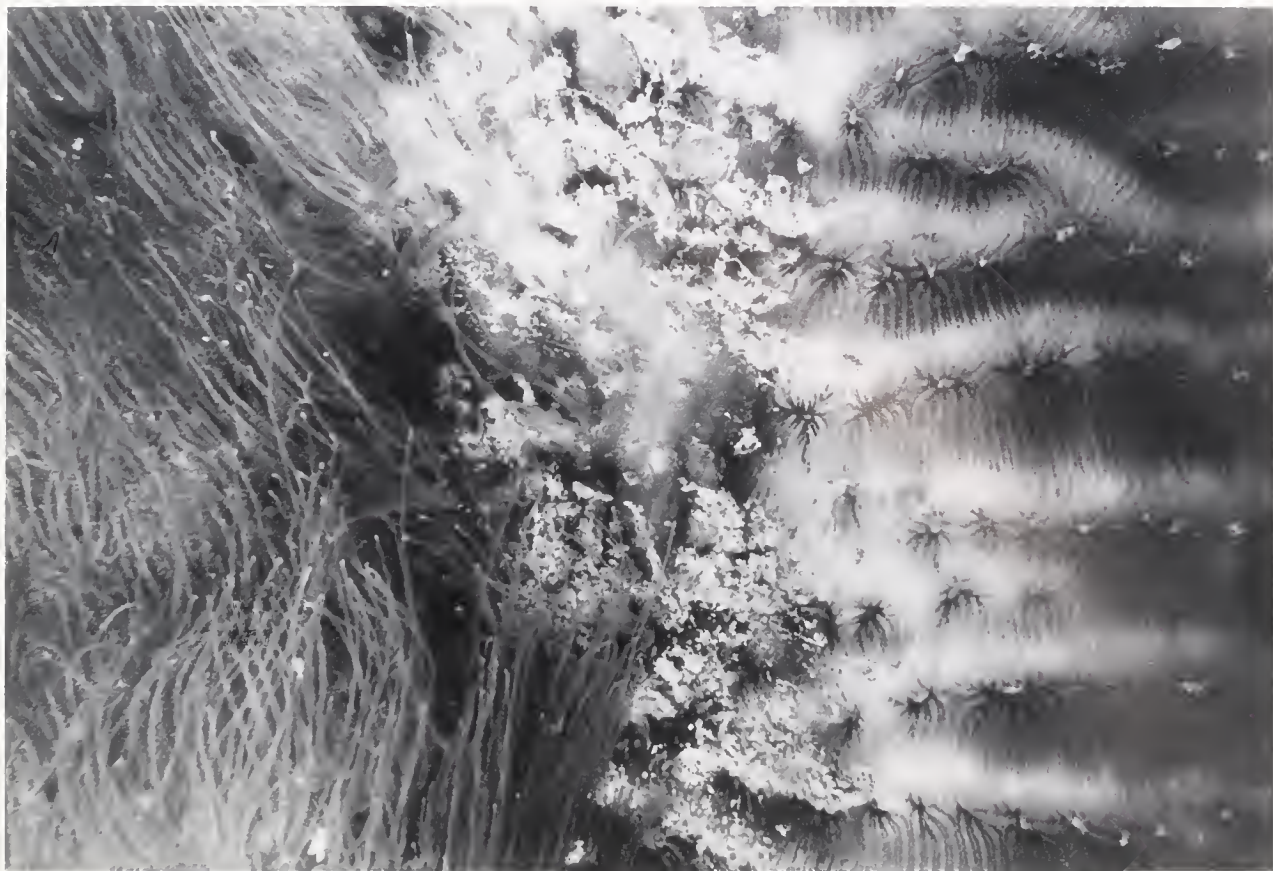
In February 1986 we noticed extensive recent damage to coral colonies adjacent to *Erythropodium* in Discovery Bay, Jamaica (Fig. 1). Close-up photographs of these borders revealed polyps distinct from the normal polyps present on the rest of the *Erythropodium* colony. Normal polyps have eight tentacles of variable length, to approximately 2 cm, with numerous short side branches (pinnales) along their entire length. These tentacles are light to dark brown as a result of abundant symbiotic algae (zooxanthellae) in gastrodermal tissues. The modified tentacles, which we term 'sweeper tentacles' as in the scleractinian corals, were over three times as long as normal tentacles, lacked pinnales, were white to very light brown in color, and had a bulbous tip (acrosphere) (Fig. 2). All eight tentacles of modified polyps generally were of the 'sweeper' form although intermediate forms, where the proximal portion was pinnate and the distal was not, were also common. An extensive survey conducted in 1987 showed that most corals in contact with *Erythropodium* tentacles showed recent damage; almost all such damage was associated with the presence of sweeper tentacles (Table I).

Sweeper tentacles were observed in contact with a number of coral species, several sea anemone species, and a few other non-cnidarian organisms (Table I). Visible damage was observed only on the scleractinian corals which lack the ability to move away from the site of sweeper tentacle formation. Sea anemones are much more mobile and may be able to avoid damage either by

movement or by postural changes. Either event can result in a gain of space for the gorgonian without extensive damage or death of the anemone. Sweeper tentacles appeared to be restricted to the edges near the zone of contact, although polyps one or more centimeters from the edge bore sweepers. Sweepers were never observed scattered over the colony far from the site of contact, as occurs in some scleractinians (Chornesky and Williams, 1983). One non-cnidarian group observed frequently in contact with *Erythropodium* borders bearing sweeper tentacles were crustose red algae (several undetermined species). At first we were skeptical that these algae were the only macroorganisms in contact along such borders. Small hydroids or other invertebrates could be present and hard to see, and might be the cause of the sweeper tentacle formation. However, subsequent detailed examination of several such borders and collected specimens observed in the laboratory convinced us that the algae were the only macroscopic occupants of such zones; yet they did not show obvious damage when adjacent to sweepers.

The series of transplant experiments replicated over two years (Table II, III) confirmed the agonistic function of this gorgonian's sweeper tentacles. Corals transplanted into contact with sweeper tentacles showed damage within a few days to a week. Corals transplanted into contact with *Erythropodium* borders with only normal tentacles showed few instances of damage until several weeks had passed. Furthermore, sweeper tentacles were observed in the latter transplant experiments after approximately three weeks of contact, demonstrating that contact with scleractinian corals can induce the formation of these modified structures in *Erythropodium*. Contingency table analyses of these experimental results are given in Tables II and III. Experiments begun in February 1987 were observed again in July 1987 and in January 1988. Although storms had dislodged many of the transplanted corals, of those that survived, all had been partially overgrown by *Erythropodium*. *Madracis mirabilis* developed sweeper tentacles along edges of contact with *Erythropodium* in three of the six surviving transplants, although damage to the *Erythropodium* was not obvious. We did not observe sweeper tentacle formation by *Agaricia* in the three week studies. The few colonies that survived five and eleven months were not examined at night when these tentacles are usually extended. Chornesky (1983) reports that *A. agaricites* did develop sweeper tentacles following prolonged contact with *Erythropodium*.

Sweeper tentacles differ structurally from normal tentacles in several ways (Fig. 3). First, pinnales are absent in sweeper tentacles, but can be seen in reduced form on nearby tentacles that appear intermediate between normal and sweeper tentacles, probably a transitional stage in their development. Several normal and sweeper tenta-



**Figure 1.** Damaged coral *Agaricia agaricites* adjacent to *Erythropodium* with sweeper tentacles at Discovery Bay, Jamaica, 4 m depth. Scale approximately 6 cm across photograph.

cles were examined at 400 $\times$  using a compound microscope after relaxing them in 7.5%  $MgCl_2$  mixed 50:50 with seawater at room temperature. The gastrodermal layer contained far fewer zooxanthellae in the sweeper tentacles, approximately 86–356 cells per mm relaxed tentacle length *versus* 520–896 cells per mm in normal tentacles ( $n = 3$  each). The epidermal layer of the normal tentacles had few nematocysts, approximately 30–50 nematocysts over an entire tentacle surface ( $n = 3$ ), whereas sweeper tentacles had a thickened epidermis composed primarily of nematocysts and cnidoblasts (nematocyst forming cells). These were present by the hundreds and were too numerous to count in fresh preparations. The tip of a normal tentacle bears a small swelling, sometimes with a cluster of nematocysts, sometimes with very few. Sweeper tentacles form a bulbous tip (acrosphere) two to three times the diameter of the normal tentacle tip. The epidermal layer of the acrosphere is packed with nematocysts several layers deep. A fraction (<20%) of normal tentacles have an acrosphere as well; these may be intermediate forms developing into sweepers. It is likely that both the acrosphere and the epidermis along the entire sweeper tentacle length are involved in causing damage

to neighboring cnidarians. All eight tentacles of each modified polyp become sweeper tentacles, and there appears to be some modification of the polyp itself as well, although it does not greatly elongate. Also, spicules of these polyps were clear compared to the deep red color of spicules in normal polyps.

### Discussion

This study identified agonistic structures in the subclass Octocorallia, a modified polyp and tentacles that serve an agonistic function in competition for space with other anthozoan cnidarians and possibly with non-cnidarian space competitors as well. The sweeper tentacles of *Erythropodium* are much more numerous and extensive than sweeper tentacles we have observed on scleractinian corals. Fields of sweeper tentacles (8 per polyp) on hundreds of polyps were common along edges in contact with both corals and anemones. These sweeper tentacles are able to kill tissue of adjacent scleractinians, potentially enabling *Erythropodium* to subsequently overgrow the denuded coral skeleton, an advance which may not have been possible while the coral edge was still alive. It





Figure 2. A. Sweeper tentacles of *Erythropodium* extended *in situ*. Scale approximately 2.2 cm across photograph. B. Normal pinnate tentacles, scale approximately 1.7 cm across photograph (Discovery Bay, Jamaica, 3 m depth).



Table I

Survey of interactions along *Erythropodium* borders

| Species                     | Total | With sweepers |     |                            | Without sweepers |     |                           |
|-----------------------------|-------|---------------|-----|----------------------------|------------------|-----|---------------------------|
|                             |       | N (S)         | Dam | Length<br>(cm, $\pm$ S.D.) | N                | Dam | Length<br>(cm $\pm$ S.D.) |
| <i>Agaricia agaricites</i>  | 37    | 28            | 26  | $5.6 \pm 3.1$              | 9                | 1   | $3.1 \pm 2.9$             |
| <i>Briareum asbestinum</i>  | 14    | 0             | 0   | —                          | 14               | 0   | $2.5 \pm 1.2$             |
| <i>Condylactis gigantea</i> | 5     | 3             | 0   | $6.7 \pm 2.9$              | 2                | 0   | $16.0 \pm 5.7$            |
| <i>E. caribaeorum</i>       | 7     | 7             | 0   | $4.4 \pm 2.9$              | 0                | 0   | —                         |
| <i>Heteractis lucida</i>    | 35    | 28            | 0   | $11.2 \pm 6.6$             | 7                | 0   | $7.7 \pm 2.2$             |
| <i>Palythoa caribaeorum</i> | 6     | 0             | 0   | —                          | 6                | 0   | $4.2 \pm 3.1$             |
| <i>Porites astreoides</i>   | 3     | 1             | 1   | —                          | 2                | 1   | $4.5 \pm 0.7$             |
| Red crustose algae          | 52    | 52            | 0   | $2.9 \pm 1.2$              | 0                | 0   | —                         |

Surveys of *Erythropodium* borders (1987), noting the condition of neighboring cnidarian colonies or individuals (damaged or not), and the presence of sweeper tentacles on *Erythropodium*. Instances where sweeper tentacles were observed adjacent to non-cnidarian neighbors are also noted. Additional observations of sweeper tentacles and damage to adjacent colonies are given for other colonies examined, but which were not part of the systematic survey. Number of observations in parentheses. N = number of contacts. N (S) = number of contacts with sweeper tentacles on *Erythropodium*, Dam = number of cases where neighbor was damaged, length = mean length of border, S.D. = standard deviation.

Other borders with sweepers on *Erythropodium*. Corals: *A. agaricites* (5); *Diploria strigosa* (1); *Eusmilia fastigiata* (1); *Favia fragum* (1); *Madracis mirabilis* (2); *Meandrina meandrites* (2); *Montastrea annularis* (1); *M. cavernosa* (3); *Mycetophyllia* sp. (1); *P. astreoides* (6); *Porites furcata* (1); *Porites porites* (1); *Siderastrea siderea* (2); Anemones: *Aiptasia tagetes* (1); *Bartholomea annulata* (1); *H. lucida* (7); *Lebrunia danae* (1); *Telmatactis* sp. (2); Hydrozoa: *Millepora alvicornis* (3); *M. complanata* (4); unidentified hydroid (2); Sponges: *Chona* sp. (1); *Iotrochota birotulata* (2); unid. green demosponge (1); Others: *didemnid* ascidian (1); *E. caribaeorum* (3); *P. caribaeorum*, zoanthid (5); *Paradisicosoma neglecta*, corallimorpharian (1); *Zoanthus solanderi*, zoanthid (1); algal mat (1).

Table II

Results of transplant experiments of corals into contact with *Erythropodium* (1987 series)

|                            | Initial | Week 1 (5 days) |     |       | Week 3 (19 days) |     |                 |
|----------------------------|---------|-----------------|-----|-------|------------------|-----|-----------------|
|                            | N       | N               | Dam | N (S) | N                | Dam | N (S)           |
| <i>Erythropodium</i> with  |         |                 |     |       |                  |     |                 |
| <i>Madracis mirabilis</i>  |         |                 |     |       |                  |     |                 |
| Edges with sweepers        | 10      | 8               | 6   | —     | 6                | 6   | —               |
| opp. side controls         | 10      | 8               | 0   | —     | 7                | 0   | —               |
| Edges without sweepers     | 10      | 8               | 2   | 0     | 7                | 2   | 2 (6, 5)        |
| opp. side controls         | 10      | 8               | 0   | —     | 6                | 0   | —               |
| Non-transplant controls    | 10      | 8               | —   | 0     | 7                | —   | 0               |
| <i>Erythropodium</i> with  |         |                 |     |       |                  |     |                 |
| <i>Agaricia agaricites</i> |         |                 |     |       |                  |     |                 |
| Edges with sweepers        | 8       | 8               | 5   | —     | 8                | 8   | —               |
| opp. side controls         | 8       | 8               | 0   | —     | 8                | 0   | —               |
| Edges without sweepers     | 8       | 8               | 3   | 0     | 8                | 7   | 4 (8, 3, 8, 10) |
| opp. side controls         | 8       | 8               | 0   | —     | 8                | 0   | —               |
| Non-transplant controls    | 8       | 8               | —   | 0     | 8                | —   | 0               |

Results of 1987 transplant experiments at Columbus Park, Discovery Bay, Jamaica. Small plates or branches of the two most common coral species in this habitat, *Madracis mirabilis* and *Agaricia agaricites*, were transplanted into contact with *Erythropodium* edges initially with and without sweeper tentacles. N = number of surviving transplants, Dam = number with damage to corals in contact region, N (S) = number of edges with sweeper tentacles on *Erythropodium*. Numbers in parentheses are counts of polyps with newly developed sweeper tentacles along each edge where they developed during the experiment.

G-test for independence (with Yates' correction) show significantly greater occurrence of damage next to sweeper tentacles than occurred on opposite sides of transplanted corals (opp. side controls,  $G = 8.2$  ( $P < 0.005$ ) at 5 days;  $G = 11.9$ , ( $P < 0.005$ ) at 19 days for *M. mirabilis*.  $G = 5.1$ , ( $P < 0.05$ ) at 5 days;  $G = 14.7$ , ( $P < 0.005$ ) at 19 days for *A. agaricites*.) Damage next to edges without sweepers was not significant after 5 days for either species compared to opp. side controls, but was significant for *A. agaricites* after 19 days,  $G = 11.2$ , ( $P < 0.005$ ). Damage frequency at edges with sweepers differed significantly from that at edges without sweepers for *M. mirabilis* only at 19 days,  $G = 4.8$  ( $P < 0.05$ ).

Table III

Results of transplant experiments of corals into contact with *Erythropodium* (1988 series)

|                                                         | Initial<br>N | Week 1 (4–5 days) |     |       | Week 3 (19 days) |     |                                 |
|---------------------------------------------------------|--------------|-------------------|-----|-------|------------------|-----|---------------------------------|
|                                                         |              | N                 | Dam | N (S) | N                | Dam | N (S)                           |
| <i>Erythropodium</i> with<br><i>Madraca mirabilis</i>   |              |                   |     |       |                  |     |                                 |
| Edges with sweepers                                     | 12           | 8                 | 4   | —     | 6                | 5   | —                               |
| opp. side controls                                      | 12           | 8                 | 0   | —     | 6                | 0   | —                               |
| Edges without sweepers                                  | 13           | 11                | 1   | 0     | 6                | 1   | 2 (2, 3)                        |
| opp. side controls                                      | 13           | 11                | 0   | —     | 6                | 0   | —                               |
| Non-transplant controls                                 | 13           | 13                | —   | 0     | 13               | —   | 0                               |
| <i>Erythropodium</i> with<br><i>Agaricia agaricites</i> |              |                   |     |       |                  |     |                                 |
| Edges with sweepers                                     | 14           | 13                | 12  | —     | 8                | 6   | —                               |
| opp. side controls                                      | 14           | 13                | 0   | —     | 8                | 0   | —                               |
| Edges without sweepers                                  | 14           | 14                | 2   | 0     | 13               | 6   | 7 (10, 4, 3, 4, 5,<br>>10, >10) |
| opp. side controls                                      | 14           | 14                | 0   | —     | 13               | 0   | —                               |
| Non-transplant controls                                 | 14           | 14                | —   | 0     | 13               | —   | 0                               |

Results of 1988 transplant experiments of corals into contact with *Erythropodium*. Abbreviations same as Table I.

G-tests for independence (with Yates' correction) show significantly greater occurrence of damage next to sweeper tentacles than occurred on opposite sides of transplanted corals (opp. side controls: not sig. at 5 days,  $G = 6.12$ , ( $P < 0.025$ ) at 19 days for *M. mirabilis*,  $G = 22.4$ , ( $P < 0.005$ ) at 5 days,  $G = 7.46$  ( $P < 0.01$ ) at 19 days for *A. agaricites*). Damage next to edges without sweepers was not significant versus opposite side controls after 5 days for either species but was significant for *A. agaricites* after 19 days,  $G = 6.16$ , ( $P < 0.025$ ). Damage frequency at edges with sweepers differed significantly from that at edges without sweepers for *A. agaricites* only at 5 days,  $G = 14.94$  ( $P < 0.005$ ) and not for *M. mirabilis* at either time.

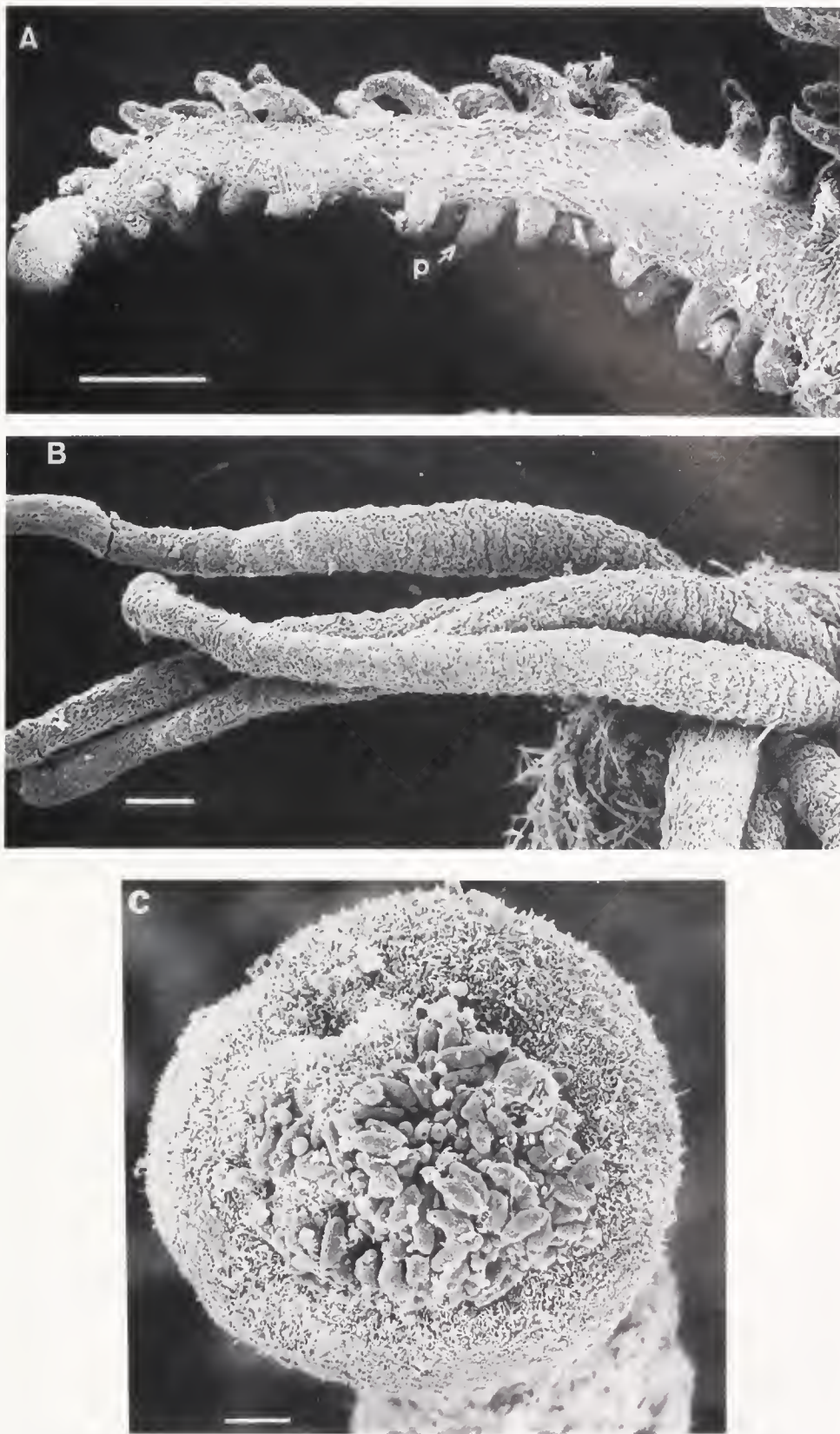
is interesting that these structures were discovered in the only completely encrusting gorgonian species in the Caribbean; the encrusting habit brings the growing edges and tentacles into frequent contact with other competitors for primary space. The upright habit of other gorgonians allows them to avoid most such space competition on the primary substratum, although certain other upright cnidarian species, such as fire-corals (*Millepora* spp.), attack and take space from erect gorgonians then use the denuded gorgonian axis as substratum (Wahle, 1980).

*Erythropodium* is a common cnidarian on shallow Caribbean reefs, yet its ecology is poorly understood. It is avoided by most fish and invertebrate predators (Sebens, 1982) and contains potent toxins effective at least against vertebrate predators (Pawlik *et al.*, 1987). However, J. D. Witman (unpub. data) reports numerous observations of feeding on *Erythropodium* by the predatory gastropod *Cyphoma gibbosum* and Karlson (1983) notes predation by the sea urchin *Diadema antillarum* and by the fire-worm *Hermodice carunculata*. In shallow habitats just behind the reef crest at Discovery Bay, Karlson (1980) observed *Erythropodium* overgrowing the zoanthids *Zoanthus solanderi* and *Palythoa caribaeorum* and the corals *Porites astreoides*, *P. porites*, *Agaricia agaricites*, *Siderastrea siderea*, and *Acropora palmata*, accounting for 73% of 37 mapped overgrowth interactions at his study site. *Erythropodium* also is an aggressive competitor for space in Lameshur Bay, St. John, U.S.V.I., where

it overgrew four scleractinian species, two hydrocoral species, one zoanthid, an ahermatypic coral, and nine sponge species in quadrats monitored for two years (J. Witman, unpub. data, photographic monitoring of 32 0.25 m<sup>2</sup> quadrats at 6 m and 13 m depth, 1985–1987).

In our experiments, *Erythropodium* damaged and overgrew two coral species that are abundant on the same shallow reefs. Observations and surveys indicate that *Erythropodium* is a successful space competitor compared to most other coral species as well, based on incidence of damage and edge overlap. However, because of its non-rigid structure, it is difficult to tell whether any corals have damaged or taken space from *Erythropodium*. Certain edges in contact with corals and anemones were pale in color with widely spaced polyps, many of which remained retracted while all other polyps on the colony were fully expanded. It is possible that such zones represent areas where the colony has been injured and is retreating, resorbing tissue, and thus losing space to a superior competitor.

Sweeper tentacles of *Erythropodium* were observed frequently in contact with crustose red algae. All previous studies of cnidarian agonistic behaviors suggest that these behaviors are effective only against other cnidarians, except for studies in which algal growth was reduced in zones of contact with anthozoan tentacles (Bak and Borsboom, 1984; DeRuyster van Stevenink *et al.*, 1988). Reduced algal colonization also was noted in



**Figure 3.** Scanning electron micrographs of normal (A) and sweeper (B) tentacles of *Erythropodium*. Note the absence of side branches (pinnules, P) on the sweeper tentacle and the knobbed tip (acrosphere) on both tentacles. Scale bars 100  $\mu\text{m}$  in A, B. (C) Enlarged view of acrosphere from a sweeper tentacle with a central cluster of large nematocysts. Scale bar 10  $\mu\text{m}$ .



scraped patches within a Pothodid bed (Sebens, 1982). Results such as these indicate that anthozoan mucus or nematocysts may have negative effects on adjacent algae and provide a possible explanation of why sweeper tentacles might form in response to crustose algae in this case. The need for such defense was illustrated by several observations of partial over growth by shelves of crustose algal thallus that had lifted off the substratum and had partially overgrown edges of corals and of several *Erythropodium* colonies in our study area.

Sweeper tentacles also were noted in several instances where two *Erythropodium* colonies contacted each other with no intervening substratum. Although the evidence is not experimental, it suggests that sweeper tentacles are used intraspecifically as well as interspecifically in this species. Corals also are able to recognize genetically different colonies or colony fragments, resulting in fusion or non-fusion at intraspecific colony borders (Hildebrand *et al.*, 1979; reviewed by Lang and Chornesky, 1988). Intraspecific use of sweeper tentacles also has been observed (Sheppard, 1982; Hidaka and Yamazoto, 1984; Sebens, in Lang, 1984), and thus must involve colony genotypic recognition, as in the fusion process.

The development and use of sweeper tentacles is a newly recognized competitive mechanism in the subclass Octocorallia. Although 'sweeper tentacles' have been recorded in erect species including *Corallium* (Gorgonacea, Abel, 1970), *Bebryce*, *Cyclomuricea*, *Villogorgia*, and *Acalycigorgia* (Gorgonacea, Muzik, 1983, note photographs), and *Dendronephthya* (Alcyonacea, Muzik, 1983), each was described as a potential feeding structure and none have been demonstrated to have an agonistic function. Such tentacles are held well off the substratum, were not in potential contact with neighboring organisms, and were not devoid of pinnules. Alcyonacean octocorals in Australia produce allelochemicals that can damage adjacent anthozoans (Coll *et al.*, 1982; Sammarco *et al.*, 1983, 1985; LaBarre *et al.*, 1986). However, this competitive mechanism apparently does not rely on specialized structures. The delivery of nematocysts, and their toxins, to the surface of a competitor depends on structures that can span the distance between neighbors. Sweeper tentacles, several times as long as the feeding tentacles, are an obvious way to do this. Similarly, mesenterial filament extrusion by corals delivers both digestive enzymes and nematocysts to a neighbor's surface. Sea anemones with acrorhagi depend on inflation of acrorhagi and on extension and bending of the column to achieve this added reach.

The appearance of sweeper tentacles in relatively few families within three separate orders of the class Anthozoa (Actiniaria, Scleractinia, Gorgonacea) suggests that this competitive mechanism may have evolved independently several times. Tentacles are usually the first part of an anthozoan to contact a neighbor, and they already

contain nematocysts used for feeding and for defense against predators. Therefore, feeding tentacles of edge polyps are a likely structure to develop a competitive or agonistic function. The feeding and defensive functions of tentacles can thus be considered pre-adaptations with regard to competition for space. Alternatively, they may represent a primitive character shared by all three orders but expressed in relatively few families, genera, and species. The discovery of sweeper tentacles in *Erythropodium* should stimulate examination of other gorgonian and alcyonacean species for the presence of similar structures. It may then be possible to determine whether this is a unique adaptation to local conditions in this species, or whether it is a more general phenomenon present in encrusting octocorals from other geographic regions or habitat types as well.

### Acknowledgments

We thank R. Aronson and the East/West Program students for field assistance, and the Discovery Bay Marine Laboratory, University of the West Indies, for allowing use of facilities and boats. We also thank W. Fowle, M. P. Morse, and N. W. Riser for assistance with light and electron microscopy techniques, B. L. Thorne and J. Witman for improvements to the manuscript, and L. Chornesky and one other reviewer for many helpful suggestions. This is contribution No. 166 of Northeastern University's Marine Science Center and No. 460 of the Discovery Bay Marine Laboratory, Univ. of the West Indies.

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## Gill Hemoglobin May Deliver Sulfide to Bacterial Symbionts of *Solemya velum* (Bivalvia, Mollusca)

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**Abstract.** Two different hemoglobins occur in nearly equal concentrations in the gill of the bivalve mollusc, *Solemya velum* (total hemoglobin concentration is 200  $\mu\text{M/kg}$  wet weight gill). A spectrophotometric study of intact gill filaments demonstrates that in the absence of sulfide, the gill hemoglobin may be oxygenated and deoxygenated, with part (5–20%) in the aquo ferric form. In the presence of sulfide, about half of the gill hemoglobin is rapidly and reversibly converted to ferric hemoglobin, which then binds sulfide to form ferric hemoglobin sulfide (ferric hemoglobin with sulfide ligated to the heme iron in the distal ligand position); the balance continues to bind oxygen as oxyhemoglobin. *S. velum* inhabits reduced marine sediments where oxygen and hydrogen sulfide meet, and houses a dense population of intracellular chemoautotrophic sulfur-oxidizing symbiotic bacteria in its gill. We suggest that gill hemoglobins may mediate sulfide and oxygen delivery to the bacterial symbiont. Because sulfide is the dominant electron donor to fuel the *Solemya*/bacteria symbiosis, a cytoplasmic sulfide-binding protein that prevents the spontaneous reaction of sulfide with oxygen may be of utility in the nutrition of the animal.

### Introduction

Intracellular chemoautotrophic bacteria, symbionts in the gills of certain molluscs, use the energy derived from the aerobic oxidation of sulfide (or other reductants) to fix carbon dioxide into organic compounds. Such bacterial symbionts form the primary base of the food chain

of the dense populations of molluscs and giant tube worms at the deep sea hydrothermal vents (Cavanaugh *et al.*, 1981; Cavanaugh, 1985; Felbeck *et al.*, 1985). These symbiotic associations supply the majority of the carbon nutrition of the host (Cavanaugh, 1983; Felbeck, 1983; Dando *et al.*, 1985, 1986; Fisher and Childress, 1986; Anderson *et al.*, 1987; Southward, 1987).

Here we study the association between *Solemya velum*, an accessible small clam inhabiting the reduced sediment of eelgrass beds where oxygen and hydrogen sulfide meet, and chemoautotrophic bacteria housed in its gill (Cavanaugh, 1983). The gill obtains both sulfide and oxygen from the stream of seawater flowing through the mantle cavity, but the supply of sulfide and oxygen may be temporally separate as the animal moves within its burrow from the upper arms where oxygen predominates to the basal stem deeper in the sediment where sulfide predominates (Stanley, 1970; Jenner, 1977; Doeller, 1986). An intermittent supply suggests a need to store sulfide and oxygen in the gill. The diffusion path to the most remote bacterium housed in gill filament bacteriocytes is long (10–15  $\mu\text{m}$ ; Cavanaugh, 1983; Doeller, 1986), suggesting a need for facilitation of sulfide and oxygen diffusion to meet the demand by the symbionts.

Cytoplasmic hemoglobin is characteristic of the gills of most bivalves housing sulfur-oxidizing symbionts (Dando *et al.*, 1985; Wittenberg, 1985; *Lucinoma borealis* with symbionts may lack gill hemoglobin, Dando *et al.*, 1986; *Yoldia limatula* with few or no symbionts has gill hemoglobin at high concentration, Wittenberg, 1985; *Nucula proxima* with no symbionts has gill hemoglobin at low concentrations, Doeller, Kraus, and Smith, unpub. data). *S. velum* lacks a circulating hemoglobin (blood oxygen transport is assured by a circulating hemo-



cyanin, Mangum *et al.*, 1987), but has hemoglobin (200  $\mu\text{mol/kg}$  wet weight gill) located in the bacteriocytes as well as other cells of the gill (Doeller, 1986). We have isolated two different cytoplasmic hemoglobins in roughly equal amounts from the gill. One reacts solely with oxygen and the other reacts with sulfide as well. In this paper we will show that about half of the hemoglobin in the living gill reacts with hydrogen sulfide, and argue that the sulfide-reactive hemoglobin serves to make sulfide available to the bacterial symbionts.

## Materials and Methods

### *Animals*

*Solemya velum* was collected from intertidal and subtidal sandy shoals near Morehead City, North Carolina, and from tidal mud flats near Woods Hole, Massachusetts. Average animal size was 1.7 cm long, with 0.04 g gill wet weight.

### *Isolation of Solemya hemoglobins*

Gills were frozen in liquid nitrogen, pulverized, and extracted by a modification of the method of Schuder *et al.* (1979). The hemoglobin was fractionated with ammonium sulfate. Two different hemoglobin fractions were obtained by successive chromatography on Sephadex G100 and Sephadex DEAE A50 (Wittenberg and Wittenberg, 1981). The fraction emerging first from the DEAE column will be called Fraction I; that emerging second will be called Fraction II. Fraction I will be shown to react with sulfide.

### *Rates of oxygen dissociation*

These were determined using a Gibson-Durrum stopped-flow spectrophotometer (Olson, 1981). The rate of oxygen dissociation from hemoglobin was determined by displacement of oxygen with carbon monoxide. A solution containing oxyhemoglobin (3  $\mu\text{M}$ ) and oxygen (20  $\mu\text{M}$ ) was mixed rapidly with a solution of carbon monoxide (1  $\text{mM}$ ) in the same buffer. Temperature was 20.0°C. The rate of the observed kinetic event was independent of carbon monoxide concentration (0.5–1  $\text{mM}$ ) and the rate of combination of carbon monoxide with deoxyhemoglobin is too fast to measure in our apparatus at these carbon monoxide concentrations.

### *Gas mixtures*

Mixtures of oxygen, nitrogen, and carbon monoxide were prepared using a Tylan (Carson, CA) mass flow controller, humidified in a bubbler, and passed through the cuvette at a flow of 100 ml/min. Hydrogen sulfide and hydrogen cyanide were added to the humidified gas

mixture from a gas-tight syringe (Hamilton) driven by a syringe pump.

### *Acquisition of optical spectra*

In some experiments, optical spectra were acquired with a microspectrophotometer (Colacino and Kraus, 1984) and recorded from 440 to 400 nm at 2 nm intervals. Temperature was controlled at  $20 \pm 0.5^\circ\text{C}$ . In subsequent experiments, optical spectra were acquired using a Cary model 14 recording spectrophotometer equipped with a Cary scattered transmission accessory and an Aviv digital data acquisition and analysis system (Lakewood, NJ). Optical spectra were recorded from 650 to 360 nm at 0.5 nm intervals. These experiments were performed near 24°C.

### *Optical spectra of purified hemoglobins*

These were acquired using a thin-layer cell modified from Gill's (1981) design. Oxygenated and carbon monoxide hemoglobin were generated by exposing the hemoglobin to these gases. Deoxyhemoglobin was generated by exposing hemoglobin in a solution containing dithiothreitol to oxygen-free nitrogen. Dithiothreitol serves to reduce ferric hemoglobin to ferrous (deoxygenated) hemoglobin. Ferric hemoglobin sulfide was prepared from *Solemya* Hb I in two independent ways. In the first, ferric hemoglobin was exposed to hydrogen sulfide (0.4 torr) in nitrogen. In the second, oxyhemoglobin was exposed to a gas mixture containing oxygen (2 torr), hydrogen sulfide (0.4 torr), balance nitrogen.

### *Optical spectra of gill filaments*

Freshly collected animals in seawater were cooled over ice. The gills were exposed after cutting the fused mantle tissue to open the valves. For experiments using the microspectrophotometer, 3–5 individual gill filaments (about 60–100  $\mu\text{m}$  thick) were placed between two gas-permeable membranes (Teflon, 6  $\mu\text{m}$  thick, Dilectrix Corp.) and held in a gas-tight cuvette (Colacino and Kraus, 1984). The ambient oxygen pressure or hydrogen sulfide pressure to achieve volume-average steady state half saturation of the total gill hemoglobin with oxygen or of about half the gill hemoglobin with hydrogen sulfide was calculated from absorbance changes at the wavelength pairs 415 and 430 nm, and 420 and 430 nm, respectively. The time to reach unchanging absorbance was less than 20 min. To obviate any complexities of dealing with oxygen and sulfide together during steady state measurements, the effect of sulfide on the gill hemoglobin was studied in gills held in nitrogen.

For experiments using the Cary spectrophotometer, approximately 30–50 individual gill filaments were cut

free from the central ligament of the gill and allowed to form a continuous layer of partially overlapping filaments (about 50 filaments or 100–200  $\mu\text{m}$  thick) on the gas-permeable membrane window (MEM 213, 25  $\mu\text{m}$  thick, General Electric Corp., Schenectady, NY) of the cuvette used in the Cary spectrophotometer. The sample was covered with a second membrane and the assembly was placed in a gas-tight cuvette. A single layer of parafilm was used to attenuate the Cary reference beam and to balance light scattering. The spectral contribution of hemoglobin in intact gill filaments is partially obscured by contributions from bacteria, mitochondria, other cell components, and by wavelength-dependent optical distortions. These unchanging contributions were cancelled by taking difference spectra constructed with computer assistance (Wittenberg and Wittenberg, 1986).

## Results

### *Properties of the purified hemoglobins*

Each component eluted from the Sephadex G100 column in a position near that expected for a monomeric hemoglobin of molecular weight about 17,000. The rate of oxygen dissociation,  $k'$ , for Hb I was  $65\text{ s}^{-1}$ . This rate, although rapid, is characteristic of gill hemoglobins of many clams (Wittenberg, unpub.). The dissociation rate for Hb II was  $35\text{ s}^{-1}$ . The combination rate constants have not yet been determined.

One of the purified hemoglobins, Hb I, when exposed to hydrogen sulfide (0.4 torr) at an oxygen pressure (2 torr) low enough to partially desaturate the hemoglobin, formed a spectral entity unequivocally identified as ferric hemoglobin sulfide; that is, ferric hemoglobin with sulfide ligated to the heme iron atom in the distal ligand position (Keilin, 1933). The product is identified by the following properties. The optical spectrum is identical to that of the product formed by reaction of ferric *Solemya* Hb I with hydrogen sulfide (see Fig. 2C, trace 2) and is closely similar to the spectrum reported by Keilin (1933) for ferric human hemoglobin sulfide. The spectrum is also nearly identical to those of ferric *Lucina pectinata* hemoglobin sulfide and ferric sperm whale myoglobin sulfide (Kraus and Wittenberg, in prep.). There was an insufficient quantity of isolated *Solemya* hemoglobin to allow chemical determination of the stoichiometry between sulfide and heme. Identity of ferric *Lucina pectinata* hemoglobin sulfide and ferric sperm whale myoglobin sulfide was established by demonstrating that one mole of sulfide was bound per mole heme (Kraus and Wittenberg, in prep.). The identity of ferric *Lucina* hemoglobin sulfide was confirmed by electron paramagnetic resonance (EPR) spectroscopy which gave the expected signature (Berzofsky *et al.*, 1971; Kraus and Wittenberg, in prep.).

In contrast to Hb I, *Solemya* Hb II remained in the oxygenated form when exposed to mixtures of hydrogen sulfide and oxygen. Neither purified hemoglobin formed the green compound, sulphemoglobin, under the above conditions, although under these conditions, whale myoglobin was converted to sulfmyoglobin (Berzofsky *et al.*, 1971). In this report, the hemoglobin components will be called the sulfide-reactive and sulfide-unreactive hemoglobins.

### *Oxygen pressure to half saturate the gill hemoglobin in situ*

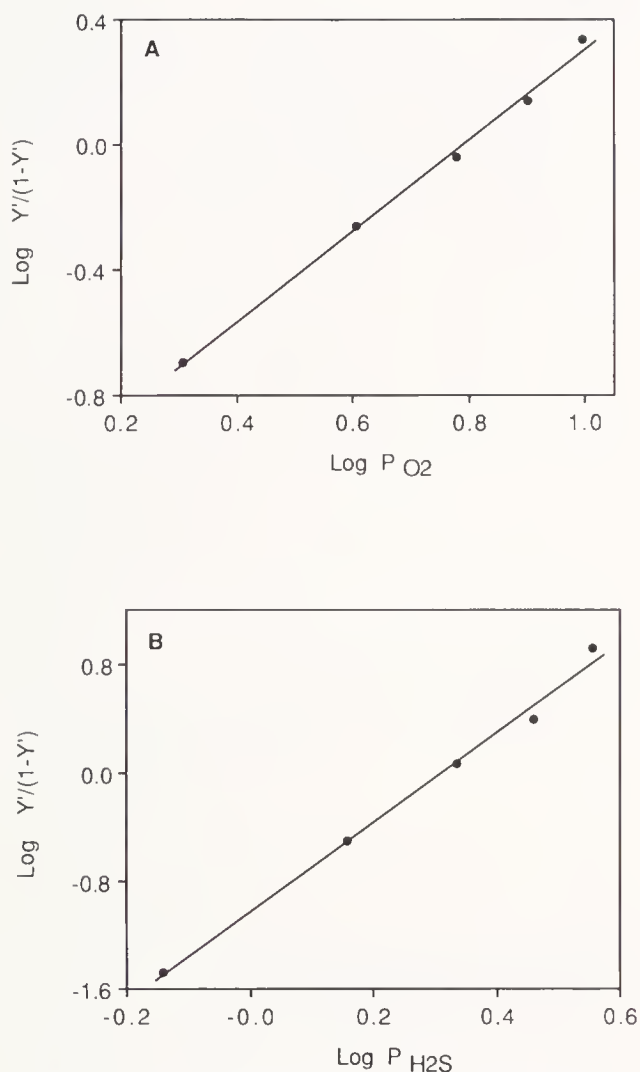
The ambient oxygen pressure required to achieve volume-averaged half saturation of hemoglobin in respiring gill filaments was  $6 \pm 1$  torr at  $20^\circ\text{C}$  ( $n = 26$ ; Fig. 1A). This value reflects a balance between oxygen entry and oxygen utilization and will depend accordingly on the length of the diffusion path both external and internal to the tissue. It is not to be regarded as a fixed parameter of the reaction *per se*.

### *Hydrogen sulfide pressure to half saturate the gill hemoglobin in situ*

The ambient hydrogen sulfide pressure required for half maximal spectral change in the Soret region of gill filaments exposed to hydrogen sulfide in nitrogen was  $1.5 \pm 0.5$  torr at  $20^\circ\text{C}$  ( $n = 6$ ; Fig. 1B). Not all of the gill hemoglobin reacts with sulfide, the balance remaining as deoxyhemoglobin; in these experiments, we did not determine the fraction reacting. The rate of sulfide consumption in the closely related clam *Solemya reidi* is large and of the same order as oxygen uptake (Anderson *et al.*, 1987). Accordingly, the value of 1.5 torr  $\text{P}_{\text{H}_2\text{S}}$  also depends on a balance between sulfide entry and utilization and on the diffusion path, and cannot be regarded as a fixed parameter of the reaction *per se*.

### *Identification of hemoglobin species formed in the gill*

**Oxyhemoglobin.** We use difference spectra to examine the reaction of hemoglobin *in situ*. Figure 2A, trace 1, demonstrates that most of the gill hemoglobin reacted reversibly with oxygen. Carbon monoxide was used to convert the tissue hemoglobin to a common liganded form. Taking extinction coefficients determined for isolated *Solemya* hemoglobin, we estimate the amount of hemoglobin (hemoglobin concentration times path-length for each preparation) in the tissue both from the direct spectrum of gill filaments exposed to carbon monoxide and from the difference spectrum: the spectrum of gill filaments in carbon monoxide minus the spectrum of the same array of gill filaments in air. This estimate agrees with an independent estimate from the difference



**Figure 1.** A. Hill plot showing the fractional saturation of the cytoplasmic hemoglobin in *Solemya* gill filaments as a function of external oxygen pressure. Data were acquired on the microspectrophotometer.  $Y'$  is defined as the fraction of the ligand-reactive hemoglobin undergoing reaction. The ambient oxygen pressure at half saturation is 6.1 torr in this representative experiment.

B. Hill plot showing the fractional ligation of sulfide to the cytoplasmic hemoglobin in *Solemya* gill filaments as a function of external hydrogen sulfide pressure. Data were acquired on the microspectrophotometer.  $Y'$  is defined as above. The ambient hydrogen sulfide pressure at half maximal spectral change is 1.8 torr in this representative experiment.

spectrum: gill filaments in air minus gill filaments in nitrogen.

**Ferric hemoglobin sulfide—difference spectra.** Hydrogen sulfide (0.7 torr) introduced into the flowing gas stream changed the optical spectrum of intact gill filaments either in the presence or absence of oxygen. The concentration of sulfide in water equilibrated with this gas mixture will be about 100  $\mu M$ . It will be greater in

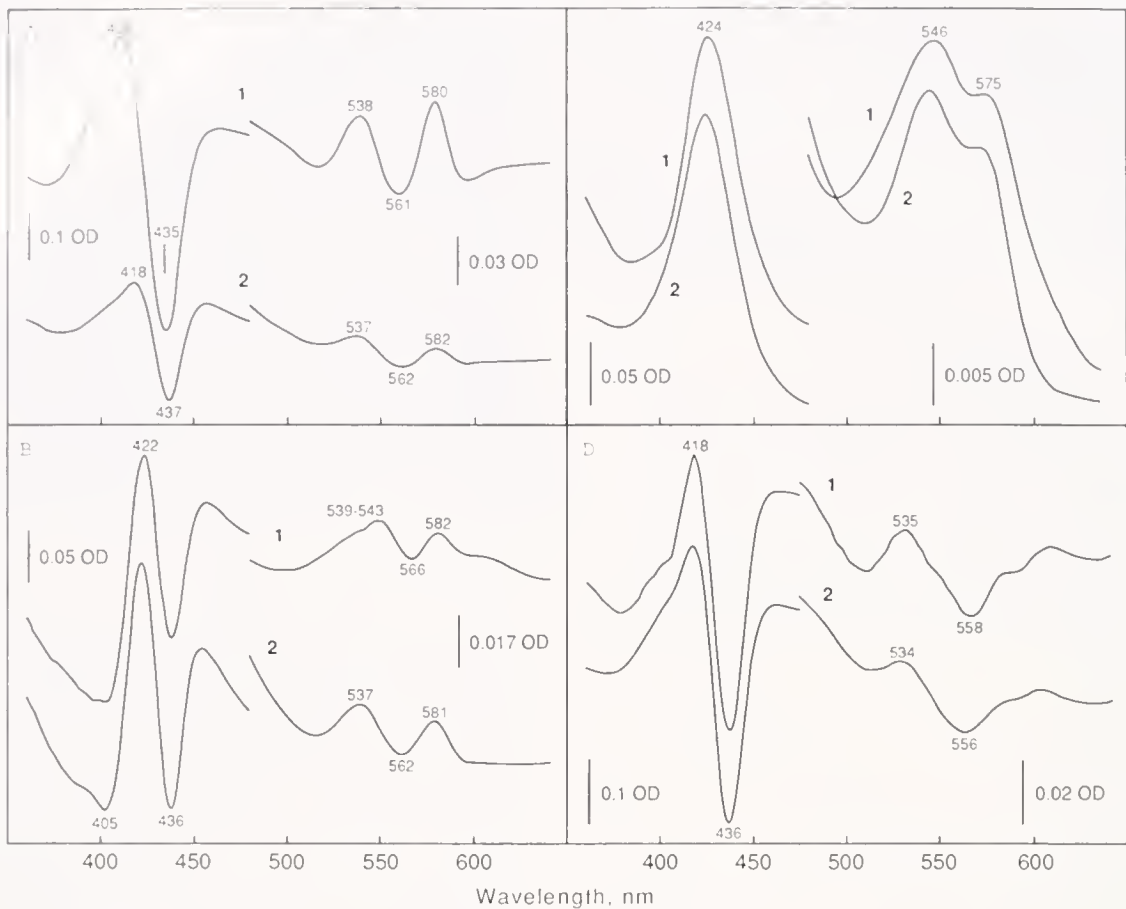
buffered cytoplasm. The weak acid,  $H_2S$ ,  $pK$  7.0, decreased the pH of seawater equilibrated with this gas mixture by no more than 0.1 pH unit and is not expected to alter the pH of the strongly buffered cytoplasm appreciably.

The hydrogen sulfide-dependent reaction was followed at 435 nm, near the absorbance maximum of the difference spectrum between ferric hemoglobin sulfide and ferrous hemoglobin. Spectral change was most easily observed in gill filaments held at a gas phase oxygen pressure (about 60 torr) just low enough to bring about detectable deoxygenation of cytoplasmic hemoglobin in the thick preparation of filaments. Oxygen was removed from the gas stream after the spectral change was complete, simplifying analysis by removing the spectral contribution of oxyhemoglobin. A difference spectrum was constructed: the spectrum of gill filaments in hydrogen sulfide and nitrogen minus the spectrum of the same array of gill filaments previously exposed to nitrogen alone (Fig. 2B, trace 1). Spectral features near 422, 436, 566, and 582 nm are similar to features of the difference spectrum: purified ferric *Solemya* hemoglobin sulfide minus ferrous *Solemya* hemoglobin (Fig. 2A, trace 2). The amplitude of the difference spectrum of the gill (Fig. 2B, trace 1) corresponds to conversion of about half of the total hemoglobin in the gill to ferric hemoglobin sulfide.

**Ferric hemoglobin.** The feature near 405 nm in the difference spectrum (Fig. 2B, trace 1) varied in amplitude in experiments with different gills. We ascribe this feature to the removal of aquoferric hemoglobin (acid met-hemoglobin) initially present in the gill. This was estimated to vary from less than 5% to about 20% of the total ( $n = 6$ ) and was estimated at approximately 10% in the particular gill figured (aquoferric hemoglobin will be converted to ferric hemoglobin sulfide). A difference spectrum: purified ferric *Solemya* hemoglobin sulfide minus the sum of the spectral contributions of ferrous *Solemya* hemoglobin (90%) and aquoferric *Solemya* hemoglobin (10%) (Fig. 2B, trace 2) is very similar to the difference spectrum of the gill (Fig. 2B, trace 1). The similarity of these two difference spectra (Fig. 2B1, 2B2) constitutes strong evidence that the form of hemoglobin generated by exposing gill filaments to sulfide is ferric hemoglobin sulfide and that a small amount of aquoferric hemoglobin is initially present in the gill.

**Ferric hemoglobin sulfide—direct spectrum.** The direct spectrum of the hemoglobin species generated by exposing gill filaments to hydrogen sulfide can be reconstructed by adding to the difference spectrum: gill filaments in hydrogen sulfide and nitrogen minus gill filaments in nitrogen (Fig. 2B, trace 1) the expected sum of the spectral contributions of ferrous *Solemya* hemoglobin (90%) and aquoferric *Solemya* hemoglobin (10%) estimated to be initially present in this particular gill (Fig.





**Figure 2.** *Panel A* *Trace 1* Optical difference spectrum of intact gill filaments in oxygen (60 torr), balance nitrogen, minus a spectrum of the same specimen in nitrogen. *Trace 2* Optical difference spectrum of authentic ferric *Solemya* hemoglobin sulfide minus ferrous *Solemya* hemoglobin. The ordinate scale bars in all panels are those observed in the actual spectra of the gill filaments. The amplitude of the accompanying spectra of the purified components are adjusted to an equal amount of hemoglobin in the light path. Predictably, the spectra of oxyhemoglobin and ferric hemoglobin sulfide, both low spin ferric heme derivatives with similar ligands, have similar wavelength maxima (Peisach *et al.*, 1968). It is apparent that the molar extinction of the hemoglobin derivative in trace 2 is less than that in trace 1.

*Panel B* *Trace 1* Optical difference spectrum of intact gill filaments allowed to react with hydrogen sulfide (0.7 torr) in the presence of oxygen, subsequently exposed to hydrogen sulfide in nitrogen, minus the spectrum of the same specimen previously exposed to nitrogen alone. The  $\beta$ -band includes a small contribution from a particle-bound (bacterial?) cytochrome *c*-like protein. This has the effect of shifting the apparent wavelength maximum toward longer wavelengths. This shift is reflected in the apparent wavelength maxima of Panel C, trace 1. *Trace 2* Optical difference spectrum of authentic ferric *Solemya* hemoglobin sulfide minus the sum of the spectral contributions of ferrous *Solemya* hemoglobin (90%) and aquoferric *Solemya* hemoglobin (10%).

*Panel C* *Trace 1* Spectrum of the product formed when intact *Solemya* gill filaments are exposed to hydrogen sulfide, reconstructed by adding the computed spectrum of a mixture of ferrous *Solemya* hemoglobin (90%) and aquoferric *Solemya* hemoglobin (10%) to the difference spectrum of trace B1. *Trace 2* Optical spectrum of authentic ferric *Solemya* hemoglobin sulfide. Traces C1 and C2 are nearly indistinguishable.

*Panel D* *Trace 1* Optical difference spectrum of intact *Solemya* gill filaments exposed first to a mixture containing hydrogen cyanide (0.2 torr) and oxygen (60 torr), balance nitrogen, and subsequently exposed to hydrogen cyanide (0.2 torr) in nitrogen, recorded after completion of the slow kinetic event (see text), minus the spectrum of the same specimen previously exposed to nitrogen alone. *Trace 2* Optical spectrum of authentic ferric *Solemya* hemoglobin cyanide minus ferrous *Solemya* hemoglobin. Traces D1 and D2 are sensibly the same.

Table I

Half-times of reactions of hemoglobin in gill filaments of *Solemya velum*

| Ligand           | $t_{1/2}$ , addition        |                             | $t_{1/2}$ , removal       |                       |
|------------------|-----------------------------|-----------------------------|---------------------------|-----------------------|
|                  | H <sub>2</sub> S absent     | H <sub>2</sub> S present    | H <sub>2</sub> S absent   |                       |
| O <sub>2</sub>   | 1.2 ± 0.2 (21) <sup>a</sup> | 1.2 ± 0.2 (15) <sup>a</sup> | 32 ± 9 (21) <sup>a</sup>  |                       |
|                  | 11 ± 5 (13) <sup>b</sup>    |                             | 21 ± 12 (14) <sup>b</sup> |                       |
|                  | O <sub>2</sub> present      | O <sub>2</sub> absent       | O <sub>2</sub> present    | O <sub>2</sub> absent |
| H <sub>2</sub> S | 62 ± 23 (6) <sup>b</sup>    | 163 ± 38 (3) <sup>a</sup>   | 178 ± 72 (4) <sup>b</sup> | 340 (1) <sup>b</sup>  |
|                  |                             |                             | 196 ± 29 (9) <sup>a</sup> |                       |
| CN               | 50 ± 16 (4) <sup>b</sup>    | 830 (2) <sup>b</sup>        | 780 (1) <sup>b</sup>      | —                     |

Values are given as mean ± standard deviation. Bracketed values are number of determinations. The unit is seconds.

<sup>a</sup> Determined using the microspectrophotometer.

<sup>b</sup> Determined using the Cary model 14 spectrophotometer.

2C, trace 1). This reconstructed spectrum is nearly identical to the spectrum of ferric *Solemya* hemoglobin sulfide (Fig. 2C, trace 2) generated by exposing ferric *Solemya* hemoglobin to hydrogen sulfide (0.4 torr). This is strong additional evidence for the formation of ferric hemoglobin sulfide in the gill.

#### Kinetics of hemoglobin reactions in the gill

**Oxygen.** Oxygenation of previously anaerobic gills exposed to air proceeded with  $t_{1/2} = 1.2$  s (a thin preparation examined in the microspectrophotometer) and 11 s (a thicker preparation examined in the Cary spectrophotometer; Table I). Deoxygenation proceeded with  $t_{1/2} = 32$  s and 21 s (Table I) with the respective preparations. These rates are very slow compared to the rates of the reactions of isolated hemoglobin.

**Hydrogen sulfide.** Spectral change in gills exposed to hydrogen sulfide (0.5–3 torr) in nitrogen occurred with  $t_{1/2} = 163$  s (these experiments were performed using the microspectrophotometer; Table I). When the gas stream was changed to air in this series of experiments, two distinct kinetic events were seen, with  $t_{1/2} = 1.2$  s and  $t_{1/2} = 196$  s, respectively (Table I). Absorption spectra at the end of the fast event indicated partial reoxygenation of the hemoglobin and those at the end of the slow event indicated fully oxygenated hemoglobin. We identify the rapid reaction as oxygenation of deoxyhemoglobin present in the tissue and identify the slow kinetic event as regeneration of oxyhemoglobin from ferric hemoglobin sulfide. We emphasize that each reaction corresponded to about half of the total hemoglobin in the gill.

In a separate series of experiments using the Cary spectrophotometer, hydrogen sulfide (0.7 torr) was introduced into the gas stream containing oxygen (60 torr), balance nitrogen. The hydrogen sulfide-dependent spec-

tral change ( $t_{1/2} = 62$  s; Table I) was more rapid than that observed in nitrogen. When hydrogen sulfide was removed from the oxygen-containing gas stream, oxyhemoglobin was regenerated in the gill with  $t_{1/2} = 178$  s (Table I). We did not observe a fast kinetic event. We note that difference spectra showed that about half the hemoglobin was ferric hemoglobin sulfide and we assume that the balance remained oxygenated. As before, we interpret the kinetic event as regeneration of oxyhemoglobin from ferric hemoglobin sulfide.

The rate of reaction of oxyhemoglobin with hydrogen sulfide in the intact gill was much faster than the rate of reaction of purified oxy *Solemya* Hb I with hydrogen sulfide under equivalent conditions ( $t_{1/2} \sim 400$ –500 s;  $n = 2$ ).

**Cyanide.** Cyanide binds very strongly to ferric hemoglobin and is useful for experiments with intact tissues because the undissociated acid diffuses rapidly into cells. Hydrogen cyanide introduced into the flowing gas stream was used to demonstrate ferric hemoglobin formation by trapping intracellular ferric hemoglobin as ferric hemoglobin cyanide. The reaction was followed at 420 nm, near the absorbance maximum of ferric hemoglobin cyanide. A relatively rapid kinetic event ( $t_{1/2} = 50$  s; Table I) was observed in the presence of hydrogen cyanide (0.2 torr) and oxygen (60 torr), balance nitrogen. To identify the product and to simplify spectral analysis, oxygen was subsequently removed from the flowing gas stream and a difference spectrum was constructed: gill filaments in cyanide and nitrogen minus gill filaments previously exposed to nitrogen alone. This showed about half conversion of hemoglobin to ferric hemoglobin cyanide ( $n = 5$ ). When gill filaments were exposed to hydrogen cyanide (0.2 torr) in nitrogen alone, only a slow kinetic event ( $t_{1/2} \sim 830$  s; Table I) was seen, and a difference spectrum constructed at the end of this event indicated complete conversion of hemoglobin to ferric hemoglobin cyanide ( $n = 2$ ). The differences in each case were nearly identical to the difference spectrum: authentic ferric *Solemya* hemoglobin cyanide minus ferrous *Solemya* hemoglobin (Fig. 1D, trace 2). We suggest that the fast kinetic event reflects conversion of the sulfide-reactive hemoglobin to ferric hemoglobin cyanide. The slow kinetic event includes the conversion of both hemoglobins to the same product.

Ferric hemoglobin cyanide formation was reversed and oxyhemoglobin was fully regenerated when cyanide was removed from the oxygen-containing gas stream.

#### Kinetics of ferric hemoglobin formation in the presence of hydrogen sulfide

The possibility arises that sulfide interacts nonenzymatically to accelerate the rate of formation of ferric he-

moglobin. To examine this possibility, gill filaments were exposed simultaneously to cyanide and oxygen in the gas stream and the rate of conversion of oxyhemoglobin to ferric hemoglobin cyanide was estimated. Hydrogen sulfide, subsequently introduced into the gas stream, did not accelerate ferric hemoglobin formation.

### Discussion

The symbiotic association between the clam *Solemya velum* and the intracellular bacterial partner depends on both oxygen and hydrogen sulfide for its nutrition. Here we ask how the hemoglobin, so abundant in the bacteria-harboring gill, interacts with these two substrates. Hemoglobin in the respiring gill is half-saturated at an ambient oxygen pressure of about 6 torr. The oxygen pressure in the oxygenated upper arms of the *Solemya* burrow might be about 50–80 torr, as it is in the U-shaped burrows of other animals inhabiting reduced sediments (Mangum *et al.*, 1975; Mangum, 1976). This oxygen pressure is more than sufficient to provide extensive oxygenation of the gill hemoglobin. The reaction of gill hemoglobin with sulfide was studied in gills held in nitrogen. Half maximal spectral change occurred at a hydrogen sulfide pressure of 1.5 torr. The hydrogen sulfide concentration in the pore water of the sediments from which these animals were collected falls within the range of 30  $\mu M$  to 3 mM (Fenchel and Reidl, 1970; Howarth and Teal, 1980) corresponding very approximately to a hydrogen sulfide pressure of 0.2 to 20 torr. This suggests that a substantial fraction of the gill hemoglobin would ligate hydrogen sulfide in the basal stem of the burrow.

In this study, we use difference spectroscopy to identify hemoglobin species present in the living gill. The majority of the hemoglobin in gill filaments exposed solely to air is oxygenated. On the other hand, we observed a mixture of about equal parts of oxyhemoglobin and of an additional species in gill filaments exposed to oxygen and hydrogen sulfide at relatively low partial pressures. We seek to identify this new species. For this purpose, we generated a difference spectrum between gill filaments containing this new species and the same gill filaments previously in nitrogen and show this difference to be identical to that formed between ferric *Solemya* hemoglobin sulfide and deoxyhemoglobin. Using the difference spectrum of gill filaments, we reconstructed the direct spectrum of the hemoglobin derivative formed in the presence of sulfide. This spectrum is indistinguishable from that of authentic ferric *Solemya* hemoglobin sulfide. The only other hemoglobin derivative likely to be formed under these conditions would be sulphemoglobin. This latter species is green, with a characteristic and unmistakable spectrum (Berzofsky *et al.*, 1971) different from any seen here. We conclude that the hemoglobin

species formed in the presence of hydrogen sulfide is ferric hemoglobin sulfide; that is, ferric hemoglobin with sulfide ligated to the heme iron atom in the distal ligand position (Keilin, 1933).

Only about half of the hemoglobin in intact gill filaments reacts to form ferric hemoglobin sulfide. The magnitude of the difference spectrum constructed at the end of the reaction with sulfide in the presence of oxygen corresponds to reaction of about half the hemoglobin in the gill. The rapid reoxygenation of deoxyhemoglobin in the gill at the end of the reaction with sulfide carried out in the absence of oxygen corresponds to the remaining half of the hemoglobin in the gill. These results suggest but do not prove that there are two differently reactive hemoglobin components in the gill. This suggestion is supported by experiments with cyanide. About half the hemoglobin reacts rapidly with cyanide in the presence of oxygen. The balance of hemoglobin, either in the presence or absence of oxygen, reacts ten times more slowly but under these forced conditions eventually is converted to ferric hemoglobin cyanide.

We suggest that the initial event in the formation of ferric hemoglobin sulfide is the conversion of ferrous to ferric hemoglobin. Difference spectroscopy of gill filaments demonstrates a standing crop of aquoferric hemoglobin, often about 10% of the total hemoglobin in the gill. When ligands for ferric hemoglobin, hydrogen sulfide or cyanide are added in the presence of oxygen, about half of the total hemoglobin is rapidly converted to the corresponding ligated ferric hemoglobin. The close similarity of the rates of reaction with the two very different ligands (Table I) suggests a common rate-limiting step which, we argue, is the formation of ferric hemoglobin. Subsequent ligation of hydrogen sulfide or cyanide will be rapid and independent of enzyme action.

The rate of formation of ferric hemoglobin sulfide ( $t_{1/2} = 62$  s) in the intact gill is much faster than the rate of the purely chemical event observed when purified Hb I is exposed to mixtures of oxygen and hydrogen sulfide ( $t_{1/2} \approx 400$ –500 s), and is only 5 to 6-fold slower than the diffusion-limited ingress of oxygen in the same experimental series (Table I). Accordingly, we suggest that the conversion of ferrous to ferric hemoglobin is accelerated in the *Solemya* gill. The reverse reaction, reduction of ferric cytoplasmic hemoglobin, is known from studies of other tissues to require the action of enzyme systems (metmyoglobin or methemoglobin reductase).

Rapid conversion of ferrous to ferric cytoplasmic hemoglobin is unusual and is not encountered in tissues of any of the vertebrate and invertebrate species we have examined. In the presence of cyanide (1–10 mM, sufficient to inhibit most respiratory oxygen consumption), all hemoglobin in the tissue of the following species remained in the oxygenated form, with no detectable ferric



hemoglobin cyanide: the vertebrate tissues rat cardiac myocytes (Wittenberg and Wittenberg, 1986) and pigeon breast muscle (Wittenberg *et al.*, 1975); the molluscs *Buyscon canaliculatum* radular muscle, *Aplysia californica* buccal muscle, *Spisula solidissima* nerve, *Tellina alternata* nerve; the annelid *Arenicola cristata* body wall; the echiuran *Urechis caupo* body wall; and the insect *Gastrophilus intestinalis* tracheal cells (unpub. data).

Sulfide-binding components have been demonstrated in other invertebrates participating in sulfur-oxidizing symbioses. A component of the colorless blood plasma of the giant clam *Calyptogena magnifica* of the hydrothermal vents binds sulfide (Arp *et al.*, 1984). The blood hemoglobin of the giant tube worm *Riftia pachyptila* of the hydrothermal vents binds sulfide at a site believed to be remote from the heme (Arp *et al.*, 1987). Sulfide oxidation, presumptively to thiosulfate, has been noted in the animal tissues of *Solemya reidi* and the suggestion made that thiosulfate so formed is used by the symbionts (Powell and Somero, 1985, 1986).

We consider that the two hemoglobins found in the gill of *Solemya velum* may represent a division of labor in which the sulfide-reactive hemoglobin delivers sulfide and the sulfide-unreactive hemoglobin delivers oxygen to their respective consuming centers. We note the strong analogy with the nitrogen-fixing root nodules of legumes in which legume hemoglobin mediates oxygen delivery to the nitrogen-fixing bacterial symbionts (Appleby, 1984; Wittenberg, 1985). In the absence of sulfide, we envision a dynamic balance between ferric hemoglobin formation and removal in the gill, with about 5–20% of the total gill hemoglobin in the aquo-ferric form. In the presence of the natural substrate sulfide or the experimentally added cyanide, this balance is shifted and about half of the total hemoglobin (perhaps the sulfide-reactive component, Hb I) becomes trapped in the ligated form. Hemoglobins that ligate oxygen and sulfide, thereby preventing these molecules from interacting spontaneously, may be key features of the *Solemya*/bacteria symbiosis.

### Acknowledgments

Some of the experiments reported here form part of the doctoral dissertation of J. E. Doeller (Clemson University, SC, 1986). This work was supported in part by Research Grant DMB 8703328 from the National Science Foundation (to JBW) and in part by Grant HL-19299 from the United States Public Health Service (to Dr. B. A. Wittenberg). J. B. Wittenberg is a Research Career Program Awardee 1-K6-733 of the United States Public Health Service, National Heart, Lung and Blood Institute. We thank Mr. John Valois and members of the Marine Resources Department, Marine Biological Laboratory, Woods Hole, MA, for supplying some of the ani-

mals used here. We thank Dr. E. E. Ruppert for bringing *S. velum* to our attention, Dr. J. Peisach for electron paramagnetic resonance spectroscopy, and Dr. B. A. Wittenberg for continuing discussions.

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# Biochemical Characteristics of the Pigmentation of Mesopelagic Fish Lenses

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**Abstract.** We analyzed the biochemical, anatomical, and spectrophotometric characteristics of lens pigmentation in representatives of two mesopelagic fish families, the Opisthoproctidae and the Scopelarchidae. In small and large specimens of the opisthoproctid *Macropinna microstoma* and in the larval scopelarchid *Benthalbella infans*, the lens pigment was present in all layers of the lens as a freely diffusible chromophore. In contrast, the lenses in the adult specimens of the scopelarchid *Benthalbella dentata*, which have lenses averaging 6.7 mm in diameter, had a 2.4 mm-diameter pigmentless core. In this species, the chromophore was bound to one of the major structural proteins of the lens, gamma crystallin. Because the lens grows by the layering of new cells over older ones, such a pattern in *B. dentata* suggests that the lens pigment is not present in larvae of this species. The chromophores of all specimens were characterized by a single broad peak in the shorter-wavelength blue, near-UV portion of the spectrum.

## Introduction

Pigmentation in the tissues of vertebrate and invertebrate visual systems is a common phenomenon, expressing itself most often in the cornea, lens, and retinal-associated cells (Muntz, 1972; Heiermann, 1984). In the lens, pigmentation occurs: (1) in many diurnally active animals (Walls and Judd, 1933; Cooper and Robson 1969a; Muntz, 1974), including both terrestrial and shallow water aquatic species; (2) as an age-related yellowing

of the lens, which occurs in vertebrate species of long lifespan, independent of habitat (Cooper and Robson, 1969b; Zigman, 1971; Villermet and Weale, 1972); and (3) as a rare occurrence among animals in the mesopelagic zones of the ocean (from about 100–1000 m depth) (see for review, Heiermann, 1984).

The three types of lens pigmentation differ in their biochemical characteristics, their ontogenic pattern in the animal, and perhaps also in their function. In diurnally active animals, the lens pigment is present at all stages in the animal's life history as a soluble component of the lens cells; *i.e.*, it is freely diffusible through the numerous gap junctions between adjacent cells in the lens. Lenticular coloration in these species is thought to increase visual acuity by reducing chromatic aberration produced by the shorter wavelengths. In age-related pigmentation of the lens, while young lenses may be nearly colorless, yellowing intensifies with age. The older central portion of the lens is yellower than the younger, more peripheral lens layers (Mellerio, 1987). This age-associated yellowing of the lens probably results from accumulated, UV-induced modifications of the lens structural proteins, or crystallins, and may have no adaptive value (Zigman, 1971). The chromophores that occur in the lenses of diurnal animals and in the aging lens have simple spectra with absorbances in the short-wavelength blue and near-UV region of the spectrum. A recent study of the spectroscopy, ontogeny, and biochemistry of lens pigmentation in deep-sea hatchetfishes (Family Sternoptychidae) revealed a completely different pattern of pigmentation from those patterns reported for the more common types of lens pigmentation (McFall-Ngai *et al.*, 1986). Lens pigmentation in the deep-sea hatchetfish has an abrupt, age-dependent onset. It begins when the fish is about 38 mm SL (maximum SL about = 90 mm), and is restricted

Received 8 August 1988; accepted 26 September 1988.

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Table 1

*Mesopelagic fish analyzed in the present study*

| Family           | Species                      | Capture site     | n | SL range (mm) | Orbit diameters (mm) | Lens diameters (mm) |
|------------------|------------------------------|------------------|---|---------------|----------------------|---------------------|
| Opisthoproctidae | <i>Macropinna microstoma</i> | SCB <sup>1</sup> | 6 | 37–140        | 6.3–18.5             | 3.7–10.7            |
|                  | <i>Dolichopteryx</i> sp.     | SCB              | 1 | 70            | ND <sup>3</sup>      | ~2                  |
| Scopelarchidae   | <i>Benthallbella dentata</i> | SCB              | 2 | 202, 218      | 7.9, 8.1             | 6.6, 6.8            |
|                  | <i>B. infans</i>             | PRT <sup>2</sup> | 2 | 39, 55        | 2.0, 2.8             | 1.4, 2.0            |
|                  | <i>Scopelarchus</i> sp.      | SCB              | 1 | 121           | 10.4                 | 5.7                 |

<sup>1</sup> San Clemente Basin.<sup>2</sup> Puerto Rican Trench.<sup>3</sup> Not determined.

to the peripheral cells of the lens that are laid down after the 38 mm stage. Further, the chromophore has a complex spectrum resembling that of carotenoid pigments and is associated specifically with alpha crystallin. Thus, it cannot diffuse between the cells. The biological significance of the pigment is unclear, although it certainly affects the optical function of the lens as a component of the visual system.

We analyzed the biochemical and spectrophotometric properties of the lens pigmentation in two other fish families, the Opisthoproctidae and the Scopelarchidae, that also occur in the mesopelagic zone. Our results indicate that representative species of two families have patterns of lens pigmentation unlike not only the type described in diurnally active animals and the age-related lens coloration, but also unlike those of the deep-sea hatchetfish. These differing patterns suggest that the lens pigmentation is a character that has arisen independently a number of times among the mesopelagic fishes.

### Materials and Methods

#### Animals

Fishes were collected in the Eastern Pacific from the San Clemente Basin (Southern California) during cruises of the RV Velero IV in February and July 1984 and August 1985, and from the Caribbean Sea in the Puerto Rican Trench during a cruise of the RV Knorr in December 1985. The methods of capture and handling of the fishes were as previously described (McFall-Ngai *et al.*, 1986). The species analyzed in the present paper are: (1) Family Opisthoproctidae, *Macropinna microstoma* Chapman and *Dolichopteryx* sp.; (2) Family Scopelarchidae, *Benthallbella dentata* (Chapman), *B. infans* Zugmayer, and *Scopelarchus* sp. (Table 1). All specimens were adults except those of *B. infans*, which were translucent larval fish. The lenses were removed through a superficial cut made around the edge of the cornea with a scalpel.

To compare the pigmentation patterns of these species with those of diurnally active animals and those with age-related lens pigmentation, we used lenses of *Spermophilus beecheyi*, the California ground squirrel, and normal human lenses, respectively. We obtained specimens of *S. beecheyi* from the laboratory of Dr. S. Fisher at the University of California, Santa Barbara; normal human lenses were from donors to the Jules Stein Eye Institute eye bank.

#### Spectral analyses of lenses and lens extracts

To determine the spectral characteristics of whole lenses, freshly dissected lenses were positioned in a quartz cuvette of 1-cm pathlength along the axis of the beam of a Shimadzu UV-160 spectrophotometer (for details, see McFall-Ngai *et al.*, 1986). Briefly, lenses were placed in holders that fit snugly into the cuvette. The holders consisted of two pieces of black lucite with hemispherical depressions of various sizes to hold lenses of differing diameters. One-mm holes were drilled through the lucite at the center of the depressions to permit transmission of the beam. This configuration insured that the beam would go directly through the center of the lens. The lens was surrounded by fish ringer's solution to prevent optical aberrations. Spectral absorbances of a given whole lens were determined between 300 and 800 nm. The spectra of a given intact lens pair were then compared with extracts of the same lenses. One whole lens of each pair was homogenized and other lens was dissected into core and periphery, which were homogenized separately. Lens tissue was homogenized with a Wheaton tissue homogenizer in 50 mM sodium phosphate buffer (pH 7.4 at 20°C). The homogenate was spun at 4°C in 1.5 ml eppendorf tubes at 14,000 × g for 15 minutes in an Eppendorf 5414 tabletop centrifuge to pellet the insoluble material. The color of the pellet was noted and the spectrum of the supernatant fluid from each extract was determined between 300 and 800 nm. Lens extracts from

other specimens of the same species were frozen to determine the effect of freezing on the spectral characteristics.

During cruises in which a spectrophotometer was not available to measure whole lens spectra, lenses were removed from the eyes of specimens within one hour of trawl recovery, placed in vials with enough fish Ringers solution to cover them, and immediately frozen at  $-20^{\circ}\text{C}$ . Within 1 to 2 weeks of collection, they were placed in a  $-80^{\circ}\text{C}$  freezer until use. When analyzed, these lenses were thawed and extracted in the same manner as fresh lenses. The spectral absorbance of each supernatant fluid was determined from 300–800 nm using a Kontron Uvicon or a Shimadzu UV-160 spectrophotometer.

### Biochemical analyses

Gel filtration of soluble extracts from frozen lens samples was performed at room temperature on a Pharmacia FPLC system with a Pharmacia HR-6 column in 20 mM Tris buffer with 0.1 M NaCl (pH 7.9 at  $20^{\circ}\text{C}$ ) at a flow rate of 1 ml/min. The absorbance of each resulting fraction was determined between 300 and 800 nm on the Shimadzu UV-160. Samples in which the pigment occurred in the lowest molecular weight fraction resolved by the HR-6 column were subjected to further gel filtration on a Sephadex G-25 column ( $2.5 \times 5$  cm), at a flow rate of 1 ml/min with the same elution buffer as used on the FPLC HR-6 column. Fractions obtained by gel filtration were subjected to polyacrylamide gel electrophoresis in the presence of sodium dodecyl sulfate (SDS-PAGE) (Laemmli, 1970). Protein concentrations were determined spectrophotometrically using the difference in absorbance between 235 and 280 nm (Whittaker and Granum, 1980).

## Results

### General description of the visual system

All mesopelagic fish species analyzed in the present study have tubular, upwardly directed eyes. Such eyes, which are characteristic of certain families of fishes that occur in the lower portions of the mesopelagic zone (500–1000 m), are generally large relative to body size of the fish and have relatively large lenses, a much-reduced iris, and two photoreceptive areas—the ventral, main retina and the medial, accessory retina (Munk, 1966). Both visual and spectrophotometric analyses revealed yellow coloration in the lenses of *Macropinna microstoma*, *Benthalbella dentata*, and *B. infans*, but not in the lenses of *Dolichopteryx* sp. and *Scopelarchus* sp. Thus, not all tubular eyes have yellow lenses.

Table II

Peak wavelengths ( $\lambda_{\text{max}}$ ) of the lens pigment of *Macropinna microstoma* under different experimental conditions

| Specimen standard length (mm) | $\lambda_{\text{max}}$<br>Intact lens | $\lambda_{\text{max}}$<br>Lens total-soluble extract | $\lambda_{\text{max}}$<br>Pigment-bearing gel filtration fraction |
|-------------------------------|---------------------------------------|------------------------------------------------------|-------------------------------------------------------------------|
| 37                            | 387                                   | 371                                                  | ND <sup>1</sup>                                                   |
| 115                           | 396                                   | 380                                                  | ND <sup>1</sup>                                                   |
| 126                           | 398                                   | 386                                                  | 371                                                               |

<sup>1</sup> Not determined.

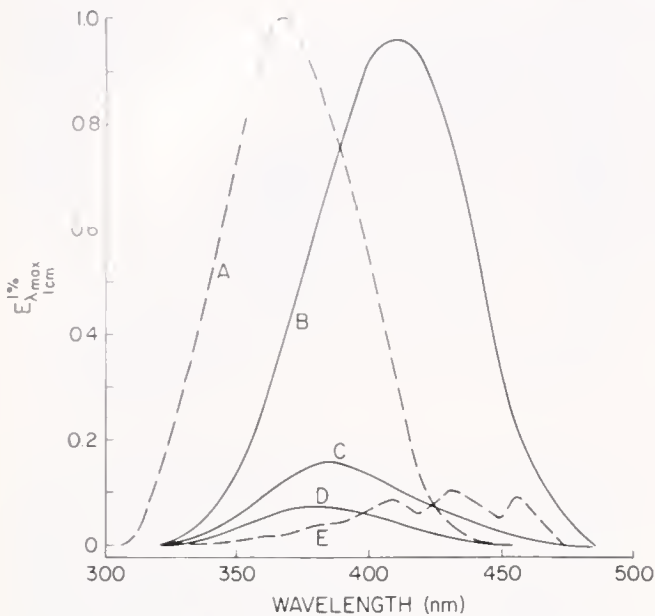
### Spectral characteristics of the lens pigments

**Whole lenses.** *Macropinna microstoma* was the only species with pigmented lenses that was obtained when a spectrophotometer was available for fresh whole lens spectral analyses. To determine whether the absorbance characteristics of the *M. microstoma* lens pigment changes during extraction, we compared the spectrum of an intact fresh lens to that of: (1) the total soluble lens extract and, (2) the partially purified pigment fraction of the total soluble extract, which had been obtained by gel filtration. Although the overall shapes of all of the spectra were the same, showing a single broad peak, this peak was shifted toward shorter wavelengths in the intact lenses of smaller specimens (Table II). In addition, the extracts and partially purified fractions of a given *M. microstoma* lens were also shifted several nanometers toward the shorter wavelengths relative to the intact lens spectrum of that same lens (Table II). Extracts and purified fractions that had been frozen had the same spectra as those that had not been frozen. Prolonged freezing did not affect pigment intensity or spectral quality.

### Lens extracts

In the deep-sea fish and ground squirrel lenses, all the pigment was in the total soluble extract; the pellet of the insoluble lens material was white. However, in human lenses, because the pigment is associated with all proteins and these proteins gradually become insoluble with age, both pellet and supernatant fluid were a light yellow in older lenses.

The peak wavelengths of lens pigment absorbance, when corrected for scatter, were in the short-wavelength blue and long-wavelength ultraviolet portions of the spectrum in all fishes with yellow lenses (Fig. 1). The uncolored lenses of the opisthoproctid *Dolichopteryx* sp. and the scopelarchid *Scopelarchus* sp. showed no absorbance in these regions of the spectrum. In contrast to the complex, multi-peaked spectrum of the hatchetfishes,



**Figure 1.** Comparison of the pigment intensity among the animals analyzed. A = *Sperophilus beecheyi*; B = *Benthallbella dentata*; C = *B. infans*; D = *Macropinna microstoma*; E = *Argyropelecus affinis*. Absorbances determined for a 1% solution of protein.

*Argyropelecus* spp. (McFall-Ngai et al., 1986), the absorbances of the lens pigments of *Benthallbella dentata*, *B. infans*, and, as mentioned above, *Macropinna microstoma* occur as single broad peaks, similar to that of the ground squirrel (Fig. 1). Although whole lenses of *Benthallbella* spp. were not available for spectral analysis, the whole lens extracts did not have a spectral peak markedly different from the purified fractions containing the chromophore. The age-related coloration of the human lens results in the elimination of wavelengths shorter than 350 nm. Because the scatter of the lens proteins themselves at this wavelength is so marked, no scatter-corrected pigment spectrum could be obtained for comparison.

#### Pigment distributions and intensities

The lenses of each species with yellow coloration were dissected to reveal pigment intensity in various layers of the lens. In the opisthoproctid, *Macropinna microstoma*, and the scopelarchid, *Benthallbella infans*, the pigment occurs in all layers of the lens. In contrast, in the scopelarchid, *B. dentata*, pigment is absent from portions of the lens core, or nucleus, measuring approximately 2.3–2.4 mm in diameter. In the 6.6 and 6.8 mm lenses of *B. dentata*, this unpigmented portion represents less than 5% of the total lens volume.

With the naked eye, *Benthallbella dentata* lens pigmentation appears more intense than that of either *B. infans*

or *M. microstoma*. To quantify the intensity and compare it among the species with pigmented lenses, we determined the absorbance of the pigment at the peak wavelength for a 1% solution of the protein ( $E_{\lambda_{\max}}^{1\%}$ ) (Table III). The intensity of the *B. dentata* lens pigment was similar to that of the ground squirrel, and was approximately 6–14 times stronger than that of the other mesopelagic fish lenses. The intensity differences can also be noted in the spectra (Fig. 1), when the spectra are normalized to protein concentration.

#### Biochemical analyses

The lenses of fishes are protein-rich tissues, about 50% of which is soluble protein with the remainder being mostly water (De Jong, 1981). Most of the soluble proteins are structural proteins called crystallins, which in fishes are of three primary types: alpha, beta, and gamma crystallins. In size exclusion chromatography (Fig. 2) of total soluble lens extracts, alpha crystallin, an 800 Kdal multimer, elutes first, followed by the beta crystallins, which are several oligomeric proteins ranging from approximately 50–150 Kdal. The gamma crystallins, monomers which average 20 Kdal, are the last proteins to elute. A non-protein, low molecular weight fraction appears last and includes absorbing materials around 1.5 Kdal, such as peptides and nucleic acids.

To determine whether the lens pigment is protein-associated in the opisthoproctid and scopelarchid species considered here, as in *Argyropelecus* spp. (McFall-Ngai et al., 1986), we chromatographed the pigmented lenses, collected fractions, and measured the absorbances of the resultant fractions. Lenses of *Sperophilus beecheyi*, the pigment of which is known to be freely diffusible (i.e., soluble but not associated with any protein species; Cooper and Robson, 1969a) were also chromatographed for comparison. The lens pigments of the opisthoproctid *Macropinna microstoma* and the scopelarchid *Benthallbella infans* eluted with the low molecular weight fraction in a similar manner to the lens pigment of *S. beecheyi*. Therefore, they were not protein associated in these fishes. In contrast, the lens pigment of *B. dentata* eluted with the gamma crystallin fraction (Fig. 2). SDS-PAGE of the pigment-containing fraction of *B. dentata* showed bands at an apparent molecular weight of approximately 20,000, characteristic of gamma crystallin, suggesting that the pigment is protein associated.

#### Discussion

The present study shows that the lens chromophores of the three species of mesopelagic fishes described herein differ in one or more of the following characteristics: (1) the appearance during ontogeny; (2) the biochemical as-



Table III

Spectral characteristics of three types of lens pigmentation

| Class/type of lens pigmentation | Taxonomic affiliation   | Species                                   | $\lambda_{\max}$ of Chromophore | 1% $E\lambda_{\max}$ 1 cm |
|---------------------------------|-------------------------|-------------------------------------------|---------------------------------|---------------------------|
| Deep-sea Teleosts               | Order—Salmoniformes     | <i>Macropinna microstoma</i> (70 mm SL)   | 377                             | .07                       |
|                                 | Family—Opisthoproctidae |                                           |                                 |                           |
|                                 | Order—Aulopiformes      | <i>Benthalbella dentata</i> (202 mm SL)   | 412                             | .96                       |
|                                 | Family—Scopelarchidae   | <i>Benthalbella infans</i> (55 mm)        | 385                             | .16                       |
|                                 | Order—Stomiiformes      | <i>Argyrolepecus affinis</i> <sup>1</sup> | 432                             | .10                       |
| Diurnal Species                 | Family—Sternoptychidae  |                                           |                                 |                           |
|                                 | Class—Mammalia          | <i>Spermophilus beecheyi</i>              | 366                             | .99                       |
|                                 | Order—Rodentia          |                                           |                                 |                           |

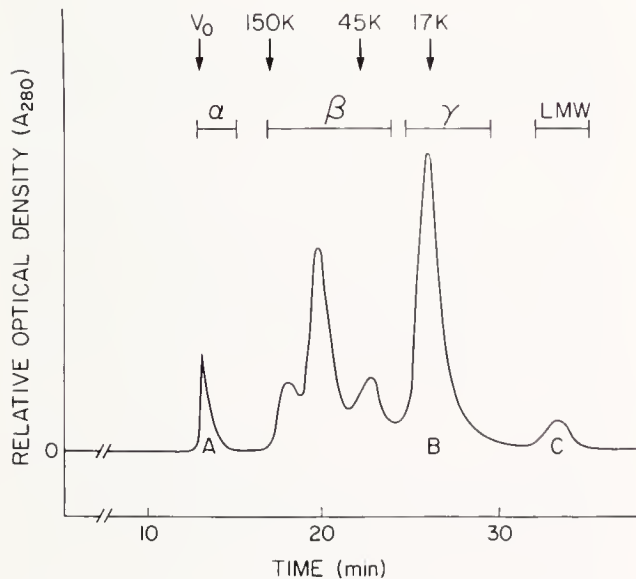
<sup>1</sup> Strongest peak absorbance, McFall-Ngai *et al.* (1986).

sociation with a lens structural protein (crystallin); and, (3) the spectral quality.

The lens pigmentation pattern of one species, *Benthalbella dentata*, showed some similarities to the pattern previously described in the deep-sea hatchetfish, *Argyrolepecus affinis* (McFall-Ngai *et al.*, 1986). Individuals of

*A. affinis* smaller than 38 mm, with lenses  $\leq 2.4$  mm in diameter, had no lens pigment, while in those larger than 38 mm, newly synthesized, peripheral cells containing a chromophore bound to alpha crystallin surround the pigmentless core. Although small specimens of *B. dentata* were not available, the lenses of larger specimens also had a 2.4 mm core devoid of pigment. Furthermore, the pigment in the peripheral lens cells was bound to gamma crystallin, a molecule, like alpha crystallin, too large to traverse the cellular gap junctions. Thus, the characteristic distribution of lens pigment in *B. dentata* lenses suggests a similar ontogenic pattern to that of *A. affinis*. The presence of a colorless lens core and a chromophore bound to a crystallin are features of these two mesopelagic species not reported in any other pigmented eye lenses.

Our data suggest that the lens pigmentation of *B. infans*, for which only larval specimens were available, is ontogenetically and biochemically distinct from that described above for its congener, *B. dentata*. In contrast with the unpigmented core of *B. dentata* lenses, the lenses of *B. infans* larvae, which were all 2.0 mm or less in diameter and destined to become the core of the adult lens, had an even distribution of pigment. These differences in lens pigmentation can only be reconciled within a single ontogenetic and biochemical pattern if, during the transition between larval and adult life, the pigment of *B. infans* is transported from the core to the periphery, and only there bound to gamma crystallin. In addition, the distinct spectra of the lens chromophores from these two species indicate either that the pigments are structurally distinct, or that the two species share a common pigment whose spectrum is altered in *B. dentata* by its association with the gamma crystallin.



**Figure 2.** Typical gel filtration profile of fish lens crystallin proteins. The peak designated A, containing alpha crystallin, is the peak with which the chromophore of the *Argyrolepecus affinis* lens elutes. The chromophore of *Benthalbella dentata* elutes with the gamma crystallins with the peak designated B. The chromophores of *B. infans* and *Macropinna microstoma* elute with the low molecular weight (LMW) fraction, designated C. The values across the top of the chromatogram [ $V_0$  (void volume), 150K, 45K, and 17K] are molecular weight standards for the gel filtration column.

Finally, the characteristics of lens coloration in the opisthoproctid, *Macropinna microstoma*, were most like that of *Benthobella infans*. In all specimens of *M. microstoma* the lens pigment was a freely diffusible molecular species that was evenly distributed throughout the lens. However, since no small specimens of the opisthoproctid were available, we could not determine whether the pigment is produced continuously during lens growth (as appears to be the case for larval *B. infans*) or, alternatively, is synthesized late in lens development and diffuses into the previously unpigmented core regions. Regardless of the possible similarity of ontogenic appearance, the spectral characteristics of the lens chromophores of *M. microstoma* and *B. infans* suggest that the pigments are different.

The present study suggests that lens pigmentation has arisen a number of times in mesopelagic fishes. However, the biological function of such an adaptation remains unclear. Lens pigmentation does not correlate with the presence or absence of tubular eyes; *i.e.*, not all tubular eyes have yellow lenses (present study), while some yellow lenses occur in fishes with non-tubular eyes (Somiya, 1982). In addition, while the perception of intra- or inter-specifically produced luminescence may be enhanced by the presence of yellow lenses in some species (Muntz, 1976), intraspecific communication as a function cannot be deduced from the occurrence of luminescence and lens pigmentation in the mesopelagic fishes studied thus far. For example, in *Benthobella infans*, light organs are an adult character (Merrett *et al.*, 1973), but *B. dentata* and *Macropinna microstoma* have strong lens pigmentation and are not luminous. Furthermore, depending on the species, the lens may (Muntz, 1976) or may not (McFall-Ngai *et al.*, 1986) change the peak wavelength of visual pigment sensitivity. The only conclusion that can be made for all pigmented lenses of mesopelagic fishes is that the pigmented lens would filter out a portion of the downwelling light that impinges on the short-wavelength portion of the visual pigment curve. Thus, the function of the pigment may be similar to that suggested for the ground squirrel and other diurnal animals (Lythgoe, 1979)—to decrease chromatic aberration and increase acuity by the elimination of the higher energy wavelengths of the environment.

#### Acknowledgments

We thank E. G. Ruby for technical assistance during the cruises. We also thank R. Lavenberg of the Los Angeles County Museum of Natural History for identification of the fishes. We are grateful for discussions of the manu-

script with E. G. Ruby and L. Holland. Special thanks are extended to the crews of the R/V Velero IV and the R/V Knorr for their assistance in collecting specimens. This work was supported by NIH grant EY 5905 to M. McFall-Ngai and NIH EY 3897 to J. Horwitz. The R/V Velero cruises were supported by NSF grants OCE 81 101 54 and OCE 85 002 37 to J. J. Childress, and the R/V Knorr cruise was supported by NSF grant OCE 84 162 06 to H. W. Jannasch of Woods Hole Oceanographic Institution.

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## Nitrogen Waste or Nitrogen Source? Urate Degradation in the Renal Sac of Molgulid Tunicates

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**Abstract.** Two urate-producing ascidians, *Molgula manhattensis* and *M. occidentalis*, were tested for urate oxidase activity. Microradioassays were carried out on the wall and lumen fluid of the urate-containing, molgulid renal sac, on the renal sac endosymbiont *Nephromyces*, and on non-renal sac molgulid tissue. These assays indicate that urate is degraded enzymatically in the renal sac. However, this uricolytic activity is concentrated in *Nephromyces*, rather than in host renal sac tissue.

Thus, the urate “waste” of *Nephromyces*-infected *Molgula* is not stored permanently in the concretions in the renal sac lumen. Since molgulids are universally infected by *Nephromyces* in nature, renal sac function should be reassessed with attention to urate as a nitrogen source as well as a nitrogenous catabolite.

### Introduction

The organs of many invertebrate animals have been named before their biological roles were well understood. This is especially true of invertebrate “renal” or “excretory” organs, whose names often reflect surmised, rather than demonstrated, functions (Barrington, 1979). Further, their convergent names mask the diversity of these structures among invertebrates, as well as the dissimilarities of these organs from vertebrate kidneys.

Several invertebrate organs have been assigned an excretory function largely because they contain nitrogenous catabolites which have been identified as excretory products in vertebrate kidneys. If such catabolites are present, need they be destined for excretion? Need they be “waste,” whatever the structural, physiological, or ecological context of their production?

Tunicates (Urochordata) possess a number of so-called “renal” tissues (Berrill, 1950; Goodbody, 1974).

The functions of these structures are not well understood, despite their functionally evocative names. Because a well-known nitrogenous catabolite, uric acid, has been found in the solid deposits (“concretions”) of many of the renal tissues of ascidian tunicates (Azéma, 1928, 1937; Goodbody, 1965; Nolfi, 1970; Sabbadin and Tontodonati, 1967; Saffo, 1977), they often have been assumed to have an excretory function. But many of the features of these tissues seem at odds with such a function. The large renal sac of molgulid ascidians presents a particularly conspicuous puzzle.

First, as a major “excretory” product, uric acid is an unexpected nitrogenous catabolite for these low intertidal or subtidal animals, though evidence (Nolfi, 1970) for an exclusively purine origin for urate in the renal sac of *Molgula manhattensis* may diminish the energetic paradox posed by such a habit.

Second, the renal sac has no ducts or openings at any stage in its development (Saffo, 1978), leading earlier workers (Lacaze-Duthiers, 1874; Das, 1948) to the notion that the renal sac “excretes” urate waste by storing it rather than eliminating it.

Finally, a symbiotic fungus-like protist, *Nephromyces*, inhabits the renal sac lumen of all adult individuals of at least seven molgulid species (6 species of *Molgula*, 1 of *Bostrichobranchus*; Saffo, 1982, and unpub. data on *M. robusta*); earlier observations (Giard, 1888; Buchner, 1930, 1965) cite its presence as well in the renal sac of many other molgulid species. *Nephromyces* is usually present in large numbers in its hosts, especially in adults, with greatest densities typically in the immediate vicinity of the urate-containing, renal sac concretions.

Symbiotic (including parasitic) infection is unusual for excretory organs generally (Hochberg, 1982), and it remains undetected among “renal” tissues of non-molgulid ascidian families (Saffo, unpub. obs. on Ascidiidae and Corellidae).



Symbiotic infection is frequently associated with extrarenal urate deposits among invertebrates (Buchner, 1965). The guts of termites (Potrikus and Breznak, 1980, 1981; Breznak, 1982) and possibly anobiid beetles (Jurzitza, 1979), the fat body of cockroaches (Mullins and Cochran, 1975a, b; Downer, 1982; Mullins, 1982); the parenchyma of the acel flatworm *Convoluta* (Douglas, 1983); and the "concrement gland" of terrestrial prosobranch snails (Meyer 1925) all harbor endosymbionts in association with urate deposits. For each of these cases, it has been either suggested or shown that endosymbionts utilize the urate deposits of the host. Even without the involvement of symbionts, extrarenal urate might be neither permanently deposited nor excretory in function (Dresel and Moyle, 1950; Berridge, 1965; Duerr 1967, 1968; Gifford, 1968; Mullins and Cochran, 1975 a, b; Cochran, 1979; Buckner, 1982; Wolcott and Wolcott, 1984). Might *Nephromyces* also use the urate deposits of its host? Might urate be only a transient deposit in *Molgula*?

Goodbody (1965) searched for uricase activity in several ascidians. Using spectrophotometric assays, he found evidence for uricase in only a single ascidian, the styelid *Polycarpa obtecta*, despite examination of a number of ascidians, including *Molgula manhattensis*. However, Goodbody noted "the difficulties of proving a negative result," a problem underscored by the limited sensitivity of spectrophotometric methods for assay of uricase, and of the inclusiveness ("whole animals and portions of the tissues") of his samples.

Using more sensitive radioisotope methods and finer sample partitioning, I have re-investigated the possibility of urate degradation in *Molgula manhattensis* and *Molgula occidentalis*. I have assayed for urate oxidase activity in non-renal sac tissue of *Molgula*, and in both host (*Molgula*) and symbiont (*Nephromyces*) components of the renal sac wall and lumen.

### Materials and Methods

Samples were assayed for urate oxidase (uricase; urate: oxygen oxidoreductase, E.C.1.7.3.3) activity with radioisotope methods modified from Friedman *et al.* (Friedman and Merrill, 1973; Friedman and Johnson, 1977; Friedman *et al.*, 1985).

Assay method A provided preliminary estimates of uricolytic activity, (Table I) for later comparison with the definitive data (Table II) provided by method B. Method A was also used to compare the  $R_f$  values of degradation products of tissue samples with those of commercial urate oxidase. Individual tissue aliquots were incubated 20 to 40 minutes at room temperature (20–22°C) with 2- $^{14}$ C-uric acid (Amersham, 50–51 mCi/mmol) dissolved in 0.03 M sodium borate buffer (pH 9.4; Truscoe, 1968). Reaction mixtures consisted of: 1 volume (3–10  $\mu$ l) sam-

ple (buffer, enzyme, cell-free fluid or homogenized cell suspension); 1 volume 0.0010, 0.0012, or 0.0020 M  $^{14}$ C-urate in pH 9.4 borate buffer; 2 volumes double-deionized water. Successive aliquots of the reaction mixture were spotted onto a cellulosic thinlayer chromatographic plate, which was developed for 90–120 minutes (to a distance of 14–17 cm) in 0.15 M NaCl: 95% ethanol (4:1) (Friedman and Merrill, 1973). Each lane of the chromatogram was cut into 1 cm segments, which were assayed for the distribution of [ $^{14}$ C] with a liquid scintillation counter.

Assay method B was similar in general design to method A, except for modifications designed to minimize reagent and sample impurities, to maximize enzyme yield from tissue extracts, to standardize assay conditions, and to enable calculation of specific activities.  $^{14}$ C-uric acid (Amersham; 51 mCi/mole) was purified chromatographically before assay to minimize the amount of  $^{14}$ C-allantoin initially present in the urate reagent. Aliquots of the purified urate were resuspended in ultrapure (Milli-Q) water, titrated to pH 9.4 with NaOH, and kept at –70°C until use. Tissues were homogenized at 0°C in a pH 9.1 buffer containing 11.0 mM borate, 1.4 mM EDTA, and 0.18% Triton X-100 made up with Milli-Q water. To assess specific activity of urate oxidase, a small (2–10)  $\mu$ l aliquot of each tissue homogenate was analyzed for protein content using the method of Bradford (1976; Pierce Chemical Company). The major (15  $\mu$ l) portion of tissue homogenate was added to  $5.9 \times 10^{-6}$  moles (10–18  $\mu$ l) of purified  $^{14}$ C-urate, and the resulting reaction mixture incubated at 26°C. Formation of  $^{14}$ C-allantoin (or other reaction products) in these mixtures, and degradation of  $^{14}$ C-urate, were followed for 20 to 40 minutes, using chromatographic methods described for method A.

Enzyme activity detected by method B was calculated from the greatest reaction rate (usually, but not always, the initial rate) observed during the first twenty minutes of incubation. Two measures of activity were calculated:  $\mu$ moles reaction product/mg prot/min (specific activity) and  $\mu$ moles reaction product/15  $\mu$ l volume/min. Though specific activity was the preferred means of expressing activity, activity/volume/min was also included to better compare the significance of enzyme activity in two different sorts of samples: largely acellular fluid (centrifuged renal sac fluid) and tissue homogenates.

Two field-collected molgulid species were assayed for uricolytic activity: *Molgula occidentalis* from Alligator Harbor, on the Gulf Coast of Florida (Gulf Specimen Co., Panacea, Florida), and *Molgula manhattensis* from Woods Hole, MA; Stone Harbor, NJ; and San Francisco Bay, CA. As expected from earlier work (Saffo, 1982), all these field-grown *Molgula* individuals were infected with *Nephromyces*. Animals were maintained in running sea-

water or aerated aquaria and used in assays within a week after collection.

Parallel, laboratory-grown populations of *Nephromyces*-infected and *Nephromyces*-free *Molgula manhattensis* were also assayed to assess directly the impact of *Nephromyces* on urate metabolism in *Molgula*. These lab animals were raised in 0.5  $\mu$ m-filtered seawater, using methods described previously (Saffo and Davis, 1982).

Several tissue types were analyzed. Each tissue was freshly dissected, or dissected and then frozen at  $-20^{\circ}\text{C}$  for one hour and thawed at room temperature, just before use. Single tissue types from one to several (2–11 see Table II) animals were pooled for enzymatic assay. For each laboratory-raised animal, an aliquot of uncentrifuged renal sac fluid was examined by phase contrast microscopy at  $400\times$  to confirm the presence or absence of *Nephromyces* from *Nephromyces*-inoculated and uninoculated populations, respectively.

Renal sac samples were of four sorts: (1) fluid from the renal sac lumen, centrifuged 10 (method A) or 15 (method B) minutes at  $1000 \times g$  to remove suspended cells (which account for a substantial fraction of renal sac fluid volume in *Nephromyces*-infected *Molgula*), (2) *Nephromyces*, removed manually in *M. occidentalis* or collected as a pellet from centrifuged renal sac fluid in symbiont-infected *M. manhattensis*, (3) combined *Nephromyces*-fluid samples, using uncentrifuged renal sac fluid from infected *Molgula* (4) renal sac wall (host tissue: the layer of molgulid cells bounding the renal sac lumen, along with surrounding heart tissue) rinsed in sterile seawater or borate buffer to remove as much renal sac fluid and *Nephromyces* residue as possible and then homogenized in buffer.

Because of the minute volume of each sample, most samples could be assayed only once. Thus, each of the enzyme assays of a particular sort of tissue was carried out on material isolated from a different animal source.

Four other samples, serving as comparative controls, were spotted onto TLC plates and assayed for  $^{14}\text{C}$ , with the first three followed over a time course similar to that of the tissue samples. (1) The neural gland complex and adjacent mantle tissue of *Molgula* provided molgulid, non-renal sac tissue. (2) A 6 mg/ml suspension of urate oxidase (Sigma Chemical Co., Type V, porcine liver: about 20 activity units/g protein) in borate buffer compared activity of purified urate oxidase with that of tissue samples. (3)  $^{14}\text{C}$ -urate in borate buffer identified the extent of endogenous  $^{14}\text{C}$ -allantoin impurity in the labeled uric acid substrate, as well as the rates of spontaneous, non-enzymatic degradation of  $^{14}\text{C}$ -urate at alkaline pH (Friedman and Merrill, 1973; Antia and Landymore, 1974). (4) Parallel TLC separations of unlabeled 0.01 *M* urate, 0.02 *M* allantoin, and 0.02 *M* urea, dissolved in borate buffer, assisted chromatographic localization of substrate and putative degradation products and pro-

vided non-isotopic controls for scintillation counting. Unlabeled uric acid was detected by absorption in ultraviolet light; unlabeled allantoin and urea were detected by the production of yellow color with Ehrlich reagent (4-Dimethylaminobenzaldehyde HCl; Sigma Chemical).

## Results

Tables I and II provide clear evidence of enzyme-catalyzed uricolysis in *Nephromyces*-infected *Molgula occidentalis* and *Molgula manhattensis*. This activity is not distributed evenly throughout all molgulid tissues, or even throughout the entire renal sac. Rather, it is concentrated in *Nephromyces* cells.

### Identity of uricolytic products

With an NaCl-95% ethanol solvent, the  $R_f$  of the peak of uricolytic product (Fig. 1) in the renal sac was quite similar to that of urate oxidase controls. Over two qualitative assays (Method A) and all time points (0, 3, 10, 20, 40 minutes), the urate oxidase-catalyzed reaction product (presumptive allantoin) showed a single [ $^{14}\text{C}$ ] peak at  $R_f$  values of .82–.87. In 13 parallel assays, the [ $^{14}\text{C}$ ] maximum of the *Nephromyces*-catalyzed reaction product peak showed an  $R_f$  value of 0.79–.87.  $R_f$  values for urate oxidase-catalyzed product in quantitative assays (Method B) ranged from .78–.81; those for *Nephromyces*-catalyzed product peak ranged from .75–.80.

In Method A, the  $R_f$  of  $^{14}\text{C}$ -urate in borate buffer ranged from .43–.45. That of  $^{14}\text{C}$ -urate incubated with tissue homogenates ranged from .40–.45. In Method B, the  $R_f$  of  $^{14}\text{C}$ -urate in borate buffer was .41–.43. That of  $^{14}\text{C}$ -urate incubated with tissue homogenates was .41–.44.

TLC separations of nonisotopic controls yielded  $R_f$  values of: 0.45–0.49 (urate), 0.80–.84 (allantoin), and 0.86–.90 (urea). TLC separations of unlabeled renal sac fluid yielded numerous UV-absorbent and UV-fluorescent compounds, of a wide range of  $R_f$  values. Though some of these compounds (those spanning  $R_f$  ranges of .75–.87) overlapped allantoin and urea in mobility, they did not react with Ehrlich's reagent.

### Localization of uricolytic activity: assay method A (preliminary assays)

Results from method A were more variable than those from method B. Major complicating factors included variability in solubilization rates of the  $^{14}\text{C}$ -urate substrate, and variability in effectiveness of physical methods for tissue homogenization from sample to sample. Nevertheless, enzymatic activity detected by these assays



Table 1

Urate oxidase activity in *Molgula*

| Enzyme source                                                                          | # Assays | $\Delta$ Product-cpm/<br>minute/sample<br>(standard error) | % Individual assays<br>showing uricolytic<br>activity <sup>a</sup> |
|----------------------------------------------------------------------------------------|----------|------------------------------------------------------------|--------------------------------------------------------------------|
| Controls                                                                               |          |                                                            |                                                                    |
| Buffer                                                                                 | 9        | 56 ( $\pm 63$ )                                            | 0                                                                  |
| Urate oxidase (porcine liver)                                                          | 2        | 2,355 ( $\pm 455$ )                                        | 100                                                                |
| Uninfected <i>Molgula</i> <i>manhattensis</i> (lab <sup>c</sup> )                      |          |                                                            |                                                                    |
| Non renal sac                                                                          | 2        | 0                                                          | 0                                                                  |
| Renal sac wall                                                                         | 2        | 0                                                          | 0                                                                  |
| Renal sac fluid # 1                                                                    | 1        | 0                                                          | 0                                                                  |
| Renal sac fluid #2                                                                     | 1        | 156                                                        | —                                                                  |
| Infected <i>Molgula</i> <i>manhattensis</i> (field <sup>b</sup> and lab <sup>c</sup> ) |          |                                                            |                                                                    |
| Non renal sac (field)                                                                  | 1        | 0                                                          | 0                                                                  |
| Non renal sac                                                                          | 5        | 0                                                          | 0                                                                  |
| Renal sac wall (field)                                                                 | 1        | 0.2                                                        | 0                                                                  |
| Renal sac wall                                                                         | 4        | 122 ( $\pm 167$ )                                          | 50                                                                 |
| Renal sac fluid (field)                                                                | 1        | 34                                                         | 0                                                                  |
| Renal sac fluid #1                                                                     | 2        | 354 ( $\pm 78$ )                                           | 100                                                                |
| Renal sac fluid #2                                                                     | 2        | 2,518 ( $\pm 1036$ )                                       | 100                                                                |
| <i>Nephromyces</i> (field)                                                             | 2        | 1,585 ( $\pm 1648$ )                                       | 100                                                                |
| <i>Nephromyces</i> #1                                                                  | 2        | 576 ( $\pm 517$ )                                          | 100                                                                |
| <i>Nephromyces</i> #2                                                                  | 2        | 25,894 ( $\pm 7422$ )                                      | 100                                                                |
| <i>Nephromyces</i> (with fluid) (field)                                                | 4        | 989 ( $\pm 540$ )                                          | 100                                                                |
| <i>Nephromyces</i> (with fluid)                                                        | 2        | 2,110 ( $\pm 1115$ )                                       | 100                                                                |
| Infected <i>Molgula</i> <i>occidentalis</i> (field) <sup>b</sup>                       |          |                                                            |                                                                    |
| Renal sac wall                                                                         | 1        | 0                                                          | 0                                                                  |
| Renal sac fluid                                                                        | 1        | 539                                                        | 100                                                                |
| <i>Nephromyces</i>                                                                     | 1        | 772                                                        | 100                                                                |
| <i>Nephromyces</i> (with fluid)                                                        | 1        | 5,022                                                      | 100                                                                |

<sup>a</sup>% Individual assays with  $\Delta$  product-cpm/min > parallel buffer control.

<sup>b</sup> Field-collected animals.

<sup>c</sup> Laboratory-raised animals.

indicated that urate oxidase activity was concentrated in *Nephromyces*.

Among samples from *Nephromyces*-infected *M. manhattensis* and *M. occidentalis*, all samples of *Nephromyces* cells showed high uricolytic activity. Uricolytic activity was also detected in five of six renal sac fluid samples, though activity per sample volume was always lower than that of parallel *Nephromyces* samples. Of host tissue, only two of six samples of renal sac wall, and no sample of nonrenal sac tissue, showed uricolytic activity.

In general, tissue samples from uninfected *M. manhattensis* showed no uricolytic activity. In the single possible exception to this pattern, one of the two uninfected renal

sac fluid samples (renal sac fluid #2, Table 1) assayed showed a rise in <sup>14</sup>C-product levels 1.2 times greater than that of the parallel buffer control, but only 0.75% and 7.7% that of parallel *Nephromyces* samples (*Nephromyces* #2) and of urate oxidase controls, respectively. Further, levels of <sup>14</sup>C-urate substrate fluctuated throughout incubation. Thus, the evidence for urate oxidase activity is ambiguous in this apparently aberrant assay.

#### Assay method B

Of seven samples of non-renal sac tissue, renal sac wall, and renal sac fluid assayed from uninfected *M. manhattensis* (see Table 1), six showed no uricolytic activity. The seventh (one of two renal sac wall samples) showed miniscule urate oxidase activity: an increase in <sup>14</sup>C-product of only 60 dpm after 20 minutes' incubation, or about 10<sup>-3</sup> the specific activity of commercially purified urate oxidase.

Even *Nephromyces*-infected *M. manhattensis* showed no consistent evidence for urate oxidase activity in host tissue. No urate oxidase activity at all was detected in non-renal sac tissues of infected *M. manhattensis*. Three samples of renal sac wall also showed no uricolytic activity, while one showed low activity (10<sup>-2</sup> that of the urate oxidase control). Two samples of renal sac fluid showed no uricolytic activity, one showed low activity, and a fourth sample showed low uricolytic activity per sample volume, but high specific activity.

In contrast to the absent or spotty urate oxidase activity detected in *Molgula* tissue, all *Nephromyces* samples from *M. manhattensis* showed substantial urate oxidase activity, comparable to or even exceeding the specific activity of commercial urate oxidase.

Distribution patterns of urate oxidase activity in *Nephromyces*-infected *M. occidentalis* resembled those found in *Nephromyces*-infected *M. manhattensis*. Urate oxidase activity was absent in non-renal sac tissue and in one sample of renal sac wall. A second sample of renal sac wall, with small *Nephromyces* clumps adhering after rinsing, showed low urate oxidase activity, about a tenth that of commercial urate oxidase controls. Both samples of renal sac fluid showed relatively low urate oxidase activity per sample volume, but very high inferred or calculated specific activities. All *Nephromyces* samples showed very high specific activities for urate oxidase, either comparable to, or even far exceeding, those of the urate oxidase control.

Urate oxidase activity varied among *Nephromyces* samples from different sources of *Molgula*. The specific activities of urate oxidase measured from field-collected *M. manhattensis* (713–1567  $\mu$ moles/min/mg protein) were less than those from either laboratory-raised *M. manhattensis* (4800–6245  $\mu$ moles/min/mg) or *M. occidentalis* (4,103–23,696  $\mu$ moles/min/mg).



Table II

Urate oxidase activity: assay method B

| Enzyme source                                                                     | # Animals pooled per assay | # Assays (n) | Mean $\mu\text{moles/min} \times 10^8$ (standard error) | Specific activity: mean $\mu\text{moles/mg prot/min} \times 10^5$ (standard error) | % Assays with uricolytic activity <sup>a</sup> |
|-----------------------------------------------------------------------------------|----------------------------|--------------|---------------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------|
| Controls                                                                          |                            |              |                                                         |                                                                                    |                                                |
| Buffer                                                                            | —                          | 3            | 0                                                       | 0                                                                                  | 0                                              |
| Urate oxidase (porcine liver)                                                     |                            |              |                                                         |                                                                                    |                                                |
| 0–2 mins                                                                          | —                          | 1            | 12,950                                                  | 4,788                                                                              | 100                                            |
| 2–20 mins                                                                         | —                          | 1            | 1,310                                                   | 490                                                                                | 100                                            |
| Uninfected <i>Molgula manhattanensis</i> (lab <sup>c</sup> )                      |                            |              |                                                         |                                                                                    |                                                |
| Non renal sac                                                                     | 3–4                        | 2            | 0 (0)                                                   | 0 (0)                                                                              | 0                                              |
| Renal sac fluid                                                                   | 5                          | 2            | 1.35 ( $\pm 1.35$ )                                     | 0.55 ( $\pm 0.55$ )                                                                | 0                                              |
| Renal sac fluid                                                                   | 3–6                        | 3            | 0 (0)                                                   | 0 (0)                                                                              | 0                                              |
| Infected <i>Molgula manhattanensis</i> (field <sup>b</sup> and lab <sup>c</sup> ) |                            |              |                                                         |                                                                                    |                                                |
| Non renal sac (field and lab)                                                     | 2–4                        | 3            | 0 (0)                                                   | 0 (0)                                                                              | 0                                              |
| Renal sac wall                                                                    |                            |              |                                                         |                                                                                    |                                                |
| field                                                                             | 2                          | 2            | 0 (0)                                                   | 0 (0)                                                                              | 0                                              |
| lab                                                                               | 4                          | 2            | 17 ( $\pm 17$ )                                         | 15 ( $\pm 15$ )                                                                    | 50                                             |
| Renal sac fluid                                                                   |                            |              |                                                         |                                                                                    |                                                |
| field                                                                             | 3                          | 2            | 9 ( $\pm 9$ )                                           | 18.5 ( $\pm 18.5$ )                                                                | 50                                             |
| lab                                                                               | 4–11                       | 2            | 76 ( $\pm 76$ )                                         | 2,235 ( $\pm 2235$ )                                                               | 50                                             |
| <i>Nephromyces</i>                                                                |                            |              |                                                         |                                                                                    |                                                |
| field                                                                             | 3                          | 2            | 2,655 ( $\pm 1761$ )                                    | 1,140 ( $\pm 604$ )                                                                | 100                                            |
| lab                                                                               | 4–11                       | 3            | 3,909 ( $\pm 4256$ )                                    | 5,411 ( $\pm 748$ )                                                                | 100                                            |
| <i>Molgula occidentalis</i> (field <sup>b</sup> )                                 |                            |              |                                                         |                                                                                    |                                                |
| Non renal sac                                                                     | 2                          | 1            | 0                                                       | 0                                                                                  | 0                                              |
| Renal sac wall <sup>d</sup>                                                       | 1                          | 2            | 115 ( $\pm 115$ )                                       | 115 ( $\pm 115$ )                                                                  | 50 <sup>d</sup>                                |
| Renal sac fluid                                                                   | 1                          | 2            | 511 ( $\pm 485$ )                                       | 34,160 <sup>±e</sup>                                                               | 100                                            |
| <i>Nephromyces</i>                                                                | 1                          | 3            | 7,518 ( $\pm 3212$ )                                    | 14,116 ( $\pm 9803$ )                                                              | 100                                            |

<sup>a</sup> % of individual assays showing specific activity >0.<sup>b</sup> Field-collected animals.<sup>c</sup> Laboratory-raised animals.<sup>d</sup> Clumps of *Nephromyces* adhering to wall in the sample showing uricolytic activity.<sup>e</sup> Protein not detectable in one sample.

## Discussion

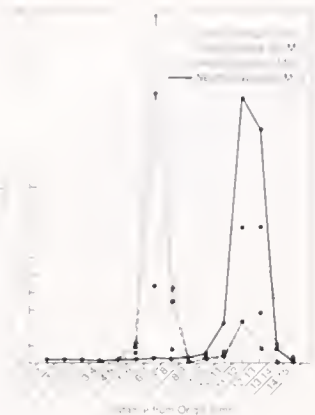
The data presented in this report indicate that enzymatically catalyzed uricolysis takes place within the renal sac of *Molgula*. They further suggest that uricolytic activity is localized virtually exclusively within the renal sac symbiont, *Nephromyces*, rather than in host tissue. Indeed, the spotty presence of uricolytic activity in *Nephromyces*-infected molgulid tissue, coupled with the general absence of such activity in symbiont-free molgulid tissues, suggests that uricolytic activity detected in host tissue is not endogenous to *Molgula*, but rather arises largely or exclusively from *Nephromyces* contaminants.

Among symbiont-free molgulid tissue samples assayed by method B, no tissues except a single sample of renal

sac wall showed any trace of urate oxidase activity. By comparison with urate oxidase controls, with *Nephromyces*, and with other organisms, the activity of even this sample is essentially zero; its value is smaller even than the urate oxidase activity detected in liver and kidney tissue of uricotelic animals (Saffo, 1989; in prep.; Scott *et al.*, 1969).

The low uricolytic activity detected in a small fraction of renal sac wall samples from infected *Molgula* seems best explained as the result of *Nephromyces* remnants occasionally remaining (in one case, visibly so) on the renal sac wall surface after rinsing.

By similar reasoning, uricolytic activity detected in several samples of renal sac fluid of infected animals must result, in some sense, from contamination by *Ne-*



**Figure 1.** Incubation of  $^{14}\text{C}$  urate with *Nephromyces*-renal sac fluid homogenate from field-collected *Molgula occidentalis*, compared with  $^{14}\text{C}$ -urate incubated with commercial urate oxidase (assay method A). Distribution of radioactivity (measured as cpm) on thinlayer chromatogram after 0 and 20 minutes' incubation. Urate peak is 6–7 cm from origin; degradation product peak (allantoin) is 11–13 cm from origin.

*phromyces*. *Nephromyces* could give rise to uricolytic activity in the renal sac fluid in three possible ways. First, the symbiont might induce *Molgula* to produce uricolytic enzymes and to secrete them from the renal sac wall into the renal sac lumen. Since most renal sac wall samples lack detectable enzymatic uricolytic activity, this possibility seems unlikely. A second possibility is that the funguslike trophic stages of *Nephromyces* secrete degradative enzymes extracellularly, into the renal sac fluid, or that enzymatic contents of *Nephromyces* cells might be released naturally into the renal sac fluid as individual symbiont cells die. This possibility does not explain, however, the *absence* of urate oxidase activity from several samples of renal sac fluid drawn from infected *M. manhattensis*. Third, uricolysis in renal sac fluid could be an artifact of centrifugation: that is, the supernatant fluid could still contain intact cells of *Nephromyces* after centrifugation, or the *Nephromyces* cells could have lysed during centrifugation. The two nonuricolytic renal sac fluid samples from infected *M. manhattensis* would thus represent the only samples of renal sac fluid free of cells or cell contents. The discrepancy in these fluid samples, between uricolytic activity per volume (always low) and specific activity (much higher), is further suggestive of contamination of these samples with a small amount of protein.

The similarities between  $R_f$  values of urate degradation products resulting from catalysis by commercial urate oxidase and those catalyzed by *Nephromyces* are consistent with the notion that *Nephromyces* produces allantoin from urate—that is, that urate degradation in *Nephromyces* is catalyzed by urate oxidase. This need not mean that allantoin is the final product of urate degradation in *Nephromyces*. The apparent absence of al-

lantoin from HPLC separations of renal sac fluid (Saffo, unpub.) underscores the possibility that allantoin may be only a transitional product of urate degradation in the renal sac.

The chromatographic data also leave open the possibility that additional compounds are produced from urate degradation. The NaCl-95% ethanol TLC solvent, used here because it yields widely different  $R_f$  values for urate and allantoin, does not separate allantoin distinctly from other, more polar compounds. For example, urea is only slightly more mobile than allantoin, yielding closely abutting allantoin and urea spots in TLC separations of allantoin-urea mixtures. Further, several compounds in the renal sac fluid overlap allantoin in mobility. Thus, the  $^{14}\text{C}$  products of urate degradation seen in *Nephromyces* extracts might include urea or other compounds, in addition to allantoin.

Whatever may be the particular pathways of urate degradation in the renal sac, the general lesson remains clear. In *Nephromyces*-infected *Molgula*—that is, in *Molgula* in nature—urate is *not* a permanent deposit in the renal sac. Thus, uric acid cannot serve as a *permanent* repository for waste nitrogen in the renal sac. The role of urate production and of renal sac function in *Molgula* must be re-examined.

The high rates of uricolysis in *Nephromyces* and the symbiont's high densities in adult molgulids suggest that *Nephromyces* makes an important contribution to the function of the renal sac. Because of *Nephromyces*' widespread, probably universal, infection rate among adult *Molgula*, the metabolic activities of the symbiont and their specific effects on the physiology of *Molgula* merit careful scrutiny.

The present data suggest one aspect of *Nephromyces*' metabolism that is worth particular attention. For *Nephromyces*, renal sac urate is not nitrogen waste, but rather a potential *source* of carbon and nitrogen. Especially if the *Nephromyces*-molgulid symbiosis benefits the molgulid hosts (Saffo, 1984, 1986), could urate serve ultimately (albeit indirectly) as a nitrogen source for *Molgula*, as well as for *Nephromyces*? I am currently pursuing such views, tracing the fate of *Nephromyces* metabolites in and beyond the renal sac, determining whether carbon and nitrogen from urate degradation by *Nephromyces* is reincorporated by *Molgula*, and investigating the long-term consequences of *Nephromyces*' activities for the general biology of *Molgula*.

#### Acknowledgments

I thank Kristina Williams; Elizabeth Carter; D. Bradfute; D. Felix; J. Margolis; P. Sinnis; L. Stathoplos; and J. Whitbeck for experimental assistance; J. Wardrip and A. Whelan for graphics; and A. T. Newberry, W. B. Watt and an anonymous reviewer for editorial advice. Sup-

ported by grants from the Research Corporation; the American Philosophical Society; the Whitehall Foundation; and the National Science Foundation (PCM 84-10107 and DCB 85-40400), and by the Institute of Marine Sciences, UC Santa Cruz. Contribution No. 281 of the Tallahassee, Sopchoppy and Gulf Coast Marine Biological Association.

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# Evolutionary Temperature Adaptation of Agonist Binding to the A<sub>1</sub> Adenosine Receptor

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**Abstract.** The effects of temperature on the binding of the agonist [<sup>3</sup>H]cyclohexyladenosine to A<sub>1</sub> adenosine receptors were studied by equilibrium binding techniques in brain membranes from eight vertebrate species with average body temperatures from 1 to 40°C. K<sub>d</sub> values for rat and chicken increased markedly as measurement temperature decreased. In contrast, the K<sub>d</sub> values for six teleost species, including warm-adapted, cold-adapted, and deep-living species, were much less sensitive to temperature perturbation. At 5°C K<sub>d</sub> values vary 30-fold among the species; however, at temperatures approximating the cell temperatures of the species there is only a four-fold range of values. Binding enthalpies varied in sign and magnitude among the species. Binding entropies were positive for all the species; values were largest for the warm-adapted species, and smallest for the deep-living fishes. B<sub>max</sub> values were relatively insensitive to temperature changes. MgCl<sub>2</sub> significantly increased B<sub>max</sub> values, and for two of three species, lowered K<sub>d</sub> values. MgCl<sub>2</sub> did not alter the enthalpy changes. In equilibrium competition experiments at 5°C using brain membranes from the deep-living teleost *Antimora rostrata*, the adenosine analogs R-phenylisopropyladenosine, N-ethylcarboxamidoadenosine, and 2-chloroadenosine were approximately 23-fold more potent than S-phenylisopropyladenosine. Despite perturbation by low temperature of agonist binding to mammalian and avian A<sub>1</sub> adenosine receptors, agonist recognition and binding properties of the A<sub>1</sub> receptor have been retained in vertebrates adapted to different body temperatures. These adaptive trends mirror those noted in studies of soluble enzyme homologs and muscle actins from species adapted to different temperatures.

## Introduction

Adenosine has been demonstrated to be a significant endogenous modulator in mammalian tissues, and influences numerous physiological processes including lipolysis, coronary vasodilation, platelet aggregation, and neuronal function in the central nervous system (Snyder, 1985; Stiles, 1986; Williams, 1987). Adenosine modulates cyclic adenosine monophosphate (cAMP<sup>1</sup>) accumulation by affecting adenylate cyclase activity through two distinct membrane-associated receptors. At A<sub>1</sub> receptors, adenosine inhibits adenylate cyclase activity. At A<sub>2</sub> receptors, adenosine stimulates cAMP production (Daly *et al.*, 1981). These receptors are distinguished on the basis of structure-activity profiles of adenosine agonist analogs. The rank order potency of adenosine analogs is R-phenylisopropyladenosine (R-PIA) ≥ 2-chloroadenosine (2-CADO) ≥ N-ethylcarboxamidoadenosine (NECA) > S-phenylisopropyladenosine (S-PIA) at A<sub>1</sub> receptors and NECA > 2-CADO > R-PIA ≥ S-PIA at A<sub>2</sub> receptors (Daly, 1983 a,b; Stone, 1985; Williams, 1987).

A<sub>1</sub> adenosine receptors in central nervous tissue have a wide phylogenetic distribution among vertebrates (Siebenaller and Murray, 1986). Receptors were identified in brain membranes of eleven species representing six classes of vertebrates using the A<sub>1</sub>-specific agonist [<sup>3</sup>H]cyclohexyladenosine ([<sup>3</sup>H]CHA) in assays at 22°C. Although all the vertebrates tested, including a number of cold-adapted marine fishes, displayed substantial amounts of specific [<sup>3</sup>H]CHA binding, no specific bind-

<sup>1</sup> Abbreviations: 2-CADO, 2-chloroadenosine; cAMP, cyclic adenosine monophosphate; [<sup>3</sup>H]CHA, [<sup>3</sup>H]cyclohexyladenosine; EDTA, ethylenediaminetetraacetic acid; G protein, guanine nucleotide binding protein; NECA, N-ethylcarboxamidoadenosine; R-PIA, R-phenylisopropyladenosine; S-PIA, S-phenylisopropyladenosine; T<sub>b</sub>, average body temperature.

ing could be detected in nervous tissue of molluscs or arthropods, the two invertebrate phyla tested (Siebenaller and Murray, 1986).

Ligand binding to the  $A_1$  receptor is markedly sensitive to temperature perturbation (*e.g.*, Bruns *et al.*, 1980; Trost and Schwabe, 1981; Murphy and Snyder, 1982; Lohse *et al.*, 1984). In mammalian species, agonist binding is strongly perturbed by decreased temperatures (Murphy and Snyder, 1982); for example, optimal binding in rat adipocyte membranes is narrowly centered at 37°C (Trost and Schwabe, 1981). Agonist binding has a relatively large unfavorable enthalpy change and is strongly entropy-driven (Murphy and Snyder, 1982; Lohse *et al.*, 1984).

Because of the strong temperature dependence of agonist binding, and because ectothermic vertebrates have body temperatures spanning a broad range, a number of questions arise concerning  $A_1$  adenosine receptors in ectotherms, particularly those living at cold temperatures. Do these receptors retain a high affinity for agonists at the average body temperature ( $T_b$ ) of the species? Do  $A_1$  receptors display the evolutionary conservation of ligand binding abilities documented for a variety of enzyme homologs in thermal adaptation? For example, in studies of homologs of  $M_4$ -lactate dehydrogenase from species with cell temperatures of -2 to 47°C, the  $K_m$  values of substrate and coenzyme are highly similar among species when measured at the  $T_b$  of the species, despite variation in  $K_m$  values with measurement temperature (Yancey and Somero, 1978; Graves and Somero, 1982; Hochachka and Somero, 1984; Yancey and Siebenaller, 1987). This selection for particular  $K_m$  values in evolutionary adaptation to temperature results in the preservation of the catalytic and regulatory functions of enzymes (Yancey and Somero, 1978; Graves and Somero, 1982; Hochachka and Somero, 1984; Siebenaller and Somero, 1988).

To elucidate the characteristics of  $A_1$  adenosine receptors in evolutionary adaptation to temperature, a study was undertaken of the effects of temperature on binding of the  $A_1$ -specific agonist [ $^3H$ ]CHA to brain membranes from species with body temperatures ranging from 1°C to 40°C, including a number of marine teleost fishes which differ in their depths of occurrence. [ $^3H$ ]CHA was used as the ligand because of the problems associated with studying the binding of the endogenous agonist adenosine (Daly, 1983a).

## Materials and Methods

### Specimens

Demersal adult *Sebastolobus altivelis* and *S. alascanus* (Scorpaenidae) were taken by otter trawl at their typical depths of abundance off the coasts of Oregon and

California. Demersal adult *Antimora rostrata* (Moridae), *Macrourus berglax* and *Coryphaenoides rupestris* (Macrouridae) were taken off the coast of Newfoundland, Canada. For comparisons these cold-adapted teleosts are grouped as shallow- or deep-living (Table I). Data on the depths of occurrence are from Wenner and Musick (1977), Sullivan and Somero (1980), Siebenaller *et al.* (1982), and Middleton and Musick (1986). Species which are common below approximately 600 m are considered deep-living. Brains were dissected and frozen in liquid nitrogen at sea and transported to the laboratory where they were stored at -80°C until used. Brains from *Epinephelus fulvus* (Serranidae) were provided by Dr. E. Pfeiler, University of Puerto Rico, Mayaguez, Puerto Rico, and shipped on dry ice. Frozen chicken (*Gallus domesticus*) and rat (*Rattus rattus*) brains were purchased from Pel-Freez Biologicals (Rogers, Arkansas). The  $T_b$ 's of the species are given in Table I.

### Chemicals

Radiolabeled [adenine-2,8- $^3H$ ]CHA (34.4 Ci/mmol) was purchased from DuPont NEN (Boston, Massachusetts). The R- and S-diastereomers of PIA and NECA were obtained from Research Biochemicals, Inc. (Wayland, Massachusetts). Adenosine deaminase (Sigma, Type VI), 2-CADO, and all other chemicals used were from Sigma Chemical Company (St. Louis, Missouri). Water was processed through a Milli-Q purification system (Millipore Corp., Bedford, Massachusetts).

### Preparation of brain membranes

On the day of the experiment, frozen brain tissue was thawed and prepared in 50 mM Tris-HCl, pH 7.6 at 5°C, and 1 mM EDTA as described in Murray and Siebenaller (1987). Prior to the final resuspension of the tissue, the preparation was incubated with 2.5 IU/ml of adenosine deaminase at 20°C for 40 min. The final resuspension of the tissue was in 50 mM Tris-HCl, as indicated below.

### Equilibrium binding assay for membrane bound $A_1$ adenosine receptors

The specific binding of the  $A_1$ -selective ligand [ $^3H$ ]CHA to brain membranes was determined using a rapid filtration assay described by Bruns *et al.* (1980) and Murray and Cheney (1982) with minor modifications. Assay conditions were chosen to be comparable to those used in a previous study of the two *Sebastolobus* species (Murray and Siebenaller, 1987).

Aliquots of brain membrane preparations (150–750  $\mu$ g of protein) were incubated with [ $^3H$ ]CHA and either buffer or competing compounds in a final volume of 1 ml. Samples were incubated in a circulating refrigerated

Table 1

Thermodynamic parameters,  $\Delta G^\circ$  and  $B_{\max}$  values for [ $^3H$ ]CHA binding to  $A_1$  adenosine receptors in membranes from central nervous system of vertebrates with different body temperatures

| Species                                              | $\Delta G^\circ$<br>(cal/mol) | $\Delta H^\circ$<br>(cal/mol) | $\Delta S^\circ$<br>(entropy units) | $B_{\max}$<br>(fmol/mg protein) |
|------------------------------------------------------|-------------------------------|-------------------------------|-------------------------------------|---------------------------------|
| Warm-adapted                                         |                               |                               |                                     |                                 |
| <i>Rattus norvegicus</i> (37°C) <sup>a</sup>         | 9,474 ± 68 <sup>b</sup>       | 9,259 ± 1,644* <sup>d</sup>   | 63.3                                | 420 ± 18.6 <sup>c</sup>         |
| <i>Gallus domesticus</i> (40°C)                      | -10,195 ± 223                 | 6,731 ± 1,365*                | 60.8                                | 216 ± 7.9                       |
| <i>Epinephelus fuscus</i> (10 m; 24–25°C)            | -10,556 ± 74                  | 3,190 ± 1,013*                | 49.4                                | 288 ± 9.8                       |
| Cold-adapted shallow-living teleost fishes           |                               |                               |                                     |                                 |
| <i>Sebastes albus</i> (180–440 m; 4–7°C)             | -10,894 ± 6                   | -1,219 ± 422*                 | 34.8                                | 179 ± 4.0                       |
| <i>Macrourus berglax</i> (400 m; 1–4°C)              | -11,075 ± 57                  | 2,502 ± 845*                  | 49.1                                | 23 ± 0.9                        |
| Cold-adapted deep-living teleost fishes              |                               |                               |                                     |                                 |
| <i>Sebastes altivelis</i> (550–1200 m; 4–7°C)        | -10,762 ± 37                  | 212 ± 526                     | 39.4                                | 211 ± 8.9                       |
| <i>Coryphaenoides rupestris</i> (1100–1700 m; 1–6°C) | 10,634 ± 36                   | 211 ± 809                     | 39.0                                | 71 ± 1.2                        |
| <i>Antimora rostrata</i> (1700 m; 1–4°C)             | -10,405 ± 131                 | -3,773 ± 1,888                | 23.9                                | 28 ± 2.9                        |

<sup>a</sup> The range of body temperatures for the species, and for the marine fishes, the depth of occurrence are indicated.

<sup>b</sup> Mean of at least two determinations ± standard error.

<sup>c</sup> Calculated from the standard errors of the slopes of van't Hoff plots.

<sup>d</sup> \* indicates  $\Delta H^\circ$  values not equal to zero ( $P < 0.05$ ).

<sup>e</sup> Mean of determinations at all of temperatures ± standard error. The number of temperatures pooled for each species may be determined from Figure 1.

water bath (Model 2067, Forma Scientific, Marietta, Ohio) at the indicated temperatures for 2 h, since this time was found adequate in preliminary experiments for the binding reaction to achieve equilibrium at all temperatures. For each species except *Antimora rostrata*, experiments spanning the entire range of temperatures employed were performed in parallel to minimize variability in the treatment of the membrane preparations.

The binding reactions were terminated by filtration of the assay tube contents over No. 32 glass fiber filter strips (Schleicher and Schuell Inc., Keene, New Hampshire) using a cell harvester (model M-24R; Brandel Instruments, Gaithersburg, Maryland) under vacuum. Filters were then rinsed with four × 4-ml washes of ice-cold 50 mM Tris-HCl, pH 7.6 at 5°C, to remove unbound radioactivity. Filter disks were placed into counting vials to which was added 9 ml of Biocount (Research Products International Corp., Mount Prospect, Illinois). Filter-bound radioactivity was determined by liquid scintillation spectrometry (Beckman model LS8000) at an efficiency of 53% following overnight extraction at room temperature. The amount of radioligand bound was less than 10% of the total added in all experiments. Specific binding was defined as total binding minus binding occurring in the presence of 60  $\mu$ M R-PIA, and represented

95 to 85% of the total binding at the  $K_d$  values for [ $^3H$ ]CHA in all species.

#### Protein determination

Membrane protein content was assayed by the method of Lowry *et al.* (1951) following solubilization of the samples in 0.5 M NaOH. Bovine serum albumin (Sigma Chemical Company) was used as the standard.

#### Analysis

Each saturation binding isotherm of the agonist [ $^3H$ ]CHA to the  $A_1$  receptor was analyzed using LUNDON-1 (Lundon Software, Inc., Cleveland, Ohio) iterative curve fitting routines (Lundeen and Gordon, 1985). The concentrations of [ $^3H$ ]CHA used ranged from 0.08 nM to 24 nM.

Thermodynamic parameters were determined using the following equations:

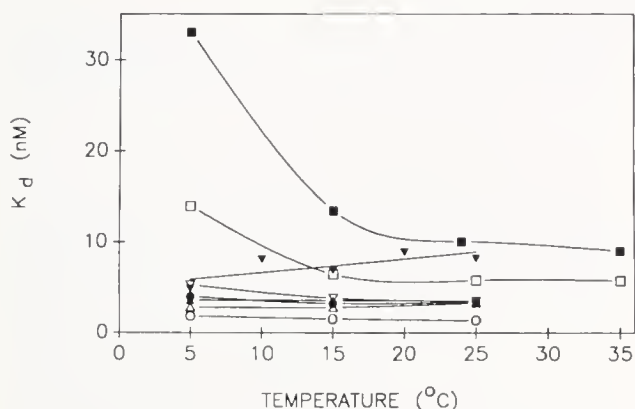
$$K_a = 1/K_d$$

$$\Delta G^\circ = -RT \ln K_a$$

$$\Delta G^\circ = \Delta H^\circ - T\Delta S^\circ$$

where  $K_a$  is the equilibrium association constant,  $K_d$  the





**Figure 1.** The effects of temperature on  $K_d$  of  $[^3\text{H}]\text{CHA}$ . Filled square: *Rattus rattus*, open square: *Gallus domesticus*; open inverse triangle: *Epinephelus fulvus*, open triangle: *Sebastolobus alascanus*, open circle: *Macrourus berglax*; filled triangle: *S. altivelis*, filled circle: *Coryphaenoides rupestris*; filled inverse triangle: *Antimora rostrata*. The standard error for each value is approximately 12% of the  $K_d$ . Each point represents at least two determinations.

apparent dissociation constant,  $\Delta G^0$  the standard free energy change,  $\Delta H^0$  the standard enthalpy change,  $\Delta S^0$  the standard entropy change,  $R$  the gas constant ( $1.987 \text{ cal mol}^{-1} \text{ K}^{-1}$ ), and  $T$  the absolute temperature. Binding enthalpies were determined from van't Hoff plots of  $\ln K_a$  versus the reciprocal absolute temperature using the integrated van't Hoff equation:

$$\ln K_a = -\Delta H^0/RT + \Delta S^0/R.$$

$K_a$  values were calculated using the experimentally determined  $K_d$  at each temperature. The data were fit using a least squares linear regression.

For the  $[^3\text{H}]\text{CHA}$  competition experiments conducted at  $5^\circ\text{C}$  with *A. rostrata* brain membranes,  $\text{IC}_{50}$  values and slope factors were determined using the nonlinear least squares curve-fitting program LIGAND (Munson and Robard, 1980). Dissociation constants ( $K_i$ ) were determined using the equation:

$$K_i = \text{IC}_{50}/[1 + ([L]/K_d)]$$

where  $[L]$  is the total  $[^3\text{H}]\text{CHA}$  concentration employed,  $K_d$  is the apparent dissociation constant of  $[^3\text{H}]\text{CHA}$  and  $\text{IC}_{50}$  is the concentration of inhibitor resulting in 50% inhibition of the specific binding (Cheng and Prusoff 1973).

## Results

### Effects of temperature on $K_d$ and $B_{\text{max}}$

The effects of temperature at pH 7.6 on  $K_d$  of  $[^3\text{H}]\text{CHA}$  values differed among the eight species studied (Fig. 1). Agonist binding in chicken and rat brain mem-

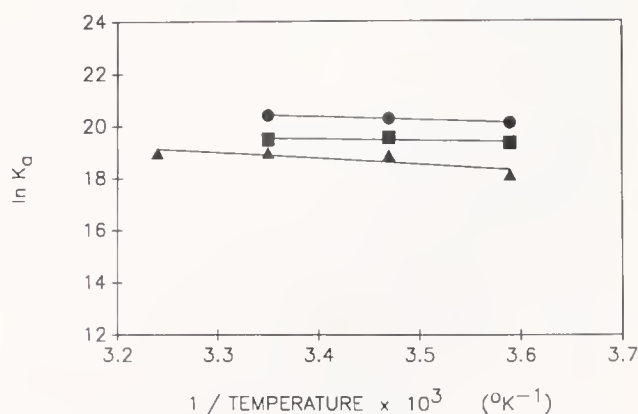
branes was the most sensitive to temperature changes;  $K_d$  values increased 2- to 4-fold as temperature decreased from  $35^\circ$  to  $5^\circ\text{C}$ . In contrast, the  $K_d$  values for the six teleost fishes were much less sensitive to temperature; for five of these species, the  $K_d$  values changed less than 1.7 nM over the  $20^\circ\text{C}$  temperature range tested. The  $K_d$  values decreased with decreased measurement temperature for the preparations from *Antimora rostrata* and *Sebastolobus alascanus*. For *S. altivelis* and *Coryphaenoides rupestris* membranes, there were no changes in  $K_d$  over the temperature range  $5$ – $25^\circ\text{C}$ .  $K_d$  values for *Epinephelus fulvus* and *Macrourus berglax* increased slightly with decreased temperature.

There is much less variation in  $K_d$  values at temperatures approximating the  $T_b$ 's of the species (see Table I) than at an extreme measurement temperature such as  $5^\circ\text{C}$ . This is particularly apparent in comparing the  $K_d$  values of rat and chicken membranes at  $35^\circ\text{C}$  with the values for the cold-adapted fishes at  $5^\circ\text{C}$  (Fig. 1). There is a 30-fold range of values for all species at  $5^\circ\text{C}$ , and only a 4-fold range of  $K_d$  values at temperatures approximating the body temperatures of the species.

$B_{\text{max}}$  values were relatively insensitive to temperature changes (e.g., Fig. 3). The mean  $\pm$  standard error of  $B_{\text{max}}$  values for all measurement temperatures pooled are presented in Table I.

### Thermodynamic parameters

Binding enthalpies calculated from van't Hoff plots are given in Table I. A plot for representatives of the three groups of species is shown in Figure 2. The binding enthalpies differed among the species. The group of warm-adapted species, the rat, chicken, and *E. fulvus*, had the largest positive binding enthalpies. The two cold-adapted shallow-living fishes, *S. alascanus* and *M. berglax*, had



**Figure 2.** Van't Hoff plots for  $[^3\text{H}]\text{CHA}$  binding to brain membranes of *Coryphaenoides rupestris* (filled square); *Macrourus berglax* (filled circle); and *Gallus domesticus* (filled triangle).

Table II

Relative potencies of various analogs as inhibitors of specific [ $^3$ H]CHA binding to *Antimora rostrata* brain membranes

| Analog | K <sub>i</sub> (nM) | Hill slope  |
|--------|---------------------|-------------|
| R-PIA  | 4.5 ± 0.96          | 0.94 ± 0.16 |
| S-PIA  | 115.9 ± 47.98       | 0.77 ± 0.24 |
| NECA   | 4.6 ± 1.20          | 1.06 ± 0.23 |
| 2-CADO | 4.3 ± 1.68          | 0.75 ± 0.17 |

Ten concentrations of each analog were incubated with 3.8 nM [ $^3$ H]CHA.

enthalpy changes of different signs. The cold-adapted deep-living species had enthalpies which were close to zero or negative; the 95% confidence limits for the negative enthalpy of *A. rostrata* overlap zero.

$\Delta G^0$  values were calculated using the K<sub>d</sub> values obtained at 5°C.  $\Delta S^0$  was determined from  $\Delta H^0$  and  $\Delta G^0$  (Table I). The  $\Delta S^0$  values for all species were positive. The warm-adapted species had the largest binding entropies (49 to 63 cal/mol deg). The shallow-living, cold-adapted macrourid *M. berglax* had a large entropy change, similar to that of the warm-adapted serranid *E. fulvus* (49 cal/mol deg). The species with negative or zero binding enthalpies had the smallest entropy changes (24–39 cal/mol deg).

The membrane preparations from *C. rupestris* were additionally assayed in 50 mM imidazole-HCl, pH 7.5 at 20°C, and the pH allowed to vary with assay temperature. The pK<sub>a</sub> of imidazole changes –0.017 pH units/°C, in a manner similar to the change in blood pH with temperature (Yancey and Somero, 1978; White and Somero, 1982). The change in enthalpy obtained in this regime of varying pH was similar to that obtained at a constant pH using Tris-HCl buffer, and the data were pooled.

#### Agonist equilibrium competition profile at 5°C

The pharmacological profiles of [ $^3$ H]CHA binding sites in *S. alascanus* and *S. altivelis* brain membranes at 22°C have been shown to be those expected for A<sub>1</sub> adenosine receptors, based on the affinities, rank order potencies, and ability to discriminate between the R- and S-diastereomers of PIA (Siebenaller and Murray, 1986; Murray and Siebenaller, 1987). To determine whether the pharmacological profile is similar at cold temperature in a cold-adapted species with temperature-dependent binding characteristics different from warm-adapted species, equilibrium competition experiments were performed using *A. rostrata* brain membranes at 5°C (Table II). The potencies of R-PIA, NECA, and 2-CADO were similar. R-PIA was approximately 23-fold

more potent than S-PIA. The nanomolar affinities and the discrimination between R- and S-PIA are consistent with an A<sub>1</sub> adenosine receptor.

#### Effects of MgCl<sub>2</sub>

For *C. rupestris*, *M. berglax*, and *G. domesticus*, the effects of 5 mM MgCl<sub>2</sub> were tested. Divalent cations have been shown to influence binding to A<sub>1</sub> receptors in mammalian tissue (Goodman *et al.*, 1982; Ukena *et al.*, 1984), and teleost nervous tissue (Murray and Siebenaller, 1987). B<sub>max</sub> values in the three species increased substantially in the presence of 5 mM MgCl<sub>2</sub> at all temperatures and this increase tended to be greater at higher temperatures (Fig. 3). Although binding affinities increased in the presence of MgCl<sub>2</sub> in the chicken and *C. rupestris* membranes, the binding enthalpies in the presence and absence of MgCl<sub>2</sub> do not differ for any of the three species (*t*-test for each species *P* > 0.05) (data not shown).

#### Discussion

The affinity for agonists of the mammalian A<sub>1</sub> adenosine receptor is markedly decreased at lowered temperatures (Bruns *et al.*, 1980; Murphy and Snyder, 1982; Lohse *et al.*, 1984). The A<sub>1</sub> adenosine receptor can exist in two affinity states for agonists (Lohse *et al.*, 1984; Ukena *et al.*, 1984). The decreased affinity at low temperature is characteristic of the high-affinity state of the A<sub>1</sub> receptor, which comprises 70 to 85% of the receptor population in rat brain at high temperatures (Lohse *et al.*, 1984). In contrast, agonist binding to the low affinity state was exothermic, and the proportion of the receptors

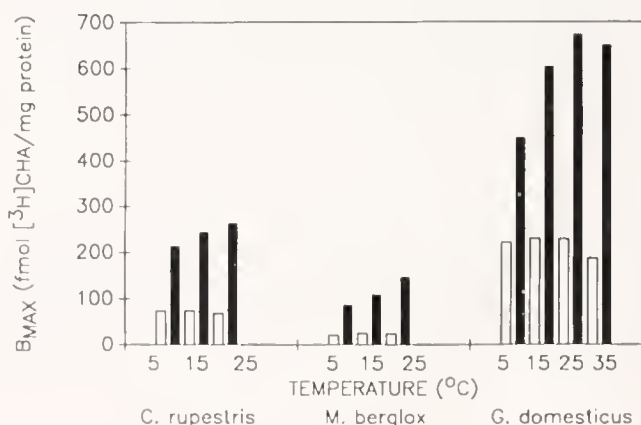


Figure 3. Effects of temperature and 5 mM MgCl<sub>2</sub> on B<sub>max</sub> values of [ $^3$ H]CHA for *Coryphaenoides rupestris*, *Macrourus berglax*, and *Gallus domesticus* brain membranes. Open bars: no added MgCl<sub>2</sub>; filled bars: 5 mM MgCl<sub>2</sub>. Means of at least two experiments are shown; the standard error for each individual estimate of B<sub>max</sub> is less than 9%; replicate estimates of B<sub>max</sub> differ by less than 10%.

in the low affinity state increased at low temperatures (Lohse *et al.*, 1984).

The present study was undertaken to ascertain the pattern of temperature dependence of agonist binding to the  $A_1$  receptor in vertebrates with different cell temperatures, particularly species with lowered body temperatures. With the protocol used, the data were consistently better fit by a one affinity state model (tested by a partial F-test,  $P > 0.05$ ; see Hoyer *et al.*, 1984). Thus, this study cannot address potential temperature-dependent shifts among affinity states. Although the experimental protocol and incubation conditions of the present study differ in detail from that used in previous studies, the thermodynamic parameters obtained for rat (Table I) agree with those reported for mammalian nervous tissues by Murphy and Snyder (1982) ( $\Delta H^0$  13,200 cal/mol;  $\Delta S^0$  82 cal/mol deg at 25°C for CHA), and Lohse *et al.* (1984) for agonist binding to the high-affinity receptor subtype ( $\Delta H^0$  10,800 cal/mol;  $\Delta S^0$  76 cal/mol deg at 37°C for R-PIA binding).

Cold-adapted species retain high affinity binding at their  $T_b$ 's, despite the strongly entropy-driven agonist binding displayed by such warm adapted species as the rat and chicken (Fig. 1; Table I). The affinity of agonist binding to the  $A_1$  receptor in nervous tissue of cold-adapted ectotherms at their  $T_b$ 's is comparable to that displayed by warm-adapted species at high temperatures. Although there is considerable variation in  $K_d$  values at different measurement temperatures, this variation is greatly reduced when comparisons are made at temperatures approximating the  $T_b$ 's of the species. This contrast is most apparent when one compares the 30-fold range of values at 5°C to the 4-fold range of  $K_d$  values at temperatures approximating the cell temperatures of the species (Fig. 1; Table I).

$MgCl_2$  significantly increased  $B_{max}$  values (Fig. 3), and for the chicken and *C. rupestris* increased binding affinities. The binding enthalpies were not altered by  $MgCl_2$ ; the  $Mg^{2+}$ -stimulated receptors have temperature-dependencies similar to the receptors treated with EDTA and incubated in the absence of  $MgCl_2$ .

The study at 5°C of *A. rostrata* (Table II) and previous studies of the *Sebastolobus* species (Siebenaller and Murray, 1986; Murray and Siebenaller, 1987) indicate that the pharmacological profile of  $A_1$  receptors of deep-sea and cold-adapted fishes are comparable to those of mammals in terms of their affinities and in the discrimination of the R- and S-diastereomers of PIA, even when the receptors of a cold-adapted species are assayed at 5°C.

The  $A_1$  receptors in vertebrates with widely different  $T_b$ 's (1–40°C) have similar binding affinities for [ $^3H$ ]CHA at temperatures approximating the  $T_b$ 's of the species. This is analogous to the evolutionary conservation of  $K_m$  values for enzyme homologs from species with

different  $T_b$ 's. At the  $T_b$ 's of the species  $K_m$  values are highly similar, even though there is a strong temperature-dependence of substrate and coenzyme binding (Yancey and Somero, 1978; Graves and Somero, 1982; Hoehachka and Somero, 1984; Yancey and Siebenaller, 1987). Conservation of  $K_m$  values, coupled with the similar *in vivo* substrate levels among species, results in the preservation of the regulatory and catalytic properties of the enzymes, and the sparing of cellular solvent capacity (e.g., discussion in Hoehachka and Somero, 1984).

The conservation of ligand recognition and binding affinity among receptors in species with different  $T_b$ 's reflects the preservation during evolution of the interactions between agonist and receptor. Ligand binding to a receptor, such as the  $A_1$  adenosine receptor which is coupled to adenylate cyclase, is but a single step in the function of the receptor—G protein—adenylate cyclase circuitry. Nonetheless, ligand recognition and binding are critical in the transmembrane signaling process.

The relative roles of enthalpy and entropy changes in establishing the net change in free energy vary systematically among species with different body temperatures (Table I) in a manner mirroring the adaptive changes in binding observed for actins from vertebrates with different body temperatures (Swezey and Somero, 1982). The differences among species in thermodynamic characteristics of agonist binding to the  $A_1$  receptors may reflect a variety of factors which have yet to be evaluated. These factors may include differences (1) in the primary structure of the receptors, (2) in the carbohydrate moieties covalently bound to the receptor proteins, (3) in the lipid milieu of the membranes, and (4) in the interaction of the receptor with the inhibitory G protein ( $G_i$ ), either in terms of the number and types of weak bonds involved in receptor protein- $G_i$  binding, or in the relative quantities of  $G_i$  and  $A_1$  receptor. It is likely that all of these may contribute to the adjustment of binding affinities with temperature, and indeed, in different evolutionary lineages, the relative importance of these mechanisms may differ.

#### Acknowledgments

This work was supported by NSF grants DCB-8416602 and DCB-8710155. Ship time on the R/V *Wecoma* cruises off the coast of Oregon were supported by these grants. Ship time on the R/V *Gyre* off the coast of Newfoundland was supported by NSF grant DMB-8502857 to Dr. A. F. Riggs. We thank Drs. A. Gibbs, E. Pfeiler, A. Riggs, and R. Noble for their help in obtaining specimens.

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# The Fine Structure of the Amebocyte in the Blood of *Limulus polyphemus*. II. The Amebocyte Cytoskeleton: A Morphological Analysis of Native, Activated, and Endotoxin-Stimulated Amebocytes

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**Abstract.** This study evaluates the structural organization of the cytoskeleton in native, activated, and endotoxin-activated amebocytes of the horseshoe crab, *Limulus polyphemus*. The discoid shape of native amebocytes appears to be maintained by a three-part arrangement consisting of a microtubule marginal band in a two-dimensional plane, an extensive actin-membrane array, and three-dimensional cortical actin array. This arrangement is disrupted during amebocyte activation in the absence of endotoxin, as the cortical actin array becomes diffuse and the cells form many pseudopodia. However, under these conditions the marginal band remains intact and continues to be located at the periphery of these cells. Amebocytes activated by bacterial endotoxin display a different cytoskeletal arrangement. After exposure to endotoxin, the cells displace their entire cortical actin array and marginal band to a more centralized location surrounding the nucleus.

## Introduction

The amebocytes of the horseshoe crab, *Limulus polyphemus*, provide a useful model for the study of hemostasis and thrombosis. In *Limulus*, the amebocyte is the only type of circulating blood cell and is characterized by its large cytoplasmic granules, which contain the factors necessary for blood coagulation (Levin and Bang, 1964a,

b, 1968; Murer *et al.*, 1975). Amebocytes are sensitive to the presence of bacterial endotoxins, which produce aggregation, activation, and degranulation (Bang, 1956, 1979; Levin and Bang, 1964a; Ornberg and Reese, 1981; Armstrong and Rickles, 1982). Amebocytes are also motile cells which can be observed in the abdominal spines (Bang, 1979) or isolated gill lealets of young animals (Loeb, 1928; Armstrong, 1979). Studies of amebocytes treated with cytochalasin D have shown an inhibition of motility (Armstrong, 1979). This has led investigators to suggest that an actomyosin system is responsible for the generation of motile forces. Morphologic studies of activated amebocytes have demonstrated bundles of thin filaments within filopodia of motile cells (Armstrong, 1985). Studies of unactivated amebocytes have revealed a marginal band of microtubules (MB) present at the periphery of the cells (Dumont *et al.*, 1966; Nemhauser *et al.*, 1980). It has been suggested that the MB acts to stabilize the discoid shape of the amebocyte (Cohen and Nemhauser, 1985). In this report, we describe the cytoskeleton in native amebocytes and its subsequent rearrangement after activation and granule release in the absence or presence of bacterial endotoxin.

## Materials and Methods

Horseshoe crabs (*Limulus polyphemus*) were obtained from the Department of Marine Resources, Marine Biological Laboratory, Woods Hole, Massachusetts.

Unactivated (native) amebocytes were obtained by

cardiac puncture with a sterile, endotoxin-free 18 gauge needle. Blood was allowed to drip directly into fixative or was aspirated into a sterile, endotoxin-free syringe and concomitantly combined with fixative. Activated amoebocytes were generated by taking hemolymph directly into a sterile, endotoxin-free syringe and allowing the cells to aggregate and adhere to the plastic surface. After activation was apparent, as demonstrated by visible aggregation of amoebocytes, activated amoebocytes were carefully discharged from the syringe directly into fixative. Endotoxin-activated cells were prepared by taking hemolymph directly into syringes containing endotoxin (*E. coli* B, 055:B5, Difco Laboratories, Detroit, Michigan, in 3% NaCl). The ratio of hemolymph to endotoxin-containing saline was 9:1; the final concentration of endotoxin was 1 µg/ml.

All cells were fixed with 2.5% glutaraldehyde and 0.5% tannic acid in 100 mM phosphate buffer, pH 7.0, at room temperature. Samples were washed in 100 mM phosphate buffer, and post-fixed in 2% osmium with 2% potassium ferrocyanide in 100 mM phosphate buffer. An additional population of native amoebocytes also was fixed in 2% glutaraldehyde with 50 mM lysine (Boyles *et al.*, 1985) and stained with 1% osmium. All amoebocytes were dehydrated and embedded in Epon 812. Thin sections were stained with saturated uranyl acetate (in 70% ethanol) and lead citrate and examined on a Hitachi 600 transmission electron microscope.

Nuclear profiles were measured by the use of concentric circle grids, and axial ratios (Q) were calculated according to the method of Elias and Hyde (1983). Axial ratios are defined as the quotient length/width. Determination of nuclear shape was made by the use of axial ratios, as described by Elias and Hyde (1983). These measurements were undertaken to allow us to evaluate whether cytoskeletal changes reflected direct cytoplasmic interactions or were secondary to an increase or decrease in the size of the nucleus, resulting in a subsequent displacement of any particular cytoplasmic structure.

## Results

### *Native amoebocytes*

The native amoebocyte is a discoid cell with a centrally located nucleus and prominent cytoplasmic granules (Fig. 1). The cytoskeleton can be divided into two major filamentous elements: thin (5–7 nm) filaments (presumably actin) and microtubules (25–28 nm).

Thin filaments were observed in several different arrays. There was a prominent cortical band of actin filaments, some of which were associated with the plasma membrane (Fig. 2A). A diffuse network of thin filaments also was located throughout the remainder of the cyto-

plasm. Polyribosomes were frequently found in association with these thin filaments. The actin array was best seen in cells that had been fixed in the presence of lysine, according to the method of Boyles *et al.* (1985). On rare occasions, wavy 10-nm filaments were seen in the cytoplasm. These filaments were often located near the cortical band of thin filaments, and may represent a minor population of intermediate filaments or aggregates of thin filaments (Fig. 2A).

Microtubules were present in the form of a marginal band, as has been observed by other investigators (Fawcett and Witebsky, 1964; Dumont *et al.*, 1966; Nemauser *et al.*, 1980; Ornberg and Reese, 1981). The marginal band was located internally to the cortical actin array. Projections or "arms" were observed in association with microtubules in both longitudinal and cross-section. These projections often appeared to extend from one microtubule to another (Fig. 2B).

Native amoebocytes contained a large population of granules that were randomly distributed in the cytoplasm, but which were always located internally to the marginal microtubule band and cortical actin array.

### *Activated amoebocytes*

Activated amoebocytes, no longer discoid in shape, often developed multiple pseudopodia. Fine filopodia rarely were seen extending from the distal ends of pseudopodia.

The organization of actin in the activated amoebocyte varied depending upon its location within the cell. The cortical actin filaments were diminished in number and no longer formed a distinct array. Small numbers of thin filaments (microfilaments) remained in association with the plasma membrane. Actin arrays, both within the body of the cell and within pseudopodia, were diffuse, with filaments forming a fine interconnecting meshwork (Fig. 3). This internal meshwork was similar to that observed in the cytoplasm of native amoebocytes. Some areas contained microspikes, in which thin filaments formed discrete bundles, which often extended back into the body of the cell (Fig. 4). Fine filopodia were rarely observed; however, when present, they too contained bundles of microfilaments.

Microtubules were present as a marginal band (MB) within the body of the cells (Fig. 3). However, MB's also were observed within pseudopodia. This was detected primarily when a pseudopodium was extended within the same plane as the marginal band. (Data not shown.) Individual microtubules rarely were seen in pseudopodia.

Examinations of activated amoebocytes revealed that many cells were undergoing exocytosis of granules. This

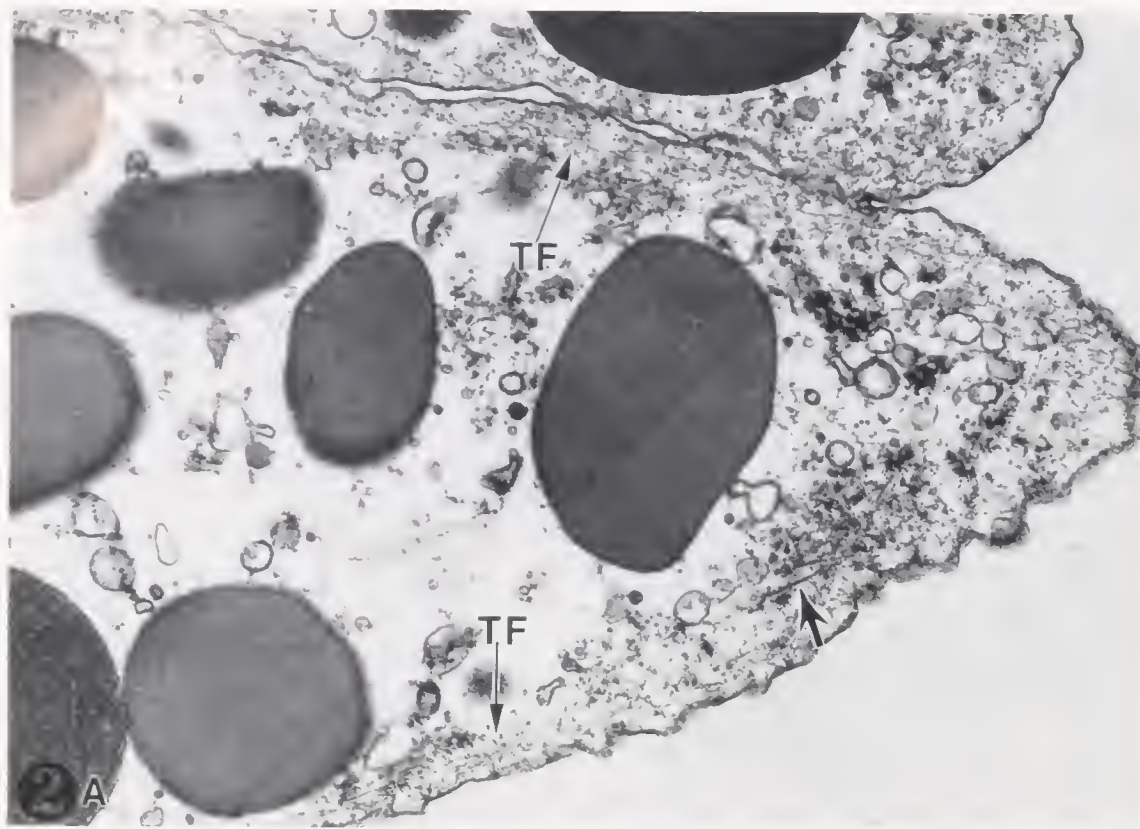




**Figure 1.** Native amebocytes are discoid in shape. The marginal band (MB) of microtubules is present in cross-section, at either end of the cell. Small cisternae (C) may represent intracellular calcium stores. Glutaraldehyde and tannic acid fixation (see Materials and Methods) was used for this and all subsequent studies, except for Figure 2A.  $\times 21,000$ .

process appeared to be similar to that previously described by Ornberg and Reese (1981). Granules often were seen at the plasma membrane, and granule mem-

brane-plasma membrane fusions also were observed. Asymmetric granules with narrow ends appeared to orient these ends toward the plasma membrane (Fig. 5).



**Figure 2A.** Native amoeba fixed by the method of Boyles *et al.* (1985) best reveals the cortical array of thin filaments (TF) which is present throughout the cell. In addition, short 10-nm filaments (▶) are seen among the cortical actin filaments.  $\times 34,500$ . (Provided by Paula E. Stenberg, unpub. obs.)

This distinctive arrangement was observed in virtually all activated cells. Neither microtubules nor distinct arrays of thin filaments appeared to be associated with sites of exocytosis.

#### *Endotoxin-activated amoebocytes*

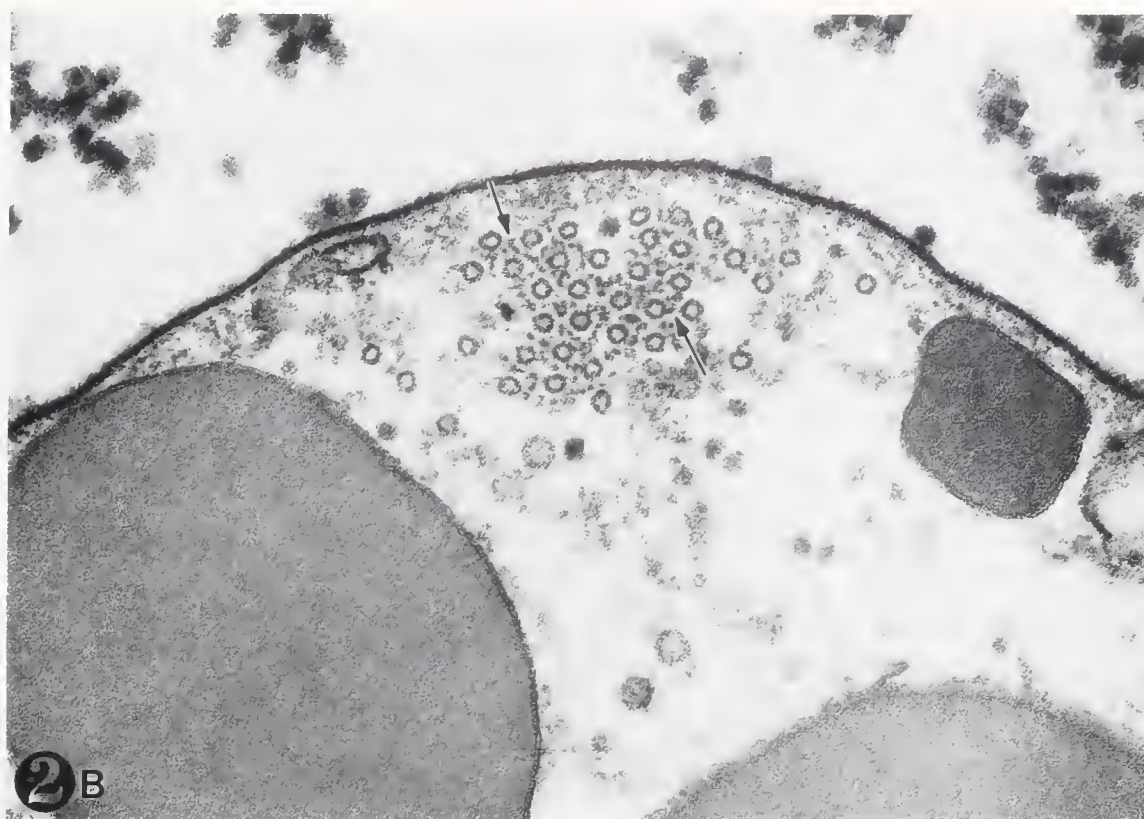
Amoebocytes exposed to bacterial endotoxin appeared to be rapidly activated and underwent extensive shape change and degranulation. Subsequently, most cells contained only a small residual population of granules which were located adjacent to the nucleus. In many cells, there was a coalescence of granules and granule membrane fusion was apparent. Unlike amoebocytes activated by contact with an endotoxin-free surface (and in which exocytosis occurred following movement of granules to the plasma membrane [Fig. 5]), endotoxin-treated amoebocytes appeared to secrete their granules from a more central location within the cell (Fig. 6).

Endotoxin-activated amoebocytes were more spherical in shape, with numerous filopodia. Fine filopodia contained bundles of actin filaments, but the overall arrange-

ment of thin filaments was vastly different from either native or surface-activated amoebocytes (Fig. 6). Short pieces of thin filaments remained associated with the plasma membrane, and occasionally extended into the cytoplasm as isolated groups of two or three filaments, without apparent organization. A large area of cytoplasm between the plasma membrane and the now centrally located granules and nucleus contained sparse, randomly arranged thin filaments. At the outermost aspect of the centralized granules, there was a loosely organized bundle of actin filaments whose array was similar to the cortical actin seen in native cells (Fig. 6).

The MB surrounded the centralized granules and was located internally to the actin array. In cells that had undergone complete degranulation, the MB was now located adjacent to the nucleus (Fig. 7). The MB appeared to remain intact, and microtubules never were detected in other parts of the cells, under this experimental condition. MB's often were apparently twisted, with microtubules present in both longitudinal and tangential sections of the same cell. This distinct reorganization and central-





**Figure 2B.** A higher magnification of the marginal microtubule band (MB) in cross-section. Projections can be seen leading from one microtubule to another (►). These projections may serve to stabilize the MB, and are likely related to microtubule-associated proteins present in other systems.  $\times 104,000$ .

ization of the MB only occurred in endotoxin-activated cells.

### Nuclear profiles

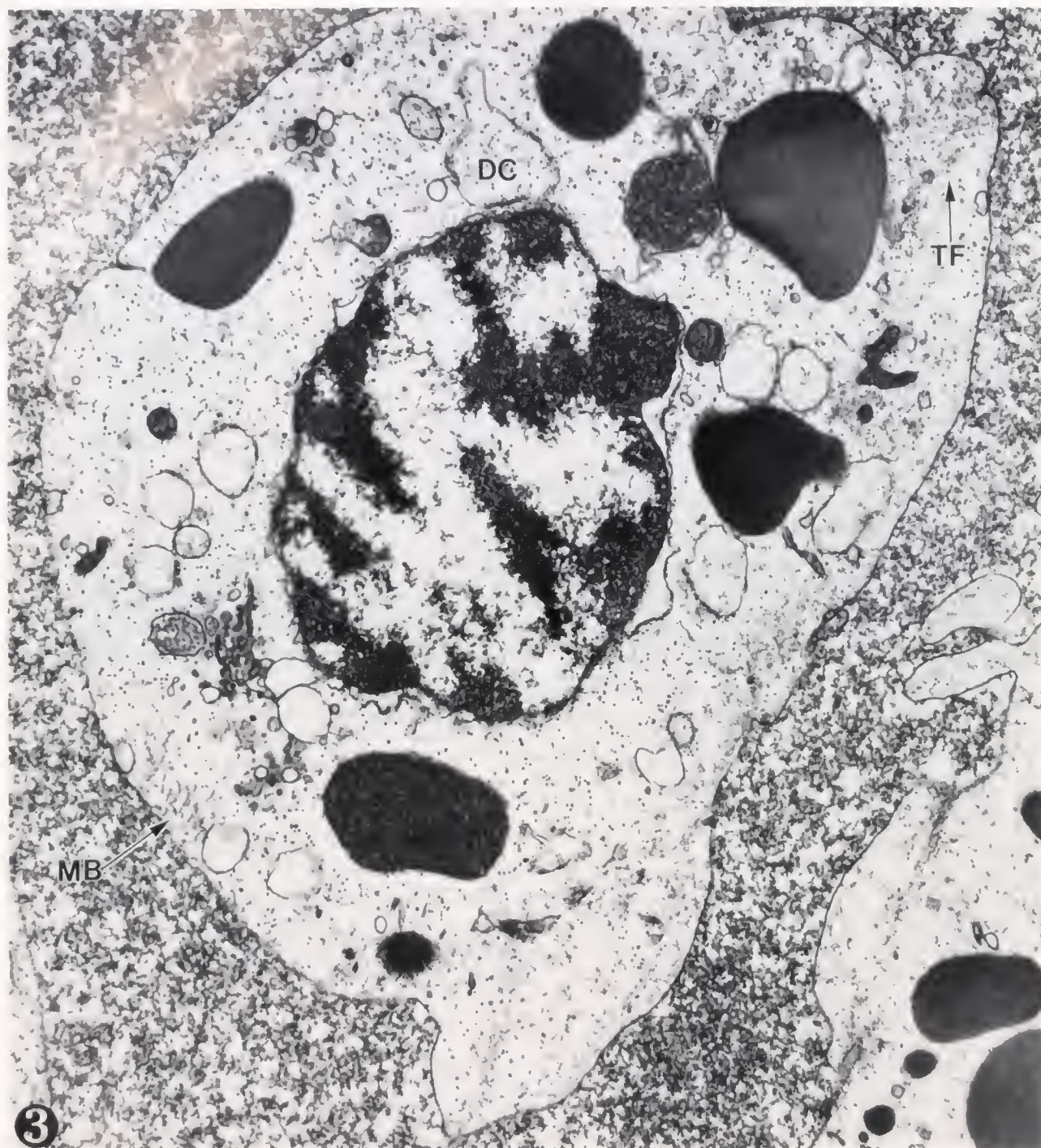
Amebocyte nuclei of cells studied under all three conditions (native, activated, and endotoxin-activated) had mean axial ratios of 1.67 (native,  $n = 30$ ), 1.62 (activated,  $n = 29$ ) and 1.50 (endotoxin-activated,  $n = 30$ ). These values fit the axial ratios for an oblate ellipsoid, which is the most common nuclear shape of discoid cells (Elias and Hyde, 1983). The slightly smaller mean axial ratios of the nuclei in the endotoxin-activated cells may be due to the change in cell shape from discoid to a more spherical shape. These data were generated to determine if the nuclear profiles changed under the various conditions of the study, as we wished to know whether cytoskeletal changes were secondary to either an increase or decrease in nuclear size. Since nuclear axial ratios did not change appreciably under any of the experimental conditions, these data support our interpretation that the microtubule coil constricts around the nucleus in endotoxin-activated amebocytes.

### Discussion

The discoid shape of native amebocytes has been observed with a variety of techniques, including high resolution differential interference contrast (DIC) microscopy (Armstrong, 1979) and electron microscopy (Cope and Levin, 1985; Levin, 1985b). In this paper, we have presented data which suggest that the two major elements of the amebocyte cytoskeleton appear to act in tandem to maintain the discoid shape of the native amebocyte. The cortical actin array with its link to the plasma membrane, in association with the marginal microtubule band, appears to provide a tension arrangement similar to that proposed for nucleated erythrocytes by Cohen *et al.* (1982).

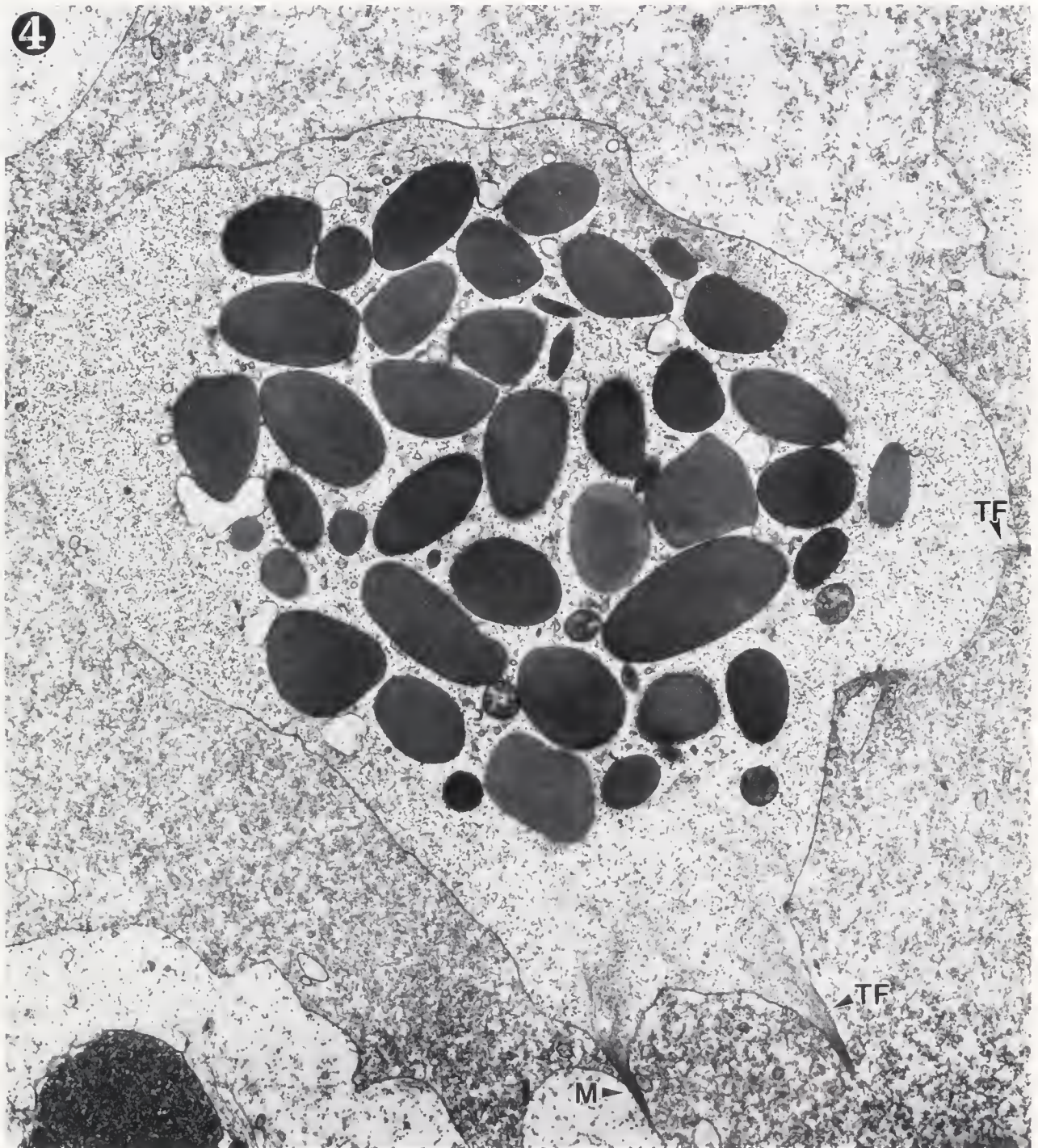
Following endotoxin-free activation of amebocytes, this arrangement becomes disrupted, and is accompanied by shape change. Shape change of endotoxin-free activated amebocytes is similar to that reported for surface-activated cells by Armstrong (1980). Under both conditions of endotoxin-free activation, cells extend pseudopodia and filopodia. It is interesting to note that while the actin array undergoes reorganization, the mar-





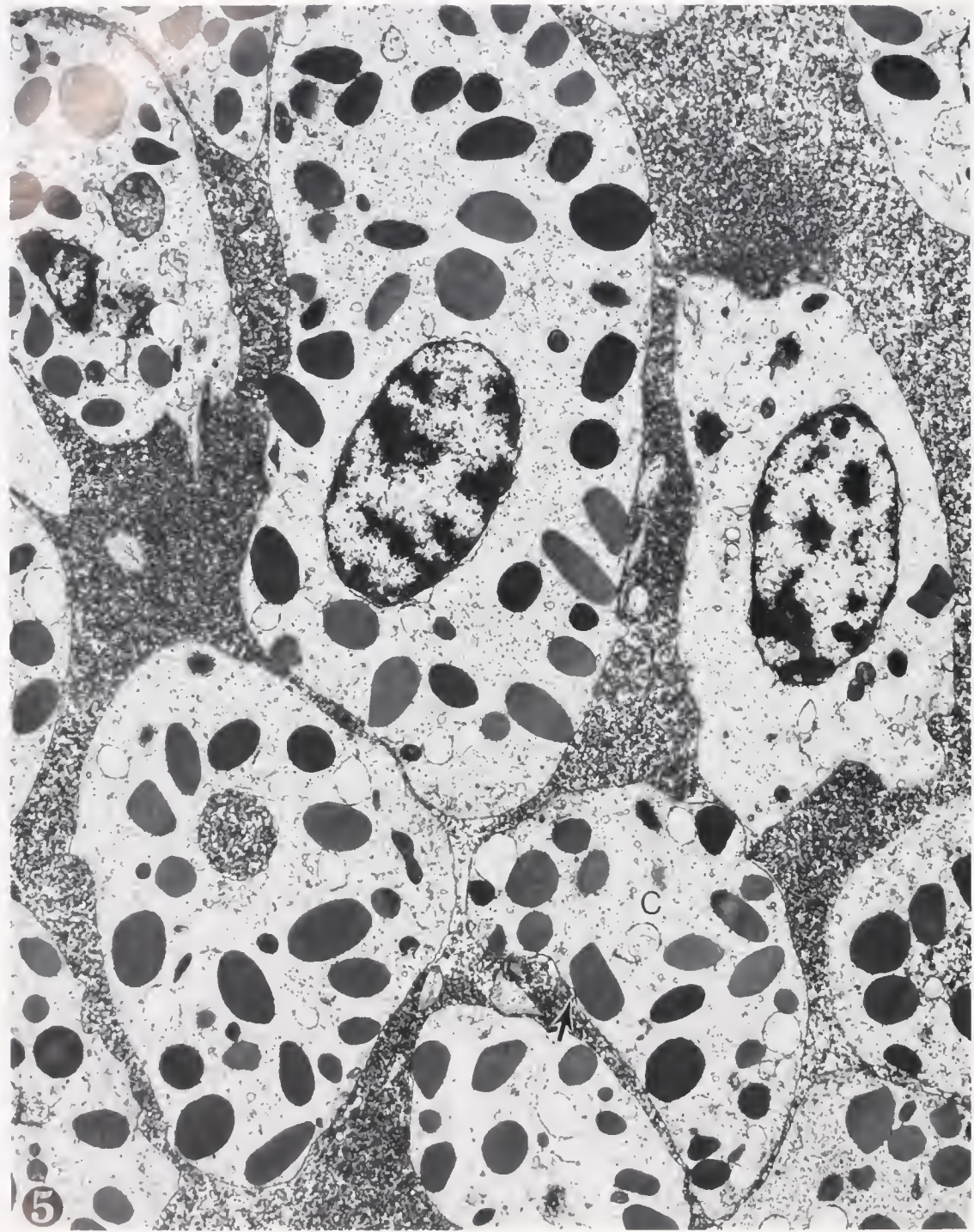
**Figure 3.** Following endotoxin-free aggregation, activated amoebocytes are no longer discoid in shape, and many have secreted the contents of a variable number of their granules. Most cells have pseudopodia, which contain diffuse networks of thin filaments (TF). The MB, still intact, is only present in a tangential section. An area of plasma membrane invagination near a granule (upper left) indicates a likely site of exocytosis. Large irregular dilated cisternae (DC) may represent previous sites of exocytosis.  $\times 21,000$ .





**Figure 4.** Activated amebocytes, in addition to developing pseudopodia, also produced microspikes (M), which contain fine bundles of thin filaments (TF), some of which remained bundled in the adjacent cytoplasm (►). It is interesting to note that this cell, which has retained most of its granules, and the cell in Figure 3 were in the same group of surface-activated amebocytes, indicating that cells can undergo exocytosis at different rates.  $\times 14,500$ .





**Figure 5.** Surface-activated amoebocytes demonstrate the distinctive orientation of granules towards the plasma membrane. Note that almost all of the granules are oriented with their "pointed" end toward the plasma membrane. One of the cells (C) (lower center) demonstrates the unusual observation of a granule which is oriented towards the plasma membrane along its broad side. However, an invagination of the plasma membrane appears to contact only one part of this granule (►). A decrease in the density of cytoplasmic ground substance was apparent throughout the population of activated amoebocytes.  $\times 9600$ .





**Figure 6.** Endotoxin-activated cells usually contained fewer granules, and often were spherical in shape. A fine actin-plasma membrane (APM) network is still in place. However, the majority of the actin (TF), as well as the MB, is now located adjacent to the nucleus (N). A large granule (G) or fusion product of several granules can be seen next to the nucleus. Cytoplasmic ground substance appeared decreased, similar to that observed in surface-activated cells.  $\times 17,200$ .



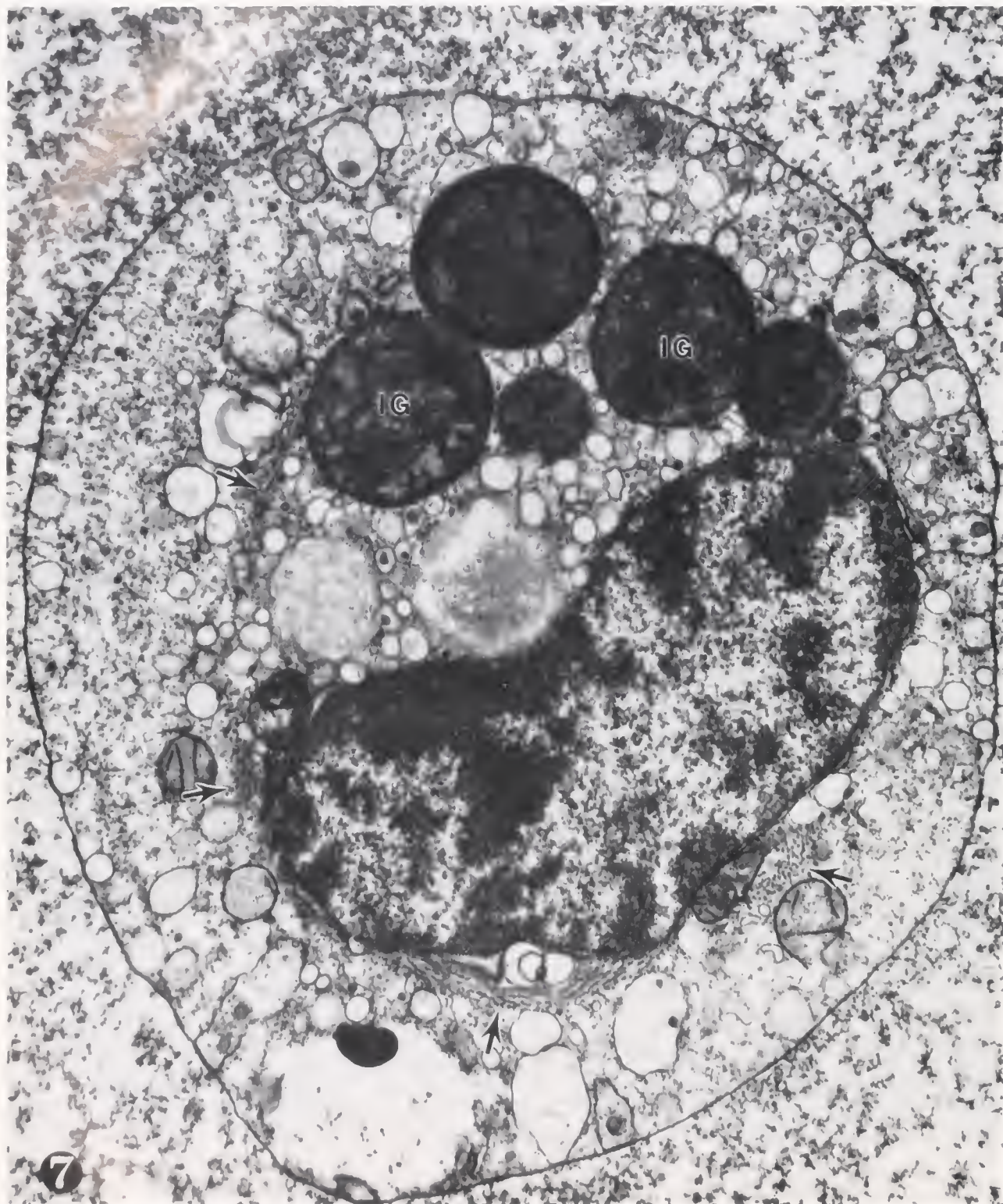


Figure 7. The rearrangement of the cortical actin network in endotoxin-activated cells may exert tension on the marginal band (►), resulting in both constriction and twisting. This twist is illustrated by the various angles at which microtubules are present (MB). Several centralized immature granules (IG) are also present within the cytoplasm close to the nucleus. The apparent thickening of the granule membranes is likely due to the tangential nature of the section.  $\times 24,800$ .



ginal band appears to remain quite stable. It may be held together by its projections which are most likely microtubule-associated proteins (Amos, 1977; Kim *et al.*, 1979). While a stable microtubule coil is often found in a variety of nucleated erythrocytes (cells which do not undergo exocytosis), it is not typical for cell systems in which the polarity of secretion is dependent upon a specific microtubule arrangement (Rindler *et al.*, 1987). In activated amebocytes, an entire marginal band may extend into a pseudopodium. We suspect that this is a tension related event in which the pseudopodium is extending within the same plane as the MB. In this case, the actin array reorganizes and extends into the pseudopodium, thus releasing the tension it may have previously exerted on the MB and allowing the MB to expand into the pseudopodium. Rare pieces of individual microtubules were observed within pseudopodia, likely due to a short unwinding of an end of the MB. However, we never observed the complete disassembly of the MB. Some types of invertebrate blood cells are extremely reactive to foreign surfaces (Belamarich, 1976). This is especially true of *Limulus* amebocytes (Armstrong, 1980) and also has been examined in lobster blood cells. However, in lobster blood cells, unlike *Limulus* amebocytes, the marginal microtubule band disassembles when the blood cells are activated by surface contact (Cohen, *et al.* 1983).

The changes detected in endotoxin-treated amebocytes were similar, in part, to the observations of Armstrong and Rickles (1982). They noted that amebocytes treated with endotoxin (1  $\mu\text{g/ml}$ ) developed a spherical appearance, a shape consistent with our observations. However, they believed that degranulation only occurred in a small percentage of cells. From our studies, it is apparent that after incubation with endotoxin, amebocytes undergo extensive degranulation as well as shape change. The development of a spherical shape was often accompanied by the presence of fine filopodia. We suggest that the spherical nature of the cells may be due to the vastly increased amount of plasma membrane now present as a result of granule membrane-plasma membrane fusion. This increase in plasma membrane could readily accommodate an increase in the overall volume of the cell, resulting in a large sphere in which thinning of the membrane-associated actin network has occurred. An alternative explanation for the change in plasma membrane-associated actin is that during activation, there is a lowering of the intracellular pH which could result in a net depolymerization of actin (Begg and Rebhun, 1979). This depolymerization in concert with a drop in intracellular pH might also be responsible for the apparent decrease in cytoplasmic ground substance seen in both activated states.

There is also displacement of the cortical actin array

and MB to a more centralized location. The rearrangement of the MB to a more centralized location suggests constriction of the band, since it is no longer associated with the periphery of the cell, but now condensed around the nucleus. Furthermore, our determinations of mean caliper diameter, which indicated that the nuclear profiles did not change appreciably under any of our experimental conditions, confirmed that the MB had become constricted around the nucleus. Our observations of twisted marginal bands suggest that the movement of the cortical actin array to a perinuclear location likely exerts tension on the MB, resulting in constriction and twisting. However, not all condensed marginal bands were twisted after endotoxin-induced activation. We speculate that those MB that did twist may represent a less stiff population of microtubules. Marginal bands of dogfish thrombocytes also have been noted to constrict around the cell nucleus during activation and clotting (Shepro *et al.*, 1969).

The interactions between actin filaments and microtubules in normal or activated amebocytes are complex. This is suggested, in part, by their central redistribution and colocalization in the endotoxin-treated cells. If such an association was absent, one would predict that the actin arrays would remain associated with the plasma membrane actin. Actin and tubulin have been shown to colocalize in the fresh water ameba *Reticulomyxa* (Koonce and Schliwa, 1986), and some *in vitro* data suggest that microtubule-associated protein 2 can cross-link actin filaments and microtubules (Griffith and Pollard, 1982). However, there are no reported *in vivo* studies which document linkage either directly between actin and microtubules or via associated linking proteins. Therefore, the nature of the interaction between actin filaments and microtubules in *Limulus* amebocytes remains unknown.

Previous studies have compared *Limulus* amebocytes with anucleate mammalian platelets, with regard to their roles in hemostasis and thrombosis (Levin, 1985a). We also have noted some cytoskeletal similarities between the two cell types. Both amebocytes and human platelets (Fox *et al.*, 1984; Tuszyński *et al.*, 1985; Fox and Phillips, 1986) have an actin-membrane skeleton which appears to link the cytoskeleton with the plasma membrane. The extensive cortical actin array present in *Limulus* amebocytes has been detected in human (Fox and Phillips, 1983; Boyles *et al.*, 1985) and bovine (Tablin, pers. obs.) platelets.

A marginal band of microtubules is present in both cell types; but its properties and potential physiologic roles differ. In both amebocytes and platelets, the marginal microtubule band has been suggested to help maintain the discoid shape of the cells, in conjunction with



the actin filament-plasma membrane network (Nachmias, 1980; White *et al.*, 1984; Cohen and Nemhauser, 1985). However, the marginal microtubule band of amoebocytes is an extremely stable structure which undergoes very little disruption under a range of stimuli. In contrast, the microtubule coil of both human and bovine platelets appears to be more responsive to physiological stimuli (Debus *et al.*, 1981; White, 1987). Although it appears that the *Limulus* amoebocyte cytoskeleton does not play a role in exocytosis, there are suggestions that the human platelet microtubule coil may be important in the centralization of granules prior to secretion (Allen *et al.*, 1979; Stenberg *et al.*, 1984; White and Burris, 1984).

Further studies are needed to fully assess the amoebocyte cytoskeleton and its related proteins, and to more completely compare amoebocytes with mammalian platelets. We are currently biochemically characterizing amoebocyte cytoskeletal components and their potential interactions.

### Acknowledgments

We thank Marlene Castro and Susan Houghton for their excellent technical assistance. Extensive studies by Dr. Eugene Copeland at the Marine Biological Laboratory, Woods Hole, Massachusetts, established the basis for the techniques we used for the collection and fixation of *Limulus* amoebocytes. This work was supported by a grant-in-aid from the American Heart Association (FT) and Research Grant HL 31035 from the National Heart, Lung, and Blood Institute, National Institutes of Health, Bethesda, MD. F.T. was the recipient of the Frederik B. Bang Fellowship from the Marine Biological Laboratory, during the performance of some of these studies.

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# Independent Development of Bilaterally Homologous Closer Muscles in Lobster Claws

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**Abstract.** The fiber composition of the closer muscle in the paired claws of the lobster *Homarus americanus* was determined in juvenile 8th and 9th stage animals following various experimental manipulations of the substrate and the claws in the early juvenile 4th and 5th stages. One of the paired muscles developed 90% fast fibers and 10% slow fibers, typical of a cutter claw. The other muscle varied in its fiber composition with fast fibers ranging from 10–90%. This range was categorized into three muscle types: a cutter with 70–90% fast fibers, a crusher with 10–30% fast, and an intermediate with 40–60% fast. The paired homologous claw closer muscles therefore develop such that one is preprogrammed as a cutter while the other is more plastic and develops along a gradient ranging from a cutter to a crusher.

## Introduction

One of the puzzling aspects in the development of animals with bilateral symmetry is the appearance of asymmetry in homologous structures. An excellent example of such bilateral asymmetry is found in the enlarged chelipeds or claws of crustaceans such as male fiddler crabs, hermit crabs, snapping shrimps, and lobsters. In these, the paired claws consist of a major and a minor type. In the lobster, *Homarus americanus*, the asymmetry is expressed not only in the external morphology but in the fiber composition of the closer muscle as well (reviewed by Govind, 1984). Thus the major or crusher claw has a closer muscle comprised entirely of slow fibers; the minor or cutter muscle has predominantly fast fibers. Moreover, claw laterality is random (Herrick, 1911) and is determined during the juvenile 4th and 5th stages when the paired claws and closer muscles are still bilaterally

ally symmetric (Emmel, 1908; Lang *et al.*, 1978). Once claw type is determined during the critical period, it is fixed for life (Emmel, 1908). The determination of claw type appears to initially involve the CNS and only later involves changes in the claw itself (Govind and Pearce, 1986). One of these changes is in the fiber composition of the closer muscle which may be bilaterally symmetric or asymmetric. By examining a large number of paired muscles in both configurations, we deduce that these bilaterally homologous muscles develop independently of each other. One of the paired muscles invariably develops as a cutter; the other muscle develops along a gradient ranging from a cutter to a crusher.

## Materials and Methods

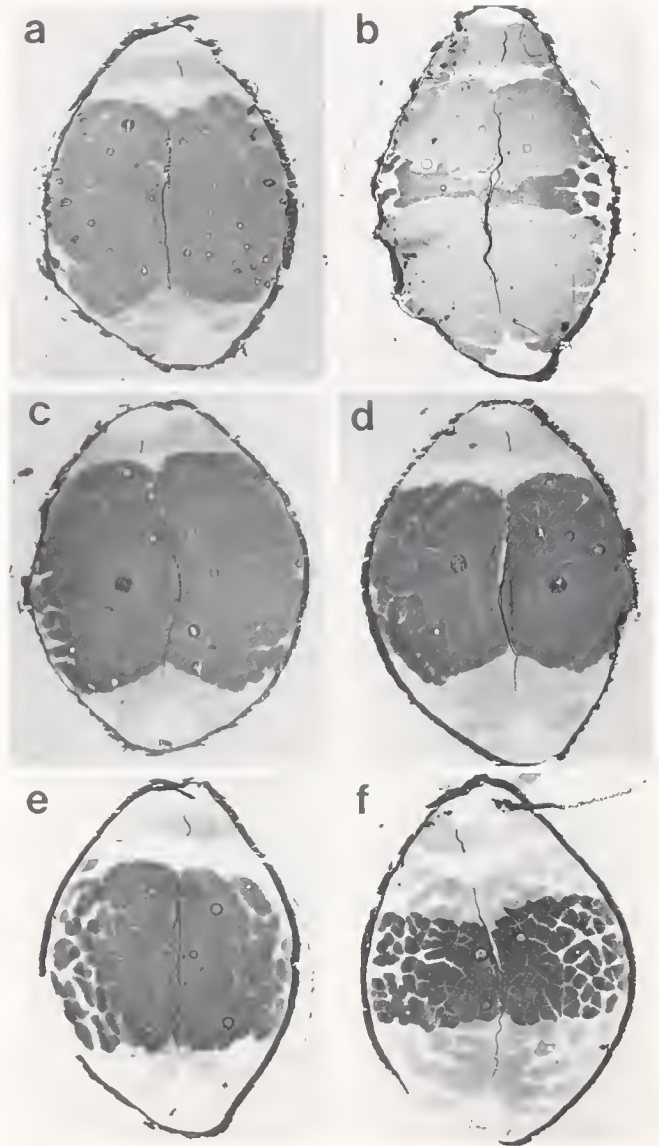
Larval lobsters (*Homarus americanus*) were obtained from the Massachusetts State Lobster Hatchery on Martha's Vineyard. They were reared at the Marine Biological Laboratory by procedures described previously (Lang, 1975) until the 8th or 9th stage, a period of three to four months from June to September. The molt history of each lobster and of its paired claws was recorded. Muscle fiber typing was performed histochemically by staining for myofibrillar ATPase activity via standard procedures (Ogonowski *et al.*, 1980). Cross-sections in the mid region of the claw, where the closer muscle had the largest area, were photographed and printed with a final enlargement of 100 $\times$ . The percentage of fast and slow muscle fibers was calculated from these photographic prints by one of two methods, each of which yielded results varying by 2%. Thus the cross-sectional surface area occupied by each fiber type was calculated via (i.) a transparent acetate overlay marked in centimeter squares or (ii.) weighing "cut-outs" of the relevant areas.



The lobsters reported in this study came from a series of experiments in which the 4th and 5th stage were treated in various ways to control claw laterality. These treatments are classified under one of the following categories: (i.) no substrate in the 4th and 5th stages; (ii.) oyster chips provided at selected intervals during the 4th and 5th stages; (iii.) oyster chips provided in the 4th and 5th stages but with one of the claws immobilized by glueing it shut; or (iv.) oyster chips in the 4th and 5th stages but with one of the claws removed. All of these treatments, except the first, induced the development of paired asymmetric, cutter and crusher claws in the majority of lobsters (Govind and Kent, 1982). The first treatment suppressed the development of a crusher claw in a majority of lobsters, and instead produced lobsters with paired cutter claws. The present report quantitatively examines the fiber composition of the paired closer muscles from representative examples of lobsters reared under a variety of conditions. In this way the variability between bilaterally homologous muscles may be documented and developmental interactions between them noted.

### Results and Discussion

The determination of claw type was usually made in the juvenile 8th or 9th stage on the basis of external morphology. At this stage the crusher appeared as a slightly stouter claw with a central molar-like tooth compared to the cutter which is a more slender claw and has a central incisor-like tooth (Herrick, 1911; Emmel, 1908). Occasionally, a claw appeared that was difficult to characterize as either a crusher or cutter since it had an external morphology intermediate to the two types. This unusual intermediate type as well as the usual cutter and crusher type claws were studied for the fiber composition of their closer muscles. However, in all cases the muscles were examined in bilaterally paired claws which included the asymmetric configuration of a cutter claw and either a crusher or an intermediate claw, and the symmetric configuration of paired cutter claws. Representative examples of the closer muscles from these three configurations of paired claws are shown in Figure 1. The percent composition of fast and slow fibers from the paired closer muscles of 35 animals is listed in Table 1. The listing showed that one of the paired muscles was invariably a cutter type with a fairly constant composition of 90% fast and 10% slow fibers. The distribution of these fiber types was also constant; the fast muscle occupied most of the cross-sectional area while the slow muscle was restricted to a narrow ventral band (Fig. 1). The other claw of the homologous pair had a fast fiber composition ranging from 10 to 90%. In other words, the contralateral muscle was either another cutter muscle with 70–90% fast fibers, a crusher muscle with 10–30% fast, or an intermediate muscle with 50% fast.



**Figure 1.** Representative cross-sections of bilaterally paired claws in juvenile 8th stage lobsters. In each section, the small, dorsally situated opener muscle stains lightly for myofibrillar ATPase activity, indicating slow fibers. The massive closer muscle has a mixture of light and dark staining indicating slow and fast fibers, respectively, which are classified into three types: a cutter type (a, c, d, e) with a majority of fast fibers, a crusher type (b) with a minority of fast fibers, and an intermediate type (f) with equivalent fast and slow fiber populations. The paired muscles therefore represent an asymmetric (a, b), symmetric (c, d), and intermediate (e, f) configuration in which one of the muscles is a cutter type (a, c, e) and the other is a crusher (b), another cutter (d), or an intermediate (f). Magnification = 25 $\times$ .

The relationship between paired homologous muscles is better seen when the fiber composition of one muscle is plotted against that of its counterpart (Fig. 2); in this case the fast fiber composition was used. It became even more apparent that one of the paired muscles, either the

Table 1

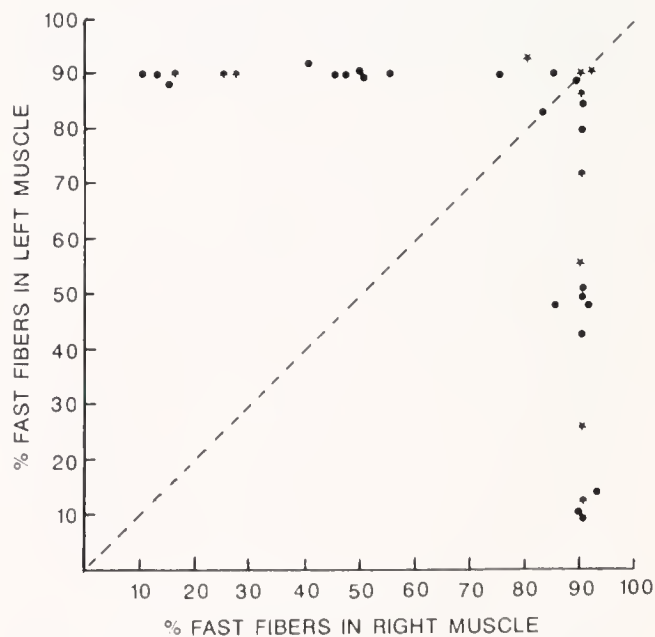
*Muscle fiber composition of paired homologous claw closer muscles among juvenile 8th and 9th stage lobsters following various treatments in the 4th and 5th stages*

| Treatment                                 | Stage | Muscle 1 |        | Muscle 2 |        |
|-------------------------------------------|-------|----------|--------|----------|--------|
|                                           |       | % Fast   | % Slow | % Fast   | % Slow |
| <i>No substrate in 4th, 5th:</i>          | 8     | 90       | 10     | 47       | 53     |
|                                           | 8     | 90       | 10     | 85       | 15     |
|                                           | 8     | 90       | 10     | 51       | 49     |
|                                           | 8     | 90       | 10     | 30       | 70     |
|                                           | 9     | 90       | 10     | 78       | 22     |
|                                           | 9     | 93       | 7      | 50       | 50     |
|                                           | 9     | 90       | 10     | 16       | 84     |
|                                           | 9     | 90       | 10     | 72       | 28     |
|                                           | 9     | 90       | 10     | 90       | 10     |
|                                           | 9     | 90       | 10     | 26       | 74     |
|                                           | 9     | 93       | 7      | 80       | 20     |
|                                           | 9     | 90       | 10     | 90       | 10     |
| <i>Substrate in 4th</i>                   | 8     | 90       | 10     | 10       | 90     |
|                                           | 8     | 90       | 10     | 50       | 50     |
|                                           | 8     | 90       | 10     | 50       | 50     |
|                                           | 8     | 85       | 15     | 48       | 52     |
| <i>in 5th</i>                             | 8     | 90       | 10     | 13       | 87     |
|                                           | 8     | 90       | 10     | 90       | 10     |
|                                           | 8     | 90       | 10     | 85       | 15     |
| <i>in early 5th</i>                       | 8     | 90       | 10     | 10       | 90     |
|                                           | 8     | 93       | 7      | 15       | 85     |
|                                           | 8     | 90       | 10     | 43       | 57     |
|                                           | 8     | 83       | 17     | 83       | 17     |
| <i>in 4th, and 5th</i>                    | 9     | 90       | 10     | 90       | 10     |
|                                           | 9     | 90       | 10     | 13       | 87     |
|                                           | 9     | 90       | 10     | 13       | 87     |
| <i>Substrate and one claw immobilized</i> | 8     | 91       | 9      | 48       | 52     |
|                                           | 8     | 90       | 10     | 10       | 90     |
|                                           | 8     | 90       | 10     | 50       | 50     |
|                                           | 8     | 90       | 10     | 75       | 25     |
| <i>Substrate and one claw removed</i>     | 8     | 90       | 10     | 45       | 55     |
|                                           | 8     | 90       | 10     | 15       | 85     |
|                                           | 8     | 90       | 10     | 40       | 60     |
|                                           | 9     | 90       | 10     | 27       | 73     |
|                                           | 9     | 90       | 10     | 56       | 64     |
|                                           | 9     | 90       | 10     | 25       | 75     |

right or left one, was invariably of the cutter type with 90% fast fibers. The other muscle had a fast fiber population typical of a cutter, crusher, or intermediate type and therefore developed independently of its homologous counterpart.

How may such independent development of bilaterally homologous muscles occur? To formulate an hypothesis regarding this question, recognizing certain salient features about the development of paired homologous muscles is helpful. First, these lobsters did not develop paired crusher claws; nor has there been a single

case of such a symmetrical configuration recorded in over 2000 laboratory-reared lobsters in the past 15 years in our facilities at the Marine Biological Laboratory. However, lobsters with paired crusher claws are occasionally found in the wild (Herrick, 1911) but even in these one of the paired closer muscles had approximately 40% fast fibers (Govind and Lang, 1979). Second, and as a corollary to the first point, is the fact that one of the paired claws invariably developed into a cutter. Third, not only did one claw become a cutter, but its closer muscle had almost a fixed fiber composition of 90% fast and 10% slow. In other words, the development of one of the paired muscles appeared to be a preprogrammed event. Fourth, the other (contralateral) muscle of a pair showed variability in its fiber composition. The variability was seen not only in that this second muscle became either a crusher, intermediate, or cutter type, but also in the fact that even as a cutter muscle its fast fiber composition ranged from 70–90% as compared to the almost fixed value of 90% for the preprogrammed cutter muscle. In this respect the second muscle of the homologous pair showed developmental plasticity. Thus, there appeared to be a divergence in the expression of phenotype between the paired homologous muscles in that while one muscle acquired its fiber composition according to a



**Figure 2.** Relationship between the paired right and left closer muscles in terms of percent fast fibers in juvenile 8th (circles) and 9th (stars) stage lobsters. The broken line represents a line of symmetry where the paired muscles would be identical in fiber composition. The data points cluster about this line in the case of lobsters with paired cutter muscles but lie far from this line in the case of lobsters with paired cutter/crusher claws.

fixed program, its counterpart followed a more plastic route.

The initial decision for claw placement is made on the basis of differences in reflexive activity from the claws themselves (Govind and Pearce, 1986). Exercising one of the claws by making it reflexly grip an object causes that claw to develop into a crusher while the opposite claw becomes a cutter. However, when both claws were exercised neither became a crusher but both developed as cutters. These experiments suggest that neural input from the reflexively evoked claw activity impinging on the CNS, in this case the first thoracic ganglion, induces the side with the higher activity to become the crusher side while the opposite side becomes the cutter. When bilateral differences in neural input are minimal, the CNS fails to be lateralized and both sides develop into cutter types. Indeed, the cutter claw would represent a primitive type which would develop irrespective of any extrinsic influences such as reflexive claw activity. This would explain why when claw activity is minimized, such as when lobsters are reared without a substrate (Govind and Kent, 1982), both claws develop as cutters. For a crusher claw to develop, additional instructions must be superimposed onto the primitive cutter pattern—instructions which would promote the differentiation of slow fibers. These instructions would be restricted to one side as they arise because of bilateral differences. They would also have to be graded in nature to account for the fact that the second muscle may have a variable percentage of slow fibers.

### Acknowledgments

We thank Dr. I. M. Campbell and C. Gee for critical comments, M. Syslow for generous supplies of larval lobsters, the Natural Sciences and Engineering Council, and the Muscular Dystrophy Association of Canada for financial support.

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# A Comparative Study of Terrestrial Adaptations of the Gills in Three Mudskippers—*Periophthalmus chrysospilos*, *Boleophthalmus boddarti*, and *Periophthalmodon schlosseri*

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**Abstract.** The three mudskippers—*Periophthalmus chrysospilos*, *Boleophthalmus boddarti*, and *Periophthalmodon schlosseri*—occupy the same macrohabitat in Singapore but have different behaviors. Correlations were made between differences in behavior and morphological adaptations of their gills to tolerate terrestrial exposure.

*P. schlosseri* has branched gill filaments, thick gill rods, and fused secondary lamellae which enable them to better adapt to a terrestrial than an aquatic environment. Of the three mudskippers, *P. chrysospilos* gills are the shortest. They are also bent and poorly developed for aquatic respiration. *B. boddarti* gills consist of numerous long filaments and have the largest gill area of the three mudskippers. These features suggest that *B. boddarti* gills function more efficiently as a respiratory organ in water than in air.

Gill surfaces of all three mudskippers are highly convoluted to increase surface area.

## Introduction

The three mudskippers—*P. chrysospilos*, *B. boddarti*, and *P. schlosseri*—were found at Pasir Ris, a small estuary at the east coast of Singapore. They differ markedly in size, microhabitat and behavior (Lee *et al.*, 1987; Siau and Ip, 1987). Maximum weights recorded in our laboratory for *P. schlosseri*, *B. boddarti*, and *P. chrysospilos* were 110 g, 35 g, and 14 g, respectively. *B. boddarti* and *P. schlosseri* inhabit mudflats which are periodically inundated by the tide. The former makes

burrows on the lower region of the intertidal zone while the latter burrows on higher ground. At low tide, both are found on the mudflats. At high tide, *B. boddarti* stays in its water-filled burrows and resurfaces only when the tide ebbs while *P. schlosseri* often swims with its snout and eyes above water along the water's edge. *P. chrysospilos* inhabits the littoral zone of the beach near the mudflats. At high and low tides, it rests on land next to water. Often, it clings to mangrove roots or tree trunks with only its tail fin dipped into water. When disturbed, both *P. schlosseri* and *P. chrysospilos* rapidly skim across the water surface in several bounds while *B. boddarti* dives and remains submerged.

The feeding habits of the three mudskippers also differ (Khoo, 1966). *P. chrysospilos* tends to be carnivorous but takes some supplement of plant material. *P. schlosseri* is completely carnivorous. *B. boddarti* is herbivorous. The latter mudskipper has a unique feeding behavior. On the surface of the mudflats, it makes rapid side-to-side movements of the head, skimming off a thin layer of mud and algae. Such feeding habit is followed by vibrating movements of the lips and operculae, during which the algae are presumably filtered (Khoo, 1966).

A problem that mudskippers face upon terrestrial exposure is the collapse of gills and the coalescence of their secondary lamellae, thus significantly reducing their functional respiratory area. Although the gill morphometry of *B. boddarti* (Niva *et al.*, 1981; Hughes and Al-Kadhomy, 1986), *B. chinensis*, and *P. cantonensis* (Tamura and Moriyama, 1976) have been studied, the structural adaptations of their gills to withstand terrestrial exposure has been mostly ignored. Thus, the present study was undertaken to examine the morphological ad-

adaptations of the gills of *P. chrysopilos*, *B. boddaerti*, and *P. schlosseri* by scanning electron microscopy (SEM) with an attempt to correlate their unique gill structures to their different behaviors observed in their natural habitats.

### Materials and Methods

Mudskippers ranging from young to fully grown adults (2–14 g for *P. chrysopilos*, 2–33 g for *B. boddaerti*, 3–110 g for *P. schlosseri*) were captured at Pasir Ris, Singapore, and maintained in the laboratory in 50‰ seawater (18‰ salinity). Fish were killed by pithing and the gills were dissected, washed with 0.85% sodium chloride solution, and immersed in 5% formalin made with 50% SW. After one day in the above mentioned solution, individual left arches were dehydrated through a graded ethanol series with a final change in acetone. The tissues were critical-point-dried with CO<sub>2</sub>. Each arch was affixed onto a specimen stub with silver paint and then coated with a thin layer of gold using a JEOL Fine Coat JFC-1100 ion sputter. All specimens were viewed under a scanning electron microscope (JEOL JSM 35CF).

A specimen was chosen from each genera of captured mudskippers such that the three fishes were of similar weight to enable comparison of their gill parameters. Gill dimensions of all four left arches were measured following Hughes' (1984a) method. The filament length, secondary lamellar frequency, and area were calculated from measurements of every fifth filament in *P. chrysopilos* and *B. boddaerti*. Due to a substantial number of branched filaments, the filament length and the secondary lamellar frequency were obtained in *P. schlosseri* from measurements of every two filaments. The secondary lamellar area of this fish was determined from measurements of three filaments—one from the center (usually branched), and two from the mid-point between the center and the dorsal and ventral aspects of the arch. The filament number, filament length, and gill area from the left arches were doubled to account for the right arches.

### Results

Preliminary observations of the mudskipper gills were performed immediately upon excision from the fish. These observations were then compared with the prepared samples for scanning electron microscopy (SEM) and it was ascertained that there was no macroscopic artifact caused by the preparation procedure.

*P. chrysopilos* has short and twisted filaments (Fig. 1). The secondary lamellae taper markedly towards the filament tip (Fig. 2). The gill rakers on all arches appear knob-like (Fig. 1). Branched gill filaments seldom occur. The filaments of *B. boddaerti* are arranged in a sheet-like fashion (Fig. 3). Unlike *P. chrysopilos*, the decrease in

secondary lamellar size towards the filament tip is relatively gradual while the gill rakers are broad and leaf-like (Fig. 4). Like *P. chrysopilos*, branched gill filaments occur as exceptions. *P. schlosseri* is unique in having a substantial number of complexly branched filaments (Fig. 5), each with several filament tips. It also differs from the other two mudskippers in having conspicuously thick gill rods and extensive interlamellar fusions on the same filament (Fig. 6). Its gill rakers resemble those of *P. chrysopilos*; they too are knob-like (Fig. 5). The secondary lamellar surfaces of all three species are extensively folded (Fig. 7, 8, 9).

The measured total filament numbers, total filament lengths and total gill areas of the three mudskippers are presented in Table I.

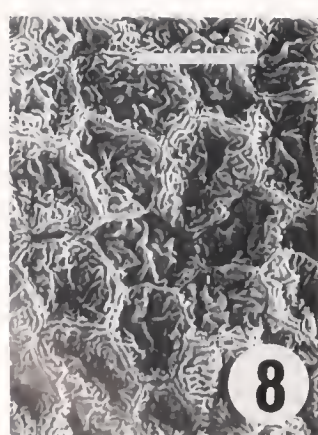
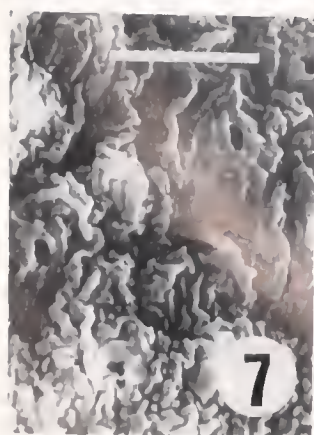
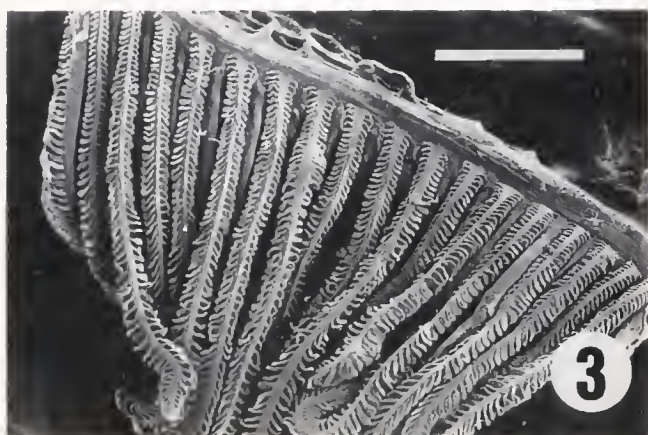
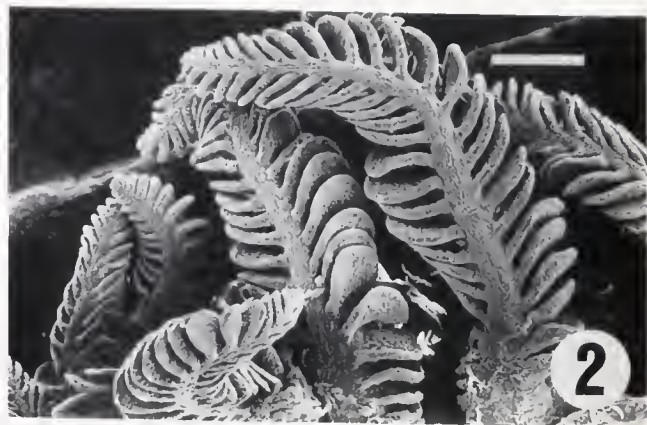
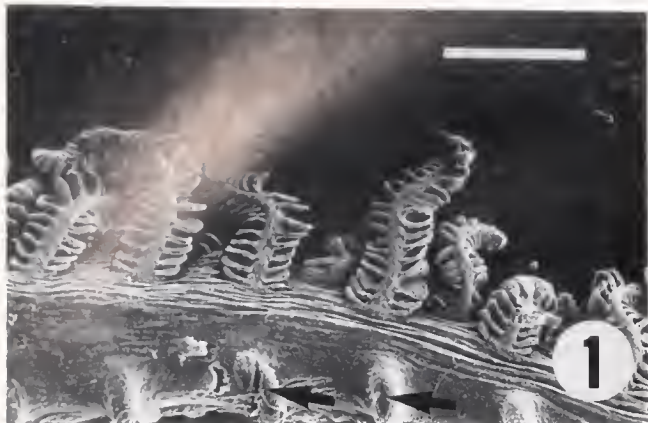
### Discussion

The secondary lamellar surface of all three mudskippers (Fig. 7, 8, 9) are highly folded. This greatly increases the gill surface area. Hence, the effective respiratory area of these mudskippers could be much larger than the apparent gill area reported. Being highly terrestrial, the gills of mudskippers constantly face the threat of desiccation. Thus, a primary concern would be to prevent desiccation of the respiratory surface. The raised ridges on gill epithelial cells suggest a mucus supporting function (Morgan and Tovell, 1973; Morris and Pickering, 1975). Such a mucus layer may act as an antidesiccant. It may also prevent frictional abrasion between the lamellae. A similar function has been proposed for the mucus layer on the surface microvilli of the rat mesothelium (Andrews and Porter, 1973).

There are several structural adaptations for physical support of the gills of *P. schlosseri* upon terrestrial exposure, one of which is its branched gill filaments (Fig. 5). As all of the *P. schlosseri* caught had branched gill filaments, it is improbable that these filaments had all undergone anomalous development or were subjected to physical damage as Hughes (1984b) suggested. If these same filaments were unbranched but retained their total length, they would be up to two and a half times longer than the average length of the rest of the filaments on the arch. A branched system accommodates a long filament length into shorter branches which can then derive maximal skeletal support from the gill rods. Furthermore, branched filaments naturally have more filament tips than unbranched ones. As secondary lamellae are added towards the tip of the gill filament, more tips would therefore increase the potential of generating a greater gill area.

Another adaptive feature of *P. schlosseri* gills is the extensive fusion of the secondary lamellae (Fig. 6). Fusion between secondary lamellae of adjacent filaments is not







**Table I**

Comparison of various measured gill parameters of *Periophthalmus chrysospilos*, *Boleophthalmus boddarti* and *Periophthalmodon schlosseri*

|                                    | <i>P. chrysospilos</i> | <i>B. boddarti</i> | <i>P. schlosseri</i> |
|------------------------------------|------------------------|--------------------|----------------------|
| Body weight (g)                    | 13.79                  | 17.70              | 18.55                |
| Total filament number              | 235                    | 482                | 344                  |
| Total filament length (mm)         | 435.66                 | 1295.7             | 521.6                |
| Total gill area (mm <sup>2</sup> ) | 1183.6                 | 2631               | 1541                 |

uncommon and in *Amia calva*, such consolidation prevents the secondary lamellae from coalescing upon air exposure (Daxboek *et al.*, 1981). The intrafilamentary secondary lamellar fusions in *P. schlosseri* also form a modified gill structure that imparts considerable support and prevents coalescence of the respiratory surfaces. Moreover, the spaces formed by such fusions may trap water within the fenestrae when the fish emerges from water. This trapped water may help reduce the danger of desiccation of the gills upon prolonged aerial exposure.

Although *P. schlosseri* gills may be capable of withstanding desiccation, they do not appear to be well adapted for aquatic respiration. First, they have fewer filaments, shorter filament length, and smaller gill area than the more aquatic *B. boddarti*. Second, their intrafilamentary secondary lamellar fusions may prevent water from flowing between the lamellae, reducing the efficiency of the counter-current system of oxygen uptake from water. Finally, the presence of a mucus layer on the fused lamellae may further hinder aquatic gaseous exchange. Thus, all these factors may be partly responsible for the observed terrestrial behavior of *P. schlosseri*.

*P. chrysospilos* gills exhibit different features of terrestrial adaptation compared to *P. schlosseri*. Their secondary lamellae rapidly decrease in size towards the filament tip (Fig. 2). Therefore, even if the lamellae were to stick to each other upon terrestrial exposure, significant lamellar surface area will still be exposed for gaseous exchange. In contrast, their bent and twisted filaments (Fig. 1) result in their secondary lamellae not being oriented parallel to the respiratory water current, thus reducing the efficiency of the counter-current distribution mechanism. This problem is compounded by its relatively small filament number and short filament length (Table I). Moreover, it has the smallest gill area of the three mudskippers. All these indicate that its gills may play a relatively small role in respiration. Indeed, Tamura *et al.* (1976) showed that oxygen uptake by *P. cantonensis* gills in water was only 52% of the total amount of oxygen respired. As its gills are inefficient in aquatic respiration, it would then rather stay on land where its skin would be responsible for 76% of its oxygen uptake (Tamura *et al.*, 1976).

On the other hand, *B. boddarti* gills appear better adapted for aquatic than aerial respiration. More of its secondary lamellae are aligned parallel to the respiratory water current (Fig. 3) than *P. chrysospilos*; thus *B. boddarti* may be more efficient in utilizing the counter-current system for oxygen uptake. Moreover, it has the largest gill area (Table I) of the three mudskippers for gaseous exchange. However, it has the longest and greatest number of filaments; and the longer the filaments, the more likely they will collapse when removed from water. Furthermore, the secondary lamellae within the same filament show minimal tapering towards the filament tip compared to those of *P. chrysospilos*. Thus, when the lamellae coalesce, there will be a significant reduction in the respiratory area and this will limit the terrestrial affinity of *B. boddarti*.

**Figure 1.** A gill arch from *Periophthalmus chrysospilos*. Note the bent and twisted filaments. The short and stumpy gill rakers are indicated by the arrows. Scale bar represents 500  $\mu$ m.

**Figure 2.** Gill filaments of *P. chrysospilos*. The secondary lamellae decrease markedly in size towards the filament tip. Scale bar represents 200  $\mu$ m.

**Figure 3.** The filaments on the gill arch of *Boleophthalmus boddarti* are arranged in a sheet-like fashion. Scale bar represents 1000  $\mu$ m.

**Figure 4.** The fourth gill arch of *B. boddarti*. The leaf-like gill rakers are indicated by arrows. Scale bar represents 500  $\mu$ m.

**Figure 5.** The gill filaments of *Periophthalmodon schlosseri* are complexly branched. The gill rods are conspicuously thickened compared to *P. chrysospilos* and *B. boddarti*. The gill rakers are short and knob-like (arrows). Scale bar represents 1000  $\mu$ m.

**Figure 6.** *P. schlosseri* is unique in having extensive interlamellar fusions on the filament. Scale bar represents 100  $\mu$ m.

**Figure 7.** Higher magnification of the highly convoluted secondary lamellar surface in *P. chrysospilos*. Scale bar represents 10  $\mu$ m.

**Figure 8.** The secondary lamellar surface is highly folded in *B. boddarti*. Scale bar represents 10  $\mu$ m.

**Figure 9.** The secondary lamellar surface of *P. schlosseri* bears conspicuous raised ridges which may indicate a mucus retaining function. The arrows indicate the interlamellar fusions. Scale bar represents 10  $\mu$ m.

The behaviors of the mudskippers may also be related to the structure of the gill rakers. One possible function of the gill rakers is to minimize injury to the respiratory organs by straining large particles from the water current that enters the buccopharyngeal cavity away from the gills (Hughes and Morgan, 1973). However, the short and stumpy gill rakers of *P. schlosseri* (Fig. 5) and *P. chrysospilos* (Fig. 1) would be ineffective as a protective straining mechanism, hence, leading to their limited tendencies of submerging in silt-laden water. The gill rakers of *B. boddaerti* are broad and leaf-like (Fig. 4) and may act like a strainer when the mudskipper is in the water and when it feeds on the mudflat algae. Thus, it may be more adapted to entering water than *P. chrysospilos* and *P. schlosseri*. *B. boddaerti* from the Arabian Gulf has been studied by Hughes and Al-Kadhomy (1986), but the behavior reported in their investigation is unlike the local species in that they were omnivorous and were found at the water's edge at high tide. Hence, it is very likely that the Arabian Gulf *B. boddaerti* is dissimilar to that in the present study.

### Acknowledgment

This study was supported by grants RP 70/85 and RP 860337 from the National University of Singapore.

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# Abstracts of Papers Presented at the MBL Centennial Symposium, “Ion Channels: Structure, Function, and Modulation”<sup>1</sup>

23–25 June 1988

*Effects of external and internal Mg on the NMDA activated channel.* P. ASCHER AND J. W. JOHNSON (Laboratoire de Neurobiologie, Ecole Normale Supérieure, 46, rue d’Ulm 75005, Paris, France).

It is now well established that the channels opened by N-methyl-D-aspartate (NMDA) in vertebrate central neurons are blocked by extracellular Mg in a voltage dependent way (4, 3). When single channel currents activated by NMDA are recorded in the presence of external Mg, the Mg block leads to characteristic bursts of openings (3, 2). The analysis of these bursts (1) has indicated that as a first approximation the block can be described as an open channel block in which the dissociation constant for Mg is:

$$K_{Mg} = 8.8 \exp(V/12.5)$$

where  $K_{Mg}$  is expressed in mM, and V is the membrane potential expressed in mV.

We have investigated the block of the NMDA activated channel by internal Mg. As first reported by Nowak *et al.* (4), this block was not accompanied by a flickering comparable to that observed for external Mg. The single channel current was decreased by internal Mg without any concomitant change of the mean open time. At  $Mg_i = 1$  mM the block was only detectable at positive potentials. For  $Mg_i = 10$  mM the single channel inward current was also substantially reduced at negative potentials. No voltage dependent block was observed when (Ca), was increased to 1 mM in the absence of internal Mg. The single channel I–V relation in the presence of  $Mg_i = 1$  mM was well described by assuming that the single channel current was reduced by a factor determined by the voltage dependent binding of Mg. The dissociation constant of Mg,  $K_{Mg}$ , was calculated as:

$$K_{Mg} = 12 \exp(-V/28)$$

where  $K_{Mg}$  is expressed in mM, and V in mV.

The block produced by internal Mg thus appears to involve a site that, when  $V = 0$ , has an affinity for Mg similar to that of the site involved in the block by external Mg. However, the fact that the block produced by internal Mg does not induce flickering of the single channel current suggests that both the on and off rates of Mg binding are faster in the case of the block by internal Mg.

The simplest interpretation of the voltage dependence of the external Mg block is that the binding site for external Mg is situated on the cytoplasmic side of the membrane (1). However, this interpretation is not compatible with the voltage dependence of the block by internal Mg.

In physiological conditions Mg is present on both sides of the membrane at mM concentrations and thus the NMDA channel will conduct current in a restricted voltage range centered near 0 mV. The block by external Mg appears to play an important physiological role, while the functional significance of the block by internal Mg remains to be established.

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*Structure and regulation of the glycine receptor.* H. BETZ, C.-M. BECKER, B. SCHMITT, G. GRENNINGLOH, A. RIENITZ, D. LANGOSCH, AND W. HOCH (ZMBH, Im Neuenheimer Feld 282, 6900 Heidelberg, FRG).

The postsynaptic glycine receptor complex of rat spinal cord is a ligand-gated chloride channel composed of polypeptides of Mr 48,000, 58,000, and 93,000. Molecular cloning data and crosslinking experiments indicate that it has a pentameric ion channel core structure assembled by Mr 48,000 and 58,000 polypeptides which are homologous to nicotinic acetylcholine and GABA<sub>A</sub> receptor subunits. The Mr 93,000 polypeptide is associated with cytoplasmic domains of the receptor and may serve as its anchor in the postsynaptic membrane.

During development of the rat spinal cord, the appearance of the adult postsynaptic glycine receptor complex is preceded by the accumulation of a receptor species characterized by low antagonist affinity and altered immunogenicity. This “neonatal” form of the receptor is abundant in primary spinal cord cultures and may represent a functionally immature receptor species. Possible mechanisms generating glycine receptor heterogeneity during development were discussed.

<sup>1</sup> Abstracts are arranged alphabetically by first author. The generous support of the Monsanto Company is gratefully acknowledged.



**Molecular properties of voltage-sensitive sodium and calcium channels.** WILLIAM A. CATTERALL (Department of Pharmacology SJ-30, University of Washington, Seattle, WA 98195).

The action potential in most excitable cells results from an initial increase in  $\text{Na}^+$  permeability mediated by voltage-sensitive sodium channels followed by a more prolonged increase in  $\text{Ca}^{2+}$  permeability mediated by voltage-sensitive calcium channels. Sodium channels have five separate receptor sites for neurotoxins which have different effects on channel function.  $\text{Na}^+$  channels purified from rat brain using saxitoxin binding as an assay consist of three glycoprotein subunits [ $\alpha$  (260 kDa),  $\beta 1$  (36 kDa), and  $\beta 2$  (33 kDa)] in 1:1:1 stoichiometry in a complex of 320 kDa. The  $\beta 2$  subunit is linked to  $\alpha$  by disulfide bonds while  $\beta 1$  is noncovalently attached. The  $\alpha$  subunit is a transmembrane glycoprotein which binds neurotoxins on its extracellular surface and can be phosphorylated by protein kinases on its intracellular surface. The  $\beta 1$  and  $\beta 2$  subunits are integral membrane glycoproteins. Incorporation of the purified  $\text{Na}^+$  channel into phospholipid vesicles of appropriate composition restores neurotoxin action at three receptor sites and selective ion flux. Fusion with planar bilayers and treatment with batrachotoxin results in single channels of 23 pS with the ion selectivity, toxin sensitivity, and voltage dependence of batrachotoxin-modified native  $\text{Na}^+$  channels. Selective removal of the  $\beta 1$ , but not the  $\beta 2$ , subunit from the purified complex causes loss of saxitoxin binding and ion conductance (1, 2).

cDNAs encoding the  $\alpha$  subunit of the neuronal sodium channel have been identified, cloned, and sequenced (3, 4). They recognize mRNA of 9.0 kb in brain. This single mRNA, purified by hybrid selection or transcribed from cDNA, is sufficient to direct the synthesis of functional sodium channels in *Xenopus* oocytes, but the rate of inactivation is slow compared to native brain sodium channels or sodium channels synthesized from total brain mRNA. Co-expression of mRNA $\alpha$  and low molecular weight brain mRNA restores the rapid rate of sodium channel inactivation and enhances sodium channel expression suggesting that low molecular weight brain proteins, possibly including  $\beta 1$  or  $\beta 2$  subunits, can modulate expression and function of the functionally autonomous  $\alpha$  subunit. A model of sodium channel structure is developed in which the transmembrane pore is formed by the  $\alpha$  subunit with the  $\beta 1$  and  $\beta 2$  subunits peripherally associated (1).

Voltage-sensitive calcium channels participate in the generation of action potentials in excitable cells and play a key role in regulating calcium influx into the cytoplasm. We have used high affinity binding of  $^3\text{H}$ -labeled dihydropyridine calcium channel blockers to monitor purification of dihydropyridine-sensitive (L-type) calcium channels to homogeneity from skeletal muscle transverse tubules (5). Analysis by SDS-PAGE without reduction of disulfide bonds shows that the purified dihydropyridine-sensitive calcium channels contain polypeptide components of approximately 170 kDa, 54 kDa, and 30 kDa. Further analysis of the purified calcium channel by several specific labeling methods and SDS-PAGE after cleavage of disulfide bonds reveals two distinct high molecular mass polypeptides and an additional protein component of 27 kDa.  $\alpha 1$ , a 175 kDa subunit which is not N-glycosylated, contains the dihydropyridine binding site, cAMP-dependent protein kinase phosphorylation site(s) and substantial hydrophobic domain(s) labeled by a photoreactive hydrophobic probe.  $\alpha 2$ , a 143 kDa glycoprotein, has none of the properties characteristic of  $\alpha 1$ , but binds lectins and contains about 25% N-linked carbohydrate.  $\alpha 2$  is a disulfide-linked to  $\delta$ , a 24-27 kDa glycopeptide.  $\alpha 1$  (175 kDa) and  $\alpha 2\delta$  (170 kDa) migrate together when disulfide bonds are not reduced.  $\beta$  (54 kDa) contains a cAMP-dependent phosphorylation site, but is not N-glycosylated and does not have a hydrophobic domain.  $\gamma$  (30 kDa) has a carbohydrate content of about 30% and extensive hydrophobic domain(s). Precipitation with affinity-purified anti- $\alpha 1$  antibodies or  $\alpha 2$ -

specific lentil lectin-agarose shows that  $\alpha 1\alpha 2\beta\gamma\delta$  behaves as a complex (6). The purified calcium channel complex mediates  $^{45}\text{Ca}$  influx after reconstitution into phospholipid vesicles (7). Calcium flux activity is increased by the dihydropyridine agonist Bay K 8644 and inhibited by PN200-110, verapamil, and D600 with apparent  $K_D$  values of 0.2, 1.5, and 1.0  $\mu\text{M}$ . Calcium flux activity is increased eight-fold by phosphorylation of the  $\alpha 1$  and  $\beta$  subunits with cAMP-dependent protein kinase. A model for subunit interaction and membrane insertion in which the  $\alpha 1$  subunit is the central transmembrane component of an oligomeric calcium channel complex is proposed on the basis of these observations (6).

The complete primary structures of sodium channels from rat brain and calcium channels from skeletal muscle transverse tubules have been deduced from cDNA clones (8, 9). Each consists of four internally homologous domains with six proposed transmembrane segments, S1-S6. Mapping of functional sites on sodium channel  $\alpha$  subunits with site-directed antibodies has revealed a cluster of 5 cAMP-dependent phosphorylation sites in the intracellular segment between domains I and II (10) and a site of covalent attachment of photoreactive scorpion toxin derivatives in the extracellular loop between transmembrane segments S5 and S6 of domain I (11). Antibodies to the intracellular segment between domains III and IV slow sodium channel inactivation in a voltage-dependent manner, suggesting a role for this region of the  $\alpha$  subunit in channel inactivation (12). The highly conserved,  $\alpha$ -helical S4 segments in each domain are postulated to transverse the membrane and form the voltage-sensing elements of the  $\text{Na}^+$  channel according to a Sliding Helix model of voltage-dependent gating (1). These results begin the development of a functional and topological map of the sodium channel  $\alpha$  subunit and provide the basis for a similar analysis of the  $\alpha 1$  subunit of the dihydropyridine-sensitive calcium channel. Such functional maps will help to define the molecular basis of electrical excitability.

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**Properties and regulation of chloride channels in salt-secreting epithelial cells.** RAYMOND A. FRIZZELL (Department of Physiology and Biophysics, University of Alabama, Birmingham, AL 35294).

A  $\text{Cl}^-$ -selective apical membrane conductance appears to be the rate-determining step in  $\text{NaCl}$  secretion by a variety of epithelial tissues. This conductance is regulated by agonists which employ cyclic nucleotides and  $\text{Ca}^{2+}$  as the intracellular mediators of their actions. Opening of anion-selective apical membrane channels permits  $\text{Cl}^-$  to diffuse down its electrochemical gradient from cell-to-lumen when regulatory pathways are activated. We have used a colonic tumor cell line (T84) and human airway cell primary cultures to characterize some of the biophysical and regulatory properties of this channel. This agonist-activated channel is  $\text{Cl}^-$ -selective ( $P_{\text{Cl}}/P_{\text{Na}} \sim 50$ ) and prefers halides in the order of decreasing ionic radius ( $\text{I}^- > \text{Br}^- > \text{Cl}^- > \text{F}^-$ ). The channel shows

outward rectification, even with symmetrical salt concentrations bathing both sides of excised membranes and shows an open probability *versus* voltage relationship such that membrane depolarization induces an increase in channel open probability. The latter effect may help balance transapical Cl flow with alterations in the driving force for Cl exit at the apical membranes as Cl permeabilities change. This channel is inhibited by substituted disulfonic stilbenes in membrane vesicle, patch-clamp, and planar lipid bilayer preparations. The dinitryl stilbene analog, DNDS, is a reversible channel blocker, whereas the diisothiocyanate derivative, DIDS, inhibits the channel irreversibly. These compounds should be useful in attempts to biochemically characterize this important conductance pathway. A defect in the activation of this channel contributes to the pathogenesis of cystic fibrosis (CF). Cell-attached recordings from human airway cells indicate that cAMP-dependent agonists activate Cl channels in normal, but not CF cells. In contrast, Ca-dependent channel activation pathways are intact. In excised membrane patches, the catalytic subunit of cAMP-dependent protein kinase activates Cl channels in membrane patches from normal, but not CF cells, suggesting that the CF defect lies in the apical membrane. Thus, the channel or an associated regulatory protein may not be an appropriate substrate for protein kinase A-mediated phosphorylation or, if phosphorylated, does not alter its conformation in a manner appropriate to permit Cl conduction.

Supported by NIH Grant DK38518 and by the Cystic Fibrosis Foundation.

**Bacterial porins as models for voltage-gated channels.** R. MICHAEL GARAVITO (Department of Biochemistry and Molecular Biology, The University of Chicago, 920 East 58th Street, Chicago, IL 60637).

The structural motifs which make up membrane proteins are only now becoming known. With the elucidation of the structures of bacteriorhodopsin, at low resolution, and two bacterial photosynthetic reaction centers, the role of alpha helical secondary structure in creating polypeptide traverses across the lipid bilayer is now established. However there are classes of membrane proteins which apparently do not utilize alpha helices within the membrane. Several transmembrane channel-forming proteins from prokaryotic and eukaryotic systems contain almost no helical secondary structure but a substantial amount of beta sheet. How the beta strands are arranged within the transmembrane region is unclear.

Porins are transmembrane channel-forming proteins in the outer membrane of enterobacteria and are typified by their formation of non-specific, water-filled pores. These pores allow the passive diffusion of small polar solutes across the lipid bilayer. Some porins also display voltage-induced channel closing (300 pS channel size). Most purified porins have a trimeric subunit structure ( $3 \times 35\text{--}45$  kDa) and display an appreciable amount of beta sheet as determined by circular dichroism, X-ray scattering, ATR-IR, and FTIR linear dichroism. The most recent work using FTIR (Nabedryk *et al.* 1988, *Biophysical J.* 53: 671–676) suggests that while a significant number of beta strands are oriented perpendicular to the membrane plane, an equivalent number of strands are inclined quite far away from the membrane normal. The most plausible arrangement of the beta strands would be a sandwich-like packing of two near orthogonal sheets where one of the sheets would have its strands aligned along the membrane normal. Such supersecondary structures have often been seen in soluble proteins and are quite stable.

The molecular shape of the porin OmpF has now been elucidated at low resolution (15 Å) by a combination of neutron and X-ray diffraction and molecular replacement. The resulting maps clearly show the position of the three pores in the trimer and their passage through the membrane. The pores are independent and remain roughly 30 Å apart

from each other as they pass through the protein. Their exit at the outer face of the protein is not clearly visible and may be the site of the channel gates. The exit of the pores on the periplasmic side of the protein is well defined and demonstrates that the pores remain physically independent. This pore topography is different from that proposed earlier by others using electron microscopic techniques and casts doubts on their interpretations. Tentative evidence also suggests that the pores are formed by the subunit interfaces of the trimer. Status of the current high resolution X-ray structural analysis was discussed.

**Presynaptic facilitation, presynaptic inhibition, and the molecular logic of second messenger systems in Aplysia.** ERIC R. KANDEL, DAVID SWEATT, ANDREA VOLTERRA, ROBERT HAWKINS, AND STEVEN A. SIEGELBAUM (Center for Neurobiology and Behavior and Howard Hughes Medical Institute, Columbia University, College of Physicians and Surgeons, 722 West 168th Street, New York, NY 10032).

A striking feature of the organization of the brain is that some synaptic potentials are fast, lasting only milliseconds, whereas others are slow, lasting many seconds or even many minutes. One of the insights of the last several years is the realization that the two types of synaptic interactions involve different molecular mechanisms that serve different behavioral consequences, and are produced by proteins that derive from two distinct gene families.

In both types of synaptic actions, the receptor acted on by the transmitter has a double function: a recognition function at its receptor site as well as an effector function on ion channel activity. Thus, the receptor recognizes the transmitter and instructs the channel to open or close. In fast synaptic actions, like those involving the nicotinic ACh channels at the neuromuscular junction and the channels regulated in the central nervous system by glutamate, glycine, and GABA, the recognition function (receptor) and the effector function (channel) are carried out by different domains of a common multiple subunit protein. By contrast, slow synaptic actions involve separate proteins for recognition and effector functions: the proteins are often at some distance from one another. Remote receptors characterized to date typically consist of a single subunit with seven transmembrane spanning regions. They communicate with their channels via membrane transduction systems such as the GTP-binding proteins and diffusible internal second-messengers such as cAMP and the cAMP-dependent protein kinase.

Slow synaptic actions produced by remote receptors and second messengers have generated much interest because they fulfill quite different functions from the common fast synaptic actions. Whereas the fast synaptic actions produced by the directly acting receptors are utilized by the basic neural circuitry that *mediate behavior*, second messengers produce slow synaptic actions that tend to *modulate behavior* by altering the excitability of neurons and the strength of the synaptic connections of the basic neural circuitry. Specifically, modulatory synaptic pathways can serve as reinforcing stimuli in learning.

The finding that the receptor for the action of some transmitters modulates channel activity via an internal second messenger opens up the possibility for either synergistic or antagonistic interactions between different transmitters that target a common ion channel. For example, a small family of transmitters, each acting through its own independent remote receptors, may act synergistically to modulate the same channel via the same internal second messengers. Alternatively, the receptors could act on the channel antagonistically via different internal messengers.

We have found both of these situations in studies of the gill-withdrawal reflex of *Aplysia*. Here we have encountered an example in an



invertebrate of an observation well established in vertebrates, that stimuli that serve as reinforcers for learning can have two components: a prominent, facilitatory component, and a less obvious, inhibitory component. In vertebrates, the facilitatory component is important for sensitization and conventional classical conditioning of excitatory responses. The inhibitory component is important for inhibition and for conditioning of inhibitory responses. Both of these components are also evident in *Aplysia*. Strong stimuli to the tail serve as reinforcing stimuli for sensitization and classical conditioning of the reflex. These stimuli activate modulatory neurons that use the modulatory transmitters serotonin or the peptides SCP<sub>A</sub> and SCP<sub>B</sub>. The strong tail stimulus and the transmitter produce presynaptic facilitation at sensory-motor synapses by, in part, modulating a specific K<sup>+</sup> channel of the sensory neurons (the S channel) by a common second-messenger system: cyclic AMP (6). By contrast, weaker stimuli to the tail that produce inhibition of the reflex, activate modulatory neurons that use dopamine and the peptide FMRFamide. These weak stimuli and the inhibitory transmitter systems modulate the same S channel in the sensory neurons but now in the opposite way, to produce an inhibitory action on the sensory neuron that is mediated by the lipoxygenase metabolites of arachidonic acid. Dopamine, binding to a D<sub>2</sub>-like receptor (19), and FMRFamide, have similar actions. We focus here on FMRFamide.

FMRFamide is of interest because it resembles the carboxy terminus of the met-enkephalin related peptide Tyr-Gly-Gly-Phe-Met-Arg-Phe. Moreover, Richard Scheller and his colleagues have studied the organization of the FMRFamide precursor polypeptide and have found important similarities with preproenkephalin, one of which is that multiple basic peptide units are interspersed with variable acidic spacers (7, 14). The FMRFamide gene in *Aplysia* encodes at least 19 individual FMRFamide sequences, a single FLRFamide sequence, and gives rise to multiple polyadenylated RNAs (16). Finally, this structural similarity between FMRFamide and the enkephalin peptides is preserved functionally. Just as enkephalins can produce presynaptic inhibition of nociceptive sensory neurons in vertebrates, FMRFamide produces presynaptic inhibition of sensory neurons in *Aplysia*.

Indeed, the action of FMRFamide and FMRFamidergic inhibitory interneurons is a mirror image of that of the facilitatory systems. As is the case for the facilitatory neurons, the inhibitory systems synapse onto the sensory neuron's cell body and presynaptic terminals. Moreover, we believe that the K<sup>+</sup> channels, modulated by serotonin and FMRFamide, exist not only in the cell body but also on the terminals, where their modulation plays a role in controlling synaptic strength (1). Thus, by investigating the logic whereby these two transmitters, acting through two different second messengers, interact within the cell to regulate channel function, these studies, in a larger sense, address how that interaction contributes to the up-and-down regulation of transmitter release. One final feature makes this interaction of further interest: the two actions are not symmetrical. While the modulatory effects of inhibition are more transient than those of facilitation, this transient inhibition can fully override the facilitation.

Thus, we have examined two questions: (1) how does each of the opposing modulatory systems produce their characteristic actions and (2) how does the inhibitory action override the facilitatory one when the two are presented together?

On the cellular level, the tail stimuli used for sensitization and classical conditioning activate a facilitatory system that contains both serotonergic and peptidergic neurons. The combined actions of these modulatory neurons increase the synaptic strength of the connection between the sensory neuron and the motor neuron by enhancing transmitter release from the terminals of the sensory neuron—a process we call presynaptic facilitation. Presynaptic facilitation involves protein phosphorylation through the cAMP-dependent protein kinase (5). Classical conditioning involves, in addition, a pairing specific, activity-

dependent, enhancement of the presynaptic facilitation produced by sensitization.

Behavioral inhibition is reflected at the level of the sensory neuron by presynaptic inhibition of transmitter release, a mirror image process to presynaptic facilitation. This inhibition is induced by the peptide FMRFamide (11). Thus, we found that FMRFamide leads to a decrease in spontaneous transmitter release from the presynaptic terminal of the sensory neuron without affecting the size of the transmitter quanta, indicating that the sensitivity of receptors of the postsynaptic cell is not affected (8). In addition, the inhibition of synaptic transmission produced by FMRFamide is accompanied by a shortening of the duration of the action potential in the sensory neuron. This shortening is partly due to an increase in the sensory neuron of a specific K<sup>+</sup> current (the S current) (2, 3), and partly due to a depression of a specific Ca<sup>2+</sup> current (4, 9). Finally, we have identified an interneuron, located within the left pleural ganglion, that shows FMRFamide immunoreactivity. This interneuron is activated by tail shock and simulates the presynaptic inhibitory action that tail shock produces (11).

What is the second messenger for this presynaptic inhibition? Whereas the facilitation is mediated through cyclic AMP-dependent protein phosphorylation, work carried out in collaboration with James Schwartz and his colleagues indicates that the inhibition is mediated through the lipoxygenase metabolites of arachidonic acid (15). Indeed, Mackey has now found that NDGA, which blocks the lipoxygenase pathway of arachidonic acid, also blocks the inhibitory consequence of tail stimuli (12).

Single channel recordings indicated that FMRFamide modulate the activity of the S-K<sup>+</sup> channel, one of the major targets of the facilitatory pathway. But whereas serotonin and cyclic AMP-dependent protein phosphorylation lead to closure of the S-K<sup>+</sup> channel, FMRFamide and lipoxygenase metabolites lead to an increase in probability that a channel is open. In addition, FMRFamide reverses the action of serotonin (or cAMP) by opening S-K<sup>+</sup> channels closed by serotonin or cAMP (2). Here, the results with FMRFamide parallel, on a single channel level, the ability of the inhibitory system to transiently override the behavioral facilitation. This override is also evident biochemically. Recent quantitative 2-D gel studies reveal that serotonin and cyclic AMP lead to an increase in the level of phosphorylation of 17 proteins in the sensory neurons. In the absence of serotonin, FMRFamide acts on 10 of these proteins and reduces their basal level of phosphorylation. But, in the presence of serotonin (or cAMP), FMRFamide will override the phosphorylation of all 17 proteins normally phosphorylated by serotonin (18). Thus, whereas serotonin and cyclic AMP work by activating a kinase (the cAMP-dependent protein kinase), FMRFamide acts in a novel way to either activate a phosphatase (perhaps an arachidonic acid specific-phosphatase) or to inhibit the cyclic AMP-dependent protein kinase. We are now performing biochemical experiments to distinguish between these two possibilities.

Presynaptic inhibition has two additional parallels to presynaptic facilitation. First, as is the case with presynaptic facilitation, presynaptic inhibition shows an activity-dependent enhancement (17). If the sensory neuron is active just before it is exposed to FMRFamide, the depression of transmitter release from the sensory neuron terminal is more powerful and more prolonged than if the sensory neuron is not active. This associative mechanism is characteristic of classical conditioning in the facilitating system, and suggests that the inhibitory component may have a comparable role in the associative inhibitory conditioning. Second, repeated presentation of FMRFamide produces a long-term depression of transmitter release, which persists over 24 hours. Unlike the short-term process, which does not require new protein synthesis, this long-term process resembles the long-term facilitation produced by serotonin in being selectively blocked by inhibitors of protein synthesis (13). We have recently identified specific patterns



of protein synthesis associated with long-term facilitation. It therefore also will be interesting to explore whether a complementary pattern of protein synthesis also accompanies the turning on of the long-term inhibitory pathway.

In a larger sense, these studies of behavioral sensitization and inhibition illustrate that environmental stimuli can act on single sensory neurons through two different modulatory systems, one facilitatory and one inhibitory. These modulatory systems use two different families of transmitters (including serotonin for facilitation and FMRFamide for inhibition), and engage two different second-messenger systems within the sensory neurons—the cAMP cascade for facilitation and the lipoxygenase pathway of arachidonic acid for inhibition. Therefore, our results suggest that these opposing behavioral events are represented within the nervous system by the activation of antagonistic modulatory systems and are rerepresented within single sensory neurons by the balance of actions of competing second-messenger systems. Thus, these results begin to reveal an unexpected richness at the cellular and molecular levels underlying the internal representation of external events.

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*Ion channels of Paramecium, yeast, and E. coli.* C. KUNG (Laboratory of Molecular Biology and Department of Genetics, University of Wisconsin, Madison, WI).

We found gated ion channels in different microbes. Channels appear to have evolved early and exist in all cells.

At least seven types of channels are known to exist in *Paramecium*, a giant protozoan cell. Some mutations turn individual channel activity up; others turn them down or off. Mutants defective in the activities of their  $\text{Ca}^{++}$ -gated channels had single amino-acid substitutions in the third or fourth  $\text{Ca}^{++}$  binding pocket of their calmodulin.

The yeast plasma membrane was examined using the patch-clamp method, after removing the cell wall. Two types of channels have been discovered to date: (1) a depolarization-gated  $\text{K}^{+}$ -specific channel, 20 pS in conductance, blockable by  $\text{Ba}^{++}$  and quinidine; and (2) a mechanically gated poorly selective channel, 40 pS in conductance.

To study the ion channels of *E. coli*, we first grew the bacteria in cephalaxin which blocks septation. We then converted the long fila-

ments to large spheroplasts (3–10  $\mu\text{m}$  diameter) with EGTA and lysozyme. Patch-clamp studies of these spheroplasts show that there are at least two types of voltage-gated channels. One of them is also gated by mechanical forces on the patch membrane. This channel has a very large conductance (800 pS) and almost no ion selectivity. Several pieces of evidence indicate that this channel exists in the outer membrane.

Ion channels in microbes are interesting in terms of their (1) physiological roles (osmoregulation, chemotaxis, energetics, sensory transductions?), (2) implications in terms of the evolution of senses, and (3) utility in expressing animal channel genes cloned into these microbes.

Supported by NIH GM22714, -36386, -37925, DK39121.

*Regulation of neuronal ion channels and neuronal activity by protein phosphorylation.* IRWIN B. LEVITAN, PETER H. REINHART, SUNG KWON CHUNG (Graduate Department of Biochemistry, Brandeis University, Waltham, MA 02254).

Work from a number of different laboratories over the last decade has provided convincing evidence that cyclic AMP-dependent protein phosphorylation can modulate ion channel properties, and that this form of channel regulation occurs in cells under physiological conditions. The active catalytic subunit of the cyclic AMP-dependent protein kinase is easily purified from mammalian heart or skeletal muscle. Because its active site has been very well conserved during evolution, this purified enzyme from mammalian sources can be used as a specific biochemical probe to examine regulation of ion channel properties in such diverse groups as mammals and molluscs.

We have examined the effects of the bovine heart catalytic subunit on calcium-dependent potassium channels from the nervous systems of snails and rats. Patch-clamp recordings from identified neurons in the garden snail *Helix* reveal the presence of a calcium-dependent potassium channel with a single channel conductance of about 75 pS. When patches containing these channels are detached from the cell, and the catalytic subunit of the cyclic AMP-dependent protein kinase together with ATP and magnesium is applied to what was formerly the cytoplasmic membrane surface, the activity of the channels increases dramatically. The results are consistent with the possibility that phosphorylation by the catalytic subunit increases the sensitivity of these calcium-dependent potassium channels to activation by calcium and/or voltage. Furthermore, these results indicate that the regulatable phosphorylation target is a membrane-associated protein which comes away with the membrane patch when it is pulled off the cell. These experiments have been extended by examining the activity of calcium-dependent potassium channels from snail neurons, reconstituted either in large planar bilayers or in smaller bilayers formed on the tips of patch electrodes. Under these conditions again the channel activity may be regulated by the addition of the catalytic subunit together with magnesium and ATP. These experiments allow the fundamental conclusion that the regulatable phosphorylation target is either the ion channel protein itself, or some regulatory element which is so intimately associated with the ion channel protein that it swims with it in the bilayer.

More recently we have extended these studies to plasma membrane fractions from rat brain. We have found at least three and probably more different calcium-dependent potassium channels from these partially purified plasma membrane preparations, which can be reconstituted in bilayers. These channels differ in their single channel conductances, in some kinetic properties, and in some aspects of their pharmacology, but they also share many features including calcium sensitivity and potassium selectivity. Furthermore several of these channels are subject to regulation by phosphorylation in the bilayer in the same way as the channel from *Helix* neurons. These studies raise the interesting

possibility that there exists in rat brain a *family* of calcium-dependent potassium channels, which may be closely related in some of their functional properties. Attempts are underway to purify and clone one or more of these calcium-dependent potassium channels, to determine the structural correlates of these functional similarities and distinctions.

**Regulation of intracellular  $\text{Ca}^{2+}$  concentration in exocrine glands.** A. MARTY, R. HORN<sup>1</sup>, Y. P. TAN<sup>2</sup>, AND J. ZIMMERBERG<sup>3</sup> (Laboratoire de Neurobiologie, Ecole Normale Supérieure, 46 rue d'Ulm, 75005 Paris, France and <sup>1</sup>Roche Institute of Molecular Biology, Nutley, NJ 07110, <sup>2</sup>Physical Science Laboratory, N. I. H., Bethesda, MD 20892, and <sup>3</sup>Bogazici Universitesi, Bebek, Istanbul, Turkey).

Secreting cells of exocrine glands respond to muscarinic stimulation by releasing  $\text{Ca}^{2+}$  from internal stores. Several approaches were used to characterize the kinetics of this process. Experiments were performed at room temperature on cells from rat lacrimal glands which had been isolated using enzymatic treatment.

In one series of experiments, cells were loaded with the  $\text{Ca}^{2+}$  indicator fura2, and the internal  $\text{Ca}^{2+}$  concentration,  $\text{Ca}_i$ , was monitored following bath application of acetylcholine (ACh, 0.1–10  $\mu\text{M}$ ).  $\text{Ca}_i$  rose to an initial peak of 0.5 to 2  $\mu\text{M}$ . This was followed either by a plateau corresponding to a somewhat lower  $\text{Ca}_i$  value or by a damped oscillation. Oscillations were mostly observed with ACh concentrations of 0.2–0.5  $\mu\text{M}$  and had interpeak intervals of 7–15 s.

A second approach was to perform tight-seal whole cell recordings. Upon application of ACh,  $\text{Ca}^{2+}$ -dependent  $\text{K}^+$  and  $\text{Cl}^-$  currents were activated. However this response was difficult to study quantitatively as it exhibited a pronounced rundown from one ACh application to the next. By varying the size of the recording pipette and that of the cell, evidence was gathered indicating that rundown was due to the loss of a water soluble substance (washout). Analyzing the time course of washout indicated that the washout molecule had a diffusion constant (and a molecular size) similar to glucose.

To obviate washout, we used a new method whereby the polyene antibiotic nystatin (50–100  $\mu\text{g}/\text{ml}$ ) was introduced into the recording pipette. The pipette-cell connection was then established by nystatin channels instead of using suction in the pipette. ACh-sensitive currents recorded with this method did not display washout. These currents rose abruptly after a marked delay (on the order of 1–5 s). The delay was a linear function of the inverse of the ACh concentration. The intercept of the line with the abscissa axis may reflect the dissociation constant of ACh for its receptor. The value was 0.25  $\mu\text{M}$ .

Finally, short  $\text{Ca}^{2+}$  transients ("wavelets") were obtained in the conventional whole-cell recording mode by stimulating the cells with high  $\text{Ca}^{2+}$  or with inositoltrisphosphate in the pipette. These transients were attributed to  $\text{Ca}^{2+}$ -induced  $\text{Ca}^{2+}$  release in the endoplasmic reticulum. ACh-induced oscillations ("waves") may be due to the simultaneous recruitment of several wavelets. Feedback mechanisms likely to be involved in this coordination of  $\text{Ca}^{2+}$  transients are currently being investigated.

**Feeling around inside a  $\text{Ca}^{2+}$ -activated  $\text{K}^+$  channel in the dark.** CHRISTOPHER MILLER, AND JACQUES NEYTON (Graduate Department of Biochemistry, Brandeis University, Waltham, MA).

Ion channels are unique among membrane transport proteins in that the very ion-transport function for which they are designed can be used

to wheedle out clues about their molecular structures. For example, in the absence of direct structural information, we are nevertheless confident that these proteins are all built around a transmembrane pore structure through which ions diffuse passively. This fundamental structural motif of channels enables us to ask more refined questions about ion channel structure. The high-conductance  $\text{Ca}^{2+}$ -activated  $\text{K}^+$  channel from rat skeletal muscle, reconstituted into planar phospholipid bilayer membranes, provides a good system to investigate the structure of the ion-diffusion pathway via a close examination of ion conduction, selectivity, and blocking of single channels. This highly  $\text{K}^+$ -selective channel accommodates several  $\text{K}^+$  ions simultaneously in single-file within the narrow part of the pore. Barium blocks this channel by binding strongly in this narrow region of the pore, and we show here that discrete  $\text{Ba}^{2+}$  blocking can be used to gain a detailed picture of the pore. We use two types of experiments to attach questions of pore structure. First, we show that a  $\text{Ba}^{2+}$  ion inside the channel can be trapped by driving the channel into its "closed" conformation by hyperpolarizing or lowering  $\text{Ca}^{2+}$ . When closed, the channel does not permit the escape of  $\text{Ba}^{2+}$  to either side; this result implies that in the closed state, energy (or steric) barriers to ion movement are established on both sides of the conduction pathway. Second, we show that the rate of  $\text{Ba}^{2+}$  escape from the open pore is strongly affected by  $\text{K}^+$  on the two sides of the membrane. A detailed examination of this phenomenon shows that the channel contains at least four  $\text{K}^+$ -binding sites, which are often occupied simultaneously. These sites are of inherently high affinity and selectivity for  $\text{K}^+$ , but the multiple occupancy leads to destabilization of the ions in the pore, and hence to the unusual combination of high conductance and extreme ionic selectivity shown by this channel.

**Molecular studies of voltage-sensitive potassium channels.** DIANE PAPA ZIAN, THOMAS SCHWARZ, BRUCE TEMPEL, LESLIE TIMPE, YUH NUNG JAN, AND LILY JAN (Howard Hughes Medical Institute, University of California, San Francisco, CA 94143).

Voltage-sensitive potassium channels probably represent the most diverse group of ion channels found in most cell types studied in the animal and the plant kingdoms. Different combinations of potassium channels are found in different cells and are involved in a variety of cell functions. In the nervous system, they control excitability and modulate the strength of synaptic inputs; some of the potassium channels have been implicated in the processes of learning and memory. To better understand how these channels work and how the tremendous diversity of these channels is generated, one needs to study these channels biochemically. We have cloned the first such channel gene by taking advantage of *Drosophila* genetics (1) and found that alternative splicing at the *Shaker* locus provides one scheme for generating diversity (2, 3).

Although no potassium channels have been purified and characterized to the extent to allow for sequence comparison, identification of *Shaker* gene products as potassium channel proteins is possible by the following three criteria: (i) genetic and electrophysiological studies carried out previously by us, as well as other groups, showed that *Shaker* mutations affect a particular type of potassium channel (the A channel) that inactivates with a time constant of around 20 ms (4). (ii) Sequence comparison with the sodium channel gene and that of a dihydropyridine binding protein (likely part of a calcium channel) reveals a common motif: the so-called S4 sequence of around 20 amino acids, in which a basic residue (mostly arginine, and sometimes lysine) is present at every third position, alternating with two hydrophobic residues (5). This S4 sequence has been proposed to act as the voltage sensor of the channel. (iii) Functional expression of the potassium channel (A channel) in *Xenopus* oocytes has been demonstrated when mRNA tran-



scribed from a single species of *Shaker* cDNA is injected into the oocyte (3).

Different *Shaker* proteins arising from alternative splicing share a common core sequence containing multiple hydrophobic stretches that potentially can span the membrane, as well as a long stretch of hydrophilic sequence preceding the hydrophobic stretches (2); both are highly conserved in a homolog isolated from mouse brain cDNA libraries (6), suggesting that they are probably crucial for certain structural or functional aspects of this channel.

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*The light-regulated, cyclic GMP-gated conductance in retinal rods and cones.* K.-W. YAU (Howard Hughes Medical Institute and Department of Neuroscience, The Johns Hopkins University School of Medicine, Baltimore, MD 21205).

Retinal rods and cones respond to light with a membrane hyperpolarization, resulting from the decrease of an ionic conductance (the light-regulated conductance) in the outer segment. One proposed underlying mechanism is that light increases internal free  $\text{Ca}^{++}$  which then blocks the conductance; another is that light triggers the hydrolysis of cGMP, which supposedly keeps the conductance open in darkness.

We recently ruled out the  $\text{Ca}^{++}$  idea because: (1) intracellular  $\text{Ca}^{++}$  had little direct influence on the opening of the conductance in rods, and (2)  $\text{Ca}^{++}$  appeared to decrease rather than increase in the rod outer segment during illumination. The picture we derived is that in darkness there is a circulation of  $\text{Ca}^{++}$  at the outer segment, with a light-suppressible influx through the above conductance and an equal, light-insensitive efflux through a  $\text{Na}^+$ - $\text{Ca}^{++}$  exchange carrier.

Using excised, inside-out membrane patches we found, as did Fesenko *et al.* (1985, *Nature* **313**: 310–313), that cGMP directly activates an ionic conductance in the rod outer segment membrane, with electrical properties similar to those of the light-sensitive conductance. The activation of the conductance by cGMP has a Hill coefficient as high

as 3, indicating a minimum of three binding sites for cGMP per channel molecule. In the absence of divalent cations, cGMP-induced single-channel activity occurred in bursts of a few ms. This short burst duration suggests that cGMP is bound loosely to the channel, allowing the channel to track the internal cGMP concentration closely during phototransduction. In physiological conditions external divalent cations exert a flicker block on the channel, effectively converting it from a normal-size to a minute channel and consequently reducing the background open-channel noise in darkness. The blockage also underlies most of the characteristic outward rectification of the channel.

Using a truncated rod outer segment preparation we verified that the above cGMP-activated conductance can be suppressed by light, provided GTP is present. This requirement for GTP is consistent with the known involvement of a G-protein in the light-activated biochemical cascade leading to cGMP hydrolysis.

The  $\text{Ca}^{++}$  fluxes described above now appear to provide a negative feedback link between the conductance and the cGMP level, through an influence of  $\text{Ca}^{++}$  on cGMP metabolism. In darkness this feedback stabilizes the dark current; in the light this feedback underlies the phenomenon of light adaptation.

We also found a very similar phototransduction mechanism in cones. Interestingly, the cone conductance is a different molecular species in that it has slightly different electrical properties.

*Three-dimensional structure of the acetylcholine receptor.* N. UNWIN AND C. TOYOSHIMA (MRC Laboratory of Molecular Biology, Hills Road, Cambridge CB2 2QH, U. K.).

We study the structure of the acetylcholine receptor by electron image analysis of postsynaptic membranes from *Torpedo* electric organ crystallized in the form of long tubular vesicles. Earlier analyses revealed the pseudo-pentagonal configuration of the subunits around the central ion channel and identified the individual polypeptide chains. Recently we extended the resolution to about 18 Å and examined the subunit configuration in the presence of the acetylcholine analogue, carbamylcholine. Desensitization, induced by the carbamylcholine, appears to be associated with a quaternary rearrangement involving predominantly the  $\delta$ -subunit. Most recently, by helical reconstruction, we have observed the precise three-dimensional profile formed by the ion channel; it constricts sharply at the level of the phospholipid head-groups on either side of the bilayer.



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